

## Solutions to MA3417 Homework assignment 4

1. (i) In the case  $a = b = c = 0$ , we are essentially dealing with the ideal  $I = (y^2)$ , after dividing  $f$  by  $d \neq 0$ . Computing dimensions for small  $n = 0, 1, 2, 3, 4$ , we get 1, 2, 3, 5, 8, so one suspects Fibonacci numbers. This is easy to prove by induction: a normal monomial of degree  $n$  either starts with  $x$ , in which case the rest can be an arbitrary normal monomial of degree  $n - 1$ , or starts with  $y$ , in which case it must be followed by  $x$  which is then followed by an arbitrary normal monomial of degree  $n - 2$ , so  $d_n = d_{n-1} + d_{n-2}$ , and the claim follows.
 

(ii) In the case  $a = b = 0, c \neq 0$ , we are essentially dealing with an ideal  $I = (yx + dy^2)$ , after dividing  $f$  by  $c \neq 0$ . For the **glex** ordering with  $x > y$ , the leading monomial is  $yx$ , which does not have nontrivial small common multiples with itself, so  $yx + dy^2$  forms the reduced Gröbner basis. The normal monomials are  $x^i y^j$ , so  $d_n = n + 1$ .

(iii) In the case  $a = 0, b \neq 0$ , , we are essentially dealing with an ideal  $I = (xy + cyx + dy^2)$ , after dividing  $f$  by  $b \neq 0$ . For the **glex** ordering with  $x > y$ , the leading monomial is  $xy$ , which does not have nontrivial small common multiples with itself, so  $xy + cyx + dy^2$  forms the reduced Gröbner basis. The normal monomials are  $y^i x^j$ , so  $d_n = n + 1$ .
2. The leading monomial of  $x^2 + bxy + cyx + dy^2$  is  $x^2$ , which already ensures that the normal monomials of degree 3 are among  $xyx, xy^2, yxy, y^2x$  and  $y^3$ . Since the cosets of normal monomials form a basis in the quotient, the dimension  $d_3$  does not exceed 5. Also, there may be at most one new constraint, arising from the only S-polynomial of degree 3 that is there, the one corresponding to the small common multiple  $x^3$  of the leading term of  $f$  with itself.

Let us compute that S-polynomial. It is

$$(x^2 + bxy + cyx + dy^2)x - x(x^2 + bxy + cyx + dy^2) = (b - c)xyx + cyx^2 + dy^2x - bx^2y - dxy^2.$$

The remainder of this after long division by  $x^2 + bxy + cyx + dy^2$  is, by a direct computation,

$$(b - c)xyx + (b^2 - d)xy^2 + (d - c^2)y^2x + (b - c)dy^3.$$

If this is equal to zero,  $d_3 = 5$ . Otherwise, we add one linear dependence between cosets of normal monomials, and  $d_3 = 4$ .

Note that the remainder is zero whenever the equations  $b = c, b^2 = d, c^2 = d, (b - c)d = 0$  are satisfied. All of those follow from  $b = c, d = b^2$ . Thus, for  $(b, c, d) = (b, b, b^2)$  we have  $d_3 = 5$  and otherwise  $d_3 = 4$ .

3. In the case  $d_3 = 5$ ,  $f$  forms a reduced Gröbner basis, so  $d_n$  is equal to the number of monomials of degree  $n$  not divisible by  $x^2$ , which is equal to a Fibonacci number by Problem 1.

4. Suppose  $d_3 = 4$ . This means that the remainder

$$(b - c)xyx + (b^2 - d)xy^2 + (d - c^2)y^2x + (b - c)dy^3$$

computed in Problem 2 is non-zero. Let us consider two cases.

Case 1:  $b \neq c$ . In this case,  $xyx$  is the leading monomial of that remainder. The only small common multiples of degree 4 are  $xyx^2$  and  $x^2yx$ ; all others give rise to S-polynomials of higher degrees, and this will not affect  $d_4$ . The corresponding S-polynomials are

$$\begin{aligned} (x^2 + bxy + cyx + dy^2)yx - \frac{1}{b - c}x((b - c)xyx + (b^2 - d)xy^2 + (d - c^2)y^2x + (b - c)dy^3) \\ = bxy^2x + cyxyx + dy^3x - \frac{b^2 - d}{b - c}x^2y^2 - \frac{d - c^2}{b - c}xy^2x - dxy^3 \end{aligned}$$

and

$$\begin{aligned} \frac{1}{b - c}((b - c)xyx + (b^2 - d)xy^2 + (d - c^2)y^2x + (b - c)dy^3)x - xy(x^2 + bxy + cyx + dy^2) \\ = \frac{b^2 - d}{b - c}xy^2x + \frac{d - c^2}{b - c}y^2x^2 + dy^3x - bxyxy - cxy^2x - dxy^3, \end{aligned}$$

and their remainders after long division by  $x^2 + bxy + cyx + dy^2$  and  $xyx + \frac{b^2 - d}{b - c}xy^2 + \frac{d - c^2}{b - c}y^2x + dy^3$  are both equal to

$$(b^2 - bc + c^2 - d)xy^2x + (b^3 - 2bd + cd)xy^3 + (bd + c^3 - 2cd)y^3x + d(b^2 - bc + c^2 - d)y^4.$$

This vanishes if and only if all the coefficients  $b^2 - bc + c^2 - d$ ,  $b^3 - 2bd + cd$ ,  $bd + c^3 - 2cd$ , and  $d(b^2 - bc + c^2 - d)$  vanish. Note that if  $b^2 - bc + c^2 - d = 0$  and  $b^3 - 2bd + cd = 0$ , then

$$0 = b^3 - 2b(b^2 - bc + c^2) + c(b^2 - bc + c^2) = c^3 - 3c^2b + 3cb^2 - b^3 = (c - b)^3.$$

Since we assume  $b \neq c$ , this case is impossible, so there is a nonzero remainder in this case. This implies that the leading monomials of degree up to 4 of the reduced Gröbner basis are  $x^2$ ,  $xyx$ , and one element of degree 4 that is normal with respect to  $x^2$  and  $xyx$ . The number of normal monomials of degree 4 with respect to  $x^2$  is equal to 8,  $xyx$  prohibits  $xyxy$  and  $yxyx$  among those, and one extra monomial prohibits one more element, thus we get  $d_4 = 5$  in this case.

Case 2:  $b = c$ , but  $b^2 \neq d$ . In this case, the remainder of the S-polynomial in degree 3 is, in self-reduced form,  $xy^2 - y^2x$ . By a direct computation, the S-polynomial corresponding to the only new common multiple  $x^2y^2$  of the leading terms has zero remainder, so these two polynomials form the reduced Gröbner basis. The number of normal monomials of degree 4 with respect to  $x^2$  is equal to 8,  $xy^2$  prohibits  $yxy^2$ ,  $xy^2x$  and  $xy^3$  among those, so we get  $d_4 = 5$  in this case.