

Category Theory

Course by Dr. Arthur Hughes, 2010

Typset by Cathal Ormond

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Chapter 1

Types, Composition and Identities

1.1 Programs

A program (function) f applied to an argument x is denoted $f \cdot x$ or $f(x)$. We will develop some notation before we continue:

- $f \circ g \cdot x = f \cdot (g \cdot x)$
- $\langle f, g \rangle \cdot x = \langle f \cdot x, g \cdot x \rangle$
- $[f, g] \cdot \langle t, x \rangle = \begin{cases} f \cdot x & \text{if } t = l \\ g \cdot x & \text{if } t = r \end{cases}$

We also define the following primitive functions:

- $\text{id} \cdot x = x$
- $\text{outl} \cdot (x, y) = x$
- $\text{outr} \cdot (x, y) = y$
- $\text{inl} \cdot x = \langle l, x \rangle$
- $\text{inr} \cdot x = \langle r, x \rangle$
- $\text{zero} \cdot x = 0$
- $\text{succ} \cdot x = x + 1$

The above notation is quite abstract, so we can think of them in familiar terms by using set notation:

- If $f : A \rightarrow B$, then $x \in A \mapsto f \cdot x \in B$.
- If $f : A \rightarrow B, g : B \rightarrow C$, then $g \circ f : A \rightarrow C$.
- If $f : T \rightarrow A, g : T \rightarrow B$, then $\langle f, g \rangle : T \rightarrow A \times B$.
- If $f : A \rightarrow T, g : B \rightarrow T$, then $[f, g] : A + B \rightarrow T$.

We can also consider the above defined functions in terms of set theory:

- $\text{id} : A \rightarrow A$

- $\text{outl} : A \times B \rightarrow A$
- $\text{outr} : A \times B \rightarrow B$
- $\text{inl} : A \rightarrow A + B$
- $\text{inr} : B \rightarrow A + B$
- $\text{zero} : 1 \rightarrow \mathbb{N}$
- $\text{succ} : \mathbb{N} \rightarrow \mathbb{N}$

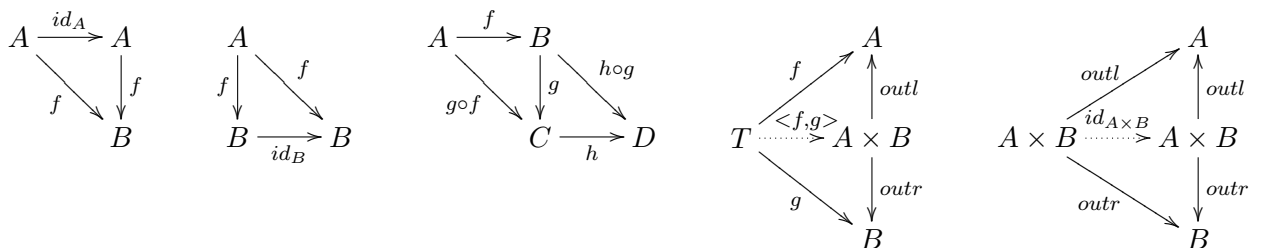
where 1 is the set containing one element, sometimes denoted $\{*\}$. It is common to denote such functions by what are called commuting diagrams. For example, we denote the fact that if $f : A \rightarrow B, g : B \rightarrow C$ then $g \circ f : A \rightarrow C$ by the following commuting diagram:

1.2 Functional Laws

We have a set of laws that apply to all programs/functions:

- IDENTITY LAW: If $f : A \rightarrow B$, then $f \circ \text{id}_A = f : A \rightarrow B$
- IDENTITY LAW: If $f : A \rightarrow B$, then $\text{id}_B \circ f = f : A \rightarrow B$
- ASSOCIATIVITY LAW: If $f : A \rightarrow B, g : B \rightarrow C, h : C \rightarrow D$, then $h \circ (g \circ f) = (h \circ g) \circ f : A \rightarrow D$
- If $A : T \rightarrow A, g : T \rightarrow B$ then $\text{outl} \circ \langle f, g \rangle = f : T \rightarrow A$
- If $A : T \rightarrow A, g : T \rightarrow B$ then $\text{outr} \circ \langle f, g \rangle = g : T \rightarrow B$
- $\langle \text{outl}_{A,B}, \text{outr}_{A,B} \rangle = \text{id}_{A \times B} : A \times B \rightarrow A \times B$

We can represent the above by commuting diagrams:



where we have combined the 4th and 5th conditions in the second last diagram.

We shall denote by $(A \Rightarrow B)$ the set of all functions from A to B . We wish to separate the following two concepts:

- functional programs and their laws
- the meaning of functions as defined by their application.

For example, given $f(x) = x^2$ we wish to differentiate between f and x^2 . We will usually consider simply f and its properties. To do this, we use categories and functions, instead of sets and mappings.

Chapter 2

Categories

2.1 Definitions

We define a CATEGORY \mathcal{C} to contain the following data:

1. $\text{Obj}(\mathcal{C})$, a class of objects.
2. $\text{Mor}(\mathcal{C})$, a class called the morphisms of \mathcal{C} .
3. $\text{dom}, \text{cod} : \text{Mor}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{C})$. For all $f \in \text{Mor}(\mathcal{C})$, we call $\text{dom}(f)$ the domain of f and $\text{cod}(f)$ the codomain of f .
4. $\text{id}_\cdot : \text{Obj}(\mathcal{C}) \rightarrow \text{Mor}(\mathcal{C})$. For all $A \in \text{Obj}(\mathcal{C})$, $\text{id}_\cdot(A) = \text{id}_A$ is called the identity morphism for A .
5. $\circ : \text{Mor}(\mathcal{C}) \times \text{Mor}(\mathcal{C}) \rightarrow \text{Mor}(\mathcal{C})$ a partial function called composition. For $f, g \in \text{Mor}(\mathcal{C})$ we denote by $f \circ g$ the composite of g after f

subject to the following conditions:

- $\text{dom}(\text{id}_A) = A = \text{cod}(\text{id}_A)$
- $g \circ f \in \text{Mor}(\mathcal{C}) \Leftrightarrow \text{cod}(f) = \text{dom}(g)$
- if $g \circ f$ is defined, then $\text{dom}(g \circ f) = \text{dom}(f)$ and $\text{cod}(g \circ f) = \text{cod}(g)$
- if $\text{dom}(f) = A$ and $\text{cod}(f) = B$, then $\text{id}_B \circ f = f = f \circ \text{id}_A$
- The associativity law holds on $\text{Mor}(\mathcal{C})$

We denote by $\mathcal{C}[A, B]$ or $\mathcal{C}(A, B)$ the class of morphisms from A to B . If for all $A, B \in \text{Obj}(\mathcal{C})$, $\mathcal{C}[A, B]$ is a set, then these sets are called homomorphism (or simply hom) sets. We have the following definitions:

- A category \mathcal{C} is called SMALL if $\text{Obj}(\mathcal{C})$ is a set and for all $A, B \in \text{Obj}(\mathcal{C})$, $\mathcal{C}[A, B]$ is a (hom) set.
- A category \mathcal{C} is called LOCALLY SMALL if for all $A, B \in \text{Obj}(\mathcal{C})$, $\mathcal{C}[A, B]$ is a (hom) set.
- A category \mathcal{C} is called LARGE if $\text{Obj}(\mathcal{C})$ is not a set.

2.2 Examples

The following are large categories.

2.2.1 From Sets

- **Set** a category of sets, whose objects are sets and whose morphisms are mappings between sets.
- **Pfn** a category of sets, whose objects are sets and whose morphisms are partial mappings between sets.
- **Rel** a category of sets and relations, whose objects are sets and whose morphisms are binary relations on the sets.
- **Set_f** a category of sets, whose objects are finite sets and whose morphisms are mappings.
- **Set_{*}** the category of pointed sets, whose objects are pairs of the form $(A, *_{A})$ where A is a set and $*_{A} \in A$ and whose morphisms are mappings $f : A \rightarrow B$ such that $f(*_{A}) = *_{B}$, called base point preserving.
- **Set_⊥** a category of sets, whose objects are sets which don't contain \perp and whose morphisms are mappings $f : A \cup \{\perp\} \rightarrow B \cup \{\perp\}$ such that $f(\perp) = \perp$, called \perp -preserving .

2.2.2 Algebraic Structures

- **Graph** the category of directed graphs, whose objects are directed graphs and whose morphisms are graph morphisms.
- **Mon** the category of monoids, whose objects are monoids and whose morphisms are monoid morphisms.
- **Grp** the category of groups, whose objects are groups and whose morphisms are group homomorphisms.
- **Ab** the category of Abelian Groups, whose objects are Abelian Groups and whose morphisms are group homomorphisms.
- **Rng** the category of rings, whose objects are rings and whose morphisms are ring homomorphisms.
- **CRng** the category of commutative rings, whose objects are commutative rings and whose morphisms are ring homomorphisms.
- **Vect_F** the category of vector spaces, whose objects are vector spaces over the field F and whose morphisms are linear transformations.
- **Pre** the category of preorders, whose objects are preorders and whose morphisms are monotone (order preserving) mappings.
- **Pos** the category of posets, whose objects are posets and whose morphisms are monotone mappings.
- **M-Set** the category of M actions, whose objects are actions on a fixed monoid M and whose morphisms are M -action morphisms.

2.2.3 Topological Spaces

- **Top** a category of topological spaces, whose objects are topological spaces and whose morphisms are continuous mappings.
- **Top_h** a category of topological spaces, whose objects are topological spaces and whose morphisms are homotopy classes of continuous mappings.
- **Top*** a category of topological spaces, whose objects are topological spaces with base points and whose morphisms are base point preserving continuous mappings.

Isomorphism

A morphism $f \in \underline{\mathcal{C}}[A, B]$ is called an ISOMORPHISM if there exists some $g \in \underline{\mathcal{C}}[A, B]$ such that $g \circ f = \text{id}_A$ and $f \circ g = \text{id}_B$. We call g the inverse for f sometimes denoted f^{-1} , and also say that A and B are isomorphic, denoted $A \cong B$.

2.2.4 Proposition

If $g_1, g_2 \in \underline{\mathcal{C}}[A, B]$ are inverses for $f \in \underline{\mathcal{C}}[A, B]$, then $g_1 = g_2$

Proof

$$g_1 = g_1 \circ \text{id}_B = g_1 \circ (f \circ g_2) = (g_1 \circ f) \circ g_2 = \text{id}_A \circ g_2 = g_2$$

2.2.5 Proposition

Identity morphisms are isomorphisms

Proof

This follows directly from the definitions of isomorphism and identity.

2.2.6 Proposition

The composition of two isomorphisms is an isomorphism.

Proof

Let f and g be isomorphisms with inverses f^{-1} and g^{-1} respectively. We'll show that $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$.

$$\begin{aligned} (g \circ f)^{-1} = f^{-1} \circ g^{-1} &\Leftrightarrow (g \circ f) \circ f^{-1} \circ g^{-1} = \text{id} \\ &\Leftrightarrow g \circ (f \circ f^{-1}) \circ g^{-1} = \text{id} \\ &\Leftrightarrow g \circ \text{id} \circ g^{-1} = \text{id} \\ &\Leftrightarrow g \circ g^{-1} = \text{id} \end{aligned}$$

the last statement of which is clearly true. The other direction, i.e. showing that $(f \circ g)^{-1} = g^{-1} \circ f^{-1}$ follows similarly.

The following are small categories.

- Given a preorder $P = (P, \preceq)$, the objects are elements of P and the morphisms are given by $f : x \rightarrow y$ exists iff $x \preceq y$.

- Given a set S , the objects are elements of S and the morphisms are simply the identity morphisms.
- Given a monoid $M = \langle M, *, u \rangle$, the objects are the single object \star and the morphisms are the mappings $x : \star \rightarrow \star$ for all $x \in M$
- Given a graph $G = \langle N, E, s, t \rangle$, the objects are the nodes in N and the morphisms are paths between nodes.
- $\mathbf{0}$ the empty category, graph with no objects and no morphisms, generated from the empty graph.
- $\mathbf{1}$ the trivial category containing one object and the identity mapping, generated from a graph with one node and no edges.
- $\mathbf{2}$ the category containing two points and three mappings (two identity mappings) generated from the graph with two nodes and one edge.
- \circlearrowleft the category with one object and one mapping, generated from a graph with one node and one edge.

A function R which assigns to each pair A, B in a category $\underline{\mathcal{C}}$ a binary relation $R_{A,B}$ on the hom class $\underline{\mathcal{C}}[A, B]$ is called a congruence or relation on $\underline{\mathcal{C}}$ if:

- for all $A, B \in \text{Obj}(\underline{\mathcal{C}})$, $R_{A,B}$ is a reflexive, symmetric and transitive relation on $\underline{\mathcal{C}}[A, B]$.
- for all $A, B, A', B' \in \text{Obj}(\underline{\mathcal{C}})$ and for all $f, f' \in \underline{\mathcal{C}}[A, B], g \in \underline{\mathcal{C}}[A, A'], h \in \underline{\mathcal{C}}[B, B']$ we have $f R_{A,B} f' \Rightarrow (h \circ f \circ g) R_{A',B'} (h \circ f' \circ g)$

2.2.7 Proposition

Given any function R which assigns to each pair A, B in a category $\underline{\mathcal{C}}$ a binary relation $R_{A,B}$ on the hom class $\underline{\mathcal{C}}[A, B]$, then there exists a least congruence R' on $\underline{\mathcal{C}}$ with $R \subseteq R'$.

Quotient category

Given a category $\underline{\mathcal{C}}$ and a function R which assigns to each pair A, B in a category $\underline{\mathcal{C}}$ a binary relation $R_{A,B}$ on the hom class $\underline{\mathcal{C}}[A, B]$, then there exists a QUOTIENT category $\underline{\mathcal{C}}/R$ whose objects are objects of the category $\underline{\mathcal{C}}$ and whose objects are the hom classes $(\underline{\mathcal{C}}/R)[A, B] := \underline{\mathcal{C}}[A, B]/R'_{A,B}$, where $R'_{A,B}$ is the least congruence of $\underline{\mathcal{C}}$ containing R .

Dual Category

Given a category $\underline{\mathcal{C}}$, we define the DUAL category, denoted $\underline{\mathcal{C}}^{\text{OP}}$, by

- $\text{Obj}(\underline{\mathcal{C}}^{\text{OP}}) = \text{Obj}(\underline{\mathcal{C}})$
- for all $A, B \in \text{Obj}(\underline{\mathcal{C}})$, $\underline{\mathcal{C}}^{\text{OP}}[A, B] := \underline{\mathcal{C}}[B, A]$
- $\text{dom}^{\text{OP}}(f) = \text{cod}(f)$ and $\text{cod}^{\text{OP}}(f) = \text{dom}(f)$
- $\text{id}_A^{\text{OP}} = \text{id}_A$
- $f \circ^{\text{OP}} g := g \circ f$

Product Category

Given categories $\underline{\mathcal{C}}, \underline{\mathcal{D}}$, we define the PRODUCT category, denoted $\underline{\mathcal{C}} \times \underline{\mathcal{D}}$, by

- $\text{Obj}(\underline{\mathcal{C}} \times \underline{\mathcal{D}}) = \text{Obj}(\underline{\mathcal{C}}) \times \text{Obj}(\underline{\mathcal{D}})$
- for all $A, A' \in \text{Obj}(\underline{\mathcal{C}})$ and $B, B' \in \text{Obj}(\underline{\mathcal{D}})$ we define $\underline{\mathcal{C}} \times \underline{\mathcal{D}}[\langle A, B \rangle, \langle A', B' \rangle] := \underline{\mathcal{C}}[A, A'] \times \underline{\mathcal{D}}[B, B']$
- $\text{dom}_{\underline{\mathcal{C}} \times \underline{\mathcal{D}}}(\langle f, g \rangle) := \langle \text{dom}_{\underline{\mathcal{C}}}(f), \text{dom}_{\underline{\mathcal{D}}}(g) \rangle$, $\text{cod}_{\underline{\mathcal{C}} \times \underline{\mathcal{D}}}(\langle f, g \rangle) := \langle \text{cod}_{\underline{\mathcal{C}}}(f), \text{cod}_{\underline{\mathcal{D}}}(g) \rangle$
- $\text{id}_{\langle A, B \rangle} := \langle \text{id}_A, \text{id}_B \rangle$
- $\langle g, g' \rangle \circ \langle f, f' \rangle := \langle g \circ f, g' \circ f' \rangle$

Slice Category

Given a category $\underline{\mathcal{C}}$ and an element $X \in \text{Obj}(\underline{\mathcal{C}})$, then we define the SLICE category over X , denoted $\underline{\mathcal{C}}/X$, by

- Objects: pairs $\langle A, f \rangle$ where $A \in \text{Obj}(\underline{\mathcal{C}})$ and $f \in \underline{\mathcal{C}}[A, X]$
- Morphisms: mappings $h : \langle A, f \rangle \rightarrow \langle A', f' \rangle$ where $h : A \rightarrow A'$ is a morphism in $\underline{\mathcal{C}}$ and $f = f' \circ h$

Chapter 3

Functors

3.1 Definitions

A (covariant) FUNCTION $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ between categories $\underline{\mathcal{C}}$ and $\underline{\mathcal{D}}$ consists of an object mapping $F_{Obj} : \text{Obj}(\underline{\mathcal{C}}) \rightarrow \text{Obj}(\underline{\mathcal{D}})$ and a morphism mapping $F : \text{Mor}(\underline{\mathcal{C}}) \rightarrow \text{Mor}(\underline{\mathcal{D}})$ such that

- for all $f \in \text{Mor}(\underline{\mathcal{C}})$, we have $\text{dom}(F(f)) = F_{Obj}(\text{dom}(f))$ and $\text{cod}(F(f)) = F_{Obj}(\text{cod}(f))$,
- for all $A \in \text{Obj}(\underline{\mathcal{C}})$, we have $F(\text{id}_A) = \text{id}_{F_{Obj}(A)}$
- for all $A, B, C \in \text{Obj}(\underline{\mathcal{C}})$ and for all $f \in \underline{\mathcal{C}}[A, B], g \in \underline{\mathcal{C}}[B, C]$, we have $F(g \circ f) = F(g) \circ F(f)$.

Usually, the subscript Obj is dropped when the meaning is clear. Equivalently, we have a (covariant) functor $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ consists of a function $F : \text{Obj}(\underline{\mathcal{C}}) \rightarrow \text{Obj}(\underline{\mathcal{D}})$ and a family of functions $F[A, B] : \underline{\mathcal{C}}[A, B] \rightarrow \underline{\mathcal{D}}[F(A), F(B)]$ induced by pairs $\langle A, B \rangle$ of objects of $\underline{\mathcal{C}}$ such that

- for all $A \in \text{Obj}(\underline{\mathcal{C}})$, we have $F[A, A](\text{id}_A) = \text{id}_{F(A)}$
- for all $A, B, C \in \text{Obj}(\underline{\mathcal{C}})$ and for all $f \in \underline{\mathcal{C}}[A, B], g \in \underline{\mathcal{C}}[B, C]$ we have $F[A, C](g \circ_{A, B, C} f) = F[B, C](g) \circ_{F(A), F(B), F(C)} F[A, B](f)$

3.2 More Definitions

- Given functors $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ and $G : \underline{\mathcal{D}} \rightarrow \underline{\mathcal{E}}$, we define the COMPOSITE functor $G \circ F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{E}}$ by the following:

$$\begin{array}{ccc} A & & G(F(A)) \\ \downarrow f & \longmapsto & \downarrow G(F(f)) \\ B & & G(F(B)) \end{array}$$

- Given a category $\underline{\mathcal{C}}$, the IDENTITY functor on $\underline{\mathcal{C}}$ denoted $\text{id}_{\underline{\mathcal{C}}} : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{C}}$ is given by

$$\begin{array}{ccc} \begin{array}{c} A \\ \downarrow f \\ B \end{array} & \longmapsto & \begin{array}{c} A \\ \downarrow f \\ B \end{array} \end{array}$$

- We denote by **Cat** the category of small categories and functors between small categories.
- We denote by **CAT** the “category” of categories and functors between categories.
- A functor $F : \underline{\mathcal{C}}^{\text{OP}} \rightarrow \underline{D}$ is called a CONTRAVARIANT functor.

3.3 Examples of Functors

- We have the inclusion functor which, for example, maps **Set** to **Pfn** or **Pfn** to **Rel**.

- $_{*} : \mathbf{Pfn} \rightarrow \mathbf{Set}_{*}$ with $f \mapsto f_{*}$, where $f_{*}(x) = \begin{cases} f(x) & \text{if } x \in A, x \in \text{dom}(f) \\ *_{B} & \text{if } x \in A, x \notin \text{dom}(f) \\ *_{B} & x = *_{A} \end{cases}$ for

mappings of the form $f : A \rightarrow B$.

- $D : \mathbf{Set}_{*} \rightarrow \mathbf{Pfn}$ with $f \mapsto Df$, where $\text{dom}(Df) := \{x \in A \mid x \neq *_{A}, f(x) \neq *_{B}\}$ and $(Df)(x) = f(x)$ for all $x \in \text{dom}(Df)$, where $f : \langle A, *_{A} \rangle \rightarrow \langle B, *_{B} \rangle$
- $U : \mathbf{Mon} \rightarrow \mathbf{Set}$ which is a forgetful functor (it “forgets” the monoid structure and just gives a set).
- $V : \mathbf{Cat} \rightarrow \mathbf{Graph}$, a forgetful functor given by:

$$\begin{array}{ccc} \langle \text{Obj}(\underline{\mathcal{C}}), \text{Mor}(\underline{\mathcal{C}}), \text{dom}_{\underline{\mathcal{C}}}, \text{cod}_{\underline{\mathcal{C}}}, \text{id}_{\underline{\mathcal{C}}}, \circ \rangle & & \langle \text{Obj}(\underline{\mathcal{C}}), \text{Mor}(\underline{\mathcal{C}}), \text{dom}_{\underline{\mathcal{C}}}, \text{cod}_{\underline{\mathcal{C}}} \rangle \\ \downarrow F & \longmapsto & \downarrow V(F) \\ \langle \text{Obj}(\underline{\mathcal{D}}), \text{Mor}(\underline{\mathcal{D}}), \text{dom}_{\underline{\mathcal{D}}}, \text{cod}_{\underline{\mathcal{D}}}, \text{id}_{\underline{\mathcal{D}}}, \circ \rangle & & \langle \text{Obj}(\underline{\mathcal{D}}), \text{Mor}(\underline{\mathcal{D}}), \text{dom}_{\underline{\mathcal{D}}}, \text{cod}_{\underline{\mathcal{D}}} \rangle \end{array}$$

- $_{*} : \mathbf{Graph} \rightarrow \mathbf{Cat}$, a free functor given by

$$\begin{array}{ccc} \langle N, E, s, t \rangle & & \langle N, P(E), s, t, \text{id}, \circ \rangle \\ \downarrow h & \longmapsto & \downarrow f \\ \langle N', E', s', t' \rangle & & \langle N', P(E'), s', t', \text{id}', \circ \rangle \end{array}$$

where we define

- $P(E) := \{e_1, \dots, e_n \mid t(e_i) = s(e_{i+1}), 1 \leq i \leq n\} \cup \{id_A \mid A \subseteq N\}$, where the identity element is interpreted as the empty word.

- composition to be the concatenation for the paths which join head to tail.
- $h^* : G^* \rightarrow H^*$ is a functor between categories defined by:

$$\begin{array}{ccc}
 a \in N & & h_n(a) \in N' \\
 \downarrow & \longmapsto & \downarrow \\
 e_1 e_2 \dots e_n & & h^*(e_1 e_2 \dots e_n) := h_e(e_1) \dots h_e(e_n) \\
 \downarrow & & \downarrow \\
 b \in N & & h_n(b) \in N'
 \end{array}$$

- $I : \mathbf{AbMon} \rightarrow \mathbf{Mon}$ an inclusion functor, which is the identity mapping on the objects of \mathbf{AbMon} , and where $\mathbf{AbMon}[A, A'] \subseteq \mathbf{Mon}[A, A']$
- $U : \mathbf{M-Set} \rightarrow \mathbf{Set}$, a forgetful functor.

Given a monoid $M = \langle M, \diamond, u \rangle$, we can also consider two functors in the reverse order to the last example:

- ${}_{-*}\mathbf{Set} \rightarrow \mathbf{M-Set}$, a free functor given by the following commutative diagram

$$\begin{array}{ccc}
 A & & \langle M \times A, \delta^* : M \times (M \times A) \rightarrow M \times A \rangle \\
 \downarrow & \longmapsto & \downarrow \\
 f & & f^* := id_M \times f \\
 \downarrow & & \downarrow \\
 B & & \langle M \times B, \delta^* : M \times (M \times B) \rightarrow M \times B \rangle
 \end{array}$$

where for all $m, m' \in M, a \in A, b \in B$ we define $\delta^*(m, (m', a)) := (m \diamond m', a)$ and $\delta^*(m, (m', b)) := (m \diamond m', b)$

- ${}_{-*}\mathbf{Set} \rightarrow \mathbf{M-Set}$, a free functor given by the following commutative diagram

$$\begin{array}{ccc}
 A & & \langle M \rightrightarrows A, \delta^* : M \times (M \rightrightarrows A) \rightarrow M \rightrightarrows A \rangle \\
 \downarrow & \longmapsto & \downarrow \\
 f & & f^* := id_M \times f \\
 \downarrow & & \downarrow \\
 B & & \langle M \rightrightarrows B, \delta^* : M \times (M \rightrightarrows B) \rightarrow M \rightrightarrows B \rangle
 \end{array}$$

where for all $m, m' \in M, f \in M \rightrightarrows A, g \in M \rightrightarrows B$ we define $[\delta^*(m, f)](m') := f(m \diamond m')$ and $[\delta^*(m, g)](m') := g(m \diamond m')$

Chapter 4

Universal Properties

4.1 Terminal Object

A TERMINAL OBJECT in a category $\underline{\mathcal{C}}$ is an object 1 such that for all $A \in \text{Obj}(\underline{\mathcal{C}})$ there exists a unique morphism from A to 1 , i.e. $\underline{\mathcal{C}}[A, 1]$ contains one object. We'll denote this unique isomorphism by $\langle \rangle_A$.

4.1.1 Proposition

If 1 and $1'$ are terminal objects of a category $\underline{\mathcal{C}}$, then there exists a unique isomorphism from 1 to $1'$.

Proof

As 1 is terminal, there exists a unique $\langle \rangle_1: 1 \rightarrow 1'$. Similarly, as $1'$ is terminal, there exists a unique $\langle \rangle_{1'}: 1' \rightarrow 1$. Note that the only element in $\underline{\mathcal{C}}[1, 1]$ is id_1 and the only element in $\underline{\mathcal{C}}[1', 1']$ is $\text{id}_{1'}$. However, $\langle \rangle_{1'} \circ \langle \rangle_1: 1 \rightarrow 1$ and $\langle \rangle_1 \circ \langle \rangle_{1'}: 1' \rightarrow 1'$, so $\langle \rangle_1$ and $\langle \rangle_{1'}$ must be isomorphisms.

4.1.2 Proposition: Reflection Law

$$\langle \rangle_1 = \text{id}_1$$

Proof

$\langle \rangle_1 = \text{id}_1$ iff $\text{id}_1: 1 \rightarrow 1$, which is true.

4.1.3 Proposition: Fusion Law

If $f \in \underline{\mathcal{C}}[A, B]$, then $\langle \rangle_B \circ f = \langle \rangle_A$

Proof

$\langle \rangle_B \circ f = \langle \rangle_A$ iff $\langle \rangle_B \circ f: 1 \rightarrow 1$, which is true.

4.2 Duality

Let $S(\underline{\mathcal{C}})$ be a statement about the objects and morphisms of a category $\underline{\mathcal{C}}$. Then we can form, by reversing the direction of all the morphisms in $S(\underline{\mathcal{C}})$, another statement $S^{\text{OP}}(\underline{\mathcal{C}}) = S(\underline{\mathcal{C}}^{\text{OP}})$ about $\underline{\mathcal{C}}$.

4.2.1 Proposition

For all $\underline{\mathcal{C}} \in \text{Obj}(\mathbf{CAT})$, $S(\underline{\mathcal{C}})$ is equivalent to for all $\underline{\mathcal{C}} \in \text{Obj}(\mathbf{CAT})$, $S^{\text{OP}}(\underline{\mathcal{C}})$.

Proof

For all $\underline{\mathcal{C}}$, we have $S(\underline{\mathcal{C}}) \Rightarrow S(\underline{\mathcal{C}}^{\text{OP}}) \Leftrightarrow S^{\text{OP}}(\underline{\mathcal{C}})$. Similarly, for all $\underline{\mathcal{C}}$, we have $S^{\text{OP}}(\underline{\mathcal{C}}) \Rightarrow S^{\text{OP}}(\underline{\mathcal{C}}^{\text{OP}}) \Leftrightarrow S((\underline{\mathcal{C}}^{\text{OP}})^{\text{OP}}) \Leftrightarrow S(\underline{\mathcal{C}})$.

The question arises: what is the dual statement to the terminal object?

4.3 Initial Object

An INITIAL OBJECT in a category $\underline{\mathcal{C}}$ is an object 0 such that for all $A \in \text{Obj}(\underline{\mathcal{C}})$ there exists a unique morphism from 0 to A , i.e. $\underline{\mathcal{C}}[0, A]$ contains one object. We'll denote this unique isomorphism by $[]_A$.

The first following three propositions now follow by duality.

4.3.1 Proposition

If 0 and $0'$ are terminal objects of a category $\underline{\mathcal{C}}$, then there exists a unique isomorphism from 0 to $0'$.

4.3.2 Proposition: Reflection Law

$$[]_0 = \text{id}_0$$

4.3.3 Proposition: Fusion Law

If $f \in \underline{\mathcal{C}}[A, B]$, then $f \circ []_A = []_B$

4.3.4 Proposition

The empty category $\mathbf{0}$ is an initial object in \mathbf{Cat} .

4.3.5 Proposition

The trivial category $\mathbf{1}$ is a terminal object in \mathbf{Cat} .

4.3.6 Proposition

The empty set \emptyset is an initial object in \mathbf{Set} .

4.3.7 Proposition

The singleton set $\{*\}$ is a terminal object in **Set**.

4.4 Binary Product

A BINARY PRODUCT of two objects A, B in a category \mathcal{C} is specified by

- an object $A \times B$ of $\text{Obj}(\mathcal{C})$
- two projection morphisms $\text{outl}: A \times B \rightarrow A$ and $\text{outr}: A \times B \rightarrow B$

such that the following diagram commutes

$$\begin{array}{ccc}
 & T & \\
 f \swarrow & \vdots & \searrow g \\
 A & \xleftarrow{\text{outl}} A \times B \xrightarrow{\text{outr}} & B
 \end{array}$$

$\exists! \langle f, g \rangle$

We say that a category has binary products if a binary product exists for all pairs of objects.

4.4.1 Proposition

If $\langle P, \text{outl}, \text{outr} \rangle$ and $\langle P', \text{outl}', \text{outr}' \rangle$ are binary product for the objects A, B of a category \mathcal{C} , then there exists a unique isomorphism $h: P \rightarrow P'$ such that $\text{outl} = \text{outl}' \circ h$ and $\text{outr} = \text{outr}' \circ h$.

Proof

As $\langle P', \text{outl}', \text{outr}' \rangle$ is a binary product, we know that there exists some unique $\langle \text{outl}', \text{outr}' \rangle: P' \rightarrow P$ such that

$$\text{outl}' = \text{outl} \circ \langle \text{outl}', \text{outr}' \rangle$$

and

$$\text{outr}' = \text{outr} \circ \langle \text{outl}', \text{outr}' \rangle$$

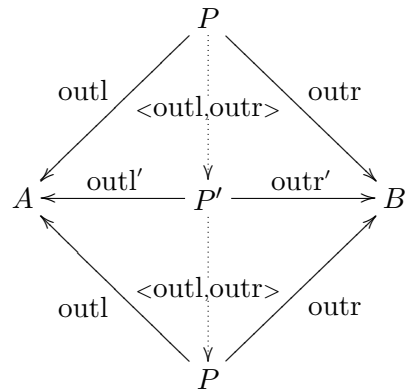
Similarly, as $\langle P, \text{outl}, \text{outr} \rangle$ is a binary product, we know that there exists some unique $\langle \text{outl}, \text{outr} \rangle: P \rightarrow P'$ such that

$$\text{outl} = \text{outl}' \circ \langle \text{outl}, \text{outr} \rangle$$

and

$$\text{outr} = \text{outr}' \circ \langle \text{outl}, \text{outr} \rangle$$

We will show that the following diagram commutes:



As per above, from $\text{outl}' = \text{outl} \circ \langle \text{outl}', \text{outr}' \rangle$ and $\text{outl} = \text{outl}' \circ \langle \text{outl}, \text{outr} \rangle$, we conclude that

$$\text{outl} \circ \langle \text{outl}', \text{outr}' \rangle \circ \langle \text{outl}, \text{outr} \rangle = \text{outl}$$

and so

$$\langle \text{outl}', \text{outr}' \rangle \circ \langle \text{outl}, \text{outr} \rangle = \text{id}_P$$

Using similar logic, but in the other direction, we can show that $\langle \text{outl}, \text{outr} \rangle \circ \langle \text{outl}', \text{outr}' \rangle = \text{id}_{P'}$ also. Thus, $\langle \text{outl}, \text{outr} \rangle$ and $\langle \text{outl}', \text{outr}' \rangle$ must be isomorphisms.

4.4.2 Proposition: Reflection Law

$$\langle \text{outl}, \text{outr} \rangle = \text{id}_{A \times B}$$

4.4.3 Proposition: Fusion Law

$$\langle f, g \rangle \circ h = \langle f \circ h, g \circ h \rangle$$

4.5 Examples of Binary Products

4.5.1 Set

Let A and B be sets.

- $A \times B := \{ \langle a, b \rangle \mid a \in A, b \in B \}$
- $\text{outl} \langle a, b \rangle = a$
- $\text{outr} \langle a, b \rangle = b$

4.5.2 Mon

Let $\langle M, *, u \rangle$ and $\langle M', *, u' \rangle$ be monoids.

- $M \times M' := \langle M \times M', \diamond, \langle u, u' \rangle \rangle$, where $\langle m, m' \rangle \diamond \langle n, n' \rangle = \langle m * n, m' * n' \rangle$.
- $\text{outl} \langle m, n \rangle = m$
- $\text{outr} \langle m, n \rangle = n$

4.5.3 Cat

Let $\underline{\mathcal{C}}$ and $\underline{\mathcal{D}}$ be monoids.

- $\underline{\mathcal{C}} \times \underline{\mathcal{D}}$ is the product category.
- $\text{outl}(\langle f, g \rangle : \langle A, B \rangle \rightarrow \langle C, D \rangle) = (f : A \rightarrow C)$
- $\text{outr}(\langle f, g \rangle : \langle A, B \rangle \rightarrow \langle C, D \rangle) = (g : B \rightarrow D)$

4.5.4 Pos

If $\underline{\mathcal{C}}, P, \leq$ is a category defined by a poset, then a binary product exists iff the poset has a greatest lower bound for all pairs of elements $p, q \in P$

- $p \times q := \sqcap\{p, q\} := p \sqcap q$
- $\text{outl} : p \sqcap q \rightarrow p$ if $p \sqcap q = p$
- $\text{outr} : p \sqcap q \rightarrow q$ if $p \sqcap q = q$

4.5.5 Proposition

If $\underline{\mathcal{C}}$ is a category with a specified binary product, then $_ \times _ : \underline{\mathcal{C}} \times \underline{\mathcal{C}} \rightarrow \underline{\mathcal{C}}$ given by

$$\begin{array}{ccc} (A, B) & & A \times B \\ \downarrow (f, g) & \longmapsto & \downarrow f \times g = \langle f \circ \text{outl}, g \circ \text{outr} \rangle \\ (A', B') & & A' \times B' \end{array}$$

is a bifunctor.

Proof

We need to show the following

- for all $f, g \in \text{Mor}(\underline{\mathcal{C}})$, $\text{dom}(f \times g) = \text{dom}(f) \times \text{dom}(g)$ and $\text{cod}(f \times g) = \text{cod}(f) \times \text{cod}(g)$
- for all $A, B \in \text{Obj}(\underline{\mathcal{C}})$, $\text{id}_A \times \text{id}_B = \text{id}_{A \times B}$
- for all $A, A', A'', B, B', B'' \in \underline{\mathcal{C}}$ and $f \in \underline{\mathcal{C}}[A, A'], f' \in \underline{\mathcal{C}}[A', A''], g \in \underline{\mathcal{C}}[B, B'], g' \in \underline{\mathcal{C}}[B', B'']$, we have $(f' \circ f) \times (g' \circ g) = (f' \times g') \circ (f \times g)$

The first part follows directly from the definitions. The second part is true by noting that

$$\text{id}_A \times \text{id}_B = \langle \text{id}_A \circ \text{outl}, \text{id}_B \circ \text{outr} \rangle = \langle \text{outl}, \text{outr} \rangle = \text{id}_{A \times B}$$

the last part of which follows by the reflection law. To show the final part, we note the following proposition

4.5.6 Proposition: The Absorbion Law

$$(f \times g) \circ \langle p, q \rangle = \langle f \circ p, g \circ q \rangle$$

Proof

$$(f \times g) \circ \langle p, q \rangle = \langle f \circ \text{outl}, g \circ \text{outr} \rangle \circ \langle p, q \rangle = \langle f \circ \text{outl} \circ p, g \circ \text{outr} \circ q \rangle = \langle f \circ p, g \circ q \rangle$$

Thus, our previous proposition follows thus:

$$(f' \times g') \circ (f \circ g) = (f' \times g') \circ \langle f \circ \text{outl}, g \circ \text{outr} \rangle = \langle f' \circ f \circ \text{outl}, g' \circ g \circ \text{outr} \rangle = (f' \circ f) \times (g' \circ g)$$

4.5.7 Proposition

If $\underline{\mathcal{C}}$ is a category with binary products and with a terminal object, then the following natural isomorphisms exist for all $A, B, C \in \underline{\mathcal{C}}$:

$$\begin{aligned} \text{unit}_A &: A \times 1 \rightarrow A \\ \text{swap}_{A,B} &: A \times B \rightarrow B \times A \\ \text{assoc}_{A,B,C} &: (A \times B) \times C \rightarrow A \times (B \times C) \end{aligned}$$

4.5.8 Proposition

A binary product of objects A, B in a category $\underline{\mathcal{C}}$ is a terminal object in the $\text{span}[A, B](\underline{\mathcal{C}})$ of spans over A and B :

- Objects: pairs of morphisms from $\underline{\mathcal{C}}$ with a common source, i.e. (f, g) where $A \xleftarrow{f} T \xrightarrow{g} B$.
- $m : (f, g) \rightarrow (f', g')$ where $m : T \rightarrow T'$ is a morphism on $\underline{\mathcal{C}}$ such that $f' \circ m = f$ and $g' \circ m = g$.

4.6 Binary Sum

A BINARY SUM of objects A, B in a category $\underline{\mathcal{C}}$ is specified by

- an object $A + B$ of $\underline{\mathcal{C}}$ with
- two injective morphisms $\text{inl} : A \rightarrow A + B$ and $\text{inr} : B \rightarrow A + B$

such that the following diagram commutes:

$$\begin{array}{ccc} A & \xrightarrow{\text{inl}} & A + B & \xleftarrow{\text{inr}} & B \\ & \searrow f & \downarrow [f, g] & \swarrow g & \\ & & T & & \end{array}$$

Note that this is the dualized idea of binary products. This gives us the following propositions.

4.6.1 Proposition: Reflection Law

$$[\text{inl}, \text{inr}] = \text{id}_{A+B}$$

4.6.2 Proposition: Fusion Law

$$h \circ [f, g] = [h \circ f, h \circ g]$$

4.7 Examples of Binary Sums

4.7.1 Set

Let A and B be sets.

- $A + B := A \uplus B := (\{l\} \times A) \cup (\{r\} \times B)$
- $\text{inl}(a) = (l, a)$
- $\text{inr}(b) = (r, b)$

4.7.2 Mon

Let $M = \langle M, *, u \rangle$ and $M' = \langle M', *', u' \rangle$ be monoids, and define $(A + B)^*$ to be the set of finite sequences of elements from the set $A + B$

- $M + M' := \langle (M + M')^* / \sim, \cdot, [\epsilon] \rangle$, where \cdot is the concatenation operation i.e. $[(x, \dots, y)] \cdot [(x', \dots, y')] = [(x, \dots, y, x', \dots, y')]$, $[\epsilon]$ is the empty word and \sim is the least equivalence relation such that
 - $u \sim \epsilon$ and $\epsilon \sim u'$
 - $(\dots, a, a', \dots) \sim (\dots, a * a', \dots)$ for all $a, a' \in M$,
 - $(\dots, b, b', \dots) \sim (\dots, b *' b', \dots)$ for all $b, b' \in B$
- $\text{inl}(a) = [(a)]$
- $\text{inr}(b) = [(b)]$

in the above, what we means by the least equivalence relation is the equivalence relation \sim given by:

$$\begin{array}{ccccc}
 \sim_A & \xrightleftharpoons[r]{l} & \langle A^*, \hat{}, \epsilon \rangle & \xrightarrow{[\]_{\sim_A}} & \langle A^* / \sim_A, \circ, [\epsilon] \rangle & \xrightarrow{\cong} & \langle A, *, u \rangle \\
 \downarrow & & \downarrow \text{inl} & & \downarrow \text{inl} & & \\
 \sim & \xrightleftharpoons[r]{l} & \langle (A + B)^*, \hat{}, \epsilon \rangle & \xrightarrow{[\]_{\sim}} & \langle (A + B)^* / \sim_A, \circ, [\epsilon] \rangle & & \\
 \uparrow & & \uparrow \text{inr} & & \uparrow \text{inr} & & \\
 \sim_B & \xrightleftharpoons[r]{l} & \langle B^*, \hat{}, \epsilon \rangle & \xrightarrow{[\]_{\sim_B}} & \langle B^* / \sim_B, \circ, [\epsilon] \rangle & \xrightarrow{\cong} & \langle B, *, u \rangle
 \end{array}$$

Example: Graph

If G is a directed graph, G^* is the underlying graph of the path category over G , $\underline{H} \in \text{Obj}(\mathbf{Cat})$ and H is the underlying graph of the category \underline{H} , then we have the following:

$$\begin{array}{ccc} G & \xrightarrow{\eta_G} & G^* \\ & \searrow f & \downarrow \exists! \tilde{f} \\ & & H \end{array} \quad \begin{array}{ccc} G^* & & \\ \downarrow \exists! \tilde{f} & & \\ \underline{H} & & \end{array}$$

If we are given $f : (A, *, u) \rightarrow (M, *'', u'')$ and $g : (B, *', u') \rightarrow (M, *'', u'')$, then we want to construct a unique $h : ((A+B)^*/\sim, \cdot, [\epsilon]) \rightarrow (M, *'', u'')$ such that $h = h \circ \text{inl}$ and $g = h \circ \text{inr}$.

Step 1

In **Set**, we have

$$\begin{array}{ccccc} A & \xrightarrow{\text{inl}} & A+B & \xleftarrow{\text{inr}} & B \\ & \searrow f & \downarrow \exists! [f, g] & \swarrow g & \\ & & M & & \end{array}$$

Step 2

In **Set** and then in **Mon**, we have

$$\begin{array}{ccc} (A+B) & \xrightarrow{\eta_{A+B}} & (A+B)^* \\ \downarrow [f, g] & & \downarrow \exists! [f, g] \\ M & & ((A+B)^*, \cdot, \epsilon) \\ & & \downarrow \exists! [f, g] \\ & & (M, *'', u'') \end{array}$$

4.7.3 Step 3

$$\begin{array}{ccc} x \in u & \xrightarrow[l]{r} & ((A+B)^*, \cdot, \epsilon) \\ & \searrow [f, g] & \downarrow \exists! h \\ & & (M, *'', u'') \end{array}$$

Chapter 5

More on Functors

5.1 Definition: Covariant Hom Functor

Given a fixed object A of a category $\underline{\mathcal{C}}$ the COVARIANT HOM FUNCTOR is a mapping $\underline{\mathcal{C}}[A, +] = H_+^A : \underline{\mathcal{C}} \rightarrow \mathbf{Set}$ such that

$$\begin{array}{ccc}
 B & & h \in \underline{\mathcal{C}}[A, B] \\
 \downarrow g & \longmapsto & \downarrow \underline{\mathcal{C}}[A, g] = H_g^A \\
 B' & & \underline{\mathcal{C}}[A, B']
 \end{array}$$

where $\underline{\mathcal{C}}[A, g] = H_g^A$ is given by $H_g^A(h) = g \circ h$.

5.2 Definition: Contravariant Hom Functor

Given a fixed object B of a category $\underline{\mathcal{C}}$ the CONTRAVARIANT HOM FUNCTOR is a mapping $\underline{\mathcal{C}}[-, B] = H_-^B : \underline{\mathcal{C}}^{\text{OP}} \rightarrow \mathbf{Set}$ such that

$$\begin{array}{ccc}
 A & & h \in \underline{\mathcal{C}}[A, B] \\
 \downarrow \mathcal{F}^{\text{OP}} & \longmapsto & \downarrow \underline{\mathcal{C}}[\mathcal{F}^{\text{OP}}, B] = H_B^{\mathcal{F}^{\text{OP}}} \\
 A' & & \underline{\mathcal{C}}[A', B]
 \end{array}$$

where $\underline{\mathcal{C}}[\mathcal{F}^{\text{OP}}, B] = H_B^{\mathcal{F}^{\text{OP}}}$ is given by $H_B^{\mathcal{F}^{\text{OP}}}(h) = h \circ \mathcal{F}^{\text{OP}}$.

5.3 Definition: Subcategory

$\underline{\mathcal{C}}$ is called a SUBCATEGORY of $\underline{\mathcal{D}}$ if there exists an inclusion functor $I : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ such that $I(f : A \rightarrow B) = (f : A \rightarrow B)$.

5.3.1 Definition: Faithful

A functor $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ is called FAITHFUL if the morphism mapping of the functor is injective.

5.3.2 Definition: Full

A functor $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ is called FULL if the morphism mapping of the functor is surjective.

5.3.3 Definition: Isomorphic

Two categories $\underline{\mathcal{C}}$ and $\underline{\mathcal{D}}$ are said to be isomorphic, denoted $\underline{\mathcal{C}} \cong \underline{\mathcal{D}}$ if there exist mappings $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ and $G : \underline{\mathcal{D}} \rightarrow \underline{\mathcal{C}}$ such that $F \circ G = \text{id}_{\underline{\mathcal{D}}}$ and $G \circ F = \text{id}_{\underline{\mathcal{C}}}$.

5.4 Universal Morphism

If $G : \underline{\mathcal{X}} \rightarrow \underline{\mathcal{A}}$ is a functor and $A \in \underline{\mathcal{A}}$ is an object, then a universal morphism is a pair $\langle A^*, \eta : A \rightarrow G(A^*) \rangle$ consisting of an object $A^* \in \underline{\mathcal{X}}$ and a morphism $\eta : A \rightarrow G(A^*)$ of $\underline{\mathcal{A}}$ such that to every pair $\langle X, f : A \rightarrow G(X) \rangle$ with $X \in \underline{\mathcal{X}}$ an object and f a morphism of $\underline{\mathcal{A}}$, there exist a unique mapping $[f] : A^* \rightarrow X$ with $G([f]) \circ \eta = f$, i.e.

$$\begin{array}{ccc}
 \underline{\mathcal{X}} & \xrightarrow{G} & \underline{\mathcal{A}} \\
 \\
 A^* & & A \xrightarrow{\eta} G(A^*) \\
 \vdots \exists! [f] & & \searrow f \\
 X & & G(X) \\
 & & \vdots \exists! G([f])
 \end{array}$$

5.4.1 Example: $U : \text{Mon} \rightarrow \text{Set}$

Let A be a set, define $\eta(a) = \langle a \rangle$ and $f(a) = 1$ for all $a \in A$, we have

$$\begin{array}{ccc}
 A & \xrightarrow{\eta} & U(\langle A^*, \wedge, u \rangle) & \langle G^*, \wedge, \epsilon \rangle \\
 & \searrow f & \downarrow \exists! U(L) & \downarrow L \\
 & & U(\langle \mathbb{N}, +, 0 \rangle) & \langle \mathbb{N}, +, 0 \rangle
 \end{array}$$

where L is the length mapping.

5.4.2 Example: $U : \text{Graph} \rightarrow \text{Cat}$

Let G be a graph and $\underline{\mathcal{H}}$ be a small category. Then, we have

$$\begin{array}{ccc}
 G & \xrightarrow{\eta_G} & U(G^*) & G^* \\
 & \searrow f & \downarrow \exists! U([h]) & \downarrow L \\
 & & U(\underline{\mathcal{H}}) & \underline{\mathcal{H}}
 \end{array}$$

5.4.3 Example: $U : \mathbf{Mon} \rightarrow \mathbf{Set}$

Let A be a set, define $\eta(a) = \langle a \rangle$ and $f(a) = 1$ for all $a \in A$, we have

$$\begin{array}{ccc}
 A & \xrightarrow{\eta} & U(\langle A^*, \frown, u \rangle) & & \langle G^*, \frown, \epsilon \rangle \\
 & \searrow f & \downarrow \exists! U(L) & & \downarrow L \\
 & & U(\langle \mathbb{N}, +, 0 \rangle) & & \langle \mathbb{N}, +, 0 \rangle
 \end{array}$$

where L is the length mapping.

5.4.4 Example: Diagonal Functor

Let $\Delta : \underline{\mathcal{C}} \times \underline{\mathcal{C}} \rightarrow \underline{\mathcal{C}}$ be the functor given by $\Delta(A, A) = A$, called the diagonal functor. Then we have:

$$\begin{array}{ccc}
 (A, B) & \xrightarrow{(\text{inl}, \text{inr})} & \Delta(A + B) & & A + B \\
 & \searrow (f, g) & \downarrow \exists! \Delta([f, g]) & & \downarrow [f, g] \\
 & & \Delta(T) & & T
 \end{array}$$

5.5 Natural Transformations

Given categories $\underline{\mathcal{C}}$ and $\underline{\mathcal{D}}$ and two functors $F, G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$, we define a NATURAL TRANSFORMATION denoted

$$\psi : F \rightarrow \bullet G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$$

as a collection $\phi = \{\phi_A : F(A) \rightarrow G(A) \mid A \in \text{obj}(\underline{\mathcal{C}})\}$ of morphisms of $\underline{\mathcal{D}}$ indexed by objects of $\underline{\mathcal{C}}$ such that for all $f : A \rightarrow B \in \text{Mor}(\underline{\mathcal{C}})$, we have

$$\begin{array}{ccc}
 F(A) & \xrightarrow{\phi_A} & G(A) & & \underline{\mathcal{C}} & \xrightarrow{F} & \underline{\mathcal{D}} \\
 \downarrow F(f) & & \downarrow G(f) & & \parallel & \downarrow \phi & \parallel \\
 F(B) & \xrightarrow{\phi_B} & G(B) & & \underline{\mathcal{C}} & \xrightarrow{G} & \underline{\mathcal{D}}
 \end{array}$$

The morphism ϕ_A is called the component of ϕ . A natural transformation $\phi : F \rightarrow \bullet G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ is called an ISOMORPHISM if ϕ_A is an isomorphism for all $A \in \text{Obj}(\underline{\mathcal{C}})$.

5.6 Equivalence

We say that two categories $\underline{\mathcal{C}}$ and $\underline{\mathcal{D}}$ are EQUIVALENT if there exists two functors $F, G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ together with two natural isomorphisms $\epsilon : FG \rightarrow \cong \text{id}_{\underline{\mathcal{D}}}$ and $\eta : \text{id}_{\underline{\mathcal{C}}} \rightarrow \cong GF$.

5.7 The Functor Category

Let $\underline{\mathcal{C}}$ and $\underline{\mathcal{D}}$ be two categories. The FUNCTOR CATEGORY usually denoted $[\underline{\mathcal{C}}, \underline{\mathcal{D}}]$ or $\underline{\mathcal{D}}^{\underline{\mathcal{C}}}$ is given by:

- The objects are functors from $\underline{\mathcal{C}}$ to $\underline{\mathcal{D}}$
- The morphisms are natural transformations between functors
- We define

$$\begin{aligned}\text{dom}(\alpha : F \rightarrow^\bullet G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}) &= F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}} \\ \text{cod}(\alpha : F \rightarrow^\bullet G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}) &= G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}\end{aligned}$$

- We define the identity transformation on a functor F as

$$\text{id}_F = \{\text{id}_{F(A)} : F(A) \rightarrow F(A) \mid A \in \text{Obj}(\underline{\mathcal{C}})\}$$

- We define the composition of two natural transformations $\alpha : F \rightarrow^\bullet G$ and $\beta : G \rightarrow^\bullet H$ as the mapping $\beta \circ \alpha : F \rightarrow^\bullet H$, where

$$\beta \circ \alpha = \{(\beta \circ \alpha)_A = \beta_A \circ \alpha_A : F(A) \rightarrow H(A) \mid A \in \text{Obj}(\underline{\mathcal{C}})\}$$

Chapter 6

Yoneda Embeddings

Let $\underline{\mathcal{C}}$ be a locally small category, and define $H^- : \underline{\mathcal{C}}^{\text{op}} \rightarrow [\underline{\mathcal{C}}, \mathbf{Set}]$ as follows:

$$\begin{array}{ccc}
 A & & \underline{\mathcal{C}}[A, +] = H_+^A \\
 \downarrow f^{\text{op}} & \longmapsto & \downarrow H^{f^{\text{op}}} \\
 A' & & \underline{\mathcal{C}}[A', +] = H_+^{A'}
 \end{array}$$

where $H^{f^{\text{op}}} : H_+^A \rightarrow \bullet H_+^{A'} : \underline{\mathcal{C}} \rightarrow \mathbf{Set}$ is defined for all $(g : B \rightarrow B') \in \text{Mor}(\underline{\mathcal{C}})$ as

$$\begin{array}{ccc}
 H_B^A & \xrightarrow{H_B^{f^{\text{op}}}} & H_B^{A'} \\
 \downarrow H_g^A & & \downarrow H_g^{A'} \\
 H_{B'}^A & \xrightarrow{H_{B'}^{f^{\text{op}}}} & H_{B'}^{A'}
 \end{array}$$

6.1 The Yoneda Lemma

Let $\underline{\mathcal{C}}$ be a locally small category, $F : \underline{\mathcal{C}} \rightarrow \mathbf{Set}$ a functor and $A \in \text{Obj}(\underline{\mathcal{C}})$. The collection $\text{nat}[H_+^A, F]$ of natural transformations $\alpha : H_+^A \rightarrow \bullet F$ is a set, so we may define a functor $\text{Nat}[H^-, +] : \underline{\mathcal{C}} \times [\underline{\mathcal{C}}, \mathbf{Set}] \rightarrow \mathbf{Set}$ given by

$$\begin{array}{ccc}
 \langle A, F \rangle & & \text{Nat}[H_+^A, F] \\
 \downarrow \langle f, \mu \rangle & \longmapsto & \downarrow \text{Nat}[H^f, \mu] \\
 \langle A', F' \rangle & & \text{Nat}[H_+^{A'}, F']
 \end{array}$$

where we define $\text{Nat}[H^f, \mu] = (\text{Nat}[H^-, +]) \langle f, \mu \rangle$. We can also define the eval functor, namely $\text{ev} : \underline{\mathcal{C}} \times [\underline{\mathcal{C}}, \mathbf{Set}] \rightarrow \mathbf{Set}$ as

$$\begin{array}{ccc} \langle A, F \rangle & & F(A) \\ \downarrow \langle f, \mu \rangle & \longmapsto & \downarrow \text{ev} \langle f, \mu \rangle \\ \langle A', F' \rangle & & F(A') \end{array}$$

where for all $x \in F(A)$, we have

$$(\text{ev} \langle f, \mu \rangle)(x) = [F'(f) \circ \mu_A](x) = [\mu_{A'} \circ F(f)](x)$$

There exists natural isomorphisms $\Phi : \text{Nat}[H^-, +] \leftrightarrow^\bullet \text{ev} : \Psi$ such that for all $A \in \text{Obj}(\underline{\mathcal{C}})$ and $F : \underline{\mathcal{C}} \rightarrow \mathbf{Set}$, we have

$$\Phi_{\langle A, F \rangle} : \text{Nat}[H^A, F] \leftrightarrow_{\cong}^\bullet F(A) : \Psi_{\langle A, F \rangle}$$

6.1.1 Proof

Part A

We'll show that for all $A \in \text{Obj}(\underline{\mathcal{C}})$, $F : \underline{\mathcal{C}} \rightarrow \mathbf{Set}$, we have $\text{Nat}[H_+^A, F] \in \mathbf{Set}$ by showing that there exists a bijection from $\text{Nat}[H_+^A, F] \rightarrow F(A)$. For all $\alpha : H_+^A \rightarrow^\bullet F$, we have

$$\Phi_{\langle A, F \rangle} = \alpha_A(\text{id}_A)$$

Also, for all $a \in F(A)$, $B \in \text{Obj}(\underline{\mathcal{C}})$, $f \in H_B^A$, we have

$$\Psi_{\langle A, F \rangle}(a) = [F(f)](a)$$

We need to show that $\Psi_{\langle A, F \rangle}(a) \in \text{Nat}[H_+^A, F]$, namely for all $(g : B \rightarrow B') \in \text{Mor}(\underline{\mathcal{C}})$ we have

$$\begin{array}{ccc} H_B^A & \xrightarrow{\Psi_{\langle A, F \rangle}(a)_B} & F(B) \\ \downarrow H_g^A & & \downarrow F(g) \\ H_{B'}^A & \xrightarrow{\Psi_{\langle A, F \rangle}(a)_{B'}} & F(B') \end{array}$$

We note the following:

$$\begin{aligned} (F(g) \circ \Psi_{\langle A, F \rangle}(a)_B)(f) &= F(g)(\Psi_{\langle A, F \rangle}(a)_B(f)) \\ &= F(g)(F(f)(a)) \\ &= [F(g) \circ F(f)](a) \\ &= [F(g \circ f)](a) \\ &= [F(H_g^A(f))](a) \\ &= \Psi_{\langle A, F \rangle}(a)_{B'}(H_g^A(f)) \\ &= (\Psi_{\langle A, F \rangle}(a)_{B'} \circ H_g^A)(f) \\ &\in \text{Nat}[H_+^A, F] \end{aligned}$$

We now wish to show that

$$\Psi_{\langle A, F \rangle} \circ \Phi_{\langle A, F \rangle} = \text{id}_{\text{Nat}[H_+^A, F]} \quad \Phi_{\langle A, F \rangle} \circ \Psi_{\langle A, F \rangle} = \text{id}_{F(A)}$$

For all $\alpha \in \text{Nat}[H_+^A, F]$, $B \in \underline{\mathcal{C}}$, $f \in H_B^A$, we have the following:

$$\begin{aligned} (\Psi_{\langle A, F \rangle} \circ \Phi_{\langle A, F \rangle})(\alpha) &= \Psi_{\langle A, F \rangle}(\Phi_{\langle A, F \rangle}(\alpha)) \\ &= \Psi_{\langle A, F \rangle}(\alpha_A(\text{id}_A)) \\ &= F(f)(\alpha_A(\text{id}_A)) \\ &= (F(f) \circ \alpha_A)(\text{id}_A) \\ &= (\alpha_B \circ H_f^A)(\text{id}_A) \\ &= \alpha_B(H_f^A(\text{id}_A)) \\ &= \alpha_B(f \circ \text{id}_A) \\ &= \alpha_B(f) \\ &= \alpha \end{aligned}$$

Also, note that for all $a \in F(A)$, we have

$$\begin{aligned} (\Phi_{\langle A, F \rangle} \circ \Psi_{\langle A, F \rangle})(a) &= \Phi_{\langle A, F \rangle}(\Psi_{\langle A, F \rangle}(a)) \\ &= \Psi_{\langle A, F \rangle}(a)_A(\text{id}_A) \\ &= F(\text{id}_A)(a) \\ &= \text{id}_{F(A)}(a) \\ &= a \end{aligned}$$

And so, we have that there exists an isomorphism between $F(A)$ and $\text{Nat}[H_+^A]$ for all $A \in \text{Obj}(\underline{\mathcal{C}})$.

6.1.2 Part B

We now wish to show that above-defined morphism actually defined a natural morphism, i.e. we want the following diagram to commute:

$$\begin{array}{ccc} \langle A, F \rangle & & \text{Nat}[H_+^A, F] \xrightarrow{\Phi_{\langle A, f \rangle}} \text{ev } \langle A, F \rangle = F(A) \\ \downarrow \langle f, \mu \rangle & \longmapsto & \downarrow \text{Nat}[H^{f^{\text{op}}, \mu}] \quad \downarrow \text{ev } \langle f, \mu \rangle \\ \langle A', F' \rangle & & \text{Nat}[H_+^{A'}, F'] \xrightarrow{\Phi_{\langle A', f' \rangle}} \text{ev } \langle A', F' \rangle = F'(A) \end{array}$$

For all $\alpha \in \text{Nat}[H_+^A, F]$, we have the following:

$$\begin{aligned}
(\Psi_{\langle A', F' \rangle} \circ \text{Nat}[H_+^{f^{\text{op}}}, \mu])(\alpha) &= \Psi_{\langle A', F' \rangle}(\text{Nat}[H_+^{f^{\text{op}}}, \mu](\alpha)) \\
&= \Psi_{\langle A', F' \rangle}(\mu \circ \alpha \circ H_+^{f^{\text{op}}}) \\
&= (\mu \circ \alpha \circ H_+^{f^{\text{op}}})_{A'}(\text{id}_{A'}) \\
&= (\mu_{A'} \circ \alpha_{A'} \circ H_{A'}^{f^{\text{op}}})(\text{id}_{A'}) \\
&= (\mu_{A'} \circ \alpha_{A'})(H_{A'}^{f^{\text{op}}}(\text{id}_{A'})) \\
&= (\mu_{A'} \circ \alpha_{A'})(\text{id}_{A'} \circ f) \\
&= (\mu_{A'} \circ \alpha_{A'})(f) \\
&= (\mu_{A'} \circ \alpha_{A'})(f \circ \text{id}_A) \\
&= (\mu_{A'} \circ \alpha_{A'})(H_f^A(\text{id}_A)) \\
&= (\mu_{A'} \circ \alpha_{A'} \circ H_f^A)(\text{id}_A) \\
&= (\mu_{A'} \circ F(f) \circ \alpha'_A)(\text{id}_A) \\
&= (F'(f) \circ \mu_A \circ \alpha'_A)(\text{id}_A) \\
&= (F'(f) \circ \mu_A)(\alpha'_A(\text{id}_A)) \\
&= \text{ev} \langle f, \mu \rangle (\alpha'_A(\text{id}_A)) \\
&= \text{ev} \langle f, \mu \rangle (\Phi_{\langle A, F \rangle}(\alpha)) \\
&= (\text{ev} \langle f, \mu \rangle \circ \Phi_{\langle A, F \rangle})(\alpha)
\end{aligned}$$

Thus, we have shown that there exists a natural transformation from $\text{Nat}[H^-, +]$ to ev whose components are isomorphisms. Hence, result. ■

We note that the Yoneda embedding $y : \underline{\mathcal{C}}^{\text{op}} \rightarrow [\underline{\mathcal{C}}, \mathbf{Set}]$ is both full and faithful, by noting that for all $A, A' \in \text{Obj}(\underline{\mathcal{C}})$, we have

$$\begin{aligned}
[\underline{\mathcal{C}}, \mathbf{Set}][y(A), y(A')] &= \text{Nat}[H_+^A, H_+^{A'}] \\
&\cong \text{ev} \langle A, H_+^{A'} \rangle \\
&= H_+^{A'}(A) \\
&= H_A^{A'} \\
&= \underline{\mathcal{C}}[A, A'] \\
&\cong \underline{\mathcal{C}}^{\text{op}}[A, A']
\end{aligned}$$

as required.

Appendix A

Supplementary Definitions

A.1 Function and Classes

A.1.1 Single Valued

Given a function $f : A \rightarrow B$ we let R be the set of all pairs (a, b) such that $f(a) = b$ for $a \in A, b \in B$. We say that f is SINGLE VALUED, if $(a, b), (a', b') \in R$ and $a = a'$, then $b = b'$.

A.1.2 Totally Defined

Given a function $f : A \rightarrow B$ we let R be the set of all pairs (a, b) such that $f(a) = b$ for $a \in A, b \in B$. We say that f is TOTALLY DEFINED, if $\{a \in A \mid \exists b \in B s.t. (a, b) \in R\} = A$.

A.1.3 Mapping

A MAPPING is a single-valued, totally defined function.

A.1.4 Partial Mapping

A PARTIAL MAPPING is a single-valued function.

A.1.5 Relation

A RELATION is a function between sets.

A.2 Structures

A.2.1 Directed Graph

A GRAPH G is a quadruple $\langle N, E, s, t \rangle$ where E is a set of edges of the graph, N is a set of nodes of the graph and $s, t : E \rightarrow N$ are the source and target mappings.

A GRAPH MORPHISM $h : G \rightarrow G'$ is a quadruple $\langle G, h_n, h_e, G' \rangle$ where $h_n : N \rightarrow N'$ and $h_e : E \rightarrow E'$ are mappings such that $h_n \circ s = s' \circ h_e$ and $h_n \circ t = t' \circ h_e$. In other

words, the following diagrams commute:

$$\begin{array}{ccc} E & \xrightarrow{h_e} & E \\ s \downarrow & & \downarrow s' \\ N & \xrightarrow{h_n} & N \end{array} \quad \begin{array}{ccc} E & \xrightarrow{h_e} & E \\ s \downarrow & & \downarrow s' \\ N & \xrightarrow{h_n} & N \end{array}$$

A.2.2 Monoid

A MONOID is a triple $\langle M, *, u \rangle$ where M is a set, $*$: $M \times M \rightarrow M$ is an associative binary operation and u is a unit (identity) for the operation.

Examples of monoids are:

- $\langle \mathbb{N}, +, 0 \rangle$
- $\langle \mathbb{N}_0, \times, 1 \rangle$ where $\mathbb{N}_0 = \mathbb{N} \setminus \{0\}$
- $\langle \mathcal{P}(A), \cup, \emptyset \rangle$
- $\langle \mathcal{P}(A), \cap, A \rangle$
- $\langle \mathbf{Set}[A, A], \circ, \text{id}_A \rangle$

Note that a morphism between monoids $h : M \rightarrow M'$ is an operation preserving mapping which maps the unit to the unit. In other words, the following diagrams commute:

$$\begin{array}{ccc} M \times M & \xrightarrow{*} & M \\ h \times h \downarrow & & \downarrow h \\ M \times M & \xrightarrow{*'} & M \end{array} \quad \begin{array}{ccc} 1 & \xrightarrow{e} & M \\ & \searrow e' & \downarrow h \\ & & M \end{array}$$

A.2.3 Preorder

A PREORDER is a pair (P, \preceq) where P is a set and \preceq is a reflexive and transitive binary relation.

A.2.4 Poset

A POSET (partially ordered set) is a preorder whose binary relation is also anti-symmetric.

A.2.5 Bounds

Let $\langle P, \preceq \rangle$ be a poset and $S \subseteq P$. Then an element $z \in P$ is called a

- LOWER BOUND of S if for all $s \in S$, $z \preceq s$.
- GREATEST LOWER BOUND of S if for all lower bounds y of S , $y \preceq z$. This is sometimes denoted $\sqcap S$
- UPPER BOUND of S if for all $s \in S$, $s \preceq z$.
- LEAST UPPER BOUND of S if for all upper bounds y of S , $z \preceq y$. This is sometimes denoted $\sqcup S$

A.2.6 M -Action

An M -ACTION on a fixed monoid $M = \langle M, *, u \rangle$ is a pair $\langle S, \delta \rangle$ where S is a set of states and $\delta : M \times S \rightarrow S$ is a mapping such that for all $x, y \in M$ and $s \in S$, we have $\delta(x * y, s) = \delta(x, \delta(y, s))$ and $\delta(u, s) = s$. In other words, the following diagrams commute:

$$\begin{array}{ccc}
 (M \times M) \times S & \xrightarrow{\cong} & M \times (M \times S) \xrightarrow{id_{M \times \delta}} M \times S \\
 \downarrow * \times id_S & & \downarrow \delta \\
 M \times S & \xrightarrow{s} & S
 \end{array}
 \qquad
 \begin{array}{ccc}
 S & \xrightarrow{\cong} & 1 \times S \xrightarrow{u \times id_S} M \times S \\
 & \searrow id_S & \downarrow \delta \\
 & & S
 \end{array}$$