

ERROR-CORRECTING CODES – WEEK 3

Evaluation of the syndromes of received messages provides the error-vectors directly – so correction to the transmitted codewords is immediate. But it is not practicable to maintain an enormous table (e.g. 2^{32} entries) of syndromes and corresponding errors in most systems. Instead, codes with more structure than simple linearity are used, enabling calculation of errors from syndromes, rather than crude table look-up. Most used are cyclic codes.

Cyclic Codes

Vectors are envisaged as the coefficients of polynomials in x over $GF(q)$. A **Cyclic Code is an ideal** in the ring of polynomials modulo $(x^n - 1)$. A codeword $c(x)$ has the form $c[n-1]x^{n-1} + c[n-2]x^{n-2} + \dots + c[1]x + c[0]$ and is manipulated as $c[n-1]x^{n-1} + c[n-2]x^{n-2} + \dots + c[1]x + c[0]$. An ideal is an additive sub-group with the extra property that the product of any member of the ideal with any member of the ring produces another member of the ideal. Therefore a left-rotation of a codeword $c(x)$ (multiplication by x) produces another codeword $c'(x) = x.c(x) \bmod(x^n - 1)$.

Theorem: A cyclic code has a generating polynomial $g(x)$ which divides all codewords, and also $(x^n - 1)$. Proof: Let $g(x)$ be the codeword of least degree – conventionally $(n-k)$ – and let $c(x)$ be any other codeword. Then $c(x) = q(x).g(x) + r(x)$, where $q(x)$ is the quotient polynomial on dividing $c(x)$ by $g(x)$, and $r(x)$ is the remainder of degree less than $g(x)$. But by the definition of an ideal since $c(x)$ and $g(x)$ are members so must be $r(x)$. But this contradicts the supposition that $g(x)$ has least degree. Therefore $r(x)$ is null and $g(x)$ divides $c(x)$. *Students to prove $g(x)$ divides $(x^n - 1)$.*

From the theorem, an (n,k) cyclic code could be constructed by taking user messages $m(x)$ of degree $(k-1)$ and multiplying them by the generating polynomial. But it is more convenient to maintain **systematic form by multiplying $m(x)$ by $x^{(n-k)}$ and appending $r(x)$ to it, where $r(x)$ is the remainder on dividing $m(x).x^{(n-k)}$ by $g(x)$. This representation means that the j th row of the generator matrix of the code corresponds to $[x^{(n-j)}, r[n-j](x)]$ where $r[n-j](x)$ is the remainder on dividing by $g(x)$.**

Students to form the systematic generator matrices for the cyclic codes which have $(x^3 + x + 1)$ and $(x^3 + x^2 + 1)$ as generator polynomials.

The roots of $g(x)$ and n .

Since $g(x)$ divides all $c(x)$ a root α of $g(x)$ is also one of $c(x)$. Therefore the vector of coefficients of $c(x)$ from $x^{(n-1)}$ to x^0 , namely $c[n-1]$ to $c[0]$, is orthogonal to the vector $\alpha^{(n-1)}$ to α^0 , which must lie in the null space spanned by the Null Matrix H . *Students to show how this is so with the code defined by $g(x) = x^3 + x + 1$.*

The generating polynomial must divide $(x^n - 1)$, therefore its roots are also some of those of $x^n - 1$, which is the same as saying that the order of a root must divide n . If $g(x)$ is a single irreducible polynomial of degree m then $n = q^m - 1$ ($2^m - 1$ over $GF(2)$). Thus is

$g(x) = x^3 + x + 1$ we have $n=7$. If $g(x)$ is composite, then $n = \text{LCM}(\text{order of roots of the irreducible factors of } g(x))$.

Exercise: What are the factors of $x^7 + 1$ over $GF(2)$? Of $x^{15} + 1$?

Error-detection with cyclic codes

A received vector is in error if it is not a codeword. To test if it is a codeword divide it by $g(x)$ and check that the remainder is zero.

Students to verify that this division by $g(x)$ gives the syndrome, as previously defined.

Equivalently, if we denote the received, corrupted codeword by $c'(x) = m'(x).x^{(n-k)} - r'(x)$, we calculate $r''(x) = \text{remainder on dividing } c'(x).x^{(n-k)} \text{ by } g(x)$, and check if $r''(x) = r'(x)$.

Generating and checking cyclic codewords involves polynomial division and evaluation of remainders. This can be done using **Feedback Shift Registers (FBSR)**, (see Annexe 3A) or of course by software. The method is usually used with shortened cyclic codes. **A shortened cyclic code does not have the full length, n , as implied by $g(x)$; instead, the most left-hand symbols are taken to be all zero** and the calculation of the remainder starts with the first non-zero symbol.

For a real error to go undetected it must convert the original codeword into another one, and so the error pattern must itself be that of a codeword. **The weight distribution of a code gives $W[j]$, the number of codewords of weight = j .** For example in a (15,7) binary code with $W[1]=W[15]=1$, $W[2]=W[14]=W[3]=W[13]=W[4]=W[12]=W[5]=W[11]=0$, $W[5]=W[10]=18$, $W[6]=W[9]=30$, and $W[7]=W[8]=15$ there are only 15 7-bit codewords out of $15C7 = 6435$ possible 7-bit error patterns. Thus $6420/6435 = 99.77\%$ of all 7-bit error patterns will be detected.

Exercise: What is the weight distribution of the (15,5) binary code with $g(x)=x^{(10)}+x^{(8)}+x^{(5)}+x^{(4)}+x^{(2)}+x+1$?

Error-Correction using Cyclic Codes (Kasami's Method)

Provided that all $j \leq t$ errors fall within a range of $(n-k)$ bits, this method works. Suppose $r \leq j$ error bits occur in the checksum $(n-k)$ bits part of the received vector, and $(j-r)$ in the message (k) bits part. These latter contribute at least $(d - (j-r))$ bits to the syndrome/remainder (**Why?**), and will at most cancel out the other r bits, thus leaving $(d-j) \geq (t+1)$ bits set. But if all j error bits fall within the checksum part then the syndrome/remainder will have $\leq t$ bits. So the method is: Look at the syndrome, if it has $\leq t$ bits then this is the error pattern. If it hasn't, rotate the received vector one bit and recalculate the syndrome and see if it has $\leq t$ bits. If it hasn't rotate and repeat until a syndrome with $\leq t$ bits is found. Then correct the rotated received vector, and rotate backwards to get to the original position.

Example with (15,7) distance-5 code with generating polynomial $x^8 + x^7 + x^6 + x^4 + 1$ (111010001). We suppose the received vector is (111110010000001). The sequence of syndromes is: 11011100, 01101001, 11010010, 01110101, 11101010, 00000101. We add this back into the received vector rotated five times 001000000111111 to get 001000000111010, and rotate back to get the corrected vector 110100010000001.

Exercise: Correct 010001000000100 from same code.

One can easily construct non-binary cyclic codes.

Exercise: Construct a cyclic code over $GF(3)$ with generating polynomial $(x^2 + x + 2)$. What is its length? What is its distance?

BCH Codes

We can fix the distance for a cyclic code if we make it a BCH code, with the generating polynomial composed of a product of m minimum polynomials $p[i](x)$ with consecutive roots. A minimum polynomial is irreducible and has lowest degree. We start with a root α and its minimum polynomial $p[1](x)$. In a binary system (characteristic = 2) $\alpha^2, \alpha^4, \alpha^8$ etc are also roots of $p[1](x)$. *Why?* So the next consecutive root is α^3, α^6 etc and its $p[2](x)$. Then α^5, α^{10} etc and $p[3](x)$, giving $g(x) = \text{Product (1 to } m) p[i](x)$, with at least $2m$ consecutive roots. The degree of $g(x) = (n-k) \leq m \cdot r$ where r is the degree of $p[1](x)$. And subsequent $p[i](x)$ all have degrees $\leq r$ (*Why?*).

It can be shown (see Annexe 3B) that the distance $d \geq 2m+1$, therefore $t \geq (n-k)/r$.

Essentially this is because the rows of the Null Matrix are of the form $[(\alpha^j)^k]$ where α^j is the first root for that j th row and minimum polynomial and k is the column number from $(2^m - 1)$ to 0. Remember the distance is the minimum number of linearly independent columns of H .

Example: $p[1](x) = x^4 + x + 1$ roots $\alpha, \alpha^2, \alpha^4, \alpha^8$; $p[2](x) = x^4 + x^3 + x^2 + x + 1$ roots $\alpha^3, \alpha^6, \alpha^{12}, \alpha^9$; $p[3](x) = x^2 + x + 1$ roots α^5, α^{10} ; so the product $g(x)$ has degree = 10 with six consecutive roots ($\alpha \dots \alpha^6$), and gives a (15,5) code with $d=7$,

One can readily find minimum polynomials of powers α^j of a basic α , but tables exist which help (see Annexe 3C)

Exercise: Construct a (31,6) code with $p[1](x) = x^5 + x^2 + 1$. What is its distance?

Error-correction with BCH Codes

We designate the location of the $\leq t$ correctable errors at $\alpha^{j_1}, \alpha^{j_2}, \dots, \alpha^{j_k}, \dots, \alpha^{j_t}$ by error locators = $X[k]$. They correspond to the first row of H and give rise to syndrome $S[1] = \text{Sum } X[k]$, for $k=1$ to t . Because $(\alpha^i)^{j_k} = (\alpha^{j_k})^i = X[k]^i$ syndromes from all the

relevant rows may be written as $S[i] = \text{Sum}(\text{over } k) X[k]^i$, for $i = 1$ to m , the number of consecutive roots.

Suppose the error locators $X[k]$ are roots of $f(x) = x^t + f_1.x^{(t-1)} + f_2.x^{(t-2)} + \dots + f_t$, then:

$X[k]^t + f_1.X[k]^{(t-1)} + f_2.X[k]^{(t-2)} + \dots + f_t = 0$. Multiply by $X[k]^j$ and sum $j = 1$ to t , and get:

$S[t+j] + f_1.S[t+j-1] + f_2.S[t+j-2] \dots + f_t.S[j] = 0$ for $j = 1$ to t . These are t linear equations in t unknowns (f_1 to f_t) which may be solved because the $2t$ syndromes are known.

Having found the coefficients f_1 to f_t , we may find the roots of $f(x)$, which are the error locators, and then make the corrections in those locations. Summarising:

- 1) Find the $m=2t$ syndromes corresponding to the consecutive roots.
- 2) Solve the linear system of t equations to find the coefficients of $f(x)$
- 3) Find the roots of $f(x)$, the error locators.
- 4) From the $X[k]$ identify the columns of H where errors occurred and correct them.

Example: We solve the previous (Kasami) problem with the full method. The generating polynomial is the product of x^4+x+1 and $x^4+x^3+x^2+x+1$ giving four consecutive roots. For the calculations we need representation of the powers α^i , for $i=0$ to 14 . To the base $(\alpha^3, \alpha^2, \alpha, 1)$ we have i :xxxx thus 0:0001, 1:0010, 2:0100, 3:1000, 4:0011, 5:0110, 6:1100, 7:1011, 8:0101, 9:1010, 10:0111, 11:1110, 12:1111, 13:1101, 14:1001, 15:0001.

From (010001000000100) we find $S_1 = \alpha^{13} + \alpha^9 + \alpha^2 = 1101 + 1010 + 0100 = 0011 = \alpha^4$. $S_2 = S_1^2 = \alpha^8$. $S_3 = \alpha^6 + \alpha^{12} + \alpha^9 = 1100 + 1111 + 1919 = 1001 = \alpha^{14}$. $S_4 = S_2^2 = \alpha$.

To find the $f(x)$ whose roots are the error locators we solve:

$$S_3 + f_1.S_2 + f_2.S_1 = 0$$

$$S_4 + f_1.S_3 + f_2.S_2 = 0 \text{ and get } f_1 = \alpha^4, f_2 = \alpha$$

So the roots of $f(x) = x^2 + \alpha^4.x + \alpha = 0$ are $x=1$ and $x=\alpha$, and these are the error locators. Therefore the error vector is 00000000000011 and the corrected codeword is 010001000000111 (which is obviously correct since it is $g(x)$ rotated somewhat).