Fields, rings, and modules Exercise sheet 1

https://www.maths.tcd.ie/~mascotn/teaching/2020/MAU22102/index.html

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Answers are due for Thursday February 13rd, 4PM.

Exercise 1 Associate elements (40 pts)

Let R be a commutative **domain**, and let $x, y \in R$. Recall the notation

 $(x) = \{xz \mid z \in R\} \subseteq R,$

for the ideal generated by x, and similarly for (y).

- 1. (20 pts) Prove that $(x) \subseteq (y)$ if and only if there exists $z \in R$ such that x = yz (in other words, if $x \in (y)$).
- 2. (20 pts) Deduce that (x) = (y) if and only if there exists a unit $u \in \mathbb{R}^{\times}$ such that x = uy.

Solution 1

1. We prove both implications separately.

Suppose first $(x) \subseteq (y)$. Then in particular $x \in (y)$, so thre exists $z \in R$ such that x = yz.

Conversely, suppose there exists $z \in R$ such that x = yz. Then every multiple of x is also a multiple of x, since for all $t \in R$, xt = (yz)t = y(zt). In other words, we have $(x) \subseteq (y)$.

2. Again, we prove both implications separately.

Suppose first (x) = (y). Then $(x) \subseteq (y)$, so by the above there exists $z \in R$ such that x = yz; but also $(y) \subseteq (x)$, so there exists $z' \in R$ such that y = xz'. Thus x = yz = xzz', so x(1 - zz') = 0. Since R is a domain, this forces either x = 0 or 1 - zz' = 0. In the first case (x = 0), we have $y \in (y) = (x) = (0) = \{0\}$ so y = 0 as well, and we indeed have x = uy for $u = 1 \in R^{\times}$ for instance (and for any other u as well). In the second case, we have zz' = 1, so z and z' are units that are inverses of each other; in particular, we have x = yz with $z \in R^{\times}$ as desired.

Suppose conversely that there exists $u \in R^{\times}$ such that x = uy, and let $v = u^{-1} \in R$. Then since x = yu we have $(x) \subseteq (y)$ by the previous question,

and since y = 1y = vuy = vx = xv, we have similarly $(y) \subseteq (x)$, so finally (x) = (y).

Remark: All in all, this exercise was as much about rings as about logic (to prove an equivalence, prove both implication; to prove two subsets are equal, prove that they contain each other, etc.)

Exercise 2 Products of rings (60 pts)

Let R_1 an R_2 be two rings, neither of which is the 0 ring. Consider the set of pairs

$$R_1 \times R_2 = \{ (x_1, x_2) \mid x_1 \in R_1, x_2 \in R_2 \}.$$

1. (20 pts) Show that the operations

$$(x_1, x_2) + (y_1, y_2) = (x_1 + y_1, x_2 + y_2), \quad (x_1, x_2) \times (y_1, y_2) = (x_1 \times y_1, x_2 \times y_2)$$

for all $x_1, y_1 \in R_1$ an $x_2, y_2 \in R_2$ define a ring structure on $R_1 \times R_2$. What are the 0 and the 1 of $R_1 \times R_2$?

We call $R_1 \times R_2$ equipped with the above operations the product ring of R_1 and R_2 .

2. (20 pts) Let R be another ring, and suppose we have a ring isomorphism

$$\phi: R_1 \times R_2 \xrightarrow{\sim} R$$

between a product ring $R_1 \times R_2$ and R. Prove that there exists an $e \in R$ such that $e^2 = e$ but $e \neq 0$ and $e \neq 1$. Deduce that R cannot be a domain.

Hint: Take a look at the pair $(1,0) \in R_1 \times R_2$.

3. (20 pts) Using the previous question, prove that the ring

$$F = \{ f : \mathbb{R} \longrightarrow \mathbb{R} \mid f \text{ continuous} \}$$

of continuous functions from \mathbb{R} to \mathbb{R} , equipped as usual with the laws

$$(f+g)(x) = f(x) + g(x), \quad (fg)(x) = f(x)g(x)$$

for all $f, g \in F$ and $x \in \mathbb{R}$, is NOT isomorphic to a product ring $R_1 \times R_2$.

Hint: Proceed by contradiction. You may use without proof the following consequence of the intermediate value theorem: If $f : \mathbb{R} \longrightarrow \mathbb{R}$ is continuous and satisfies $f(x) \in \{0, 1\}$ for all $x \in \mathbb{R}$, then f is constant (and thus either identically 0 or 1).

Solution 2

- 1. First of all, we show that the addition thus defined on $R_1 \times R_2$ gives it the structure of an Abelian group. This follows from the fact that we have just put the product operation on $R_1 \times R_2$, and that the product of two Abelian groups is an Abelian group. Alternatively, we can (re)prove it as follows:
 - Associativity: for all $x_1, y_1, z_1 \in R_1$ and $x_2, y_2, z_2 \in R_2$, we have

$$((x_1, x_2) + (y_1, y_2)) + (z_1, z_2) = (x_1 + y_1, x_2 + y_2) + (z_1 + z_2) = ((x_1 + y_1) + z_1, (x_2 + y_2) + z_2) = (x_1 + (y_1 + z_1), x_2 + (y_2 + z_2)) = (x_1, x_2) + (y_1 + z_1, y_2 + z_2) = (x_1, x_2) + ((y_1, y_2) + (z_1, z_2))$$

where we have successively used the definition of + on $R_1 \times R_2$ (twice), the associativity of the + of R_1 and of that of R_2 , and the definition of + on $R_1 \times R_2$ (twice more).

• Identity: $(0,0) \in R_1 \times R_2$ is the identity for + since for all $x_1 \in R_1$ and $x_2 \in R_2$,

$$(0,0) + (x_1, x_2) = (0 + x_1, 0 + x_2) = (x_1, x_2) = (x_1 + 0, x_2 + 0) = (x_1, x_2) + (0,0).$$

• Inverses: For all $x_1 \in R_1$ and $x_2 \in R_2$, the inverse of $(x_1, x_2) \in R$ is

$$-(x_1, x_2) = (-x_1, -x_2) \in R$$

since

$$(x_1, x_2) + (-x_1, -x_2) = (x_1 - x_1, x_2 - x_2) = (0, 0)$$

is the identity as shown just above.

• Commutativity: for all $x_1, y_1 \in R_1$ and $x_2, y_2 \in R_2$, we have $(x_1, x_2) + (y_1, y_2) = (x_1 + y_1, x_2 + y_2) = (y_1 + x_1, y_2 + x_2) = (y_1, y_2) + (x_1, x_2)$ using the commutativity of + on R_1 and R_2 at the second step.

To show that $R_1 \times R_2$ is actually a ring, we still need to prove:

- Associativity of \times : this is proved as for the associativity of + above;
- identity of \times : one checks that it is (1,1) by the same logic as when e proved that (0,0) was the identity of + above;
- distributivity on the left: for all $x_1, y_1, z_1 \in R_1$ and $x_2, y_2, z_2 \in R_2$, we have

$$(x_1, x_2)((y_1, y_2) + (z_1, z_2))$$

=(x₁, x₂)(y₁ + z₁, y₂ + z₂)
=(x₁(y₁ + z₁), x₂(y₂ + z₂))
=(x₁y₁ + x₁z₁, x₂y₂ + x₂z₂)
=(x₁y₁, x₂y₂) + (x₁z₁, x₂z₂)
=(x₁, x₂)(y₁, y₂) + (x₁, x₂)(z₁, z₂),

using successively the definition of +, that of \times , distributivity in R_1 and in R_2 , the definition of + again, and that of \times again.

- And finally, distributivity on the right (i.e. $((x_1, x_2) + (y_1, y_2))(z_1, z_2) = (x_1, x_2)(z_1, z_2) + (y_1, y_2)(z_1, z_2))$ is proved similarly.
- 2. Let $e' = (1, 0) \in R_1 \times R_2$, and $e = \phi(e') \in R$.

First of all, observe that

$$e'^2 = (1,0)^2 = (1^2,0^2) = (1,0) = e'.$$

As a result, we have

$$e^2 = \phi(e')^2 = \phi(e'^2) = \phi(e') = e_2$$

where we used the fact that ϕ is a morphism at the second step.

Besides, since neither R_1 nor R_2 are the 0 ring, we have $0 \neq 1$ both in R_1 and in R_2 , so e' is neither the 0 of $R_1 \times R_2$ (which is (0,0), as proved in the previous question) nor the 1 of $R_1 \times R_2$ (which is (1,1), as proved in the previous question).

Next, ϕ is an isomorphism, it is injective, so $\phi(e') \neq \phi(0)$ and $\phi(e') \neq \phi(1)$; and since ϕ is a morphism, we have $\phi(0) = 0 \in \mathbb{R}$ and $\phi(1) = 1 \in R$. This shows that $e = \phi(e')$ is neither 0 nor 1.

In particular, R cannot be a domain, for else

$$0 = e^2 - e = e(e - 1)$$

would force e = 0 or e = 1.

Remark: The converse holds! Indeed, given $e \in R$ satisfying $e^2 = e$, define $R_1 = eR = (e)$ and $R_2 = (1 - e)R = (1 - e)$. Then R_1 an R_2 , equipped with the + and \times of R, are rings, whose identities for \times are respectively e and 1 - e (in particular, they are NOT subrings since they do not have the same 1 as R). In particular, if $e \neq 0, 1$, then R_1 and R_2 are not the 0 ring since their 1 is distinct from their 0. Finally, we have the mutually inverse ring isomorphisms

$$\begin{array}{cccc} R & \longleftrightarrow & R_1 \times R_2 \\ x & \longmapsto & \left(ex, (1-e)x\right) \\ ey + (1-e)z & \longleftrightarrow & \left(ey, (1-e)z\right) \end{array}$$

3. It is tempting to try to conclude by showing that F is a domain, but this is not the case (F is **NOT** a domain, a seen in class).

Instead, we are going to show that it contains no e as above. Suppose by contradiction that $e(x) \in F$ satisfies $e^2 = e$ but $e \neq 0, 1$, and let $x \in \mathbb{R}$.

$$0 = e(x) - e(x) = e^{2}(x) - e(x) = e(x)^{2} - e(x) = e(x)(e(x) - 1) \in \mathbb{R}$$

where we used the definition of \times on F at the third step. Since \mathbb{R} is a field, it is a domain, so the above forces e(x) = 0 or e(x) = 1. Since this holds for any x, we may apply the hint and conclude that e is either the constant function 0, or the constant function 1. But these are precisely the 0 and the 1 of the ring F, so we contradict our assumption that $e \neq 0, 1$.

In conclusion, F is not isomorphic to a product of rings, even though it is not a domain.

Remark: The hint relies on the intermediate value theorem, and thus on continuity. If we drop the continuity assumption, then the hint becomes false: consider for instance the function e(x) defined by e(x) = 1 if x < 0, and e(x) = 0 else.

The ring decomposition attached to this e by the converse of the previous question (cf. remark above) is simply the restrictions map

$$\begin{aligned} \{ \text{Functions } \mathbb{R} \longrightarrow \mathbb{R} \} & \xrightarrow{\sim} & \{ \text{Functions } \mathbb{R}_{<0} \longrightarrow \mathbb{R} \} \times \{ \text{Functions } \mathbb{R}_{\geqslant 0} \longrightarrow \mathbb{R} \} \\ f & \longmapsto & \left(\begin{array}{c} f_{|_{\mathbb{R}_{<0}}} & , & f_{|_{\mathbb{R}_{\geqslant 0}}} \end{array} \right). \end{aligned}$$

In fact, a little reflexion shows that we can keep decomposing. In total, we get the ring isomorphism

 $\{\text{Functions } \mathbb{R} \longrightarrow \mathbb{R}\} \simeq \mathbb{R}^{\mathbb{R}}$

assigning to a function f the "list" of its values f(x) for each $x \in \mathbb{R}$. We cannot decompose further since \mathbb{R} , being a field, is a domain.