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Disordered spherical bead packs are anisotropic

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Abstract – Investigating how tightly objects pack space is a long-standing problem, with relevance for many disciplines from discrete mathematics to the theory of glasses. Here we report on the fundamental yet so far overlooked geometric property that disordered mono-disperse spherical bead packs have significant local structural anisotropy manifest in the shape of the free space associated with each bead. Jammed disordered packings from several types of experiments and simulations reveal very similar values of the cell anisotropy, showing a linear decrease with packing fraction. Strong deviations from this trend are observed for unjammed configurations and for partially crystalline packings above 64%. These findings suggest an inherent geometrical reason why, in disordered packings, anisotropic shapes can fill space more efficiently than spheres, and have implications for packing effects in non-spherical liquid crystals, foams and structural glasses.

When frictional spheres of equal size are packed disorderly they can form mechanically stable “jammed” configurations which occupy a fraction of the available volume in the range between 0.55 and 0.64. However, for non-spherical particles this limiting packing fraction has a higher value: it has been recently reported that anisotropic bodies such as M&M chocolate candies pack more tightly than spheres in the disordered phase reaching packing fractions of $\phi \approx 0.71$ for spheroids and $\phi \approx 0.735$ for general ellipsoids [1,2]. Understanding why anisotropic shapes fill space more tightly than spheres is an open question whose answer lies in the complex geometrical properties of disordered packings.

Our study comprises experimental datasets of mechanically stable “jammed”1 glass bead packs prepared by a fluidised bed method, FB [3], and dry acrylic beads packs prepared by a tapping/compression method, DA [3]. Coordinates of the bead centres are extracted from 3D X-ray computed tomography images via FFT deconvolution and watershed methods [3,4], with precision better than 0.1% of the sphere diameter. Simulated bead packs of realistic frictional beads are obtained by a discrete element method, DEM [5]. Idealised packs of frictionless spheres undergoing Newtonian dynamics with no gravity are generated by the Lubachevsky-Stillinger Algorithm, LS [6]. Additional unjammed data sets are generated from the bead centre coordinates of the jammed DA data sets by random Monte Carlo moves, MC. The appendix contains details of experiments and simulations.

The shape of the free space around each bead is determined by the Voronoi diagram which is a partition of space into $N$ convex cells $\{K_i\}$ with respect to a set of $N$ points $P = \{r_i\}$, here the bead centres, such that all points inside the Voronoi cell $K_i$ are closer to $r_i$ than to any of the other points $r_j \in P$ with $i \neq j$, see fig. 1. Voronoi diagrams are computed with $qhull$ [7] for all beads in the data set, taking periodic boundary conditions into account.

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1 Different definitions of what constitutes the “jammed” state have been given. As a minimal common property, all “jammed” packings analysed here are “locally jammed”, i.e. each sphere is held in place by its neighbours.
for the LS data. Except for the LS data, the statistical analysis is restricted to those beads with a distance of three or more bead diameters to the container walls. The overall packing fraction is \( \phi = 0.586 \). See also the supplementary animation clip \textit{BeadsVoronoi.avi}.

Minkowski tensors as anisotropy indices. – The Voronoi cells’ anisotropy is quantified by ratios of smallest to largest eigenvalues of \textit{Minkowski tensors}\(^2\). Minkowski tensors, similar in spirit to the tensor of inertia, are a family of six independent tensorial shape measures, denoted \( W_0^{20}, W_1^{20}, W_2^{20}, W_3^{20}, W_1^{02} \) and \( W_2^{02} \), each characterising different aspects of the shape of a body \( K \) [8–12]. Each of the six eigenvalue ratios \( \beta_{ij} = |\mu_{\min}/\mu_{\max}| \in [0,1] \), where \( \mu_{\max} \) and \( \mu_{\min} \) are the eigenvalues of the symmetric matrix \( W_{ij}^r(K) \) of largest and smallest absolute value, quantifies the degree of anisotropy of the body \( K \) with respect to the corresponding Minkowski tensor. An isotropic body has \( \beta_{ij} = 1 \) and deviations from 1 quantify the degree of anisotropy of \( K \). These measures are independent of the orientation of \( K \) and characterise solely the deviations from sphericity or cubicity [13,14].

For a convex body \( K \) in Euclidean 3D space with bounding surface \( \partial K \) (here a Voronoi cell) Minkowski tensors are defined as surface integrals of tensor-valued products of bounding surface normals \( \mathbf{n} \) and position vectors \( \mathbf{r} \) and as volume integrals of powers of \( \mathbf{r} \) (omitting conventional but irrelevant prefactors):

\[
W_0^{20} = \int_K r^2 dV, \tag{1}
\]
\[
W_1^{20} = \int_S r^2 dA, \quad W_1^{02} = \int_S |\mathbf{n}(\mathbf{r})|^2 dA, \tag{2}
\]
\[
W_2^{20} = \int_S r^2 H(\mathbf{r}) dA, \quad W_2^{02} = \int_S |\mathbf{n}(\mathbf{r})|^2 H(\mathbf{r}) dA, \tag{3}
\]
\[
W_3^{20} = \int_S r^2 G(\mathbf{r}) dA. \tag{4}
\]

The vector product is defined as the dyadic product \( (a \otimes b)_{ij} := (a_i b_j + a_j b_i)/2 \), i.e. \( \mathbf{n}^2 = \mathbf{n} \otimes \mathbf{n} \) and \( \mathbf{r}^2 = \mathbf{r} \otimes \mathbf{r} \) are rank-2 tensors. \( H(\mathbf{r}) \) is the mean curvature of \( S \) at point \( \mathbf{r} \) and \( G(\mathbf{r}) \) the Gaussian curvature. For a convex polytope, the normal \( \mathbf{n}(\mathbf{r}) \) along an edge is defined as the average of the two adjacent facet normals, \( H(\mathbf{r}) \) is one-half the angle between the adjacent facet normals, and \( G(\mathbf{r}) \) at its vertices is \( 2\pi \) minus the sum of adjacent triangle angles [15]. For all tensors \( W_{ij}^r \) local coordinates are used for each Voronoi cell with the bead centre at the origin.

The six independent rank-2 Minkowski tensors in eqs. (2) to (4) characterise different aspects of the shape of the body \( K \). For example, \( W_0^{20} \) is a measure of the volume mass distribution of a homogeneous body \( K \), \( W_1^{20} \) is a measure of the surface mass distribution of the boundary \( \partial K \) of \( K \), and \( W_1^{02} \) is a measure of the area-weighted distribution of facet normals. Minkowski

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\(^2\)Minkowski tensor software is available at www.theorie1.physik.uni-erlangen/karambola.
tensors are generalisations of surface area and volume to tensor-valued quantities, and hence capable of characterising anisotropy. Essentially, using eigenvalue ratios of the Minkowski tensors to quantify the local anisotropy is underlined by Alesker’s theorem stating that any additive motion-covariant continuous functional $f(K)$ is a linear combination of these six Minkowski tensors and scalar Minkowski functionals [9]. The exact computation of all Minkowski tensors is fast and simple, corresponding to sums of edge angles, normal and position vectors and triangle areas [15].

**Anisotropy of spherical bead packs.** – Figure 4(a) shows the anisotropy indices $\langle \beta_{\nu}^* \rangle$ as a function of $\phi$ for jammed bead configurations, both experimental and simulated. The average eigenvalue ratio $\langle \beta_{\nu}^* \rangle$ demonstrates that there is a significant degree of anisotropy for packings with $\phi < 0.64$ that decreases approximately linearly with increasing $\phi$. There is no significant difference between the experimental data from the different preparation methods and the simulated data both with and without gravity. The coincidence between the datasets with friction (DA, FB, DEM) and the simulations without friction (LS) suggests that this result is independent of friction. The fact that this behaviour is similar for all six anisotropy measures $\beta_{\nu}^*$ demonstrates that the anisotropy is a robust feature of the Voronoi cells, independent of the specific way of determining the corresponding ellipsoid. The data in fig. 4 also shows that anisotropy as a function of packing fraction has a change in slope near $\phi \approx 0.64$. This is the packing fraction at which crystalline nuclei start to form in the LS system. (This transition is even more evident in fig. 5 where the thick + symbols represent the same data for $\beta_0^2$ as above with the linear trend below $\phi \approx 0.64$ subtracted.)

For all jammed data sets with $\phi < 0.64$ the scaled distribution of the anisotropy indices is similar for all samples and all $\beta_{\nu}^*$, and resembles a Gamma distribution (fig. 4(b)). This is similar to what is observed for the distribution of Voronoi volumes [3]. Notably, the probability of isotropic Voronoi cells, i.e. with $\beta_{\nu}^* = 1$, is close to zero for disordered jammed data sets (fig. 4(b)). Conversely, the distributions for the LS configurations with $\phi > 0.64$, shown in fig. 4(c), depend on $\phi$ and reveal a finite probability for isotropic Voronoi cells. This is the signature of the presence of crystalline regions above $\phi \approx 0.64$ that increases with the packing fraction.

The analysis in fig. 5 of unjammed data sets generated with LS and MC shows that these configurations are significantly more isotropic than the jammed ones at the same packing fraction. At their respective jamming point these configurations are maximally anisotropic. Further, for a sequence of unjammed configurations that approaches its jamming point and then continues through increasingly dense jammed configurations, $\langle \beta \rangle (\phi)$ shows a distinct change in trend at the jamming point. Note that $\langle \beta \rangle (\phi)$ for unjammed configurations must be process-dependent. A description of the functional form of $\langle \beta_{\nu}^* \rangle (\phi)$ for...
MC simulations. Also shown are unjammed configurations from configurations for different growth rates. Anisotropy is evident at the anisotropy measures $\nu_{rs}$ for the unjammed datasets as $\phi \approx 0.64$. Squares and circles correspond to unjammed configurations for different growth rates $g$ of the LS algorithm. Also shown are unjammed configurations from MC simulations ($\triangle$). Data for all other $\nu_{rs}$, not shown for the sake of clarity, are qualitatively similar. Anisotropy is closely tied to jamming while more isotropic configurations exist at the same packing fraction.

The unjammed datasets as $\phi$ approaches the respective jamming points $\phi_j$ may contain useful information for the interpretation of $\langle \beta^2 \rangle (\phi)$ as a structural order parameter, but is beyond the scope of this article.

A correlation between the anisotropy of a Voronoi cell and its volume exists and appears to be the same for all jammed configurations and all volume fractions $0.55 < \phi < 0.64$. It becomes evident when introducing a local packing fraction $\phi(K) = V_{sp}/W_0(K)$ for each Voronoi cell, with the sphere volume $V_{sp} = \pi/6$, and considering averages of the anisotropy measures $\beta^*_{ij}$ over all cells with a given local volume fraction. Figure 6 shows the average $\langle \beta^2 \rangle (\phi)$ of the anisotropy index $\beta^2_0$ over all Voronoi cells with local packing fraction in the interval $[\phi, \phi + \Delta \phi]$ as a function of $\phi$ (with a small $\Delta \phi \approx 0.01$). The values of $\langle \beta^2 \rangle (\phi)$ for six different data sets (with different global packing fraction $\phi$) fall onto a common, approximately linear, curve. Also shown in fig. 6 are the probability distributions $P(\beta)$ of the local packing fractions of the Voronoi cells (that coincide with the distributions given in [3]). The conclusion of these data is that increased anisotropy in looser jammed datasets is a consequence of an increased number of larger Voronoi cells (that have larger degree of anisotropy). Note that the linear trend of $\langle \beta^2_0 \rangle (\phi)$ vs. $\phi$ in fig. 6 does not extrapolate to 1 for the packing fraction $\phi_{icos} \approx 0.7546$ that corresponds to the local icosahedral configuration (i.e. the locally densest possible configuration).

### Fig. 5: (Colour on-line) Anisotropy index $\langle \beta^2 \rangle$ for jammed and unjammed configurations generated by the LS algorithm, after subtraction of the linear trend below $\phi = 0.64$. The symbols (+) correspond to jammed LS configurations, the same as in fig. 4, minus the linear fit $T(\phi)$ to all data points of $\langle \beta^2 \rangle$ with $0.55 \leq \phi \leq 0.64$. A distinct change of the slope $d\langle \beta^2 \rangle/d\phi$ is evident at $\phi \approx 0.64$. Squares and circles correspond to unjammed configurations for different growth rates $g$ of the LS algorithm. Also shown are unjammed configurations from MC simulations ($\triangle$). Data for all other $\beta^*_{ij}$, not shown for the sake of clarity, are qualitatively similar. Anisotropy is closely tied to jamming while more isotropic configurations exist at the same packing fraction.

### Fig. 6: (Colour on-line) Relationship between local packing fraction $\phi = (\pi/6)/W_0$ and anisotropy index $\beta^2_0$. At the bottom (and using the right-hand scale) the distributions $P(\beta)$ of the local packing fractions are plotted. At the top, the gray scattered points are coordinate pairs $(\phi(K), \beta^2_0(K))$ plotted individually, i.e. without any averaging, for each Voronoi cell $K$ in the six samples. The top data points with errorbars represent the averages $\langle \beta^2 \rangle (\phi)$, computed individually for each of the six data sets with a binning of $\Delta \phi \approx 0.01$. The error bars represent the standard deviations, i.e. the width of the distributions of $\beta^2_0$, and not the negligibly small error of the average. The six datasets shown here have global packing fractions $\phi = 0.567$ (FB), 0.598 (FB), 0.636 (DEM), 0.630 (DA), 0.617 (DA) and 0.585 (LS). Note that the global packing fraction $\phi$ is given as the average $\langle (\pi/6)/W_0 \rangle$ over all Voronoi cells.

While this analysis demonstrates that the Voronoi cells have a substantial degree of shape anisotropy, an analysis of the average angle between the eigenvectors with maximal or minimal eigenvalue and the vertical axis shows that there is no significant alignment of the cells with the vertical or a horizontal direction, even for the experimental bead packs where gravity is present. The average angle $\langle \xi^0 \rangle$ between the vertical axis and the eigenvector to the maximal eigenvalue of $W_0$ (that corresponds for an ellipsoid to the longest axis) is $\pi/4$ for a uniform random distribution of this eigenvector (by convention, eigenvectors point into the upper hemisphere). Consistently, the LS data sets, without gravity, have no statistically significant deviation from the random distribution. Similarly, the DEM, DA and FB data sets yield values of $\xi^0$ in the interval $[0.23, 0.25]\pi$, indicating only a very slight preference for horizontal orientation of the cells.

These deviations from the random orientation are small, in absolute terms and compared to the standard deviation $[\langle \xi^* \rangle^2 - \langle \xi^0 \rangle^2]^{1/2}$ for all FB, DA and DEM data sets. Hence, the bead packs are essentially globally isotropic structures composed of anisotropic Voronoi cells with random orientation.

**Packings of non-spherical particles.** The observed anisotropy in jammed bead packs suggests that packings of non-spherical bodies should fill space more...
tightly than the corresponding packings of spheres. In this respect, the measured degree of anisotropy at $\phi = 0.64$ indicates that the Voronoi cells of jammed spherical beads can, on average, accommodate ellipsoids with axis length ratios $\lambda \approx 0.89$ (corresponding to $(\beta \phi^3) \approx 0.78$).

A hypothetical substitution of the beads with such ellipsoids, of larger volume than the spheres, leads to packings with $\phi \approx 0.72$, consistent with experimental and numerical observations for these kinds of packings [2]. It has been argued that the relative increase in packing fraction observed in ellipsoid packings can be related to the increase in the degrees of freedom associated with rotational modes in non-spherical shapes [2,16]. This can be understood in terms of these rotational degrees of freedom allowing the grains to access the inherently anisotropic free space within jammed packings, leading to a better fit and hence to a higher packing fraction overall.

Conclusions. – We have reported on the counterintuitive fact that disordered packings of isotropic objects display strong local anisotropy with vanishing probability to observe isotropic Voronoi cells. Anisotropy is an intrinsic property related to the packing fraction both locally and globally with larger anisotropies for looser packings.

Anisotropy is also associated with the dynamics of structural relaxation and arrest: at a given volume fraction the jammed configuration has the highest degree of anisotropy, at least among the disordered bead packs analyzed here. The generality of this claim needs to be assessed further by analysing, e.g., anisotropic crystalline or inhomogeneous packings taking entropic considerations into account. Additionally, anisotropy is sensitive to local crystallisation showing a clear change in trend with the packing fraction when polycrystalline regions start to form in the simulated samples. This suggests that anisotropy may be an order parameter which can be used to identify subtle structural changes occurring in the packings. These fundamental geometric results, so far overlooked, must be taken into account by theories of jammed packings and has immediate repercussions for experimental approaches to packings of non-spherical particles.

Appendix: Experiments and simulations. –

Dry acrylic beads (DA) and glass beads in fluidised beds (FB). The experimental data sets of bead configurations are from the database on disordered packings [17]. Our study concerns 6 samples (A-F) composed of acrylic beads prepared in air within a cylindrical container with an inner diameter of 55 mm and filled to a height of $\sim 75$ mm [18–20]. Samples A and C contain $\sim 150000$ beads with diameter $d = 1.00$ mm and polydispersity within 0.05 mm. Samples B, D-F contain $\sim 35000$ beads with diameter $d = 1.59$ mm and polydispersity within 0.05 mm. The two samples at lower packing fraction (A, B) were obtained by placing a stick in the middle of the container before pouring the beads and then slowly removing the stick [21]. Sample C was prepared by gently and slowly pouring the spheres into the container. Sample D was obtained by a faster pouring. In sample E, a higher packing fraction was achieved by gently tapping the container walls. The densest sample (F) was obtained by a combined action of gentle tapping and compression from above (with the upper surface left unconfined at the end of the preparation). To reduce boundary effects, the inside of the cylinder was roughened by randomly gluing spheres to the internal surface. The packing fraction of each of the samples is: A, $\phi \sim 0.586$; B, $\phi \sim 0.596$; C, $\phi \sim 0.617$; D, $\phi \sim 0.626$; E, $\phi \sim 0.630$; and F, $\phi \sim 0.640$.

Twelve other samples (FB14-24 and FB27) containing about 150000 glass beads with diameters 0.25 mm have been also analysed. The packings were prepared in water by means of a fluidised bed technique [3,22] within a vertical polycarbonate tube with an inner diameter of 12.8 mm and a length of 230 mm. Packing fractions between 0.56 and 0.60 were obtained by using different flow rates. After each flow pulse, the particles sediment forming a mechanically stable packing. The grain polydispersity is estimated around 3%.

“Virtual” DEM-relaxed samples without polydispersity. Numerical samples with almost identical geometrical properties to the experimental samples but without any degree of polydispersity were obtained by using the sphere centre coordinates of experimental data sets and gently relaxing the system to perfect spherical beads. Our simulation integrates the Newton equation of motion with both translational and rotational degrees of freedoms and under gravity for elasto-frictional spheres. The spheres interact only when overlapping, with a normal repulsive force $F_n = k_n \xi_n^{3/2}$ where $\xi_n = d - |r_i - r_j|$ is the overlap between grains of diameters $d$ with centres at $r_i$ and $r_j$ [23,24]. Tangential force under oblique loading is also considered as $F_t = -\min(\xi_n, |\xi_t|, |\mu F_n|) \cdot \text{sign}(\xi_t)$, with $\xi_t$ is relative tangential velocity, and $\xi_t = \int_{t_0}^{t} v_t(t) \cdot v_t(t') \, dt'$ the displacement in the tangential direction that has taken place since the time $t_0$ when the two spheres first got in contact integrated over the lifetime of the contact subject to the constraint that during sliding $\xi_t$ is truncated such that the Coulomb friction criteria $F_t \leq \mu F_n$ is satisfied and $\mu$ is the kinematic friction coefficient between the spheres [25]. Normal visco-elastic dissipation $F_n = -\gamma_n \xi_n^{1/2} \xi_t$ (with $\xi_t$ the normal velocity) and a viscous friction force $F_t = -\gamma_v v_t$ [26] are also included.

The DEM relaxation is performed with the initial sphere configuration corresponding to that of the tomographic data. A sub-set of spheres in the central region of the sample is considered, with the boundaries provided by the outer spheres which are kept fixed. The simulation uses realistic physical parameters for acrylic beads: Young modulus 3.2 GPa; Poisson ratio 0.3; density of 1150 kg/m$^3$; inter grain static friction coefficient 0.28. Samples A, C have radius 0.5 mm, samples B, D, E, F have radius 0.795 mm. The glass beads have: Young modulus 70 GPa, a Poisson ratio 0.2; density of 2500 kg/m$^3$; inter
grain static friction coefficient 0.9 and radius 0.125 mm. The final average height of the grains is between 0.1%-0.2% of the initial height and the overall average displacement of the centres of the spheres during the relaxation process is less than 5% of the sphere diameters.

The DEM-relaxation removes polydispersity without substantially modifying the packing configuration. These data sets, included in fig. 4, have negligible difference in anisotropy to the original data sets, demonstrating the robustness of our analysis to small degrees of polydispersity.

Monte Carlo simulations (MC). Simulated unjammed packings (MC), shown as triangles in fig. 5, were obtained by a Monte Carlo simulation method using the positions of packing fractions (MC), shown as triangles in fig. 5, were obtained by a Monte Carlo simulation method using the positions of the experimental samples as initial conditions and making simulated packings are produced by using a modified Lubachevsky-Stillinger (LS) algorithm [27]. The simulation is an event-driven Newtonian dynamics in which the spheres are considered perfectly elastic without any rotational degree of freedom and with no friction. The simulation is performed in a cubic box with periodic boundary conditions, without gravity. During the simulation, the radii of the spheres are gradually increased from a very loose initial state to more densely packed configurations. In these simulations the principal control parameter is the growth rate for the sphere radii. Small values of growth rates will result in crystallisation. To avoid crystallisation the growth rate should be rather large, forcing the packing into “jailed” non-crystalline structures where the spheres cannot be further expanded at finite pressure [28,29]. Simulations were performed with the code at http://cherrypit.princeton.edu/Packing/C++/ with different growth rates $g$ between $2 \times 10^{-5}$ to 0.5, with initial temperature 0.1, with initial packing fraction 0.1 and with a number of event per cycle equal to 20. In our simulations, packings with $N = 10000$ spheres were generated and the sphere diameters were expanded at a given growth rate until a maximal reduced pressure of $10^{-2}$ was reached [30]. Unjammed configurations are generated using the LS algorithm by stopping expansion at a given packing fraction before the maximal pressure is reached.

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