

## THE STANDARD MODEL

# The Standard Model of Elementary Particle Physics

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This corresponds to roughly the second half of the Standard Model course (MA4447) taught by Stefan Sint in Mich elmas term 2011. It picks up where David Whyte's excellent summary ([www.maths.tcd.ie/~dawhyte/SM-summary.pdf](http://www.maths.tcd.ie/~dawhyte/SM-summary.pdf)) left off.

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To set the scene, we are investigating gauge fields of  $\mathfrak{su}(2)$ .

## 1 Non-Abelian Gauge Fields

$A_\mu(x)$  is, for a fixed  $\mu, x$ , a Hermitian, traceless,  $2 \times 2$  matrix. We have a basis  $\{T^a, a = 1, 2, 3\}$ ,  $T^a = \frac{\tau^a}{2}$  such that

$$\begin{aligned}(X, Y) &= 2 \operatorname{tr}(XY), \\ (T^a, T^b) &= 2 \operatorname{tr}\left(\frac{\tau^a}{2} \frac{\tau^b}{2}\right) = \delta^{ab}, \\ X &= X^a T^a, \quad X^a = (X, T^a),\end{aligned}$$

for any Hermitian  $X$  with  $\operatorname{tr}(X) = 0$ . Then we can write  $A_\mu(x)$  as

$$A_\mu(x) = A_\mu^a(x) T^a = A_\mu^a(x) \frac{\tau^a}{2}$$

in  $SU(2)$ . To determine the field strength, we calculate the commutator  $[D_\mu, D_\nu]$ , where  $D_\mu = \partial_\mu + igA_\mu$ ,

$$\begin{aligned}[D_\mu, D_\nu] \psi(x) &= [\partial_\mu + igA_\mu, \partial_\nu + igA_\nu] \psi(x) \\ &= \left\{ [\partial_\mu, \partial_\nu] + ig(\partial_\mu A_\nu - \partial_\nu A_\mu) + igA_\mu \partial_\nu + igA_\nu \partial_\mu \right. \\ &\quad \left. - igA_\nu \partial_\mu - igA_\mu \partial_\nu - g^2 [A_\mu, A_\nu] \right\} \psi(x) \\ &= ig \left\{ \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu] \right\} \psi(x) \\ &= ig F_{\mu\nu}(x) \psi(x).\end{aligned}$$

$$F_{\mu\nu}(x) = \partial_\mu A_\nu - \partial_\nu A_\mu + ig [A_\mu, A_\nu]$$

and therefore  $F_{\mu\nu}(x)$  is Hermitian and traceless. In  $SU(2)$ ,

$$F_{\mu\nu}(x) = F_{\mu\nu}^a(x) \frac{\tau^a}{2}.$$

To determine  $F_{\mu\nu}^a(x)$ ,

$$\begin{aligned}F_{\mu\nu}^a(x) &= (F_{\mu\nu}(x), T^a) \\ &= 2 \operatorname{tr}\left(F_{\mu\nu}(x) \frac{\tau^a}{2}\right) \\ &= \partial_\mu (A_\nu(x), T^a) - \partial_\nu (A_\mu(x), T^a) + ig ([A_\mu(x), A_\nu(x)], T^a)\end{aligned}$$

where we project onto the  $T^a$  basis using the linearity of the scalar product.

$$F_{\mu\nu}^a(x) = \partial_\mu A_\nu^a(x) - \partial_\nu A_\mu^a(x) + ig 2 \operatorname{tr} \left\{ \left[ A_\mu^b(x) \frac{\tau^b}{2}, A_\nu^c(x) \frac{\tau^c}{2} \right] \frac{\tau^a}{2} \right\}$$

$$= \partial_\mu A_\nu^a(x) - \partial_\nu A_\mu^a(x) + ig2A_\mu^b(x)A_\nu^c(x) \operatorname{tr} \left\{ \left[ \frac{\tau^b}{2}, \frac{\tau^c}{2} \right] \frac{\tau^a}{2} \right\}.$$

Noting that

$$\begin{aligned} \operatorname{tr} \left\{ \left[ \frac{\tau^b}{2}, \frac{\tau^c}{2} \right] \frac{\tau^a}{2} \right\} &= \operatorname{tr} \left\{ i\epsilon^{bcd} \frac{\tau^d}{2} \frac{\tau^a}{2} \right\} = i\epsilon^{bcd} \operatorname{tr} \left( \frac{\tau^d}{2} \frac{\tau^a}{2} \right) \\ &= i\epsilon^{bcd} \frac{1}{2} \delta^{da} = \frac{1}{2} i\epsilon^{bca} = \frac{i}{2} \epsilon^{abc}, \end{aligned}$$

for SU(2) we arrive at

$$F_{\mu\nu}^a(x) = \partial_\mu A_\nu^a(x) - \partial_\nu A_\mu^a(x) - g\epsilon^{abc} A_\mu^b(x)A_\nu^c(x), \quad a = 1, 2, 3.$$

These are Yang–Mills fields.

We would like to write down a Lagrangian for SU(2) Yang–Mills theory, which must be Lorentz and gauge invariant. We can make a Lorentz invariant  $F_{\mu\nu}F^{\mu\nu}$ . But how does  $F_{\mu\nu}(x)$  transform under local SU(2) (gauge) transformations? We know that

$$A_\mu(x) \rightarrow U(x)A_\mu(x)U^{-1}(x) + \frac{1}{ig}U(x)\partial_\mu U^{-1}(x) \equiv A'_\mu(x).$$

From the transformation  $A_\mu \rightarrow A'_\mu$ , we can work out  $F_{\mu\nu} \rightarrow F'_{\mu\nu}$ . However, it is easier to note that

$$F_{\mu\nu}(x) = \frac{1}{ig} [D_\mu, D_\nu].$$

We know that  $\psi(x) \rightarrow U(x)\psi(x)$  and  $D_\mu\psi(x) \rightarrow U(x)D_\mu\psi(x)$  by construction. Therefore

$$\begin{aligned} D_\mu &\rightarrow U(x)D_\mu U(x)^{-1}, \\ \partial_\mu + igA_\mu(x) &\rightarrow U(x) (\partial_\mu + igA_\mu(x)) U(x)^{-1}, \\ D_\mu D_\nu &\rightarrow U(x)D_\mu U(x)^{-1}U(x)D_\nu U(x)^{-1} = U(x)D_\mu D_\nu U(x)^{-1}, \\ F_{\mu\nu}(x) &\rightarrow U(x)F_{\mu\nu}(x)U(x)^{-1} \equiv F'_{\mu\nu}(x), \end{aligned}$$

so unlike the U(1) case, in SU(2)  $F_{\mu\nu}$  is not gauge invariant.

To get a Lagrangian density, we need a Lorentz invariant and gauge invariant field.  $F_{\mu\nu}(x)F^{\mu\nu}(x)$  is Lorentz invariant but not gauge invariant since under a gauge transformation it becomes  $U(x)F_{\mu\nu}(x)F^{\mu\nu}(x)U^{-1}(x)$ . Therefore, we will take the trace.

$$\mathcal{L}_{\text{YM}} = -\frac{1}{2} \operatorname{tr} [F_{\mu\nu}(x)F^{\mu\nu}(x)],$$

the Yang–Mills Lagrangian density. In components,

$$\begin{aligned}\mathcal{L}_{\text{YM}} &= -\frac{1}{2}\text{tr}\left\{F_{\mu\nu}^a(x)\frac{\tau^a}{2}F^{b\mu\nu}(x)\frac{\tau^b}{2}\right\} \\ &= -\frac{1}{2}F_{\mu\nu}^a(x)F^{b\mu\nu}(x)\text{tr}\left(\frac{\tau^a}{2}\frac{\tau^b}{2}\right) \\ &= -\frac{1}{4}F_{\mu\nu}^a(x)F^{a\mu\nu}(x)\end{aligned}$$

as  $\text{tr}\left(\frac{\tau^a}{2}\frac{\tau^b}{2}\right) = \frac{1}{2}\delta^{ab}$ .

We can get the SU(2) Yang–Mills field equations by

$$\partial_\mu \frac{\partial \mathcal{L}_{\text{YM}}(x)}{\partial (\partial_\mu A_\nu^a(x))} = \frac{\partial \mathcal{L}_{\text{YM}}(x)}{\partial A_\nu^a(x)} \quad (a = 1, 2, 3, \nu = 0, 1, 2, 3).$$

These generalise the Maxwell equations. We can now couple a fermion or boson to the Yang–Mills field.

The Lagrangian for a fermion and a boson coupled to a SU(2) YM field is

$$\begin{aligned}\mathcal{L}(x) &= -\frac{1}{4}F_{\mu\nu}^a(x)F^{a\mu\nu}(x) + \bar{\psi}(x)(iD + m)\psi(x) \\ &\quad + (D_\mu\phi(x))^\dagger D^\mu\phi(x) + m^2\phi^\dagger(x)\phi(x)\end{aligned}$$

where  $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$ ,  $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$  and 1, 2 are flavour indices. These transform as

$$\phi(x) \rightarrow U(x)\phi(x), \quad \psi(x) \rightarrow U(x)\psi(x).$$

Most of this is not specific to SU(2). Only small changes are needed to describe arbitrary SU(N):

$$[T^a, T^b] = if^{abc}T^c.$$

What is the dimension of the space of  $N \times N$  Hermitian matrices with trace 0?

$$B = \begin{pmatrix} b_{11} & b_{12} & \cdots & & \\ b_{12}^* & b_{22} & & & \\ \vdots & & \ddots & & \vdots \\ & & & b_{N-1,N-1} & b_{N-1,N} \\ & & \cdots & b_{N-1,N}^* & b_{NN} \end{pmatrix}.$$

There are  $N - 1$  real numbers on the diagonal and  $N^2$  elements. As  $B = B^\dagger$ ,  $\text{tr}(B) = 0$  and  $N^2 - 1$  real numbers can be picked freely. For example,

$$B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{12}^* & b_{22} & b_{23} \\ b_{13}^* & b_{23}^* & b_{33} \end{pmatrix}$$

gives us 8 real numbers. Our basis is  $\{T^a\}_{a=1,\dots,N^2-1}$ .

## 2 The QCD Lagrangian Density

For a quark  $u$ ,

$$\psi_u(x) = \begin{pmatrix} \psi_u(x)_{\text{blue}} \\ \psi_u(x)_{\text{green}} \\ \psi_u(x)_{\text{red}} \end{pmatrix}.$$

We will use  $i = 1, 2, 3$  instead of blue, green, red.

For the  $\psi_u$  above, we have a local symmetry

$$\psi_u(x) \rightarrow U(x)\psi_u(x) = \psi'_u(x).$$

In index notation,

$$\psi'_u(x)_i = \sum_{j=1}^3 U_{ij}(x)\psi_u(x)_j, \quad U(x) \in \text{SU}(3)_{\text{colour}}.$$

Our Lagrangian is now

$$\mathcal{L}_{\text{QCD}}(x) = -\frac{1}{2} \text{tr} (F_{\mu\nu}(x)F^{\mu\nu}(x)) + \sum_f \bar{\psi}_f(x) (i\mathcal{D} - m_f) \psi_f(x)$$

for  $f = u, d, s, c, b, t$ . In component form,

$$\mathcal{L}_{\text{quark}}(x) = \sum_f \sum_{\alpha, \beta=1}^4 \sum_{i, j=1}^3 \bar{\psi}_f(x)_{\alpha i} \left( i\gamma_{\alpha\beta}^{\mu} \left[ \partial_{\mu} \delta_{ij} + ig \sum_{a=1}^8 A_{\mu}^a(x) T_{ij}^a \right] - m_f \delta_{\alpha\beta} \delta_{ij} \right) \psi_f(x)_{\beta j}.$$

We are summing over flavour, spinor (Dirac) and colour (SU(3)) indices.

### 2.1 Symmetries of the QCD Lagrangian

- We have an exact local  $\text{SU}(3)_{\text{colour}}$  invariance (by construction).
- Gluons are “flavour blind”, i.e. gluons couple to all flavours equally.

We will introduce more compact notation:

$$\psi = \begin{pmatrix} \psi_u \\ \psi_d \\ \vdots \\ \psi_t \end{pmatrix}, \quad \bar{\psi} = (\bar{\psi}_u, \bar{\psi}_d, \dots, \bar{\psi}_t),$$

$$M = \text{diag}(m_u, m_d, \dots, m_t),$$

$$\mathcal{L}_{\text{quark}} = \bar{\psi} (i\mathcal{D} - M) \psi.$$

If all masses are equal,  $m_u = m_d = \dots = m_t = m$ ,

$$\bar{\psi}(i\mathcal{D} - M)\psi = \bar{\psi}(i\mathcal{D} - m) \otimes \mathbb{1}_6 \psi.$$

This implies a global invariance under U(6) transformations (and hence SU(6)).

$$\psi_f \rightarrow \sum_g U_{fg} \psi_g; \quad \bar{\psi}_f \rightarrow \sum_g \bar{\psi}_g U_{gf}^{-1}$$

or

$$\psi \rightarrow U\psi, \quad \bar{\psi} \rightarrow \bar{\psi}U^{-1}, \quad U \in \text{U}(6) = \text{U}(1) \times \text{SU}(6).$$

Experimentally,  $m_u \approx m_d = \mathcal{O}(1 \text{ MeV})$ ,

$$\begin{aligned} \frac{m_s}{\frac{1}{2}(m_u + m_d)} &\approx 24, \\ m_c &\approx 1.3 \text{ GeV}, \\ m_b &\approx 45 \text{ GeV}, \\ m_t &\approx 176 \text{ GeV}. \end{aligned}$$

How do you weigh a quark? These values are conventional and in some way depend on the renormalisation scheme. Hadronisation is inherently non-perturbative.

If we take only two flavours and set the masses equal, then

$$\psi = \begin{pmatrix} \psi_u \\ \psi_d \end{pmatrix}, \quad M = \text{diag}(m_u, m_d) = \text{diag}(m, m)$$

and we have a global invariance under SU(2) transformation. This is SU(2)<sub>isospin</sub>.

Historically, SU(2)<sub>isospin</sub> is an approximate symmetry between the proton and neutron, where the proton is the bound state of  $uud$  and the neutron is the bound state of  $udd$ .

If we include the strange quark,  $m_u = m_d = m_s$ , there is an exact global SU(3)<sub>flavour</sub> symmetry (introduced by Gellmann). This leads to the classification of the hadron spectrum as irreducible representations of this group.

## 2.2 Global Chiral Symmetry

Consider projectors  $P_{\pm} = \frac{1}{2}(1 \pm \gamma_5)$ . The projector properties are

$$\begin{aligned} P_{\pm}^{\dagger} &= \frac{1}{2}(1 \pm \gamma_5^{\dagger}) = \frac{1}{2}(1 \pm \gamma_5) = P_{\pm} \\ P_{\pm}^2 &= \frac{1}{4}(1 \pm \gamma_5)(1 \pm \gamma_5) = \frac{1}{4}(1 \pm 2\gamma_5 + \gamma_5^2) = \frac{1}{4}(2 \pm 2\gamma_5) = \frac{1}{2}(1 \pm \gamma_5) = P_{\pm} \\ P_+ + P_- &= \frac{1}{2}(1 + \gamma_5) + \frac{1}{2}(1 - \gamma_5) = 1 \end{aligned}$$

$$P_+P_- = P_+(1 - P_+) = P_+ - P_+^2 = P_+ - P_+ = 0$$

$$P_-P_+ = 0.$$

Consider  $N_f$  massless fermions,

$$\psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_{N_f} \end{pmatrix}, \quad \bar{\psi} = (\bar{\psi}_1, \dots, \bar{\psi}_{N_f})$$

Then

$$\begin{aligned} \mathcal{L}_{\text{quarks}} &= \bar{\psi} \mathcal{D} \psi \\ &= \bar{\psi} \underbrace{(P_+ + P_-)}_{\mathbb{1}} \mathcal{D} \underbrace{(P_+ + P_-)}_{\mathbb{1}} \psi \\ &= \bar{\psi} P_+ \mathcal{D} P_- \psi + \bar{\psi} P_- \mathcal{D} P_+ \psi \end{aligned}$$

as  $P_+P_-$  terms disappear. Here we used  $\gamma^\mu P_\pm = P_\mp \gamma^\mu$  since  $\gamma^\mu \gamma^5 = \gamma^5 \gamma^\mu$ , which implies  $P_+ \gamma^\mu P_+ = P_+ P_- \gamma^\mu = 0$ .

Recall the QCD Lagrangian

$$\mathcal{L}_{\text{QCD}}(x) = -\frac{1}{2} \text{tr} (F_{\mu\nu}(x) F^{\mu\nu}(x)) + \sum_f \bar{\psi}_f(x) (i\mathcal{D} - m_f) \psi_f(x)$$

where  $\mathcal{D} = \gamma^\mu (\partial_\mu + i g A_\mu(x))$ . We have exact SU(3) colour symmetry ( $U(x) \in \text{SU}(3)$ ),

$$\psi_f(x) \rightarrow U(x) \psi_f(x), \quad \bar{\psi}_f(x) \rightarrow \bar{\psi}_f(x) U(x)^{-1}, \quad U(x) \in \text{SU}(3).$$

Interaction between gluons and quarks is the same for all flavours (“flavour blindness”). There is an approximate global  $\text{SU}(N_f)_{\text{flavour}}$  symmetry,

$$\begin{aligned} N_f = 2, \quad m_u = m_d = m, & \quad (\text{isospin symmetry}) \\ N_f = 3, \quad m_u = m_d = m_s = m, & \quad (\text{“eightfold way”} \sim \text{classification of hadrons}). \end{aligned}$$

We also have parity symmetry.

$$\begin{aligned} P: x^\mu &\rightarrow \tilde{x}^\mu = (x^0, -\mathbf{x}) = (-1)^{1+\delta_{\mu 0}} x^\mu, \\ \psi(x) &\rightarrow \gamma^0 \psi(\tilde{x}), \quad \bar{\psi}(x) \rightarrow \bar{\psi}(\tilde{x}) \gamma^0, \\ A_\mu(x) &\rightarrow (-1)^{1+\delta_{\mu 0}} A_\mu(\tilde{x}) \end{aligned}$$

(vector fields transform like  $x^\mu$ ),

$$\bar{\psi}(x) \gamma^\mu (\partial_\mu + i g A_\mu(x)) \psi(x) \xrightarrow{P} \bar{\psi}(\tilde{x}) \gamma^0 \gamma^\mu \left( \partial_\mu + i g (-1)^{1+\delta_{\mu 0}} A_\mu(\tilde{x}) \right) \gamma^0 \psi(\tilde{x}).$$



The partial derivatives transform too:

$$\frac{\partial}{\partial x^\mu} = \frac{\partial}{\partial \tilde{x}^\nu} \frac{\partial \tilde{x}^\nu}{\partial x^\mu} = \sum_\nu \delta_{\nu\mu} (-1)^{1+\delta_{\nu 0}} \frac{\partial}{\partial \tilde{x}^\nu} = (-1)^{1+\delta_{\nu 0}} \frac{\partial}{\partial \tilde{x}^\nu}.$$

As

$$\gamma^0 \gamma^\mu = \begin{cases} \gamma^\mu \gamma^0 & \text{if } \mu = 0 \\ -\gamma^\mu \gamma^0 & \text{if } \mu = k = 1, 2, 3 \end{cases} = -\gamma^\mu \gamma^0 (-1)^{1+\delta_{\mu 0}},$$

so,

$$\begin{aligned} & \bar{\psi}(x) \gamma^\mu (\partial_\mu + i g A_\mu(x)) \psi(x) \\ & \xrightarrow{P} \bar{\psi}(\tilde{x}) \underbrace{(-1)^{2(1+\delta_{\mu 0})}}_1 \gamma^\mu \left( (-1)^{1+\delta_{\mu 0}} \frac{\partial}{\partial \tilde{x}^\mu} + i g (-1)^{1+\delta_{\mu 0}} A_\mu(\tilde{x}) \right) \psi(\tilde{x}) \\ & = \bar{\psi}(\tilde{x}) \gamma^\mu \left( \frac{\partial}{\partial \tilde{x}^\mu} + i g A_\mu(\tilde{x}) \right) \psi(\tilde{x}). \end{aligned}$$

Therefore  $\mathcal{L}_{\text{QCD}}(x) \xrightarrow{P} \mathcal{L}_{\text{QCD}}(\tilde{x})$ . Note that  $\tilde{x}^1 = -x^1$ ,  $d\tilde{x}^1 = -dx^1$ , so

$$\int_{-R}^R dx^1 = - \int_R^{-R} d\tilde{x}^1 = \int_{-R}^R d\tilde{x}^1.$$

Thus, the action

$$\int d^4 x \mathcal{L}_{\text{QCD}}(x) \xrightarrow{P} \int d^4 \tilde{x} \mathcal{L}_{\text{QCD}}(\tilde{x})$$

is parity invariant (in contrast to weak interactions).

### 2.3 Chiral Symmetry of Massless Fermions

We have  $P_\pm = \frac{1}{2} (1 \pm \gamma_5)$ , the chiral projectors. Recall the projector properties:  $P_\pm^\dagger = P_\pm$  (Hermitian);  $P_+ + P_- = \mathbb{1}$ ;  $P_\pm^2 = P_\pm$ ;  $P_+ P_- = 0$ . For massless fermions,

$$\begin{aligned} \bar{\psi} i \not{\partial} \psi &= \bar{\psi} i (P_+ + P_-) \not{\partial} (P_+ + P_-) \psi \\ &= \bar{\psi} i (P_+ \not{\partial} P_+ + P_+ \not{\partial} P_- + P_- \not{\partial} P_+ + P_- \not{\partial} P_-) \psi. \end{aligned}$$

Note that  $\{\gamma_5, \gamma^\mu\} = 0$ , which implies that

$$P_\pm \gamma^\mu = \gamma^\mu P_\mp, \quad P_\pm \gamma^\mu P_\pm = \gamma^\mu P_\mp P_\pm = 0.$$

Looking at the Dirac term,

$$\begin{aligned} \bar{\psi} i \not{\partial} \psi &= \bar{\psi} P_+ i \not{\partial} P_- \psi + \bar{\psi} P_- i \not{\partial} P_+ \psi \\ &= \bar{\psi}_L i \not{\partial} \psi_L + \bar{\psi}_R i \not{\partial} \psi_R. \end{aligned}$$

This is like we have two independent fields which are not coupled. A mass term couples left and right fields:

$$\begin{aligned} m\bar{\psi}\psi &= m\bar{\psi}(P_+ + P_-)\psi = m(\bar{\psi}P_+\psi + \bar{\psi}P_-\psi) \\ &= m(\bar{\psi}_L\psi_R + \bar{\psi}_R\psi_L). \end{aligned}$$

As an aside:

$$\bar{\psi}_L = \psi_L^\dagger \gamma^0 = (P_+\psi)^\dagger \gamma^0 = \psi^\dagger P_- \gamma^0 = \psi^\dagger \gamma^0 P_+ = \bar{\psi}P_+,$$

but  $\psi_L = P_-\psi$ .

If  $m = 0$ , left and right handed fields can be transformed independently. If

$$\psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_N \end{pmatrix}, \text{ then}$$

$$\begin{aligned} \psi_L &\rightarrow U_L \psi_L; & \bar{\psi}_L &\rightarrow \bar{\psi}_L U_L^{-1}, & U_L &\in \text{SU}(N)_L, \\ \psi_R &\rightarrow U_R \psi_R; & \bar{\psi}_R &\rightarrow \bar{\psi}_R U_R^{-1}, & U_R &\in \text{SU}(N)_R. \end{aligned}$$

We have a global chiral symmetry  $\text{SU}(N)_L \times \text{SU}(N)_R \ni (U_L, U_R)$ .

Weak interactions are based on a local  $\text{SU}(2)_L$  symmetry. This means the fermions are grouped in left-handed doublets.

$$\begin{array}{ccc} \begin{pmatrix} \psi_u \\ \psi_d \end{pmatrix}_L & \begin{pmatrix} \psi_s \\ \psi_c \end{pmatrix}_L & \begin{pmatrix} \psi_t \\ \psi_b \end{pmatrix}_L \\ \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L & \begin{pmatrix} \psi_{\nu_\mu} \\ \psi_\mu \end{pmatrix}_L & \begin{pmatrix} \psi_{\nu_\tau} \\ \psi_\tau \end{pmatrix}_L \\ \text{1st} & \text{2nd} & \text{3rd} \end{array}$$

### 3 Weak Interactions, $\text{SU}(2)_L \times \text{U}(1)_Y$ Gauge Symmetry

Consider the first family, only leptons. The free Lagrangian density is

$$\mathcal{L}_0(x) = (\bar{\psi}_{\nu_e}(x), \bar{\psi}_e(x))_L i\partial \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L + \bar{\psi}_{e,R}(x) i\partial \psi_{e,R}(x).$$

Here we made the assumption that  $\nu_e$  is left-handed and hence massless. Recent experiments indicate that this is not true. Under  $\text{SU}(2)_L$  symmetry,

$$\begin{aligned} \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L &\rightarrow U_L \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L, & (\bar{\psi}_{\nu_e}, \bar{\psi}_e)_L &\rightarrow (\bar{\psi}_{\nu_e}, \bar{\psi}_e)_L U_L^{-1}, \\ \psi_{e,R} &\rightarrow \psi_{e,R}, & \bar{\psi}_{e,R} &\rightarrow \bar{\psi}_{e,R}. \end{aligned}$$

Right-handed fields transform trivially: they are singlets under  $\text{SU}(2)_L$ .

We now promote  $SU(2)_L$  to a local symmetry (gauge it),  $U_L \rightarrow U_L(x)$ , and introduce the  $SU(2)_L$  gauge field

$$W_\mu(x) = W_\mu^a(x) \frac{\tau^a}{2}$$

with field tensor

$$W_{\mu\nu} = \partial_\mu W_\nu - \partial_\nu W_\mu + ig [W_\mu, W_\nu].$$

Our interaction Lagrangian becomes

$$\begin{aligned} \mathcal{L}(x) &= (\bar{\psi}_{\nu_e}(x), \bar{\psi}_e(x))_L i\gamma^\mu \left( \partial_\mu + ig W_\mu^a(x) \frac{\tau^a}{2} \right) \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L \\ &\quad + \bar{\psi}_{e,R}(x) i\partial\psi_{e,R}(x) - \frac{1}{2} \text{tr}(W_{\mu\nu}(x) W^{\mu\nu}(x)). \end{aligned}$$

Defining  $W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp iW_\mu^2)$ , the  $\nu - e - W$  interaction is

$$\begin{aligned} \mathcal{L}'(x) &= -g (\bar{\psi}_{\nu_e}, \bar{\psi}_e)_L \gamma^\mu W_\mu^a \frac{\tau^a}{2} \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L \\ &= -g (\bar{\psi}_{\nu_e}, \bar{\psi}_e)_L \gamma^\mu \frac{1}{2} \begin{pmatrix} W_\mu^3 & \sqrt{2}W_\mu^+ \\ \sqrt{2}W_\mu^- & -W_\mu^3 \end{pmatrix} \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L \\ &= -\frac{g}{2} \left\{ W_\mu^3 [\bar{\psi}_{\nu_e,L} \gamma^\mu \psi_{\nu_e,L} - \bar{\psi}_{e,L} \gamma^\mu \psi_{e,L}] \right. \\ &\quad \left. + \sqrt{2}W_\mu^+ \bar{\psi}_{\nu_e,L} \gamma^\mu \psi_{e,L} + \sqrt{2}W_\mu^- \bar{\psi}_{e,L} \gamma^\mu \psi_{\nu_e,L} \right\}. \end{aligned}$$

Notice that

$$\begin{aligned} \bar{\psi}_{e,L} \gamma^\mu \psi_{\nu_e,L} &= \bar{\psi}_e P_+ \gamma^\mu P_- \psi_{\nu_e} \\ &= \bar{\psi}_e \gamma^\mu P_- \psi_{\nu_e} \\ &= \frac{1}{2} \bar{\psi}_e \gamma^\mu (1 - \gamma_5) \psi_{\nu_e} \\ &= \frac{1}{2} \left[ \underbrace{\bar{\psi}_e \gamma^\mu \psi_{\nu_e}}_{\text{vector current } V} - \underbrace{\bar{\psi}_e \gamma^\mu \gamma_5 \psi_{\nu_e}}_{\text{axial vector current } A} \right]. \end{aligned}$$

This has decay  $\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu$ .

We are still missing some ingredients, i.e. mass. Massless  $\Rightarrow$  Coulomb  $\Rightarrow$  long range, but the weak interaction is short range. For now, we will ignore this problem.

How do we describe electromagnetism?  $W_\mu^3$  cannot describe a photon since it couples to  $\nu - \bar{\nu}$  and does not couple to  $\psi_{e,R}$ .

Reconsider  $\mathcal{L}_0(x)$ . There are two more global U(1) symmetries:

$$\begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L \rightarrow e^{i\varphi} \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L, \quad \psi_{e,R} \rightarrow e^{i\varphi'} \psi_{e,R}.$$

$\varphi$  and  $\varphi'$  are two independent parameters. To incorporate the photon, we need to gauge only one combination. We choose

$$\begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L \rightarrow e^{iy_L\chi} \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L, \quad \psi_{e,R} \rightarrow e^{iy_R\chi} \psi_{e,R}.$$

$y_L, y_R$  are fixed numbers to be determined later. Define

$$\psi = \begin{pmatrix} \psi_{\nu_e,L} \\ \psi_{e,L} \\ \psi_{e,R} \end{pmatrix} \rightarrow e^{i\chi Y} \begin{pmatrix} \psi_{\nu_e,L} \\ \psi_{e,L} \\ \psi_{e,R} \end{pmatrix} = e^{i\chi Y} \psi, \quad Y = \begin{pmatrix} y_L & 0 & 0 \\ 0 & y_L & 0 \\ 0 & 0 & y_R \end{pmatrix}.$$

$Y$  is a generator of the  $U(1)_Y$  group, the weak hypercharge. Promote  $U(1)_Y$  to a local symmetry, i.e.  $\chi = \chi(x)$ . In order to maintain invariance, we introduce the Abelian gauge field  $B_\mu$  with field tensor

$$B_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu.$$

Now, including the  $W$  field as well,

$$\begin{aligned} \mathcal{L}(x) &= \frac{1}{2} \text{tr} (W_{\mu\nu}(x) W^{\mu\nu}(x)) - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} + \bar{\psi}(x) i\gamma^\mu D_\mu \psi(x), \\ D_\mu \psi(x) &= \left( \partial_\mu + i g W_\mu^a(x) T^a + i g' B_\mu(x) Y \right) \psi(x) \end{aligned}$$

where

$$T^a = \begin{pmatrix} \frac{\tau^a}{2} & 0 \\ 0 & 0 \end{pmatrix}$$

and  $\frac{\tau^a}{2}$  is a  $2 \times 2$  matrix.  $T^a$  is the fundamental representation for the doublet and trivial for the singlet.

$$[T^a, T^b] = i\epsilon^{abc} T^c, \quad [T^a, Y] = 0.$$

The interaction part of the Lagrangian is

$$\mathcal{L}' = -\bar{\psi} \gamma^\mu \left( g W_\mu^a T^a + i g' B_\mu Y \right) \psi$$

$$\begin{aligned}
&= -\frac{g}{\sqrt{2}} \left[ W_\mu^+ \bar{\psi}_{\nu_e, L} \gamma^\mu \psi_{e, L} + W_\mu^- \bar{\psi}_{e, L} \gamma^\mu \psi_{\nu_e, L} \right] \\
&\quad - \frac{1}{2} \left[ g W_\mu^3 + 2 y_L g' B_\mu \right] \bar{\psi}_{\nu_e, L} \gamma^\mu \psi_{\nu_e, L} \\
&\quad + \frac{1}{2} \left[ g W_\mu^3 - 2 y_L g' B_\mu \right] \bar{\psi}_{e, L} \gamma^\mu \psi_{e, L} \\
&\quad - y_R g' B_\mu \bar{\psi}_{e, R} \gamma^\mu \psi_{e, R}.
\end{aligned}$$

Either  $y_L$  or  $y_R$  can be fixed arbitrarily, since only  $g' y_L$  and  $g' y_R$  appear. Set  $y_L = \frac{1}{2}$  and define the  $Z$ -boson and photon field as

$$Z_\mu = \frac{1}{\sqrt{g^2 + g'^2}} (g W_\mu^3 - g' B_\mu), \quad A_\mu = \frac{1}{\sqrt{g^2 + g'^2}} (g' W_\mu^3 + g B_\mu).$$

Define

$$\sin \theta_W = \frac{g'}{\sqrt{g^2 + g'^2}}, \quad \cos \theta_W = \frac{g}{\sqrt{g'^2 + g^2}}.$$

Then

$$\begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_W & -\sin \theta_W \\ \sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} W_\mu^3 \\ B_\mu \end{pmatrix}.$$

This matrix is easily invertible.  $\theta_W$  is the weak mixing angle.

How is  $U(1)_{EM}$  embedded? Putting  $Z_\mu, A_\mu$  into the Lagrangian,

$$\begin{aligned}
\mathcal{L} = & -\sqrt{g^2 + g'^2} Z_\mu \left\{ \frac{1}{2} \bar{\psi}_{\nu_e, L} \gamma^\mu \psi_{\nu_e, L} - \frac{1}{2} \bar{\psi}_{e, L} \gamma^\mu \psi_{e, L} \right. \\
& \quad \left. - \sin^2 \theta_W (-\bar{\psi}_{e, L} \gamma^\mu \psi_{e, L} + y_R \bar{\psi}_{e, R} \gamma^\mu \psi_{e, R}) \right\} \\
& - \frac{g g'}{\sqrt{g^2 + g'^2}} A_\mu \left\{ -\bar{\psi}_{e, L} \gamma^\mu \psi_{e, L} + y_R \bar{\psi}_{e, R} \gamma^\mu \psi_{e, R} \right\}.
\end{aligned}$$

Notice that  $\bar{\psi}_L \gamma^\mu \psi_L + \bar{\psi}_R \gamma^\mu \psi_R = \bar{\psi} \gamma^\mu \psi$  implies  $y_R = -1$ ,  $\frac{g g'}{\sqrt{g^2 + g'^2}} = e$ .

$$\mathcal{L} = \dots + e A_\mu j_{EM}^\mu, \quad j_{EM}^\mu = \bar{\psi} \gamma^\mu \psi$$

which is the usual term we would expect.  $j_{EM}^\mu$  is the conserved Noether current of  $U(1)$  symmetry. It follows that

$$\begin{aligned}
e &= g \sin \theta_W = g' \cos \theta_W = \sqrt{g^2 + g'^2} \sin \theta_W \cos \theta_W, \\
\psi_L &= P_{\mp} \psi = \frac{1}{2} (1 \mp \gamma_5) \psi,
\end{aligned}$$

$$\begin{aligned}
\bar{\psi}\gamma^\mu\psi &= \bar{\psi}(P_+ + P_-)\gamma^\mu(P_+ + P_-)\psi \\
&= \bar{\psi}P_+\gamma^\mu P_-\psi + \bar{\psi}P_-\gamma^\mu P_+\psi \\
&= \bar{\psi}_L\gamma^\mu\psi_L + \bar{\psi}_R\gamma^\mu\psi_R.
\end{aligned}$$

Tidying up the Lagrangian,

$$\begin{aligned}
\mathcal{L} = \dots + eA_\mu j_{\text{EM}}^\mu &- \frac{e}{\sin\theta_W \cos\theta_W} Z_\mu j_{\text{NC}}^\mu \\
&- \frac{e}{\sqrt{2}\sin\theta_W} \left( W_\mu^+ \bar{\psi}_{\nu_e, L} \gamma^\mu \psi_{e, L} + W_\mu^- \bar{\psi}_{e, L} \gamma^\mu \psi_{\nu_e, L} \right),
\end{aligned}$$

where

$$j_{\text{NC}}^\mu = \frac{1}{2} \bar{\psi}_{\nu_e, L} \gamma^\mu \psi_{\nu_e, L} - \frac{1}{2} \bar{\psi}_{e, L} \gamma^\mu \psi_{e, L} + \sin^2 \theta_W j_{\text{EM}}^\mu.$$

$j_{\text{NC}}^\mu$  is the neutral current density.  $A_\mu, Z_\mu$  are neutral particles,  $W_\mu^\pm$  carry an electric charge.

Consider  $\mathcal{L}(\phi, \partial_\mu \phi)$ ,  $\phi = \begin{pmatrix} \phi_1 \\ \vdots \\ \phi_N \end{pmatrix}$ ,  $\phi = \phi' = R\phi$ ,  $R \in \text{O}(N) \simeq (\mathbb{1} + i\alpha^a T^a)$ .

$$\begin{aligned}
\delta\mathcal{L} &= \frac{\partial\mathcal{L}}{\partial\phi^a(x)} \delta\phi + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi^a(x))} \delta\partial_\mu\phi, \quad \phi + \delta\phi = i\alpha^a T^a \phi, \\
&= \frac{\partial\mathcal{L}}{\partial\phi^a(x)} i\alpha^a T^a \phi + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi^a(x))} \partial_\mu i\alpha^a T^a \phi
\end{aligned}$$

etc., and

$$0 = \int d^3\mathbf{x} \partial^\mu j_\mu^a(x) = \int d^3\mathbf{x} \partial^0 j_0^a(x) = \partial^0 \int d^3\mathbf{x} j_0^a(x) = \partial^0 Q^a$$

as we loose terms at infinity, so we forget about the  $\partial^i j_i$  term since boundary terms vanish.

Bosons couple to the Noether current of the symmetry. Take muon decay,  $\bar{\mu} \rightarrow \bar{e} + \bar{\nu}_e + \nu_\mu$ ,  $L_\mu = 1$  and lepton number is a charge conserved in each family individually.

In U(2) symmetry,  $\text{U}(2) = \underbrace{\text{SU}(2)}_{\text{local}} \times \underbrace{\text{U}(1)}_{\text{global}}$ . We have global symmetry for these weak doublets,

$$\begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L \rightarrow e^{i\alpha} \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L, \quad (\bar{\psi}_{\nu_e}, \bar{\psi}_e)_L \rightarrow (\bar{\psi}_{\nu_e}, \bar{\psi}_e)_L e^{-i\alpha}.$$

The separately conserved currents for global U(1) symmetry provide a lepton number for each family. However, neutrino oscillations mean this is not an exact symmetry—neutrinos have mass! For independent symmetries choose a different  $\alpha$  for each family (3 symmetries). This gives a conserved charge—the lepton number. We recover exact symmetries in the limit  $m_\nu \rightarrow 0$ . There is indirect evidence of symmetry breaking in neutrino mixing.

## 4 The Higgs Sector of the Standard Model

Since the photon is massless, the Coulomb law is valid (and vice versa). Our goal is to introduce mass terms for  $W_\mu^\pm$  and  $Z_\mu$  (but not the photon) and mass terms for fermions. Both break  $SU(2) \times U(1)_Y$  gauge invariance. For example, the mass term for  $Z_\mu$ :  $\sim \frac{1}{2} m_Z^2 Z_\mu Z^\mu$ ; and for the electron:  $\sim \bar{\psi}_e \psi_e = \bar{\psi}_{e,L} \psi_{e,R} + \bar{\psi}_{e,R} \psi_{e,L}$ . These are not invariant under the  $SU(2) \times U(1)_Y$  transformation.

Spontaneous symmetry breaking comes to the rescue! Symmetries of the Lagrangian do not equal symmetries of the vacuum state.

### 4.1 Higgs Sector

Introduce a complex scalar doublet

$$\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}, \quad \mathcal{L} = \partial_\mu \phi^\dagger \partial^\mu \phi - V(\phi), \quad V(\phi) = \mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2.$$

For  $\mu^2 < 0$  the minimum of the potential is at  $|\phi| = \frac{v}{\sqrt{2}}$ , implying SSB.

What are the symmetries of  $\mathcal{L}$ ?

$$\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} = \begin{pmatrix} \varphi_1 + i\varphi_3 \\ \varphi_2 + i\varphi_4 \end{pmatrix}$$

and rearranging as an  $O(4)$  vector,

$$\vec{\varphi} = \begin{pmatrix} \varphi_1 \\ \varphi_2 \\ \varphi_3 \\ \varphi_4 \end{pmatrix} \Rightarrow \mathcal{L} = \frac{1}{2} \partial_\mu \vec{\varphi}^\dagger \partial^\mu \vec{\varphi} - \tilde{V}(\vec{\varphi}),$$

$$\tilde{V}(\vec{\varphi}) = \frac{1}{2} \mu^2 |\vec{\varphi}|^2 + \frac{\lambda}{4} |\vec{\varphi}|^4, \quad |\vec{\varphi}|^2 = \varphi_1^2 + \varphi_2^2 + \varphi_3^2 + \varphi_4^2.$$

We have a global symmetry,

$$\begin{aligned} \vec{\varphi} &\rightarrow R\vec{\varphi}, & R &\in O(4), \\ \vec{\varphi}^T \vec{\varphi} &\rightarrow \vec{\varphi}^T R^T R \vec{\varphi} = \vec{\varphi}^T \vec{\varphi} \end{aligned}$$

as  $R^T R = \mathbb{1}$  for  $R \in O(4)$ . If one component takes a non-zero vacuum expectation value, we have a subgroup of the symmetry.

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & & & \\ 0 & \bar{R} & & \\ 0 & & & \end{pmatrix} \begin{pmatrix} v \\ 0 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} v \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad \bar{R} \in O(3)$$

where  $\vec{\varphi}^T \vec{\varphi} = v$  is the ground state for the SSB potential. Thus, under spontaneous symmetry breaking,  $O(4)$  (symmetries of  $\mathcal{L}$ )  $\rightarrow$   $O(3)$  (symmetries of the ground state). As

$$O(4) \simeq SU(2) \times SU(2),$$

there is a formalism in terms of  $SU(2) \times SU(2)$  symmetries. Define a  $2 \times 2$  matrix field

$$\begin{aligned} \frac{1}{\sqrt{2}} \Sigma &= \begin{pmatrix} \phi_2^* & \phi_1 \\ -\phi_1^* & \phi_2 \end{pmatrix}, & \text{tr}(\Sigma^\dagger \Sigma) &= 2 \text{tr} \left[ \begin{pmatrix} \phi_2 & \phi_1 \\ -\phi_1^* & \phi_2^* \end{pmatrix} \begin{pmatrix} \phi_2^* & \phi_1 \\ -\phi_1^* & \phi_2 \end{pmatrix} \right] \\ & & &= 2 \text{tr} \begin{pmatrix} \phi_2^* \phi_2 + \phi_1^* \phi_1 & 0 \\ 0 & \phi_1^* \phi_1 + \phi_2^* \phi_2 \end{pmatrix} \\ & & &= 4(\phi_1^* \phi_1 + \phi_2^* \phi_2) = 4\phi^\dagger \phi. \end{aligned}$$

The Lagrangian for the Higgs sector is now

$$\mathcal{L} = \frac{1}{4} \text{tr}(\partial_\mu \Sigma^\dagger \partial^\mu \Sigma) - \frac{\mu^2}{4} \text{tr}(\Sigma^\dagger \Sigma) - \frac{\lambda}{16} (\text{tr}(\Sigma^\dagger \Sigma))^2$$

and we have the global symmetry

$$\begin{aligned} \Sigma &\rightarrow U_L \Sigma U_R^\dagger, & \Sigma &\rightarrow U_R \Sigma^\dagger U_L^\dagger, & (U_L, U_R) &\in SU(2) \times SU(2), \\ \text{tr}(\Sigma^\dagger \Sigma) &\rightarrow \text{tr}(U_R \Sigma^\dagger U_L^\dagger U_L \Sigma U_R) &= \text{tr}(U_R^\dagger U_R \Sigma^\dagger \Sigma) &= \text{tr}(\Sigma^\dagger \Sigma). \end{aligned}$$

The ground state is

$$\begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \Big|_{\text{vacuum}} = \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix},$$

so

$$\begin{aligned} \Sigma_0 &= v \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \\ \Sigma_0 &\rightarrow U_L \Sigma_0 U_R^\dagger \\ &= v U_L U_R^\dagger \\ &\neq \Sigma_0 \end{aligned}$$

unless  $U_L = U_R$ .  $\Sigma_0$  is invariant under the diagonal  $SU(2)$  subgroup  $SU(2)_{L+R}$ , obtained for  $U_L = U_R$ . Under SSB,

$$\underbrace{SU(2)_L \times SU(2)_R}_{\simeq O(4)} \rightarrow \underbrace{SU(2)_{L+R}}_{\simeq O(3)}.$$



$SU(2)_{L+R}$  is known as “custodial  $SU(2)$  symmetry”. If we embed local  $SU(2)_L \times U(1)_Y$  symmetry,

$$\begin{aligned}\partial_\mu \phi &\rightarrow D_\mu \phi = (\partial_\mu + i g W_\mu + \frac{1}{2} i g' B_\mu) \phi \\ &\rightarrow D_\mu \Sigma = \partial_\mu \Sigma + i g W_\mu \Sigma - i g' \Sigma B_\mu \frac{\tau^3}{2}\end{aligned}$$

(taking  $y_H = \frac{1}{2}$ ).

Assume that  $V(\vec{\varphi})$  is invariant under

$$\vec{\varphi} \rightarrow R \vec{\varphi} \simeq (\mathbb{1} + i \alpha_a T^a) \vec{\varphi}$$

where  $R$  is an element of the symmetry group  $G$  and  $T^a$  are the generators of  $G$ ,  $a = 1, \dots, n_G$ . Invariance implies  $V(R\vec{\varphi}) = V(\vec{\varphi})$ . Assume that  $V(\vec{\varphi})$  has a set of degenerate minima. Pick one spontaneously:  $\vec{\varphi} = \vec{v}$ .

Consider fluctuations around the minimum  $\vec{v}$ ,

$$\left. \frac{\partial V}{\partial \varphi_i} \right|_{\vec{\varphi}=\vec{v}} = 0, \quad \left. \frac{\partial^2 V}{\partial \varphi_i \partial \varphi_j} \right|_{\vec{\varphi}=\vec{v}} = M_{ij}^2.$$

Eigenvalues of  $M_{ij}^2$  are the squared masses of the physical particle excitations around the vacuum.

Assume that the vacuum  $\vec{v}$  (the minimum of  $V(\vec{\varphi})$ ) is invariant under the subgroup  $H \subset G$  with generators  $T^b$ ,  $b = 1, \dots, n_H$  ( $n_H < n_G$ ).

$$(\mathbb{1} + \alpha_b T^b) \vec{v} = \vec{v} \quad \Rightarrow \quad T^b \vec{v} = 0, \quad b = 1, \dots, n_H.$$

Invariance of  $V(\vec{\varphi})$  under  $G$  implies

$$\begin{aligned}0 &= V(R\vec{\varphi}) - V(\vec{\varphi}) \\ &= V(\vec{\varphi} + \alpha_a T^a \vec{\varphi}) - V(\vec{\varphi}) \\ &= V(\vec{\varphi}) + \frac{\partial V}{\partial \varphi_i} i \alpha_a T_{ij}^a \varphi_j - V(\vec{\varphi}).\end{aligned}$$

Differentiating with respect to  $\varphi_k$  and evaluating at  $\vec{\varphi} = \vec{v}$ ,

$$\begin{aligned}0 &= \left[ \frac{\partial V}{\partial \varphi_i} i \alpha_a T_{ij}^a \delta_{ik} + \frac{\partial^2 V}{\partial \varphi_k \partial \varphi_i} i \alpha_a T_{ij}^a \varphi_j \right]_{\vec{\varphi}=\vec{v}} \\ &= \frac{\partial^2 V}{\partial \varphi_k \partial \varphi_i} \Big|_{\vec{\varphi}=\vec{v}} i \alpha_a T_{ij}^a v_j \\ &= M_{ij}^2 i \alpha_a T_{ij}^a v_j,\end{aligned}$$

therefore

$$M_{ki}^2 (T^a \vec{v})_i = 0, \quad a = 1, \dots, n_G. \quad (\star)$$

For the subgroup  $H \subset G$ ,  $T^b \vec{v} = 0$  and  $(\star)$  holds trivially.

The remaining generators correspond to

$$a \in \{n_H + 1, \dots, n_G\}.$$

$T^a \vec{v}$  is an eigenvector of  $M^2$  with eigenvalue 0. Therefore, we have  $n_G - n_H$  massless particles: Goldstone bosons!

Returning to the Higgs sector, we have a doublet

$$\phi = \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_3 + i\varphi_1 \\ \varphi_4 + i\varphi_2 \end{pmatrix} \rightarrow \vec{\varphi} = \begin{pmatrix} \varphi_1 \\ \varphi_2 \\ \varphi_3 \\ \varphi_4 \end{pmatrix}$$

and

$$\mathcal{L} = \frac{1}{2} \partial_\mu \vec{\varphi}^T \partial^\mu \vec{\varphi} - V(\vec{\varphi}), \quad V(\vec{\varphi}) = \frac{1}{2} \mu^2 |\vec{\varphi}|^2 + \frac{\lambda}{4} |\vec{\varphi}|^4,$$

with

$$\begin{aligned} \frac{\partial V}{\partial \varphi_i} &= \frac{\partial}{\partial \varphi_i} \left\{ \frac{1}{2} \mu^2 \sum_k \varphi_k^2 + \frac{\lambda}{4} \left( \sum_k \varphi_k^2 \right)^2 \right\} \\ &= \mu^2 \varphi_i + \lambda \left( \sum_k \varphi_k^2 \right) \varphi_i. \end{aligned}$$

Then

$$\begin{aligned} \left. \frac{\partial}{\partial \varphi_i} V(\vec{\varphi}) \right|_{\vec{\varphi}=\vec{v}} &= 0 = \mu^2 v_i + \lambda \vec{v}^2 v_i \\ &= v_i (\mu^2 + \lambda \vec{v}^2) \\ &\iff v_i = 0 \quad \text{or} \quad \vec{v}^2 = -\frac{\mu^2}{\lambda}. \end{aligned}$$

$\lambda > 0$  is required for stability, so  $\vec{v}^2 = -\frac{\mu^2}{\lambda}$  requires  $\mu^2 < 0$ . This implies that

$$|\vec{v}| = \sqrt{-\frac{\mu^2}{\lambda}}$$

is the minimal field configuration.

$$\begin{aligned}
M_{ji}^2 &= \left. \frac{\partial^2 V}{\partial \varphi_j \partial \varphi_i} \right|_{\vec{\varphi}=\vec{v}} \\
&= \mu^2 \delta_{ij} + \lambda \left( \sum_k v_k^2 \right) \delta_{ij} + 2v_j v_i \\
&= \delta_{ij} \underbrace{(\mu^2 + \lambda \vec{v}^2)}_0 + 2\lambda v_i v_j \\
&= 2\lambda v_i v_j.
\end{aligned}$$

Choose a direction by spontaneously picking one of the vacua:

$$\begin{aligned}
\vec{v} &= \begin{pmatrix} 0 \\ 0 \\ 0 \\ v \end{pmatrix} \Rightarrow v_i v_j = v^2 \delta_{i4} \delta_{j4}, \\
M^2 &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2\lambda v^2 \end{pmatrix}.
\end{aligned}$$

Looking at our symmetry groups,

$$\left. \begin{aligned} G &= \text{O}(4) \rightarrow n_G = 6 \text{ generators} \\ H &= \text{O}(3) \rightarrow n_H = 3 \text{ generators} \end{aligned} \right\} n_G - n_H = 3,$$

so we have 3 massless Goldstone bosons and one massive particle, a Higgs boson of mass<sup>2</sup>

$$m_H^2 = 2\lambda v^2.$$

## 4.2 The Higgs Mechanism

We derived the Goldstone theorem and applied it to an O(4) symmetric Lagrangian

$$\mathcal{L} = \frac{1}{2} \partial_\mu \vec{\varphi}^T \partial^\mu \vec{\varphi} - V(\vec{\varphi}), \quad V(\vec{\varphi}) = \frac{1}{2} \mu^2 |\vec{\varphi}|^2 + \frac{\lambda}{4} |\vec{\varphi}|^4.$$

$\mu^2 < 0$  implies spontaneous symmetry breaking,  $\text{O}(4) \rightarrow \text{O}(3)$ , with minimum

$$\vec{\varphi} = \vec{v}, \quad \vec{v}^2 = \frac{-\mu^2}{\lambda}.$$

The spectrum is 1 massive state (the Higgs boson,  $m_H^2 = 2\lambda\bar{v}^2$ ) and 3 massless states (Goldstone bosons).

Return to the complex doublet

$$\phi = \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_3 + i\varphi_1 \\ \varphi_4 + i\varphi_2 \end{pmatrix} = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$$

and define

$$\tilde{\phi} = i\tau^2\phi^* = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \phi_1^* \\ \phi_2^* \end{pmatrix} = \begin{pmatrix} \phi_2^* \\ -\phi_1^* \end{pmatrix}.$$

$\phi, \tilde{\phi}$  are  $SU(2)_L$  doublets with  $U(1)_Y$  hypercharges  $y_H = \frac{1}{2}, -\frac{1}{2}$ . Thus

$$\begin{aligned} D_\mu\phi &= (\partial_\mu + igW_\mu + \frac{1}{2}ig'B_\mu)\phi, \\ D_\mu\tilde{\phi} &= (\partial_\mu + igW_\mu - \frac{1}{2}ig'B_\mu)\tilde{\phi}. \end{aligned}$$

Combine  $\phi, \tilde{\phi}$  in a matrix  $\Sigma$ ,

$$\frac{1}{\sqrt{2}}\Sigma = (\tilde{\phi}, \phi) = \begin{pmatrix} \phi_2^* & \phi_1 \\ -\phi_1^* & \phi_2 \end{pmatrix}, \quad \Sigma^\dagger\Sigma = 2\phi^\dagger\phi\mathbb{1}.$$

Rewriting the Lagrangian in terms of  $\Sigma$ ,

$$\mathcal{L} = \frac{1}{4}\text{tr}(\partial_\mu\Sigma^\dagger\partial^\mu\Sigma) - \frac{\mu^2}{4}\text{tr}(\Sigma^\dagger\Sigma) - \frac{\lambda}{16}\left[\text{tr}(\Sigma^\dagger\Sigma)\right]^2.$$

$O(4)$  becomes  $SU(2) \times SU(2)$ ,

$$\Sigma \rightarrow U_L\Sigma U_R^\dagger.$$

For the vacuum,  $\varphi_4 = v \equiv |\bar{v}|$  and thus

$$\Sigma|_{\varphi_4=v} - \Sigma_0 = v \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

$O(3)$  symmetry of  $\bar{v}$  translates to  $SU(2)$  symmetry,

$$\Sigma_0 \rightarrow U\Sigma_0U^\dagger = \Sigma_0.$$

The covariant derivative on  $\Sigma$  is

$$D_\mu\Sigma = \partial_\mu\Sigma + igW_\mu\Sigma - ig'\Sigma B_\mu \frac{\tau^3}{2}.$$

$SU(2)_L \times U(1)_Y \subset SU(2)_L \times SU(2)_R$ , so  $U(1)_Y$  is the 3<sup>rd</sup> component of  $SU(2)_R$ . Gauging the Lagrangian,

$$\mathcal{L}_{\text{Higgs}}(x) = \frac{1}{4}\text{tr}(D_\mu\Sigma^\dagger D^\mu\Sigma) - \frac{\mu^2}{4}\text{tr}(\Sigma^\dagger\Sigma) - \frac{\lambda}{16}\left[\text{tr}(\Sigma^\dagger\Sigma)\right]^2.$$

Decomposing  $\Sigma$  into radial and angular components,

$$\begin{aligned}\Sigma^\dagger \Sigma &= 2\phi^\dagger \phi \mathbb{1} \equiv \rho^2 \mathbb{1}, \\ \Rightarrow \Sigma &= \rho \cdot U, \quad \Sigma^\dagger \Sigma = \rho^2 U^\dagger U = \rho^2 \mathbb{1}\end{aligned}$$

and therefore  $U$  is unitary.

We have a minimum of the action (and thus the potential) for  $\rho(x) = v$ . Expanding around the minimum,

$$\begin{aligned}\rho(x) &= v + H(x), \\ \mathcal{L}_{\text{Higgs}} &= \frac{1}{2} \partial_\mu \rho \partial^\mu \rho + \frac{\rho^2}{2} \text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right] - \frac{\mu^2}{2} \rho^2 - \frac{\lambda}{4} \rho^4\end{aligned}$$

as

$$\begin{aligned}\partial_\mu (\rho U) &= (\partial_\mu \rho) U + \rho (\partial_\mu U), \\ D_\mu \Sigma &= D_\mu (\rho U) = (\partial_\mu \rho) U + \rho (D_\mu U).\end{aligned}$$

Making the replacement  $\rho = v + H$ ,

$$\mathcal{L}_{\text{Higgs}} = \frac{1}{2} \partial_\mu H \partial^\mu H + \frac{(v+H)^2}{4} \text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right] - \frac{\mu^2}{2} (v+H)^2 - \frac{\lambda}{4} (v+H)^4.$$

The mass of the Higgs boson is

$$\mathcal{L} \ni H^2 \left( -\frac{\mu^2}{2} - \frac{\lambda}{4} 6v^2 \right)$$

and using  $-\mu^2 = \lambda v^2$ ,

$$\begin{aligned}&= H^2 \left( +\frac{1}{2} \lambda v^2 - \frac{3}{2} \lambda v^2 \right) = -\lambda v^2 H \\ &= -\frac{1}{2} m_H^2 H^2, \\ m_H^2 &= 2\lambda v^2.\end{aligned}$$

The massless Goldstone bosons are encoded in  $U$ ,

$$U = \exp \left( \frac{i \chi^a \tau^a}{v} \right) \rightarrow \chi^{1,2,3} = \text{Goldstone bosons}.$$

These are massless: no derivative terms  $\propto \chi^a \chi^a$  implies mass zero!

### 4.3 Unitary Gauge

Take a closer look at  $\text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right]$

$$= \text{tr} \left\{ \left[ \partial_\mu U^\dagger - i g U^\dagger W_\mu + i g' B_\mu \frac{\tau^3}{2} U^\dagger \right] \left[ \partial^\mu U + i g W^\mu U - i g' U B^\mu \frac{\tau^3}{2} \right] \right\}.$$

Define

$$W'_\mu = U^\dagger W_\mu U + \frac{1}{i g} U^\dagger \partial_\mu U,$$

i.e. interpret  $U = U_L^\dagger$ , a  $SU(2)_L$  gauge transformation on  $W_\mu$ . We find that the trace  $\text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right]$

$$\begin{aligned} &= g^2 \text{tr} \left( W'_\mu W'^{\mu} \right) + 2 \text{tr} \left( -g g' W'_\mu B^\mu \frac{\tau^3}{2} \right) + g'^2 B_\mu B^\mu \text{tr} \left( \frac{\tau^3}{2} \frac{\tau^3}{2} \right) \\ &= \frac{1}{2} g^2 W'^a_\mu W'^{\mu a} - g g' W'^3_\mu B^\mu + g'^2 \frac{1}{2} B_\mu B^\mu. \end{aligned}$$

Taking  $W_\mu \rightarrow W'_\mu$  (unitary gauge) makes  $U$  disappear and  $\text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right]$  gives mass terms for gauge bosons.

We have

$$\mathcal{L}_{\text{Higgs}} = \frac{1}{2} \partial_\mu H \partial^\mu H + \frac{(v+H)^2}{4} \text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right] - \frac{\mu^2}{2} (v+H)^2 - \frac{\lambda}{4} (v+H)^4$$

and since  $U = e^{i \frac{\chi^a \tau^a}{v}}$ ,

$$\begin{aligned} \text{tr} \left( \partial_\mu U^\dagger \partial^\mu U \right) &= \text{tr} \left( \partial_\mu \left( \frac{-i \chi^a \tau^a}{v} \right) \partial^\mu \left( \frac{i \chi^b \tau^b}{v} \right) \right) + \mathcal{O}(\chi \chi \chi \chi) \\ &= \frac{1}{v^2} \partial_\mu \chi^a \partial^\mu \chi^b \text{tr} \left( \tau^a \tau^b \right) \\ &= \frac{2}{v^2} \partial_\mu \chi^a \partial^\mu \chi^a \end{aligned}$$

since  $\text{tr}(\tau^a \tau^b) = 2\delta^{ab}$ .

$$\begin{aligned} \mathcal{L} \ni \frac{v^2}{4} \frac{2}{v^2} \partial_\mu \chi^a \partial^\mu \chi^a &= \frac{1}{2} \partial_\mu \chi^a \partial^\mu \chi^a. \\ \text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right] &= \frac{1}{2} g^2 W'^a_\mu W'^{\mu a} - g g' W'^3_\mu B^\mu + \frac{1}{2} g'^2 B_\mu B^\mu, \end{aligned}$$

where  $W'_\mu = U^\dagger W_\mu U + \frac{1}{i g} U^\dagger \partial_\mu U$  in the unitary gauge, so

$$\text{tr} \left[ (D_\mu U)^\dagger (D^\mu U) \right] = \frac{1}{2} g^2 W'^a_\mu W'^{\mu a} - g g' W'^3_\mu B^\mu + \frac{1}{2} g'^2 B_\mu B^\mu$$

$$= \frac{1}{2} g^2 (W_\mu^{1'} W'^{\mu 1} + W_\mu^{2'} W'^{\mu 2}) + \frac{1}{2} (W_\mu^{3'} B_\mu) \underbrace{\begin{pmatrix} g^2 & -g g' \\ -g g' & g'^2 \end{pmatrix}}_{\substack{G \\ T^3}} \begin{pmatrix} W'^{\mu 3} \\ B^\mu \end{pmatrix}.$$

We want to find the eigenvalues of  $G$ .

$$\begin{aligned} \text{tr}(G) &= g^2 + g'^2, \\ \det(G) &= g^2 g'^2 - (g g')^2 = 0. \end{aligned}$$

Recall that

$$\begin{aligned} \cos \theta_W &= \frac{g}{\sqrt{g^2 + g'^2}}, & \sin \theta_W &= \frac{g'}{\sqrt{g^2 + g'^2}}, \\ \begin{pmatrix} W_\mu^{3'} \\ B_\mu \end{pmatrix} &= \begin{pmatrix} \cos \theta_W & \sin \theta_W \\ -\sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix}. \end{aligned}$$

Now,

$$\begin{aligned} (g^2 + g'^2) T_3 &= (Z_\mu, A_\mu) \begin{pmatrix} g & g' \\ -g' & g \end{pmatrix}^T \begin{pmatrix} g^2 & -g g' \\ -g g' & g'^2 \end{pmatrix} \begin{pmatrix} g & g' \\ -g' & g \end{pmatrix} \begin{pmatrix} Z^\mu \\ A^\mu \end{pmatrix} \\ &= (Z_\mu, A_\mu) \begin{pmatrix} g & -g' \\ g' & g \end{pmatrix} \begin{pmatrix} g^2 & -g g' \\ -g g' & g'^2 \end{pmatrix} \begin{pmatrix} g & g' \\ -g' & g \end{pmatrix} \begin{pmatrix} Z^\mu \\ A^\mu \end{pmatrix} \\ &= (Z_\mu, A_\mu) \begin{pmatrix} g^3 + g g'^2 & -g^2 g' - g'^3 \\ g' g^2 - g^2 g' & -g g'^2 + g g'^2 \end{pmatrix} \begin{pmatrix} g & g' \\ -g' & g \end{pmatrix} \begin{pmatrix} Z^\mu \\ A^\mu \end{pmatrix} \\ &= (Z_\mu, A_\mu) \begin{pmatrix} g^4 + g^2 g'^2 + g^2 g'^2 + g'^4 & g^3 g' + g g'^3 - g^3 g' - g'^3 g \\ 0 & 0 \end{pmatrix} \begin{pmatrix} Z^\mu \\ A^\mu \end{pmatrix} \\ &= (Z_\mu, A_\mu) \begin{pmatrix} (g^2 + g'^2)^2 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} Z^\mu \\ A^\mu \end{pmatrix}. \end{aligned}$$

So,

$$\begin{aligned} \mathcal{L}_{\text{Higgs}} &\ni m_W^2 W_\mu^+ W_\mu^- + \frac{1}{2} m_Z^2 Z_\mu Z^\mu, \\ m_W^2 &= \frac{g^2}{4} v^2, & m_Z^2 &= \frac{g^2 + g'^2}{4} v^2, & m_\gamma^2 &= 0. \end{aligned}$$

The Lagrangian is thus

$$\mathcal{L}_{\text{Higgs}} = \frac{1}{2} \partial_\mu H \partial^\mu H - \frac{1}{2} m_H^2 H^2 + \left( 1 + 2 \frac{H}{v} + \frac{H^2}{v^2} \right) \left( m_W^2 W_\mu^{+\prime} W_\mu^{-\prime} + \frac{1}{2} m_Z^2 Z_\mu' Z^{\mu\prime} \right) - \frac{3\lambda}{4} v H^3 - \frac{\lambda}{4} H^4.$$

This gives us:

- the Higgs boson of mass  $m_H$  and self couplings  $\sim H^3, H^4$ ,
- mass terms for  $W^\pm, Z$  bosons,
- interactions  $HW_\mu^+ W^{-\mu}, HZ_\mu Z^\mu, H^2 W_\mu^+ W^{-\mu}, H^2 Z_\mu Z^\mu$ .

## 5 Resumé

1. The gauge symmetry  $SU(2)_L \times U(1)_Y$  is still present at the level of the Lagrangian; it is only hidden by expanding fields about the minimum field configuration or vacuum state.
2. The 3 Goldstone bosons are eaten by the  $W^\pm$ ,  $Z$ -bosons, which play the role of longitudinal degrees of freedom.

### 5.1 Remark on SSB

In QFT, SSB is signalled by a non-zero vacuum expectation value (VEV). In the Higgs doublet, we would have something like

$$\langle 0 | \tilde{\phi} | 0 \rangle = \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix}$$

which is equivalent to a field configuration minimising the potential.

As an analogy, consider SSB in 2 flavour massless QCD.

$$\psi = \begin{pmatrix} u \\ d \end{pmatrix}, \quad \bar{\psi} = (\bar{u}, \bar{d}),$$

$$\mathcal{L} = \bar{\psi} \mathcal{D} \psi = \bar{\psi}_L \mathcal{D} \psi_L + \bar{\psi}_R \mathcal{D} \psi_R.$$

Under  $SU(2)_L \times SU(2)_R$  symmetry,  $\psi_L \rightarrow U_L \psi_L$ . There is a vacuum expectation value of

$$\langle 0 | \bar{\psi} \psi | 0 \rangle \neq 0.$$

$\bar{\psi} \psi = \bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L$  breaks the  $SU(2)_L \times SU(2)_R$  symmetry, but

$$\bar{\psi} \psi \rightarrow \bar{\psi} U^\dagger U \psi = \bar{\psi} \psi.$$

Therefore under SSB,  $SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$  as in the Higgs mechanism and we get 3 massless Goldstone bosons. In QCD, the lightest particles are the  $\pi$ s:  $\pi^\pm, \pi^0$  ( $m_\pi \approx 140$  MeV). The next heaviest is  $\rho$ ,  $m_\rho \approx 770$  MeV. Identify the Goldstone bosons with  $\pi$ s (pseudo-Goldstone bosons) and add quark masses as a perturbation. Thus  $m_\pi \propto (m_u + m_d)$  are generated.

We could think of the Higgs boson as a composite particle. Could SSB in QCD generate  $m_W, m_Z$ ? Yes! However, the scale is much too low.

$$\left. \begin{array}{l} m_W \approx 80 \text{ GeV} \\ m_Z \approx 90 \text{ GeV} \end{array} \right\} \text{ set by } v = 250 \text{ GeV (Fermi scale).}$$



In QCD, the corresponding scale is  $f_\pi \approx 93$  MeV. This means  $m_W, m_Z$  generated by SSB in QCD would be too small by a factor  $\frac{f_\pi}{v} = \mathcal{O}(10^{-3})$ .

The basic idea of technicolour models is to introduce an additional “charge” technicolour. The most naïve model simply rescales QCD by  $\frac{v}{f_\pi}$ . The simplest idea is in conflict with data from experiments, but dynamical SSB of electroweak symmetry is still very attractive.

## 6 The Yukawa Lagrangian

Our goal is to obtain masses for the fermions without breaking the gauge symmetry. The idea is to couple the Higgs doublet  $\phi$  to the fermions in  $\mathcal{L}_{\text{Yukawa}}$ .

For the first lepton family,

$$\mathcal{L}_{\text{Yukawa}} = -c_e \bar{\psi}_{e,R} \phi^\dagger \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L - c_e (\bar{\psi}_{\nu_e}, \psi_e)_R \phi \psi_{e,R}.$$

Under SSB,

$$\phi \rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_3 + i\varphi_1 \\ v + H + i\varphi_2 \end{pmatrix} \xrightarrow[\text{gauge}]{\text{unitary}} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$$

and

$$\begin{aligned} \mathcal{L}_{\text{Yukawa}} &\ni -c_e \left( \frac{v}{\sqrt{2}} \bar{\psi}_{e,R} \psi_{e,L} + \frac{v}{\sqrt{2}} \bar{\psi}_{e,L} \psi_{e,R} \right) \\ &= -c_e \frac{v}{\sqrt{2}} \bar{\psi}_e \psi_e = -m_e \bar{\psi}_e \psi_e. \end{aligned}$$

Proceed analogously for all fermions.

Combining all fields into a multiplet  $\psi$ ,

$$\psi = \begin{pmatrix} \psi_{\nu_e,L} \\ \psi_{e,L} \\ \vdots \\ \psi_{b,R} \end{pmatrix}, \quad D_\mu \psi = \left( \partial_\mu + i g W_\mu^a T^a + i g' B_\mu Y \right) \psi.$$

For the interaction of fermions with  $W_\mu^\pm, Z, \gamma$ ,

$$\bar{\psi} i \not{D} \psi = \bar{\psi} i \not{\partial} \psi - \underbrace{\bar{\psi} \gamma^\mu \left( g W_\mu^a T^a + g' B_\mu Y \right) \psi}_{\mathcal{L}_{\text{int}}}.$$

Passing to  $W^\pm, Z, \gamma$ ,

$$\mathcal{L}_{\text{int}} = -e \left\{ A_\mu J_{\text{EM}}^\mu + \frac{1}{\sin\theta_W \cos\theta_W} Z_\mu J_{\text{NC}}^\mu + \frac{1}{\sqrt{2}\sin\theta_W} \left( W_\mu^+ J_{\text{CC}}^\mu + W_\mu^- J_{\text{CC}}^\mu \right) \right\}$$

where

$$J_{\text{EM}}^\mu = \bar{\psi} \gamma^\mu Q \psi, \quad J_{\text{NC}}^\mu = \bar{\psi} \gamma^\mu [T^3 - \sin^2 \theta_W Q] \psi \\ = \bar{\psi} \gamma^\mu T^3 \psi - \sin^2 \theta_W J_{\text{EM}}^\mu,$$

and the charge current is

$$J_{\text{cc}}^\mu = \bar{\psi} \gamma^\mu (T^1 + iT^2) \psi.$$

## 6.1 Fermion Mass Matrix

We need to form  $SU(2)_L$  singlets by combining the Higgs doublet  $\phi$  with fermion doublets  $\begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}_L$ . This can be done by

$$\phi^\dagger \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}_L, \quad \tilde{\phi}^\dagger \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}_L,$$

using  $\tilde{\phi} = i\tau^2 \phi^* = \begin{pmatrix} \phi_2^* \\ -\phi_1^* \end{pmatrix}$ , and the Hermitian conjugates

$$(\bar{\psi}_1, \bar{\psi}_2)_L \phi, \quad (\bar{\psi}_1, \bar{\psi}_2)_L \tilde{\phi}.$$

The most general Lagrangian is

$$\mathcal{L}_{\text{Yuk}} = - (\bar{\psi}_{e,R}, \bar{\psi}_{\mu,R}, \bar{\psi}_{\tau,R}) c_l \begin{pmatrix} \phi^\dagger \begin{pmatrix} \psi_{\nu_e} \\ \psi_e \end{pmatrix}_L \\ \phi^\dagger \begin{pmatrix} \psi_{\nu_\mu} \\ \psi_\mu \end{pmatrix}_L \\ \phi^\dagger \begin{pmatrix} \psi_{\nu_\tau} \\ \psi_\tau \end{pmatrix}_L \end{pmatrix} + \text{h.c.} \\ - (\bar{\psi}_{u,R}, \bar{\psi}_{c,R}, \bar{\psi}_{t,R}) c'_q \begin{pmatrix} \tilde{\phi}^\dagger \begin{pmatrix} \psi_u \\ \psi_{d'} \end{pmatrix}_L \\ \tilde{\phi}^\dagger \begin{pmatrix} \psi_c \\ \psi_{s'} \end{pmatrix}_L \\ \tilde{\phi}^\dagger \begin{pmatrix} \psi_t \\ \psi_{b'} \end{pmatrix}_L \end{pmatrix} + \text{h.c.} \\ - (\bar{\psi}_{d',R}, \bar{\psi}_{s',R}, \bar{\psi}_{b',R}) c_q \begin{pmatrix} \phi^\dagger \begin{pmatrix} \psi_u \\ \psi_{d'} \end{pmatrix}_L \\ \phi^\dagger \begin{pmatrix} \psi_c \\ \psi_{s'} \end{pmatrix}_L \\ \phi^\dagger \begin{pmatrix} \psi_t \\ \psi_{b'} \end{pmatrix}_L \end{pmatrix} + \text{h.c.}$$

This is the full Yukawa Lagrangian, assuming neutrino masses are zero.

- $c_l, c'_q, c_q$  are *a priori* arbitrary complex  $3 \times 3$  matrices.
- We have  $SU(2)_L \times U(1)$  symmetry.
- Primed fields  $\psi_{d'}, \psi_{s'}, \psi_{b'}$  allow for a mismatch between
  1. fields coupling to  $W_\mu^\pm, Z$  bosons,
  2. eigenstates of the mass matrix.

We can change the basis of fields with the same quantum numbers, e.g.

$$\begin{pmatrix} \psi_{e,R} \\ \psi_{\mu,R} \\ \psi_{\tau,R} \end{pmatrix} \rightarrow U_1 \begin{pmatrix} \psi_{e,R} \\ \psi_{\mu,R} \\ \psi_{\tau,R} \end{pmatrix}, \quad U_1 \in U(3).$$

Therefore  $\mathcal{L}$  is unchanged except for  $\mathcal{L}_{\text{Yuk}}$ ,

$$c_l \rightarrow U_1^\dagger c_l.$$

Similar changes can be made for left-handed doublets.

$$\begin{pmatrix} \begin{pmatrix} \psi_u \\ \psi_d \end{pmatrix}_L \\ \begin{pmatrix} \psi_c \\ \psi_s \end{pmatrix}_L \\ \begin{pmatrix} \psi_t \\ \psi_b \end{pmatrix}_L \end{pmatrix} \rightarrow V_2 \otimes \mathbb{1} \begin{pmatrix} \begin{pmatrix} \psi_u \\ \psi_d \end{pmatrix}_L \\ \begin{pmatrix} \psi_c \\ \psi_s \end{pmatrix}_L \\ \begin{pmatrix} \psi_t \\ \psi_b \end{pmatrix}_L \end{pmatrix}.$$

Using the freedom to change basis, we see

$$\begin{aligned} c_l &\rightarrow U_1^\dagger c_l V_1, \\ c'_q &\rightarrow U_2^\dagger c'_q V_2, \\ c_q &\rightarrow U_3^\dagger c_q V_2. \end{aligned}$$

For leptons,

$$c_l c_l^\dagger \rightarrow U_1^\dagger c_l c_l^\dagger U_1.$$

Choose  $U_1$  such that  $c_l c_l^\dagger$  is diagonal.

$$c_l c_l^\dagger = \begin{pmatrix} c_e^2 & 0 & 0 \\ 0 & c_\mu^2 & 0 \\ 0 & 0 & c_\tau^2 \end{pmatrix} \Rightarrow c_l = \begin{pmatrix} c_e & 0 & 0 \\ 0 & c_\mu & 0 \\ 0 & 0 & c_\tau \end{pmatrix} W$$

where  $W$  is arbitrary. Choose  $V_1 = W^\dagger$ . Then

$$c_l = \begin{pmatrix} c_e & 0 & 0 \\ 0 & c_\mu & 0 \\ 0 & 0 & c_\tau \end{pmatrix} \geq 0.$$

It is the same for quarks:

$$c'_q = \begin{pmatrix} c_u & 0 & 0 \\ 0 & c_c & 0 \\ 0 & 0 & c_t \end{pmatrix} \geq 0.$$

$$c_q c_q^\dagger \rightarrow U_3^\dagger c_q c_q^\dagger U_3 \Rightarrow c_q = \begin{pmatrix} c_d & 0 & 0 \\ 0 & c_s & 0 \\ 0 & 0 & c_b \end{pmatrix} V^\dagger.$$

s cannot be reduced further as  $V_2$  has been used up for  $c'_q$ . The standard form for  $c_q$  is to use the  $U_3$  transformation to obtain

$$c_q = V \begin{pmatrix} c_d & 0 & 0 \\ 0 & c_s & 0 \\ 0 & 0 & c_b \end{pmatrix} V^\dagger, \quad V = V_{\text{CKM}}.$$

$\mathcal{L}_{\text{Yuk}}$  in the unitary gauge is

$$\begin{aligned} \mathcal{L}_{\text{Yuk}} = & \left\{ -(\bar{\psi}_{e,R}, \bar{\psi}_{\mu,R}, \bar{\psi}_{\tau,R}) \begin{pmatrix} c_e & 0 & 0 \\ 0 & c_\mu & 0 \\ 0 & 0 & c_\tau \end{pmatrix} \begin{pmatrix} \psi_{e,L} \\ \psi_{\mu,L} \\ \psi_{\tau,L} \end{pmatrix} + \text{h.c.} \right. \\ & - (\bar{\psi}_{u,R}, \bar{\psi}_{c,R}, \bar{\psi}_{t,R}) \begin{pmatrix} c_u & 0 & 0 \\ 0 & c_c & 0 \\ 0 & 0 & c_t \end{pmatrix} \begin{pmatrix} \psi_{u,L} \\ \psi_{c,L} \\ \psi_{t,L} \end{pmatrix} + \text{h.c.} \\ & \left. - (\bar{\psi}_{d',R}, \bar{\psi}_{s',R}, \bar{\psi}_{b',R}) V_{\text{CKM}} \begin{pmatrix} c_d & 0 & 0 \\ 0 & c_s & 0 \\ 0 & 0 & c_b \end{pmatrix} V_{\text{CKM}}^\dagger \begin{pmatrix} \psi_{d',L} \\ \psi_{s',L} \\ \psi_{b',L} \end{pmatrix} + \text{h.c.} \right\} \frac{v}{\sqrt{2}} \left( 1 + \frac{H}{v} \right) \end{aligned}$$

We can now get the masses:

$$m_e = c_e \frac{v}{\sqrt{2}}, \quad \dots, \quad m_b = c_b \frac{v}{\sqrt{2}}.$$

The Higgs coupling is proportional to the fermion mass. We have mass eigenstates

$$\begin{pmatrix} \psi_d \\ \psi_s \\ \psi_b \end{pmatrix} = V_{\text{CKM}}^\dagger \begin{pmatrix} \psi'_d \\ \psi'_s \\ \psi'_b \end{pmatrix}.$$