### Shannon's Information Theory

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## Cryptography

Cryptography is how to write messages and keep them secret.

- 1. We encrypt the message (plaintext) using a secret key.
- 2. Now the message (ciphertext) should be secret.
- 3. To decrypt the message you need the key.

Encode and decode are technically something different - they are how information is transmitted or stored.

## Encrypting data

Caesar Cipher:

#### $\tt HelloWorld \to LippsAsvph$

Key is d.

In modern world, ciphers should be secure if you know everything but the key (Kerckhoffs's principle).

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#### Brute Force Guessing

If you don't know the key, you can always guess. How could you decrypt Wklv lv d vhfuhw phvvdjh?

0	Wklv	lv	d	vhfuhw	phvvdjh	13	Jxyi	yi	q	iushuj	cuiiqwu
1	Xlmw	mw	е	wigvix	qiwweki	14	Kyzj	zj	r	jvtivk	dvjjrxv
2	Ymnx	nx	f	xjhwjy	rjxxflj	15	Lzak	ak	s	kwujwl	ewkksyw
3	Znoy	оy	g	ykixkz	skyygmk	16	Mabl	bl	t	lxvkxm	fxlltzx
4	Aopz	pz	h	zljyla	tlzzhnl	17	Nbcm	cm	u	mywlyn	gymmuay
5	Bpqa	qa	i	amkzmb	umaaiom	18	Ocdn	dn	v	nzxmzo	hznnvbz
6	Cqrb	rb	j	bnlanc	vnbbjpn	19	Pdeo	eo	W	oaynap	iaoowca
7	Drsc	sc	k	combod	wocckqo	20	Qefp	fp	x	pbzobq	jbppxdb
8	Estd	td	1	dpncpe	xpddlrp	21	Rfgq	gq	у	qcapcr	kcqqyec
9	Ftue	ue	m	eqodqf	yqeemsq	22	Sghr	hr	z	rdbqds	ldrrzfd
10	Guvf	vf	n	frperg	zrffntr	23	This	is	a	secret	message
11	Hvwg	wg	0	gsqfsh	asggous	24	Uijt	jt	b	tfdsfu	nfttbhf
12	Iwxh	xh	р	htrgti	bthhpvt	25	Vjku	ku	с	ugetgv	oguucig

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Why did this work?

# 1940s: Claude Shannon



#### HelloWorld ightarrow PhymsQpvhv

Key is idnbeubewsb..., but with different key JumpyJoyce

- Defined perfect secrecy.
- Seeing the cipher text tells you nothing about the plain text.
- Since guessing was involved, this is about probabilities.

## Decoy Messages

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- With more keys the message was safer.
- Some keys lead to decoy messages.
- Counting the number of messages.
- Counting the number of sensible messages.
- Look at chance of hitting a decoy.

## Counting

Counting things in mathematics is called combinatorics.

For example, you want to buy a car. They offer three possible extras: a sun roof, seat DVD player or parking camera. How many combinations are possible?

- Sun roof, or not, gives 2.
- For each, DVD player, or not, gives 2. So,

$$2 + 2 = 2 \times 2 = 4.$$

• For each of those 4, could add a parking camera or not.

$$2 + 2 + 2 + 2 = 4 \times 2 = 8.$$

You end up multiplying the possibilities:  $8 = 2 \times 2 \times 2 = 2^3$ .

#### Counting in Bits

- Two choices N times give  $2^N$  options.
- This is how computers store data.
- Each choice is a bit.

How many bits to store a letter?

$$2^4 = 16 < 26 < 32 = 2^5.$$

Or:

$$4 = \log_2 16 < \log_2 26 < \log_2 32 = 5.$$

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 $\log_2 26 = 4.7004397181\ldots$ 

### Counting Messages

How many messages with 10 characters?

How many messages with N characters?

$$26\times 26\ldots 26\times 26=26^N\approx 2^{N4.7}$$

Counting possible messages is relatively easy. What about sensible messages?

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## Counting Sensible Messages

Shannon realised taking the frequencies into account is important.



Have to *choose* the letters carefully.

### Counting Ways to Choose

How may ways can we choose two extras for our car?

$$\binom{3}{2} = \frac{3 \times 2}{2}.$$

There is actually a formula:

$$\binom{N}{M} = \frac{N!}{M!(N-M)!}.$$

There is even a multi-choose formula for choosing k groups of things from N.

$$\binom{N}{n_1 n_2 \dots n_k} = \frac{N!}{n_1! n_2! \dots n_k!}$$

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where  $n_1 + n_2 + ... n_k = N$ .

## Counting Sensible Messages

Shannon realised that if you want a sensible message of length N:

- You need  $n_a = Np_a$  of letter a,
- You need  $n_b = Np_b$  of letter b,
- . . .
- You need  $n_z = Np_z$  of letter z,

He used the multichoose formula to show this was about

 $2^{NH(p)}$ .

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## Counting Sensible Messages

 $H(p) = -(p_a \log_2 p_a + p_b \log_2 p_b + \dots p_z \log_2 p_z),$ is now called the *Shannon Entropy*.

- Shannon calculated H(p) for English and got  $\approx 4$ .
- He knew it was important to take into account pairs, ....

• For English is actually about 1.5 bits per character.

## For Example ....

Roughly how many 1-letter messages does English have?

$$2^{NH(p)} = 2^{1 \times 1.5} = 2.8.$$

How many 10 letter messages?

$$2^{NH(p)} = 2^{10 \times 1.5} = 32768.$$

How many 140 character English tweets?

$$2^{NH(p)} = 2^{140 \times 1.5}$$

That's about 1645504557321206042154969182557350504982735865633579863348609024.

#### Imperfect Secrecy

- We have  $26^N \approx 2^{N4.7}$  messages of length N.
- We have  $2^{NH(p)} \approx 2^{N1.5}$  messages of length N.

Chance of hitting a decoy message

$$\frac{2^{N1.5}}{2^{N4.7}} = 2^{N1.5}2^{-N4.7} = 2^{N(1.4-4.7)} = 2^{-3.2N}$$

This means that the chance of hitting a decoy gets lower and lower as the message gets longer, unless you add more keys to compensate.

Need to make sure brute force attacks aren't practical.

## Summary

- Shannon counted messages and probabilities.
- Gave a theory for secret messages.
- Was able quantify how secret you were being.
- Shannon Entropy important not just for secrecy ....
- ... also for transmission and compression of data too.

• Maths can be applied in unexpected areas!

### How to Share a Secret

There is a way to agree a secret with someone in public, so anyone listening can't figure out the secret in practice.

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## 1976: Diffie and Hellman



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#### Won the 2015 Turing Award.

## Diffie-Hellman key exchange

Basic idea: Both people do the following:

- 1. Agree on a number as a *generator*, say 2.
- 2. Each Pick a secret number.
- 3. Multiply two by itself that many times.
- 4. Tell the other person the answer.
- 5. Multiply the other person's answer by itself the secret number of times.

## Why does this work?

Rory	David
$2 \times 2 \times 2 = 8$	$2 \times 2 \times 2 \times 2 = 16$
$16 \times 16 \times 16 =$	$8 \times 8 \times 8 \times 8 =$
$(2 \times 2 \times 2 \times 2) \times$	(2  imes 2  imes 2)  imes
$(2 \times 2 \times 2 \times 2) \times$	$(2 \times 2 \times 2) \times$
$(2 \times 2 \times 2 \times 2) =$	(2  imes 2  imes 2)  imes
	$(2 \times 2 \times 2) =$
4096	4096

It doesn't matter what order we do the multiplication in.

To make secure:

- Need big numbers and,
- work with remainder on division by big prime number.

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