

**MAU34804—Fixed Point Theorems and  
Economic Equilibria  
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Section 4: Simplicial Complexes**

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### 4. Simplicial Complexes

#### 4.1. Simplicial Complexes in Euclidean Spaces

##### Definition

A finite collection  $K$  of simplices in  $\mathbb{R}^k$  is said to be a *simplicial complex* if the following two conditions are satisfied:—

- if  $\sigma$  is a simplex belonging to  $K$  then every face of  $\sigma$  also belongs to  $K$ ,
- if  $\sigma_1$  and  $\sigma_2$  are simplices belonging to  $K$  then either  $\sigma_1 \cap \sigma_2 = \emptyset$  or else  $\sigma_1 \cap \sigma_2$  is a common face of both  $\sigma_1$  and  $\sigma_2$ .

### Definition

The *dimension* of a simplicial complex  $K$  is the greatest non-negative integer  $n$  with the property that  $K$  contains an  $n$ -simplex.

### Definition

The *polyhedron* of a simplicial complex  $K$  is the union of all the simplices of  $K$ .

The polyhedron  $|K|$  of a simplicial complex  $K$  is a subset of a Euclidean space that is both closed and bounded. It is therefore a compact subset of that Euclidean space.

### Example

Let  $K_\sigma$  consist of some  $n$ -simplex  $\sigma$  together with all of its faces. Then  $K_\sigma$  is a simplicial complex of dimension  $n$ , and  $|K_\sigma| = \sigma$ .

### Lemma 4.1

*Let  $K$  be a simplicial complex, and let  $X$  be a subset of some Euclidean space. A function  $f: |K| \rightarrow X$  is continuous on the polyhedron  $|K|$  of  $K$  if and only if the restriction of  $f$  to each simplex of  $K$  is continuous on that simplex.*

### Proof

Each simplex of the simplicial complex  $K$  is a closed subset of the polyhedron  $|K|$  of the simplicial complex  $K$ . The numbers of simplices belonging to the simplicial complex is finite. The result therefore follows from a straightforward application of Lemma 1.18. ■

## 4. Simplicial Complexes (continued)

We shall denote by  $\text{Vert } K$  the set of vertices of a simplicial complex  $K$  (i.e., the set consisting of all vertices of all simplices belonging to  $K$ ). A collection of vertices of  $K$  is said to *span* a simplex of  $K$  if these vertices are the vertices of some simplex belonging to  $K$ .

### Definition

Let  $K$  be a simplicial complex in  $\mathbb{R}^k$ . A *subcomplex* of  $K$  is a collection  $L$  of simplices belonging to  $K$  with the following property:—

- if  $\sigma$  is a simplex belonging to  $L$  then every face of  $\sigma$  also belongs to  $L$ .

Note that every subcomplex of a simplicial complex  $K$  is itself a simplicial complex.

### Proposition 4.2

*Let  $K$  be a finite collection of simplices in some Euclidean space  $\mathbb{R}^k$ , and let  $|K|$  be the union of all the simplices in  $K$ . Then  $K$  is a simplicial complex (with polyhedron  $|K|$ ) if and only if the following two conditions are satisfied:—*

- *$K$  contains the faces of its simplices,*
- *every point of  $|K|$  belongs to the interior of a unique simplex of  $K$ .*

### Proof

Suppose that  $K$  is a simplicial complex. Then  $K$  contains the faces of its simplices. We must show that every point of  $|K|$  belongs to the interior of a unique simplex of  $K$ . Let  $\mathbf{x} \in |K|$ . Then  $\mathbf{x} \in \rho$  for some simplex  $\rho$  of  $K$ . It follows from Lemma 3.3 that there exists a unique face  $\sigma$  of  $\rho$  such that the point  $\mathbf{x}$  belongs to the interior of  $\sigma$ . But then  $\sigma \in K$ , because  $\rho \in K$  and  $K$  contains the faces of all its simplices. Thus  $\mathbf{x}$  belongs to the interior of at least one simplex of  $K$ .



Suppose that  $\mathbf{x}$  were to belong to the interior of two distinct simplices  $\sigma$  and  $\tau$  of  $K$ . Then  $\mathbf{x}$  would belong to some common face  $\sigma \cap \tau$  of  $\sigma$  and  $\tau$  (since  $K$  is a simplicial complex). But this common face would be a proper face of one or other of the simplices  $\sigma$  and  $\tau$  (since  $\sigma \neq \tau$ ), contradicting the fact that  $\mathbf{x}$  belongs to the interior of both  $\sigma$  and  $\tau$ . We conclude that the simplex  $\sigma$  of  $K$  containing  $\mathbf{x}$  in its interior is uniquely determined.

## 4. Simplicial Complexes (continued)

Conversely, we must show that if  $K$  is some finite collection of simplices in some Euclidean space, if  $K$  contains the faces of all its simplices, and if every point of the union  $|K|$  of those simplices belongs to the interior of a unique simplex in the collection, then that collection is a simplicial complex. To achieve this, we must prove that if  $\sigma$  and  $\tau$  are simplices belonging to the collection  $K$ , and if  $\sigma \cap \tau \neq \emptyset$ , then  $\sigma \cap \tau$  is a common face of  $\sigma$  and  $\tau$ .

Let  $\mathbf{x} \in \sigma \cap \tau$ . Then  $\mathbf{x}$  belongs to the interior of a unique simplex  $\omega$  belonging to the collection  $K$ . However any point of  $\sigma$  or  $\tau$  belongs to the interior of a unique face of that simplex, and all faces of  $\sigma$  and  $\tau$  belong to  $K$ . It follows that  $\omega$  is a common face of  $\sigma$  and  $\tau$ , and thus the vertices of  $\omega$  are vertices of both  $\sigma$  and  $\tau$ . It follows that the simplices  $\sigma$  and  $\tau$  have vertices in common.

## 4. Simplicial Complexes (continued)

Let  $\rho$  be the simplex whose vertex set is the intersection of the vertex sets of  $\sigma$  and  $\tau$ . Then  $\rho$  is a common face of both  $\sigma$  and  $\tau$ , and therefore  $\rho \in K$ . Moreover if  $\mathbf{x} \in \sigma \cap \tau$  and if  $\omega$  is the unique simplex of  $K$  whose interior contains the point  $\mathbf{x}$ , then (as we have already shown), all vertices of  $\omega$  are vertices of both  $\sigma$  and  $\tau$ . But then the vertex set of  $\omega$  is a subset of the vertex set of  $\rho$ , and thus  $\omega$  is a face of  $\rho$ . Thus each point  $\mathbf{x}$  of  $\sigma \cap \tau$  belongs to  $\rho$ , and therefore  $\sigma \cap \tau \subset \rho$ . But  $\rho$  is a common face of  $\sigma$  and  $\tau$  and therefore  $\rho \subset \sigma \cap \tau$ . It follows that  $\sigma \cap \tau = \rho$ , and thus  $\sigma \cap \tau$  is a common face of  $\sigma$  and  $\tau$ . This completes the proof that the collection  $K$  of simplices satisfying the given conditions is a simplicial complex. ■

### 4.2. Barycentric Subdivision of a Simplicial Complex

Let  $\sigma$  be a  $q$ -simplex in  $\mathbb{R}^k$  with vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$ . The *barycentre* of  $\sigma$  is defined to be the point

$$\hat{\sigma} = \frac{1}{q+1}(\mathbf{v}_0 + \mathbf{v}_1 + \dots + \mathbf{v}_q).$$

Let  $\sigma$  and  $\tau$  be simplices in some Euclidean space. If  $\sigma$  is a proper face of  $\tau$  then we denote this fact by writing  $\sigma < \tau$ .

A simplicial complex  $K_1$  is said to be a *subdivision* of a simplicial complex  $K$  if  $|K_1| = |K|$  and each simplex of  $K_1$  is contained in a simplex of  $K$ .

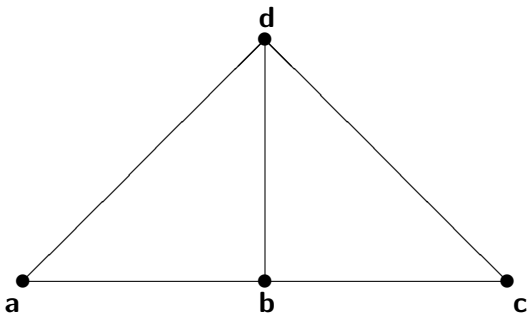
### Definition

Let  $K$  be a simplicial complex in some Euclidean space  $\mathbb{R}^k$ . The *first barycentric subdivision*  $K'$  of  $K$  is defined to be the collection of simplices in  $\mathbb{R}^k$  whose vertices are  $\hat{\sigma}_0, \hat{\sigma}_1, \dots, \hat{\sigma}_r$  for some sequence  $\sigma_0, \sigma_1, \dots, \sigma_r$  of simplices of  $K$  with  $\sigma_0 < \sigma_1 < \dots < \sigma_r$ . Thus the set of vertices of  $K'$  is the set of all the barycentres of all the simplices of  $K$ .

Note that every simplex of  $K'$  is contained in a simplex of  $K$ . Indeed if  $\sigma_0, \sigma_1, \dots, \sigma_r \in K$  satisfy  $\sigma_0 < \sigma_1 < \dots < \sigma_r$  then the simplex of  $K'$  spanned by  $\hat{\sigma}_0, \hat{\sigma}_1, \dots, \hat{\sigma}_r$ , is contained in the simplex  $\sigma_r$  of  $K$ .

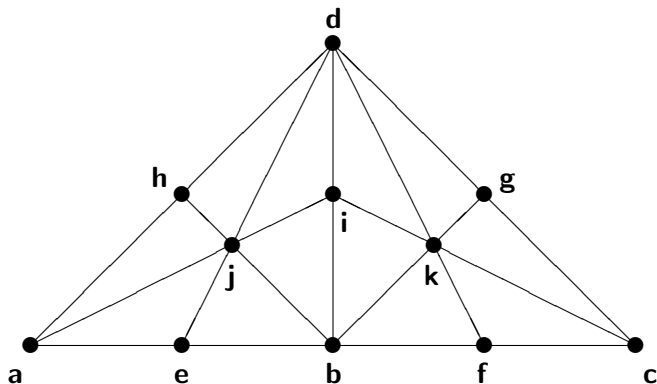
### Example

Let  $K$  be the simplicial complex consisting of two triangles  $\mathbf{abd}$  and  $\mathbf{bcd}$  that intersect along a common edge  $\mathbf{bd}$ , together with all the edges and vertices of the two triangles, as depicted in the following diagram:



#### 4. Simplicial Complexes (continued)

The barycentric subdivision  $K'$  of this simplicial complex is then as depicted in the following diagram:





#### 4. Simplicial Complexes (continued)

We see that  $K'$  consists of 12 triangles, together with all the edges and vertices of those triangles. Of the 11 vertices of  $K'$ , the vertices **a**, **b**, **c** and **d** are the vertices of the original complex  $K$ , the vertices **e**, **f**, **g**, **h** and **i** are the barycentres of the edges **a b**, **b c**, **c d**, **a d** and **b d** respectively, and are located at the midpoints of those edges, and the vertices **j** and **k** are the barycentres of the triangles **a b d** and **b c d** of  $K$ . Thus  $\mathbf{e} = \frac{1}{2}\mathbf{a} + \frac{1}{2}\mathbf{b}$ ,  $\mathbf{f} = \frac{1}{2}\mathbf{b} + \frac{1}{2}\mathbf{c}$ , etc., and  $\mathbf{j} = \frac{1}{3}\mathbf{a} + \frac{1}{3}\mathbf{b} + \frac{1}{3}\mathbf{d}$  and  $\mathbf{k} = \frac{1}{3}\mathbf{b} + \frac{1}{3}\mathbf{c} + \frac{1}{3}\mathbf{d}$ .

### Proposition 4.3

*Let  $K$  be a simplicial complex in some Euclidean space, and let  $K'$  be the first barycentric subdivision of  $K$ . Then  $K'$  is itself a simplicial complex, and  $|K'| = |K|$ .*

### Proof

We prove the result by induction on the number of simplices in  $K$ . The result is clear when  $K$  consists of a single simplex, since that simplex must then be a point and therefore  $K' = K$ . We prove the result for a simplicial complex  $K$ , assuming that it holds for all complexes with fewer simplices.

It is clear from the definition of the barycentric subdivision  $K'$  that any face of a simplex of  $K'$  must itself belong to  $K'$ . We must verify that any two simplices of  $K'$  are disjoint or else intersect in a common face.

## 4. Simplicial Complexes (continued)

Choose a simplex  $\sigma$  of  $K$  for which  $\dim \sigma = \dim K$ , and let  $L = K \setminus \{\sigma\}$ . Then  $L$  is a subcomplex of  $K$ , since  $\sigma$  is not a proper face of any simplex of  $K$ . Now  $L$  has fewer simplices than  $K$ . It follows from the induction hypothesis that  $L'$  is a simplicial complex and  $|L'| = |L|$ . Also it follows from the definition of  $K'$  that  $K'$  consists of the following simplices:—

- the simplices of  $L'$ ,
- the barycentre  $\hat{\sigma}$  of  $\sigma$ ,
- simplices  $\hat{\sigma}\rho$  whose vertex set is obtained by adjoining  $\hat{\sigma}$  to the vertex set of some simplex  $\rho$  of  $L'$ , where the vertices of  $\rho$  are barycentres of proper faces of  $\sigma$ .

#### 4. Simplicial Complexes (continued)

By checking all possible intersections of simplices of the above types, it is easy to verify that any two simplices of  $K'$  intersect in a common face. Indeed any two simplices of  $L'$  intersect in a common face, since  $L'$  is a simplicial complex. If  $\rho_1$  and  $\rho_2$  are simplices of  $L'$  whose vertices are barycentres of proper faces of  $\sigma$ , then  $\rho_1 \cap \rho_2$  is a common face of  $\rho_1$  and  $\rho_2$  which is of this type, and  $\hat{\sigma}\rho_1 \cap \hat{\sigma}\rho_2 = \hat{\sigma}(\rho_1 \cap \rho_2)$ . Thus  $\hat{\sigma}\rho_1 \cap \hat{\sigma}\rho_2$  is a common face of  $\hat{\sigma}\rho_1$  and  $\hat{\sigma}\rho_2$ . Also any simplex  $\tau$  of  $L'$  is disjoint from the barycentre  $\hat{\sigma}$  of  $\sigma$ , and  $\hat{\sigma}\rho \cap \tau = \rho \cap \tau$ . We conclude that  $K'$  is indeed a simplicial complex.

## 4. Simplicial Complexes (continued)

It remains to verify that  $|K'| = |K|$ . Now  $|K'| \subset |K|$ , since every simplex of  $K'$  is contained in a simplex of  $K$ . Let  $\mathbf{x}$  be a point of the chosen simplex  $\sigma$ . Then there exists a point  $\mathbf{y}$  belonging to a proper face of  $\sigma$  and some  $t \in [0, 1]$  such that  $\mathbf{x} = (1 - t)\hat{\sigma} + t\mathbf{y}$ . But then  $\mathbf{y} \in |L|$ , and  $|L| = |L'|$  by the induction hypothesis. It follows that  $\mathbf{y} \in \rho$  for some simplex  $\rho$  of  $L'$  whose vertices are barycentres of proper faces of  $\sigma$ . But then  $\mathbf{x} \in \hat{\sigma}\rho$ , and therefore  $\mathbf{x} \in |K'|$ . Thus  $|K| \subset |K'|$ , and hence  $|K'| = |K|$ , as required. ■

We define (by induction on  $j$ ) the  $j$ th barycentric subdivision  $K^{(j)}$  of  $K$  to be the first barycentric subdivision of  $K^{(j-1)}$  for each  $j > 1$ .

### Lemma 4.4

*Let  $\sigma$  be a  $q$ -simplex and let  $\tau$  be a face of  $\sigma$ . Let  $\hat{\sigma}$  and  $\hat{\tau}$  be the barycentres of  $\sigma$  and  $\tau$  respectively. If all the 1-simplices (edges) of  $\sigma$  have length not exceeding  $d$  for some  $d > 0$  then*

$$|\hat{\sigma} - \hat{\tau}| \leq \frac{qd}{q+1}.$$

### Proof

Let  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  be the vertices of  $\sigma$ . Let  $\mathbf{x}$  and  $\mathbf{y}$  be points of  $\sigma$ .

We can write  $\mathbf{y} = \sum_{j=0}^q t_j \mathbf{v}_j$ , where  $0 \leq t_i \leq 1$  for  $i = 0, 1, \dots, q$  and

$$\sum_{j=0}^q t_j = 1. \text{ Now}$$

$$\begin{aligned} |\mathbf{x} - \mathbf{y}| &= \left| \sum_{i=0}^q t_i (\mathbf{x} - \mathbf{v}_i) \right| \leq \sum_{i=0}^q t_i |\mathbf{x} - \mathbf{v}_i| \\ &\leq \text{maximum} (|\mathbf{x} - \mathbf{v}_0|, |\mathbf{x} - \mathbf{v}_1|, \dots, |\mathbf{x} - \mathbf{v}_q|). \end{aligned}$$

Applying this result with  $\mathbf{x} = \hat{\sigma}$  and  $\mathbf{y} = \hat{\tau}$ , we find that

$$|\hat{\sigma} - \hat{\tau}| \leq \text{maximum} (|\hat{\sigma} - \mathbf{v}_0|, |\hat{\sigma} - \mathbf{v}_1|, \dots, |\hat{\sigma} - \mathbf{v}_q|).$$

#### 4. Simplicial Complexes (continued)

But

$$\hat{\sigma} = \frac{1}{q+1}\mathbf{v}_i + \frac{q}{q+1}\mathbf{z}_i$$

for  $i = 0, 1, \dots, q$ , where  $\mathbf{z}_i$  is the barycentre of the  $(q-1)$ -face of  $\sigma$  opposite to  $\mathbf{v}_i$ , given by

$$\mathbf{z}_i = \frac{1}{q} \sum_{j \neq i} \mathbf{v}_j.$$

Moreover  $\mathbf{z}_i \in \sigma$ . It follows that

$$|\hat{\sigma} - \mathbf{v}_i| = \frac{q}{q+1} |\mathbf{z}_i - \mathbf{v}_i| \leq \frac{qd}{q+1}$$

for  $i = 1, 2, \dots, q$ , and thus

$$|\hat{\sigma} - \hat{\tau}| \leq \text{maximum} (|\hat{\sigma} - \mathbf{v}_0|, |\hat{\sigma} - \mathbf{v}_1|, \dots, |\hat{\sigma} - \mathbf{v}_q|) \leq \frac{qd}{q+1},$$

as required. ■



### Definition

The *mesh*  $\mu(K)$  of a simplicial complex  $K$  is the length of the longest edge of  $K$ .

### Lemma 4.5

*Let  $K$  be a simplicial complex, and let  $n$  be the dimension of  $K$ . Let  $K'$  be the first barycentric subdivision of  $K$ . Then*

$$\mu(K') \leq \frac{n}{n+1} \mu(K).$$

### Proof

A 1-simplex of  $K'$  is of the form  $(\hat{\tau}, \hat{\sigma})$ , where  $\sigma$  is a  $q$ -simplex of  $K$  for some  $q \leq n$  and  $\tau$  is a proper face of  $\sigma$ . Then

$$|\hat{\tau} - \hat{\sigma}| \leq \frac{q}{q+1} \mu(K) \leq \frac{n}{n+1} \mu(K)$$

by Lemma 4.4, as required. ■

**Lemma 4.6**

*Let  $K$  be a simplicial complex, let  $K^{(j)}$  be the  $j$ th barycentric subdivision of  $K$  for all positive integers  $j$ , and let  $\mu(K^{(j)})$  be the mesh of  $K^{(j)}$ . Then  $\lim_{j \rightarrow +\infty} \mu(K^{(j)}) = 0$ .*

**Proof**

The dimension of all barycentric subdivisions of a simplicial complex is equal to the dimension of the simplicial complex itself. It therefore follows from Lemma 4.5 that

$$\mu(K^{(j)}) \leq \left( \frac{n}{n+1} \right)^j \mu(K).$$

The result follows. ■

## 4.3. Piecewise Linear Maps on Simplicial Complexes

**Definition**

Let  $K$  be a simplicial complex in  $n$ -dimensional Euclidean space. A function  $f: |K| \rightarrow \mathbb{R}^m$  mapping the polyhedron  $|K|$  of  $K$  into  $m$ -dimensional Euclidean space  $\mathbb{R}^m$  is said to be *piecewise linear* on each simplex of  $K$  if

$$f\left(\sum_{i=0}^q t_i \mathbf{v}_i\right) = \sum_{i=0}^q t_i f(\mathbf{v}_i)$$

for all vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  of  $K$  that span a simplex of  $K$ , and  
for all non-negative real numbers  $t_0, t_1, \dots, t_q$  satisfying  $\sum_{i=0}^q t_i = 1$ .

### Lemma 4.7

*Let  $K$  be a simplicial complex in  $n$ -dimensional Euclidean space, and let  $f: |K| \rightarrow \mathbb{R}^m$  be a function mapping the polyhedron  $|K|$  of  $K$  into  $m$ -dimensional Euclidean space  $\mathbb{R}^m$  that is piecewise linear on each simplex of  $K$ . Then  $f: |K| \rightarrow \mathbb{R}^m$  is continuous.*

### Proof

The definition of piecewise linear functions ensures that the restriction of  $f: |K| \rightarrow \mathbb{R}^m$  to each simplex of  $K$  is continuous on that simplex. The result therefore follows from Lemma 4.1. ■

### Proposition 4.8

*Let  $K$  be a simplicial complex in  $n$ -dimensional Euclidean space and let  $\alpha: \text{Vert}(K) \rightarrow \mathbb{R}^m$  be a function mapping the set  $\text{Vert}(K)$  of vertices of  $K$  into  $m$ -dimensional Euclidean space  $\mathbb{R}^m$ . Then there exists a unique function  $f: |K| \rightarrow \mathbb{R}^m$  defined on the polyhedron  $|K|$  of  $K$  that is piecewise linear on each simplex of  $K$  and satisfies  $f(\mathbf{v}) = \alpha(\mathbf{v})$  for all vertices  $\mathbf{v}$  of  $K$ .*

## 4. Simplicial Complexes (continued)

### Proof

Given any point  $\mathbf{x}$  of  $K$ , there exists a unique simplex of  $K$  whose interior contains the point  $\mathbf{x}$  (Proposition 4.2). Let the vertices of this simplex be  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_p$ , where  $p \leq n$ . Then there exist uniquely-determined strictly positive real numbers  $t_0, t_1, \dots, t_p$  satisfying  $\sum_{i=0}^p t_i = 1$  for which  $\mathbf{x} = \sum_{i=0}^p t_i \mathbf{v}_i$ . We then define  $f(\mathbf{x})$  so that

$$f(\mathbf{x}) = \sum_{i=0}^p t_i \alpha(\mathbf{v}_i).$$

Defining  $f(\mathbf{x})$  in this fashion at each point  $\mathbf{x}$  of  $|K|$ , we obtain a function  $f: |K| \rightarrow \mathbb{R}^m$  mapping  $\Delta$  into  $\mathbb{R}^m$ .

## 4. Simplicial Complexes (continued)

Now let  $\mathbf{x} \in \sigma$  for some  $q$ -simplex of  $K$ . We can order the vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  of  $\sigma$  so that the point  $\mathbf{x}$  belongs to the interior of the face of  $\sigma$  spanned by  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_p$  where  $p \leq q$ . Let  $t_1, t_2, \dots, t_q$  be the barycentric coordinates of the point  $\mathbf{x}$  with respect to the simplex  $\sigma$ . Then  $\mathbf{x} = \sum_{i=0}^q t_i \mathbf{v}_i$ , where  $t_i > 0$  for those integers  $i$  satisfying  $0 \leq i \leq p$ ,  $t_i = 0$  for those integers  $i$  (if any) satisfying  $p < i \leq q$ , and  $\sum_{i=0}^p t_i = \sum_{i=0}^q t_i = 1$ . Then

$$f\left(\sum_{i=0}^q t_i \mathbf{v}_i\right) = f(\mathbf{x}) = \sum_{i=0}^p t_i \alpha(\mathbf{v}_i) = \sum_{i=0}^q t_i f(\mathbf{v}_i).$$

The result follows. ■



**Corollary 4.9**

*Let  $K$  be a simplicial complex in  $\mathbb{R}^n$  and let  $L$  be simplicial complexes in  $\mathbb{R}^m$ , where  $m$  and  $n$  are positive integers, and let  $\varphi: \text{Vert}(K) \rightarrow \text{Vert}(L)$  be a function mapping vertices of  $K$  to vertices of  $L$ . Suppose that*

$$\varphi(\mathbf{v}_0), \varphi(\mathbf{v}_1), \dots, \varphi(\mathbf{v}_q)$$

*span a simplex of  $L$  for all vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  of  $K$  that span a simplex of  $K$ . Then there exists a unique continuous map  $\bar{\varphi}: |K| \rightarrow |L|$  mapping the polyhedron  $|K|$  of  $K$  into the polyhedron  $|L|$  of  $L$  that is piecewise linear on each simplex of  $K$  and satisfies  $\bar{\varphi}(\mathbf{v}) = \varphi(\mathbf{v})$  for all vertices  $\mathbf{v}$  of  $K$ . Moreover this function maps the interior of a simplex of  $K$  spanned by vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  into the interior of the simplex of  $L$  spanned by  $\varphi(\mathbf{v}_0), \varphi(\mathbf{v}_1), \dots, \varphi(\mathbf{v}_q)$ .*

## 4. Simplicial Complexes (continued)

### Proof

It follows from Proposition 4.8 that there is a unique piecewise linear function  $f: |K| \rightarrow \mathbb{R}^m$  that satisfies  $f(\mathbf{v}) = \varphi(\mathbf{v})$  for all  $\mathbf{v} \in \text{Vert}(K)$ . We show that  $f(|K|) \subset |L|$ .

Let

$$\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$$

be vertices of a simplex  $\sigma$  of  $K$ , and let  $t_0, t_1, \dots, t_q$  be non-negative real numbers satisfying  $\sum_{j=0}^q t_j = 1$ . Then

$$\varphi(\mathbf{v}_0), \varphi(\mathbf{v}_1), \dots, \varphi(\mathbf{v}_q)$$

span a simplex of  $L$ . Let  $\tau$  be the simplex of  $L$  spanned by these vertices of  $L$ , and let  $\mathbf{w}_0, \mathbf{w}_1, \dots, \mathbf{w}_r$  be the vertices of  $\tau$ . Then, for each integer  $j$  between 1 and  $r$ , let  $u_j$  be the sum of those  $t_i$  for which  $\varphi(\mathbf{v}_i) = \mathbf{w}_j$ .

## 4. Simplicial Complexes (continued)

Then

$$f\left(\sum_{i=0}^q t_i \mathbf{v}_i\right) = \sum_{i=0}^q t_i \varphi(\mathbf{v}_i) = \sum_{j=0}^r u_j \mathbf{w}_j$$

and  $\sum_{j=0}^r u_j = 1$ . It follows that  $f(\sigma) \subset \tau$ . Moreover, given any integer  $j$  between 1 and  $r$ , there exists at least one integer  $i$  between 1 and  $q$  for which  $\varphi(\mathbf{v}_i) = \mathbf{w}_j$ . It follows that if  $t_0, t_1, t_2, \dots, t_q$  are all strictly positive then  $u_0, u_1, \dots, u_r$  are also all strictly positive. Therefore the piecewise linear function  $f$  maps the interior of  $\sigma$  into the interior of  $\tau$ .

We have already shown that  $f: |K| \rightarrow \mathbb{R}^m$  maps each simplex of  $K$  into a simplex of  $L$ . Therefore there exists a uniquely-determined linear function  $\bar{\varphi}: |K| \rightarrow |L|$  satisfying  $\bar{\varphi}(\mathbf{x}) = f(\mathbf{x})$  for all  $\mathbf{x} \in |K|$ . The result follows. ■

### 4.4. Simplicial Maps

#### Definition

A *simplicial map*  $\varphi: K \rightarrow L$  between simplicial complexes  $K$  and  $L$  is a function  $\varphi: \text{Vert } K \rightarrow \text{Vert } L$  from the vertex set of  $K$  to that of  $L$  such that  $\varphi(\mathbf{v}_0), \varphi(\mathbf{v}_1), \dots, \varphi(\mathbf{v}_q)$  span a simplex belonging to  $L$  whenever  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  span a simplex of  $K$ .

Note that a simplicial map  $\varphi: K \rightarrow L$  between simplicial complexes  $K$  and  $L$  can be regarded as a function from  $K$  to  $L$ : this function sends a simplex  $\sigma$  of  $K$  with vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  to the simplex  $\varphi(\sigma)$  of  $L$  spanned by the vertices  $\varphi(\mathbf{v}_0), \varphi(\mathbf{v}_1), \dots, \varphi(\mathbf{v}_q)$ .

## 4. Simplicial Complexes (continued)

It follows from Corollary 4.9 that simplicial map  $\varphi: K \rightarrow L$  also induces in a natural fashion a continuous map  $\varphi: |K| \rightarrow |L|$  between the polyhedra of  $K$  and  $L$ , where

$$\varphi \left( \sum_{j=0}^q t_j \mathbf{v}_j \right) = \sum_{j=0}^q t_j \varphi(\mathbf{v}_j)$$

whenever  $0 \leq t_j \leq 1$  for  $j = 0, 1, \dots, q$ ,  $\sum_{j=0}^q t_j = 1$ , and

$\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$  span a simplex of  $K$ . Moreover it also follows from Corollary 4.9 that the interior of a simplex  $\sigma$  of  $K$  is mapped into the interior of the simplex  $\varphi(\sigma)$  of  $L$ .

## 4. Simplicial Complexes (continued)

There are thus three equivalent ways of describing a simplicial map: as a function between the vertex sets of two simplicial complexes, as a function from one simplicial complex to another, and as a continuous map between the polyhedra of two simplicial complexes. In what follows, we shall describe a simplicial map using the representation that is most appropriate in the given context.

### 4.5. Simplicial Approximations

#### Definition

Let  $f: |K| \rightarrow |L|$  be a continuous map between the polyhedra of simplicial complexes  $K$  and  $L$ . A simplicial map  $s: K \rightarrow L$  is said to be a *simplicial approximation* to  $f$  if, for each  $\mathbf{x} \in |K|$ ,  $s(\mathbf{x})$  is an element of the unique simplex of  $L$  which contains  $f(\mathbf{x})$  in its interior.

#### Definition

Let  $X$  and  $Y$  be subsets of Euclidean spaces. Continuous maps  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  from  $X$  to  $Y$  are said to be *homotopic* if there exists a continuous map  $H: X \times [0, 1] \rightarrow Y$  such that  $H(x, 0) = f(x)$  and  $H(x, 1) = g(x)$  for all  $x \in X$ .

**Lemma 4.10**

*Let  $K$  and  $L$  be simplicial complexes, let  $f: |K| \rightarrow |L|$  be a continuous map between the polyhedra of  $K$  and  $L$ , and let  $s: K \rightarrow L$  be a simplicial approximation to the map  $f$ . Then there is a well-defined homotopy  $H: |K| \times [0, 1] \rightarrow |L|$ , between the maps  $f$  and  $s$ , where*

$$H(\mathbf{x}, t) = (1 - t)f(\mathbf{x}) + ts(\mathbf{x})$$

*for all  $\mathbf{x} \in |K|$  and  $t \in [0, 1]$ .*



### Proof

Let  $\mathbf{x} \in |K|$ . Then there is a unique simplex  $\sigma$  of  $L$  such that the point  $f(\mathbf{x})$  belongs to the interior of  $\sigma$ . Then  $s(\mathbf{x}) \in \sigma$ . But, given any two points of a simplex embedded in some Euclidean space, the line segment joining those two points is contained within the simplex. It follows that  $(1 - t)f(\mathbf{x}) + ts(\mathbf{x}) \in |L|$  for all  $\mathbf{x} \in K$  and  $t \in [0, 1]$ . Thus the homotopy  $H: |K| \times [0, 1] \rightarrow |L|$  is a well-defined map from  $|K| \times [0, 1]$  to  $|L|$ . Moreover it follows directly from the definition of this map that  $H(\mathbf{x}, 0) = f(\mathbf{x})$  and  $H(\mathbf{x}, 1) = s(\mathbf{x})$  for all  $\mathbf{x} \in |K|$  and  $t \in [0, 1]$ . The map  $H$  is thus a homotopy between the maps  $f$  and  $s$ , as required. ■

### Definition

Let  $K$  be a simplicial complex, and let  $\mathbf{x} \in |K|$ . The *star neighbourhood*  $\text{st}_K(\mathbf{x})$  of  $\mathbf{x}$  in  $K$  is the union of the interiors of all simplices of  $K$  that contain the point  $\mathbf{x}$ .

### Lemma 4.11

*Let  $K$  be a simplicial complex and let  $\mathbf{x} \in |K|$ . Then the star neighbourhood  $\text{st}_K(\mathbf{x})$  of  $\mathbf{x}$  is open in  $|K|$ , and  $\mathbf{x} \in \text{st}_K(\mathbf{x})$ .*

**Proof**

Every point of  $|K|$  belongs to the interior of a unique simplex of  $K$  (Proposition 4.2). It follows that the complement  $|K| \setminus \text{st}_K(\mathbf{x})$  of  $\text{st}_K(\mathbf{x})$  in  $|K|$  is the union of the interiors of those simplices of  $K$  that do not contain the point  $\mathbf{x}$ . But if a simplex of  $K$  does not contain the point  $\mathbf{x}$ , then the same is true of its faces. Moreover the union of the interiors of all the faces of some simplex is the simplex itself. It follows that  $|K| \setminus \text{st}_K(\mathbf{x})$  is the union of all simplices of  $K$  that do not contain the point  $\mathbf{x}$ . But each simplex of  $K$  is closed in  $|K|$ . It follows that  $|K| \setminus \text{st}_K(\mathbf{x})$  is a finite union of closed sets, and is thus itself closed in  $|K|$ . We deduce that  $\text{st}_K(\mathbf{x})$  is open in  $|K|$ . Also  $\mathbf{x} \in \text{st}_K(\mathbf{x})$ , since  $\mathbf{x}$  belongs to the interior of at least one simplex of  $K$ . ■

**Proposition 4.12**

*A function  $s: \text{Vert } K \rightarrow \text{Vert } L$  between the vertex sets of simplicial complexes  $K$  and  $L$  is a simplicial map, and a simplicial approximation to some continuous map  $f: |K| \rightarrow |L|$ , if and only if  $f(\text{st}_K(\mathbf{v})) \subset \text{st}_L(s(\mathbf{v}))$  for all vertices  $\mathbf{v}$  of  $K$ .*

**Proof**

Let  $s: K \rightarrow L$  be a simplicial approximation to  $f: |K| \rightarrow |L|$ , let  $\mathbf{v}$  be a vertex of  $K$ , and let  $\mathbf{x} \in \text{st}_K(\mathbf{v})$ . Then  $\mathbf{x}$  and  $f(\mathbf{x})$  belong to the interiors of unique simplices  $\sigma \in K$  and  $\tau \in L$ . Moreover  $\mathbf{v}$  must be a vertex of  $\sigma$ , by definition of  $\text{st}_K(\mathbf{v})$ . Now  $s(\mathbf{x})$  must belong to  $\tau$  (since  $s$  is a simplicial approximation to the map  $f$ ), and therefore  $s(\mathbf{x})$  must belong to the interior of some face of  $\tau$ .

But  $s(\mathbf{x})$  must belong to the interior of  $s(\sigma)$ , because  $\mathbf{x}$  is in the interior of  $\sigma$  (see Corollary 4.9). It follows that  $s(\sigma)$  must be a face of  $\tau$ , and therefore  $s(\mathbf{v})$  must be a vertex of  $\tau$ . Thus  $f(\mathbf{x}) \in \text{st}_L(s(\mathbf{v}))$ . We conclude that if  $s: K \rightarrow L$  is a simplicial approximation to  $f: |K| \rightarrow |L|$ , then  $f(\text{st}_K(\mathbf{v})) \subset \text{st}_L(s(\mathbf{v}))$ .

## 4. Simplicial Complexes (continued)

Conversely let  $s: \text{Vert } K \rightarrow \text{Vert } L$  be a function with the property that  $f(\text{st}_K(\mathbf{v})) \subset \text{st}_L(s(\mathbf{v}))$  for all vertices  $\mathbf{v}$  of  $K$ . Let  $\mathbf{x}$  be a point in the interior of some simplex of  $K$  with vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_q$ . Then  $\mathbf{x} \in \text{st}_K(\mathbf{v}_j)$  and hence  $f(\mathbf{x}) \in \text{st}_L(s(\mathbf{v}_j))$  for  $j = 0, 1, \dots, q$ . It follows that each vertex  $s(\mathbf{v}_j)$  must be a vertex of the unique simplex  $\tau \in L$  that contains  $f(\mathbf{x})$  in its interior. In particular,  $s(\mathbf{v}_0), s(\mathbf{v}_1), \dots, s(\mathbf{v}_q)$  span a face of  $\tau$ , and  $s(\mathbf{x}) \in \tau$ . We conclude that the function  $s: \text{Vert } K \rightarrow \text{Vert } L$  represents a simplicial map which is a simplicial approximation to  $f: |K| \rightarrow |L|$ , as required. ■

### Corollary 4.13

*If  $s: K \rightarrow L$  and  $t: L \rightarrow M$  are simplicial approximations to continuous maps  $f: |K| \rightarrow |L|$  and  $g: |L| \rightarrow |M|$ , where  $K$ ,  $L$  and  $M$  are simplicial complexes, then  $t \circ s: K \rightarrow M$  is a simplicial approximation to  $g \circ f: |K| \rightarrow |M|$ .*

### 4.6. The Simplicial Approximation Theorem

#### Theorem 4.14

*(Simplicial Approximation Theorem) Let  $K$  and  $L$  be simplicial complexes, and let  $f: |K| \rightarrow |L|$  be a continuous map. Then, for some sufficiently large integer  $j$ , there exists a simplicial approximation  $s: K^{(j)} \rightarrow L$  to  $f$  defined on the  $j$ th barycentric subdivision  $K^{(j)}$  of  $K$ .*



### Proof

The collection consisting of the stars  $\text{st}_L(\mathbf{w})$  of all vertices  $\mathbf{w}$  of  $L$  is an open cover of  $|L|$ , since each star  $\text{st}_L(\mathbf{w})$  is open in  $|L|$  (Lemma 4.11) and the interior of any simplex of  $L$  is contained in  $\text{st}_L(\mathbf{w})$  whenever  $\mathbf{w}$  is a vertex of that simplex. It follows from the continuity of the map  $f: |K| \rightarrow |L|$  that the collection consisting of the preimages  $f^{-1}(\text{st}_L(\mathbf{w}))$  of the stars of all vertices  $\mathbf{w}$  of  $L$  is an open cover of  $|K|$ .

Now the set  $|K|$  is a closed bounded subset of a Euclidean space. It follows that there exists a Lebesgue number  $\delta_L$  for the open cover consisting of the preimages of the stars of all the vertices of  $L$  (see Proposition 1.19). This Lebesgue number  $\delta_L$  is a positive real number with the following property: every subset of  $|K|$  whose diameter is less than  $\delta_L$  is contained in the preimage of the star of some vertex  $\mathbf{w}$  of  $L$ . It follows that every subset of  $|K|$  whose diameter is less than  $\delta_L$  is mapped by  $f$  into  $\text{st}_L(\mathbf{w})$  for some vertex  $\mathbf{w}$  of  $L$ .

## 4. Simplicial Complexes (continued)

Now the mesh  $\mu(K^{(j)})$  of the  $j$ th barycentric subdivision of  $K$  tends to zero as  $j \rightarrow +\infty$  (see Lemma 4.6). Thus we can choose  $j$  such that  $\mu(K^{(j)}) < \frac{1}{2}\delta_L$ . If  $\mathbf{v}$  is a vertex of  $K^{(j)}$  then each point of  $\text{st}_{K^{(j)}}(\mathbf{v})$  is within a distance  $\frac{1}{2}\delta_L$  of  $\mathbf{v}$ , and hence the diameter of  $\text{st}_{K^{(j)}}(\mathbf{v})$  is at most  $\delta_L$ . We can therefore choose, for each vertex  $\mathbf{v}$  of  $K^{(j)}$  a vertex  $s(\mathbf{v})$  of  $L$  such that  $f(\text{st}_{K^{(j)}}(\mathbf{v})) \subset \text{st}_L(s(\mathbf{v}))$ . In this way we obtain a function  $s: \text{Vert } K^{(j)} \rightarrow \text{Vert } L$  from the vertices of  $K^{(j)}$  to the vertices of  $L$ . It follows directly from Proposition 4.12 that this is the desired simplicial approximation to  $f$ . ■