

Module MA342R: Covering Spaces and  
Fundamental Groups  
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Section 5: Discontinuous Group Actions and  
Orbit Spaces

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## 5 Discontinuous Group Actions and Orbit Spaces

### 5.1 Discontinuous Group Actions

**Definition** Let  $G$  be a group, and let  $X$  be a set. The group  $G$  is said to *act* on the set  $X$  (on the left) if each element  $g$  of  $G$  determines a corresponding function  $\theta_g: X \rightarrow X$  from the set  $X$  to itself, where

- (i)  $\theta_{gh} = \theta_g \circ \theta_h$  for all  $g, h \in G$ ;
- (ii) the function  $\theta_e$  determined by the identity element  $e$  of  $G$  is the identity function of  $X$ .

Let  $G$  be a group acting on a set  $X$ . Given any element  $x$  of  $X$ , the *orbit*  $[x]_G$  of  $x$  (under the group action) is defined to be the subset  $\{\theta_g(x) : g \in G\}$  of  $X$ , and the *stabilizer* of  $x$  is defined to be the subgroup  $\{g \in G : \theta_g(x) = x\}$  of the group  $G$ . Thus the orbit of an element  $x$  of  $X$  is the set consisting of all points of  $X$  to which  $x$  gets mapped under the action of elements of the group  $G$ . The stabilizer of  $x$  is the subgroup of  $G$  consisting of all elements of this group that fix the point  $x$ . The group  $G$  is said to act *freely* on  $X$  if  $\theta_g(x) \neq x$  for all  $x \in X$  and  $g \in G$  satisfying  $g \neq e$ . Thus the group  $G$  acts freely on  $X$  if and only if the stabilizer of every element of  $X$  is the trivial subgroup of  $G$ .

Let  $e$  be the identity element of  $G$ . Then  $x = \theta_e(x)$  for all  $x \in X$ , and therefore  $x \in [x]_G$  for all  $x \in X$ , where  $[x]_G = \{\theta_g(x) : g \in G\}$ .

Let  $x$  and  $y$  be elements of  $X$  for which  $[x]_G \cap [y]_G$  is non-empty, and let  $z \in [x]_G \cap [y]_G$ . Then there exist elements  $h$  and  $k$  of  $G$  such that  $z = \theta_h(x) = \theta_k(y)$ . Then  $\theta_g(z) = \theta_{gh}(x) = \theta_{gk}(y)$ ,  $\theta_g(x) = \theta_{gh^{-1}}(z)$  and  $\theta_g(y) = \theta_{gk^{-1}}(z)$  for all  $g \in G$ , and therefore  $[x]_G = [z]_G = [y]_G$ . It follows from this that the group action partitions the set  $X$  into orbits, so that each element of  $X$  determines an orbit which is the unique orbit for the action of  $G$  on  $X$  to which it belongs. We denote by  $X/G$  the set of orbits for the action of  $G$  on  $X$ .

Now suppose that the group  $G$  acts on a topological space  $X$ . Then there is a surjective function  $q: X \rightarrow X/G$ , where  $q(x) = [x]_G$  for all  $x \in X$ . This surjective function induces a quotient topology on the set of orbits: a subset  $U$  of  $X/G$  is open in this quotient topology if and only if  $q^{-1}(U)$  is an open set in  $X$  (see Lemma 1.34). We define the *orbit space*  $X/G$  for the action of  $G$  on  $X$  to be the topological space whose underlying set is the set of orbits for the action of  $G$  on  $X$ , the topology on  $X/G$  being the quotient topology induced by the function  $q: X \rightarrow X/G$ . This function  $q: X \rightarrow X/G$

is then an identification map: we shall refer to it as the *quotient map* from  $X$  to  $X/G$ .

We shall be concerned here with situations in which a group action on a topological space gives rise to a covering map. The relevant group actions are those where the group acts *freely and properly discontinuously* on the topological space.

**Definition** Let  $G$  be a group with identity element  $e$ , and let  $X$  be a topological space. The group  $G$  is said to act *freely and properly discontinuously* on  $X$  if each element  $g$  of  $G$  determines a corresponding continuous map  $\theta_g: X \rightarrow X$ , where the following conditions are satisfied:

- (i)  $\theta_{gh} = \theta_g \circ \theta_h$  for all  $g, h \in G$ ;
- (ii) the continuous map  $\theta_e$  determined by the identity element  $e$  of  $G$  is the identity map of  $X$ ;
- (iii) given any point  $x$  of  $X$ , there exists an open set  $U$  in  $X$  such that  $x \in U$  and  $\theta_g(U) \cap U = \emptyset$  for all  $g \in G$  satisfying  $g \neq e$ .

Let  $G$  be a group which acts freely and properly discontinuously on a topological space  $X$ . Given any element  $g$  of  $G$ , the corresponding continuous function  $\theta_g: X \rightarrow X$  determined by  $X$  is a homeomorphism. Indeed it follows from conditions (i) and (ii) in the above definition that  $\theta_{g^{-1}} \circ \theta_g$  and  $\theta_g \circ \theta_{g^{-1}}$  are both equal to the identity map of  $X$ , and therefore  $\theta_g: X \rightarrow X$  is a homeomorphism with inverse  $\theta_{g^{-1}}: X \rightarrow X$ .

**Remark** The terminology ‘freely and properly discontinuously’ is traditional, but is hardly ideal. The adverb ‘freely’ refers to the requirement that  $\theta_g(x) \neq x$  for all  $x \in X$  and for all  $g \in G$  satisfying  $g \neq e$ . The adverb ‘discontinuously’ refers to the fact that, given any point  $x$  of  $G$ , the elements of the orbit  $\{\theta_g(x) : g \in G\}$  of  $x$  are separated; it does not signify that the functions defining the action are in any way discontinuous or badly-behaved. The adverb ‘properly’ refers to the fact that, given any compact subset  $K$  of  $X$ , the number of elements of  $g$  for which  $K \cap \theta_g(K) \neq \emptyset$  is finite. Moreover the definitions of *properly discontinuous actions* in textbooks and in sources of reference are not always in agreement: some say that an action of a group  $G$  on a topological space  $X$  (where each group element determines a corresponding homeomorphism of the topological space) is *properly discontinuous* if, given any  $x \in X$ , there exists an open set  $U$  in  $X$  such that the number of elements  $g$  of the group for which  $g(U) \cap U \neq \emptyset$  is finite; others say that the action is *properly discontinuous* if it satisfies the conditions given in

the definition above for a group acting freely and properly discontinuously on the set. William Fulton, in his textbook *Algebraic topology: a first course* (Springer, 1995), introduced the term ‘evenly’ in place of ‘freely and properly discontinuously’, but this change in terminology does not appear to have been generally adopted.

## 5.2 Orbit Spaces

**Example** The cyclic group  $C_2$  of order 2 consists of a set  $\{e, a\}$  with two elements  $e$  and  $a$ , together with a group multiplication operation defined so that  $e^2 = a^2 = e$  and  $ea = ae = a$ . The identity element of  $C_2$  is thus  $e$ .

Let us represent the  $n$ -dimensional sphere  $S^n$  as the unit sphere in  $\mathbb{R}^{n+1}$  centred on the origin. Let  $\theta_e: S^n \rightarrow S^n$  be the identity map of  $S^n$  and let  $\theta_a: S^n \rightarrow S^n$  be the antipodal map of  $S^n$ , defined such that  $\theta_a(\mathbf{x}) = -\mathbf{x}$  for all  $\mathbf{x} \in S^n$ . Then the group  $C_2$  acts on  $S^n$  (on the left) so that elements  $a$  and  $e$  of  $C_2$  correspond under this action to the homeomorphisms  $\theta_e$  and  $\theta_a$  respectively. Points  $\mathbf{x}$  and  $\mathbf{y}$  are said to be *antipodal* to one another if and only if  $\mathbf{y} = -\mathbf{x}$ . Each orbit for the action of  $C_2$  on  $S^n$  thus consists of a pair of antipodal points on  $S^n$ .

Let  $\mathbf{p}$  be an element of  $S^n$ , and let

$$U = \{\mathbf{x} \in S^n : \mathbf{x} \cdot \mathbf{p} > 0\}.$$

Then  $U$  is open in  $S^n$  and  $\mathbf{p} \in U$ . Also

$$\theta_a(U) = \{\mathbf{x} \in S^n : \mathbf{x} \cdot \mathbf{p} < 0\},$$

and therefore  $U \cap \theta_a(U) = \emptyset$ . It follows that the group  $C_2$  acts freely and properly discontinuously on  $S^n$ .

Distinct points of  $S^n$  belong to the same orbit under the action of  $C_2$  on  $S^n$  if and only if the line in  $\mathbb{R}^{n+1}$  passing through those points also passes through the origin. It follows that lines in  $\mathbb{R}^{n+1}$  that pass through the origin are in one-to-one correspondence with orbits for the action of  $C_2$  on  $S^n$ . The orbit space  $S^n/C_2$  thus represents the set of lines through the origin in  $\mathbb{R}^{n+1}$ . We define  $n$ -dimensional *real projective space*  $\mathbb{R}P^n$  to be the topological space whose elements are the lines in  $\mathbb{R}^{n+1}$  passing through the origin, with the topology obtained on identifying  $\mathbb{R}P^n$  with the orbit space  $S^n/C_2$ . The quotient map  $q: S^n \rightarrow \mathbb{R}P^n$  then sends each point  $\mathbf{x}$  of  $S^n$  to the orbit consisting of the two points  $\mathbf{x}$  and  $-\mathbf{x}$ . Thus each pair of antipodal points on the  $n$ -dimensional sphere  $S^n$  determines a single point of  $n$ -dimensional real projective space  $\mathbb{R}P^n$ .

**Proposition 5.1** *Let  $G$  be a group acting freely and properly discontinuously on a topological space  $X$ , let  $X/G$  denote the resulting orbit space, and let  $q: X \rightarrow X/G$  be the quotient map that sends each element of  $X$  to its orbit under the action of the group  $G$ . Let  $p: X \rightarrow Y$  be a continuous surjective map from  $X$  to a topological space  $Y$ . Suppose that elements  $x$  and  $x'$  of  $X$  satisfy  $p(x) = p(x')$  if and only if  $q(x) = q(x')$ . Suppose also  $p(V)$  is open in  $Y$  for every open set  $V$  in  $X$ . Then the surjective continuous map  $p: X \rightarrow Y$  induces a homeomorphism  $h: X/G \rightarrow Y$  between the topological spaces  $X/G$  and  $Y$ , where  $h(q(x)) = p(x)$  for all  $x \in X$ .*

**Proof** The function  $h: X/G \rightarrow Y$  is continuous because  $p: X \rightarrow Y$  is continuous and  $q: X \rightarrow X/G$  is a quotient map (see Lemma 1.35). Moreover it is surjective because  $p: X \rightarrow Y$  is a surjection, and it is injective because elements  $x$  and  $x'$  satisfy  $p(x) = p(x')$  if and only if  $q(x) = q(x')$ . It follows that  $h: X/G \rightarrow Y$  is a bijection.

Let  $W$  be an open set in  $X/G$ . It follows from the definition of the quotient topology that  $q^{-1}(W)$  is open in  $X$ . The map  $p$  maps open sets to open sets. Therefore  $p(q^{-1}(W))$  is open in  $Y$ . But  $p(q^{-1}(W)) = h(W)$ . Thus  $h(W)$  is open in  $Y$  for every open set  $W$  in  $X/G$ , and therefore  $h^{-1}: Y \rightarrow X/G$  is continuous. Thus the continuous bijection  $h: X/G \rightarrow Y$  is a homeomorphism, as required. ■

**Corollary 5.2** *Let the group  $\mathbb{Z}$  act on the real line  $\mathbb{R}$  by translation, where the action sends each integer  $n$  to the translation  $\theta_n: \mathbb{R} \rightarrow \mathbb{R}$  defined such that  $\theta_n(t) = t + n$  for all real numbers  $t$ . Let  $\mathbb{R}/\mathbb{Z}$  denote the orbit space for this action, and let  $q: \mathbb{R} \rightarrow \mathbb{R}/\mathbb{Z}$  be the quotient map that sends each real number to its orbit under the action of the group  $\mathbb{Z}$ . Let  $S^1$  denote the unit circle centred on the origin in  $\mathbb{R}^2$ , let  $p: \mathbb{R} \rightarrow S^1$  be defined such that*

$$p(t) = (\cos 2\pi t, \sin 2\pi t)$$

*for all real numbers  $t$ , and let  $h: \mathbb{R}/\mathbb{Z} \rightarrow S^1$  be the map defined such that  $h(q(t)) = p(t)$  for all real numbers  $t$ . Then  $h: \mathbb{R}/\mathbb{Z} \rightarrow S^1$  is a homeomorphism.*

**Proof** The map  $p: \mathbb{R} \rightarrow S^1$  maps open sets to open sets. The result therefore follows directly on applying Proposition 5.1. ■

**Corollary 5.3** *Let the group  $\mathbb{Z}$  act by translation on the complex plane  $\mathbb{C}$ , where the action sends each integer  $n$  to the translation  $\theta_n: \mathbb{C} \rightarrow \mathbb{C}$  defined such that  $\theta_n(z) = z + n$  for all complex numbers  $z$ , where  $i^2 = -1$ . Let  $\mathbb{C}/\mathbb{Z}$  denote the orbit space for this action, and let  $q: \mathbb{C} \rightarrow \mathbb{C}/\mathbb{Z}$  be the quotient*

map that sends each complex number to its orbit under the action of the group  $\mathbb{Z}$ . Let  $p: \mathbb{C} \rightarrow \mathbb{C} \setminus \{0\}$  be defined such that  $p(z) = \exp(2\pi iz)$  for all complex numbers  $z$ , and let  $h: \mathbb{C}/\mathbb{Z} \rightarrow \mathbb{C} \setminus \{0\}$  be the map defined such that  $h(q(z)) = p(z)$  for all complex numbers  $z$ . Then  $h: \mathbb{C}/\mathbb{Z} \rightarrow \mathbb{C} \setminus \{0\}$  is a homeomorphism.

**Proof** We show that the map  $p: \mathbb{C} \rightarrow \mathbb{C} \setminus \{0\}$  maps open sets to open sets. Let  $V$  be an open set in  $\mathbb{C}$ , and let  $u$  and  $v$  be real numbers for which  $u + iv \in V$ . Then there exist real numbers  $\theta_1, \theta_2$  and positive real numbers  $r_1$  and  $r_2$  satisfying the inequalities

$$\theta_1 < 2\pi u < \theta_2 \text{ and } \log r_1 < -2\pi v < \log r_2$$

where  $\theta_1$  and  $\theta_2$  are close enough to  $2\pi u$  and  $\log r_1$  and  $\log r_2$  are close enough to  $-2\pi v$  to ensure that  $s + it \in V$  for all real numbers  $s$  and  $t$  that satisfy the inequalities

$$\theta_1 < 2\pi s < \theta_2 \text{ and } \log r_1 < -2\pi t < \log r_2.$$

It then follows that  $u + iv \in N$  and  $N \subset p(V)$ , where

$$N = \{re^{i\theta} : r_1 < r < r_2 \text{ and } \theta_1 < \theta < \theta_2\}.$$

Now  $N$  is an open set in  $\mathbb{C} \setminus \{0\}$ . It follows that  $p(V)$  is a neighbourhood of  $p(u + iv)$ . We have now shown that the set  $p(V)$  is a neighbourhood of each of its points. It follows that  $p(V)$  is open in  $\mathbb{C} \setminus \{0\}$ . We conclude therefore that the map  $p: \mathbb{C} \setminus \mathbb{C} \setminus \{0\}$  maps open sets to open sets. It then follows directly from Proposition 5.1 that  $h: \mathbb{C}/\mathbb{Z} \rightarrow \mathbb{C} \setminus \{0\}$  is a homeomorphism. ■

**Proposition 5.4** *Let  $G$  be a group acting freely and properly discontinuously on a topological space  $X$ , let  $X/G$  denote the resulting orbit space, and let  $q: X \rightarrow X/G$  be the quotient map that sends each element of  $X$  to its orbit under the action of the group  $G$ . Let  $p: X \rightarrow Y$  be a continuous surjective map from  $X$  to a Hausdorff topological space  $Y$ . Suppose that elements  $x$  and  $x'$  of  $X$  satisfy  $p(x) = p(x')$  if and only if  $q(x) = q(x')$ . Suppose also that there exists a compact subset  $K$  of  $X$  that intersects every orbit for the action of  $G$  on  $X$ . Then the surjective continuous map  $p: X \rightarrow Y$  induces a homeomorphism  $h: X/G \rightarrow Y$  between the topological spaces  $X/G$  and  $Y$ , where  $h(q(x)) = p(x)$  for all  $x \in X$ .*

**Proof** The function  $h: X/G \rightarrow Y$  is continuous because  $p$  is continuous and  $q: X \rightarrow X/G$  is a quotient map (see Lemma 1.35). Moreover it is surjective because  $p: X \rightarrow Y$  is a surjection, and it is injective because elements  $x$  and

$x'$  satisfy  $p(x) = p(x')$  if and only if  $q(x) = q(x')$ . It follows that  $h: X/G \rightarrow Y$  is a bijection.

The orbit space  $X/G$  is compact, because it is the image  $q(K)$  of the compact set  $K$  under the continuous map  $q: X \rightarrow X/G$ . (see Lemma 1.39). Thus  $h: X/G \rightarrow Y$  is a continuous bijection from a compact topological space to a Hausdorff space. This map is therefore a homeomorphism (see Theorem 1.45). ■

**Example** Let the group  $\mathbb{Z}$  of integers under addition act by translation on the real line  $\mathbb{R}$  by translation so that, under this action, an integer  $n$  corresponds to the homeomorphism  $\theta_n: \mathbb{R} \rightarrow \mathbb{R}$  defined such that  $\theta_n(t) = t + n$  for all real numbers  $t$ . Let  $q: \mathbb{R} \rightarrow \mathbb{R}/\mathbb{Z}$  be the quotient map onto the orbit space, and let  $p: \mathbb{R} \rightarrow S^1$  be defined such that

$$p(t) = (\cos 2\pi t, \sin 2\pi t)$$

for all real numbers  $t$ , and let  $h: \mathbb{R}/\mathbb{Z} \rightarrow S^1$  be the map defined such that  $h(q(t)) = p(t)$  for all real numbers  $t$ .

Now  $S^1$  is a Hausdorff space, as it is a subset of the metric space  $\mathbb{R}^2$ . Also the map  $p: \mathbb{R} \rightarrow S^1$  is surjective. Real numbers  $t_1$  and  $t_2$  satisfy  $p(t_1) = p(t_2)$  if and only if  $t_1 = t_2 + n$  for some integer  $n$ . It follows that  $p(t_1) = p(t_2)$  if and only if  $q(t_1) = q(t_2)$ . The compact subset  $[0, 1]$  of  $\mathbb{R}$  intersects every orbit for the action of  $\mathbb{Z}$  on  $\mathbb{R}$ . It therefore follows from Proposition 5.4 that  $h: \mathbb{R}/\mathbb{Z} \rightarrow S^1$  is a homeomorphism. (This result was also shown to follow from the fact that  $p: \mathbb{R} \rightarrow S^1$  maps open sets to open sets: see Corollary 5.2.)

**Example** Let  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  be defined so that

$$f(s, t) = ((2 + \cos 2\pi t) \cos 2\pi s, (2 + \cos 2\pi t) \sin 2\pi s, \sin 2\pi t)$$

for all  $(s, t) \in \mathbb{R}^2$ , and let  $Y = f(\mathbb{R}^2)$ . Then  $Y$  is a torus in  $\mathbb{R}^3$  that bounds the ‘solid doughnut’ consisting of those points of  $\mathbb{R}^3$  whose distance from the circle in the plane  $z = 0$  of radius 2 centred on the origin is less than one. Points  $(s_1, t_1)$  and  $(s_2, t_2)$  of  $\mathbb{R}^2$  satisfy  $f(s_1, t_1) = f(s_2, t_2)$  if and only if  $s_1 - t_1$  and  $s_2 - t_2$  are integers. Let the group  $\mathbb{Z}^2$  act on  $\mathbb{R}^2$  by translation, so that, under this action, an element  $(m, n)$  of  $\mathbb{Z}^2$  corresponds to the homeomorphism  $\theta_{(m,n)}: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  from  $\mathbb{R}^2$  to itself defined so that  $\theta_{(m,n)}(s, t) = (s + m, t + n)$  for all  $(s, t) \in \mathbb{R}^2$ .

Let  $\delta$  be a real number satisfying  $0 < \delta \leq \frac{1}{2}$ , and, for all  $(s, t) \in \mathbb{R}^2$ , let  $B((s, t), \delta)$  denote the open disk in  $\mathbb{R}^2$  of radius  $\delta$  centred on the point  $(s, t)$ . Then

$$B((s + m, t + n), \delta) \cap B((s, t), \delta) = \emptyset$$

for all integers  $m$  and  $n$  for which  $(m, n) \neq (0, 0)$ . It follows that the group  $\mathbb{Z}^2$  acts freely and properly discontinuously on  $\mathbb{R}^2$  by translation. Let  $\mathbb{R}^2/\mathbb{Z}^2$  be the orbit space determined by this action, let  $q: \mathbb{R}^2 \rightarrow \mathbb{R}^2/\mathbb{Z}^2$  be the quotient map sending each point of  $\mathbb{R}^2$  to its orbit under the action of  $\mathbb{Z}^2$ , and let  $h: \mathbb{R}^2/\mathbb{Z}^2 \rightarrow Y$  be the function from  $\mathbb{R}^2/\mathbb{Z}^2$  to the surface  $Y$  defined so that  $h(q(s, t)) = f(s, t)$  for all  $(s, t) \in \mathbb{R}^2$ .

Now the unit square  $[0, 1] \times [0, 1]$  is a compact subset of  $\mathbb{R}^2$  that intersects every orbit for the action of  $\mathbb{Z}^2$  on  $\mathbb{R}^2$ . It follows directly from Proposition 5.4 that  $h: \mathbb{R}^2/\mathbb{Z}^2 \rightarrow Y$  is a homeomorphism. Thus the quotient space  $\mathbb{R}^2/\mathbb{Z}^2$  represents a 2-dimensional torus.

**Proposition 5.5** *Let  $G$  be a group acting freely and properly discontinuously on a topological space  $X$ . Then the quotient map  $q: X \rightarrow X/G$  from  $X$  to the corresponding orbit space  $X/G$  is a covering map.*

**Proof** The quotient map  $q: X \rightarrow X/G$  is surjective. Let  $V$  be an open set in  $X$ . Then  $q^{-1}(q(V))$  is the union  $\bigcup_{g \in G} \theta_g(V)$  of the open sets  $\theta_g(V)$  as  $g$  ranges over the group  $G$ , since  $q^{-1}(q(V))$  is the subset of  $X$  consisting of all elements of  $X$  that belong to the orbit of some element of  $V$ . But any union of open sets in a topological space is an open set. We conclude therefore that if  $V$  is an open set in  $X$  then  $q(V)$  is an open set in  $X/G$ .

Let  $x$  be a point of  $X$ . Then there exists an open set  $U$  in  $X$  such that  $x \in U$  and  $\theta_g(U) \cap U = \emptyset$  for all  $g \in G$  satisfying  $g \neq e$ . Now  $q^{-1}(q(U)) = \bigcup_{g \in G} \theta_g(U)$ . We claim that the sets  $\theta_g(U)$  are disjoint. Let  $g$  and  $h$  be elements of  $G$ . Suppose that  $\theta_g(U) \cap \theta_h(U) \neq \emptyset$ . Then  $\theta_{h^{-1}}(\theta_g(U) \cap \theta_h(U)) \neq \emptyset$ . But  $\theta_{h^{-1}}: X \rightarrow X$  is a bijection, and therefore

$$\theta_{h^{-1}}(\theta_g(U) \cap \theta_h(U)) = \theta_{h^{-1}}(\theta_g(U)) \cap \theta_{h^{-1}}(\theta_h(U)) = \theta_{h^{-1}g}(U) \cap U,$$

and therefore  $\theta_{h^{-1}g}(U) \cap U \neq \emptyset$ . It follows that  $h^{-1}g = e$ , where  $e$  denotes the identity element of  $G$ , and therefore  $g = h$ . Thus if  $g$  and  $h$  are elements of  $G$ , and if  $g \neq h$ , then  $\theta_g(U) \cap \theta_h(U) = \emptyset$ . We conclude therefore that the preimage  $q^{-1}(q(U))$  of  $q(U)$  is the disjoint union of the sets  $\theta_g(U)$  as  $g$  ranges over the group  $G$ . Moreover each these sets  $\theta_g(U)$  is an open set in  $X$ .

Now  $U \cap [u]_G = \{u\}$  for all  $u \in U$ , since  $[u]_G = \{\theta_g(u) : g \in G\}$  and  $U \cap \theta_g(U) = \emptyset$  when  $g \neq e$ . Thus if  $u$  and  $v$  are elements of  $U$ , and if  $q(u) = q(v)$  then  $[u]_G = [v]_G$  and therefore  $u = v$ . It follows that the restriction  $q|_U: U \rightarrow X/G$  of the quotient map  $q$  to  $U$  is injective, and therefore  $q$  maps  $U$  bijectively onto  $q(U)$ . But  $q$  maps open sets onto open sets, and any continuous bijection that maps open sets onto open sets is a homeomorphism. We conclude therefore that the restriction of  $q: X \rightarrow X/G$  to the open set  $U$  maps  $U$  homeomorphically onto  $q(U)$ . Moreover, given any element  $g$  of  $G$ ,



the quotient map  $q$  satisfies  $q = q \circ \theta_{g^{-1}}$ , and the homeomorphism  $\theta_{g^{-1}}$  maps  $\theta_g(U)$  homeomorphically onto  $U$ . It follows that the quotient map  $q$  maps  $\theta_g(U)$  homeomorphically onto  $q(U)$  for all  $g \in G$ . We conclude therefore that  $q(U)$  is an evenly covered open set in  $X/G$  whose preimage  $q^{-1}(q(U))$  is the disjoint union of the open sets  $\theta_g(U)$  as  $g$  ranges over the group  $G$ . It follows that the quotient map  $q: X \rightarrow X/G$  is a covering map, as required. ■

### 5.3 Fundamental Groups of Orbit Spaces

**Theorem 5.6** *Let  $G$  be a group acting freely and properly discontinuously on a path-connected topological space  $X$ , let  $q: X \rightarrow X/G$  be the quotient map from  $X$  to the orbit space  $X/G$ , and let  $x_0$  be a point of  $X$ . Then there exists a surjective homomorphism  $\lambda: \pi_1(X/G, q(x_0)) \rightarrow G$  with the property that  $\tilde{\gamma}(1) = \theta_{\lambda([\gamma])}(x_0)$  for any loop  $\gamma$  in  $X/G$  based at  $q(x_0)$ , where  $\tilde{\gamma}$  denotes the unique path in  $X$  for which  $\tilde{\gamma}(0) = x_0$  and  $q \circ \tilde{\gamma} = \gamma$ . The kernel of this homomorphism is the subgroup  $q_{\#}(\pi_1(X, x_0))$  of  $\pi_1(X/G, q(x_0))$ .*

**Proof** Let  $\gamma: [0, 1] \rightarrow X/G$  be a loop in the orbit space with  $\gamma(0) = \gamma(1) = q(x_0)$ . It follows from the Path Lifting Theorem for covering maps (Theorem 4.5) that there exists a unique path  $\tilde{\gamma}: [0, 1] \rightarrow X$  for which  $\tilde{\gamma}(0) = x_0$  and  $q \circ \tilde{\gamma} = \gamma$ . Now  $\tilde{\gamma}(0)$  and  $\tilde{\gamma}(1)$  must belong to the same orbit, since  $q(\tilde{\gamma}(0)) = \gamma(0) = \gamma(1) = q(\tilde{\gamma}(1))$ . Therefore there exists some element  $g$  of  $G$  such that  $\tilde{\gamma}(1) = \theta_g(x_0)$ . This element  $g$  is uniquely determined, since the group  $G$  acts freely on  $X$ . Moreover the value of  $g$  is determined by the based homotopy class  $[\gamma]$  of  $\gamma$  in  $\pi_1(X/G, q(x_0))$ . Indeed it follows from Proposition 4.7 that if  $\sigma$  is a loop in  $X/G$  based at  $q(x_0)$ , if  $\tilde{\sigma}$  is the lift of  $\sigma$  starting at  $x_0$  (so that  $q \circ \tilde{\sigma} = \sigma$  and  $\tilde{\sigma}(0) = x_0$ ), and if  $[\gamma] = [\sigma]$  in  $\pi_1(X/G, q(x_0))$  (so that  $\gamma \simeq \sigma \text{ rel } \{0, 1\}$ ), then  $\tilde{\gamma}(1) = \tilde{\sigma}(1)$ . We conclude therefore that there exists a well-defined function

$$\lambda: \pi_1(X/G, q(x_0)) \rightarrow G,$$

which is characterized by the property that  $\tilde{\gamma}(1) = \theta_{\lambda([\gamma])}(x_0)$  for any loop  $\gamma$  in  $X/G$  based at  $q(x_0)$ , where  $\tilde{\gamma}$  denotes the unique path in  $X$  for which  $\tilde{\gamma}(0) = x_0$  and  $q \circ \tilde{\gamma} = \gamma$ .

Now let  $\alpha: [0, 1] \rightarrow X/G$  and  $\beta: [0, 1] \rightarrow X/G$  be loops in  $X/G$  based at  $q(x_0)$ , and let  $\tilde{\alpha}: [0, 1] \rightarrow X$  and  $\tilde{\beta}: [0, 1] \rightarrow X$  be the lifts of  $\alpha$  and  $\beta$  respectively starting at  $x_0$ , so that  $q \circ \tilde{\alpha} = \alpha$ ,  $q \circ \tilde{\beta} = \beta$  and  $\tilde{\alpha}(0) = \tilde{\beta}(0) = x_0$ . Then  $\tilde{\alpha}(1) = \theta_{\lambda([\alpha])}(x_0)$  and  $\tilde{\beta}(1) = \theta_{\lambda([\beta])}(x_0)$ . Then the path  $\theta_{\lambda([\alpha])} \circ \tilde{\beta}$  is also a lift of the loop  $\beta$ , and is the unique lift of  $\beta$  starting at  $\tilde{\alpha}(1)$ . Let  $\alpha.\beta$

be the concatenation of the loops  $\alpha$  and  $\beta$ , where

$$(\alpha.\beta)(t) = \begin{cases} \alpha(2t) & \text{if } 0 \leq t \leq \frac{1}{2}; \\ \beta(2t - 1) & \text{if } \frac{1}{2} \leq t \leq 1. \end{cases}$$

Then the unique lift of  $\alpha.\beta$  to  $X$  starting at  $x_0$  is the path  $\sigma: [0, 1] \rightarrow X$ , where

$$\sigma(t) = \begin{cases} \tilde{\alpha}(2t) & \text{if } 0 \leq t \leq \frac{1}{2}; \\ \theta_{\lambda([\alpha])}(\tilde{\beta}(2t - 1)) & \text{if } \frac{1}{2} \leq t \leq 1. \end{cases}$$

It follows that

$$\begin{aligned} \theta_{\lambda([\alpha][\beta])}(x_0) &= \theta_{\lambda([\alpha.\beta])}(x_0) = \sigma(1) = \theta_{\lambda([\alpha])}(\tilde{\beta}(1)) \\ &= \theta_{\lambda([\alpha])}(\theta_{\lambda([\beta])}(x_0)) = \theta_{\lambda([\alpha])\lambda([\beta])}(x_0) \end{aligned}$$

and therefore  $\lambda([\alpha][\beta]) = \lambda([\alpha])\lambda([\beta])$ . Therefore the function

$$\lambda: \pi_1(X/G, q(x_0)) \rightarrow G$$

is a homomorphism.

Let  $g \in G$ . Then there exists a path  $\alpha$  in  $X$  from  $x_0$  to  $\theta_g(x_0)$ , since the space  $X$  is path-connected. Then  $q \circ \alpha$  is a loop in  $X/G$  based at  $q(x_0)$ , and  $g = \lambda([q \circ \alpha])$ . This shows that the homomorphism  $\lambda$  is surjective.

Let  $\gamma: [0, 1] \rightarrow X/G$  be a loop in  $X/G$  based at  $q(x_0)$ . Suppose that  $[\gamma] \in \ker \lambda$ . Then  $\tilde{\gamma}(1) = \theta_e(x_0) = x_0$ , and therefore  $\tilde{\gamma}$  is a loop in  $X$  based at  $x_0$ . Moreover  $[\gamma] = q_{\#}[\tilde{\gamma}]$ , and therefore  $[\gamma] \in q_{\#}(\pi_1(X, x_0))$ . On the other hand, if  $[\gamma] \in q_{\#}(\pi_1(X, x_0))$  then  $\gamma = q \circ \tilde{\gamma}$  for some loop  $\tilde{\gamma}$  in  $X$  based at  $x_0$  (see Proposition 4.9). But then  $x_0 = \tilde{\gamma}(1) = \theta_{\lambda([\gamma])}(x_0)$ , and therefore  $\lambda([\gamma]) = e$ , where  $e$  is the identity element of  $G$ . Thus  $\ker \lambda = q_{\#}(\pi_1(X, x_0))$ , as required. ■

**Corollary 5.7** *Let  $G$  be a group acting freely and properly discontinuously on a path-connected topological space  $X$ , let  $q: X \rightarrow X/G$  be the quotient map from  $X$  to the orbit space  $X/G$ , and let  $x_0$  be a point of  $X$ . Then  $q_{\#}(\pi_1(X, x_0))$  is a normal subgroup of the fundamental group  $\pi_1(X/G, q(x_0))$  of the orbit space, and*

$$\frac{\pi_1(X/G, q(x_0))}{q_{\#}(\pi_1(X, x_0))} \cong G.$$

**Proof** The subgroup  $q_{\#}(\pi_1(X, x_0))$  is the kernel of the homomorphism

$$\lambda: \pi_1(X/G, q(x_0)) \rightarrow G$$

described in the statement of Theorem 5.6. It is therefore a normal subgroup of  $\pi_1(X/G, q(x_0))$ , since the kernel of any homomorphism is a normal

subgroup. The homomorphism  $\lambda$  is surjective, and the image of any group homomorphism is isomorphism of the quotient of its domain by its kernel. The result follows. ■

**Corollary 5.8** *Let  $G$  be a group acting freely and properly discontinuously on a simply-connected topological space  $X$ , let  $q: X \rightarrow X/G$  be the quotient map from  $X$  to the orbit space  $X/G$ , and let  $x_0$  be a point of  $X$ . Then  $\pi_1(X/G, q(x_0)) \cong G$ .*

**Proof** This is a special case of Corollary 5.7. ■

**Example** The group  $\mathbb{Z}$  of integers under addition acts freely and properly discontinuously on the real line  $\mathbb{R}$ . Indeed each integer  $n$  determines a corresponding homeomorphism  $\theta_n: \mathbb{R} \rightarrow \mathbb{R}$ , where  $\theta_n(x) = x + n$  for all  $x \in \mathbb{R}$ . Moreover  $\theta_m \circ \theta_n = \theta_{m+n}$  for all  $m, n \in \mathbb{Z}$ , and  $\theta_0$  is the identity map of  $\mathbb{R}$ . If  $U = (-\frac{1}{2}, \frac{1}{2})$  then  $\theta_n(U) \cap U = \emptyset$  for all non-zero integers  $n$ .

The real line  $\mathbb{R}$  is simply-connected. It therefore follows from Corollary 5.8 that  $\pi_1(\mathbb{R}/\mathbb{Z}, b) \cong \mathbb{Z}$  for any point  $b$  of  $\mathbb{R}/\mathbb{Z}$ .

Let  $q: \mathbb{R} \rightarrow \mathbb{R}/\mathbb{Z}$  be the quotient map from the real line  $\mathbb{R}$  to the orbit space  $\mathbb{R}/\mathbb{Z}$  that sends each real number to its orbit under the action of the group of integers, let  $p: \mathbb{R} \rightarrow S^1$  be defined such that

$$p(t) = (\cos 2\pi t, \sin 2\pi t)$$

for all  $t \in \mathbb{R}$ . Then  $p(t_1) = p(t_2)$  for all real numbers  $t_1$  and  $t_2$  satisfying  $q(t_1) = q(t_2)$ . Thus there is a well-defined function  $h: \mathbb{R}/\mathbb{Z} \rightarrow S^1$  characterized by the property that  $h(q(t)) = p(t)$  for all real numbers  $t$ .

The continuous map  $h: \mathbb{R}/\mathbb{Z} \rightarrow S^1$  is a homeomorphism (see Corollary 5.2). It follows that

$$\pi_1(S^1, h(b)) \cong \pi_1(\mathbb{R}/\mathbb{Z}, b) \cong \mathbb{Z}$$

for all  $b \in \mathbb{R}/\mathbb{Z}$ . This shows that Theorem 3.9 concerning the fundamental group of the circle can be obtained as a special case of the more general result Corollary 5.8 concerning fundamental groups of orbit spaces obtained via discontinuous group actions on simply-connected topological spaces.

**Example** The group  $\mathbb{Z}^n$  of ordered  $n$ -tuples of integers under addition acts freely and properly discontinuously on  $\mathbb{R}^n$ , where

$$\theta_{(m_1, m_2, \dots, m_n)}(x_1, x_2, \dots, x_n) = (x_1 + m_1, x_2 + m_2, \dots, x_n + m_n)$$

for all  $(m_1, m_2, \dots, m_n) \in \mathbb{Z}^n$  and  $(x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ . The orbit space  $\mathbb{R}^n/\mathbb{Z}^n$  is an  $n$ -dimensional torus, homeomorphic to the product of  $n$  circles. It follows from Corollary 5.8 that the fundamental group of this  $n$ -dimensional torus is isomorphic to the group  $\mathbb{Z}^n$ .

**Example** Let  $S^n$  be the unit sphere in  $\mathbb{R}^{n+1}$  centred on the origin, and let  $C_2$  denote the cyclic group of order 2. Then  $C_2 = \{e, a\}$ , where  $e^2 = a^2 = e$  and  $ea = ae = a$ . The group  $C_2$  acts freely and discontinuously on  $S^n$ , where  $e$  acts as the identity map of  $S^n$  and  $a$  acts as the antipodal map sending  $\mathbf{x}$  to  $-\mathbf{x}$  for all  $\mathbf{x} \in \mathbb{R}^n$ . The orbit space  $S^n/C_2$  is homeomorphic to real projective  $n$ -dimensional space  $\mathbb{R}P^n$ . Now the  $n$ -dimensional sphere is simply-connected if  $n > 1$ . It follows from Corollary 5.8 that the fundamental group of  $\mathbb{R}P^n$  is isomorphic to the cyclic group  $C_2$  when  $n > 1$ .

Note that  $S^0$  is a pair of points, and  $\mathbb{R}P^0$  is a single point. Also  $S^1$  is a circle (which is not simply-connected) and  $\mathbb{R}P^1$  is homeomorphic to a circle. Moreover, for any  $b \in S^1$ , the homomorphism  $q_\#: \pi_1(S^1, b) \rightarrow \pi_1(\mathbb{R}P^1, q(b))$  corresponds to the homomorphism from  $\mathbb{Z}$  to  $\mathbb{Z}$  that sends each integer  $n$  to  $2n$ . This is consistent with the conclusions of Corollary 5.7 in this example.

**Example** Given a pair  $(m, n)$  of integers, let  $\theta_{m,n}: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the homeomorphism of the plane  $\mathbb{R}^2$  defined such that

$$\theta_{m,n}(x, y) = (x + m, (-1)^m y + n)$$

for all  $(x, y) \in \mathbb{R}^2$ . Let  $(m_1, n_1)$  and  $(m_2, n_2)$  be ordered pairs of integers. Then

$$\theta_{m_1, n_1} \circ \theta_{m_2, n_2} = \theta_{m_1 + m_2, n_1 + (-1)^{m_1} n_2}.$$

Let  $\Gamma$  be the group whose elements are represented as ordered pairs of integers, where the group operation  $\#$  on  $\Gamma$  is defined such that

$$(m_1, n_1) \# (m_2, n_2) = (m_1 + m_2, n_1 + (-1)^{m_1} n_2)$$

for all  $(m_1, n_1), (m_2, n_2) \in \Gamma$ . The group  $\Gamma$  is non-Abelian, and its identity element is  $(0, 0)$ . This group acts on the plane  $\mathbb{R}^2$ : given  $(m, n) \in \Gamma$  the corresponding symmetry  $\theta_{m,n}$  is a translation if  $m$  is even, and is a glide reflection if  $m$  is odd.

Given a pair  $(m, n)$  of integers, the corresponding homeomorphism  $\theta_{m,n}$  maps an open disk about the point  $(x, y)$  onto an open disk of the same radius about the point  $\theta_{m,n}(x, y)$ . It follows that if  $D$  is the open disk of radius  $\frac{1}{2}$  about the point  $(x, y)$ , and if  $D \cap \theta_{m,n}(D)$  is non-empty, then  $(m, n) = (0, 0)$ . Thus the group  $\Gamma$  maps freely and properly discontinuously on the plane  $\mathbb{R}^2$ .

Now each orbit intersects the closed unit square  $S$ , where  $S = [0, 1] \times [0, 1]$ . If  $0 < x < 1$  and  $0 < y < 1$  then the orbit of  $(x, y)$  intersects the square  $S$  in one point, namely the point  $(x, y)$ . If  $0 < x < 1$ , then the orbit of  $(x, 0)$  intersects the square in two points  $(x, 0)$  and  $(x, 1)$ . If  $0 < y < 1$  then the orbit of  $(0, y)$  intersects the square  $S$  in the two points  $(0, y)$  and  $(1, 1 - y)$ . (Note that  $(1, 1 - y) = \theta_{1,1}(0, y)$ .) And the orbit

of any corner of the square  $S$  intersects the square in the four corners of the square. The restriction  $q|_S$  of the quotient map  $q: \mathbb{R}^2 \rightarrow \mathbb{R}^2/\Gamma$  to the square  $S$  is a continuous surjection defined on the square: one can readily verify that it is an identification map. It follows that the orbit space  $\mathbb{R}^2/\Gamma$  is homeomorphic to the identification space obtained from the closed square  $S$  by identifying together the points  $(x, 0)$  and  $(x, 1)$  where the real number  $x$  satisfies  $0 < x < 1$ , identifying together the points  $(0, y)$  and  $(1, 1 - y)$  where the real number  $y$  satisfies  $0 < y < 1$ , and identifying together the four corners of the square. The identification space obtained in this fashion is a closed non-orientable surface, first described by Felix Klein in 1882, and now known as the *Klein bottle*. Apparently the surface was initially referred to as the *Kleinsche Fläche* (Klein's Surface), but this name was incorrectly translated into English, and, as a result the surface is now referred to as the Klein Bottle (*Kleinsche Flasche*).

The plane  $\mathbb{R}^2$  is simply-connected. It follows from Corollary 5.8 that the fundamental group of the Klein bottle is isomorphic to the group  $\Gamma$  defined above.