

Recap: open string in lightcone gauge

$$X^+(t, \sigma) = x^+(t)$$

$$P_-(t, \sigma) = p_-(t)$$

solved $L_n = 0$ constraints for $n \neq 0$

$$\Rightarrow \alpha_n^- = - \frac{\sqrt{\pi T}}{2p_-} \sum_{k \in \mathbb{Z}} \alpha_k \cdot \alpha_{n-k}$$

↑ transverse oscillators

left with

$$S = \int dt \left(\dot{x}^\mu p_\mu + \sum \frac{i}{k} \dot{\alpha}_k^I \alpha_{-k}^J \delta_{IJ} - \frac{1}{2} e_0 L_0 \right)$$

$$L_0 = \frac{1}{2} \alpha_0^2 + \frac{1}{2} \sum_{k \neq 0} \alpha_k^I \alpha_{-k}^J \delta_{IJ}$$

$I, J = 2, \dots, D-1$
transverse indices

Canonical quantisation

$$\Rightarrow [\hat{x}^\mu, \hat{p}_\nu] = i \delta^\mu_\nu$$

$$[\hat{\alpha}_k^I, \hat{\alpha}_{-k}^J] = k \delta_{k+(-k), 0} \delta^{IJ} \quad \hat{\alpha}_k^\dagger = \hat{\alpha}_{-k}$$

Consider the constraint: there is now a normal ordering ambiguity

$$\begin{aligned} L_0 &= \frac{1}{2} \frac{\hat{p}^2}{\pi T} + \frac{1}{2} \sum_{k > 0} \hat{\alpha}_k^I \hat{\alpha}_{-k}^J \delta_{IJ} + \frac{1}{2} \sum_{k < 0} \hat{\alpha}_{+k}^I \hat{\alpha}_{-k}^J \delta_{IJ} \\ &= \frac{\hat{p}^2}{2\pi T} + \frac{1}{2} \sum_{k > 0} \left([\hat{\alpha}_k^I, \hat{\alpha}_{-k}^J] + \hat{\alpha}_{-k}^I \hat{\alpha}_k^J \right) \delta_{IJ} \\ &\quad + \frac{1}{2} \sum_{k > 0} \hat{\alpha}_{-k}^I \hat{\alpha}_k^J \delta_{IJ} \end{aligned}$$

$$= \frac{p^2}{2\pi T} + \sum_{k>0} \alpha_{-k}^I \alpha_k^I \delta_{IJ} +$$

$$+ \frac{1}{2} \sum_{k>0} k \underbrace{\delta^{IJ}}_{D-2} \delta_{IJ}$$

define $\hat{N} = \sum_{k>0} \hat{\alpha}_{-k}^I \hat{\alpha}_k^I \delta_{IJ}$

then

$$L_0 = \frac{1}{2\pi T} \hat{p}^2 + N - a \quad \text{mass-shell constraint}$$

$$a = -\frac{D-2}{2} \sum_{k>0} k$$

$$\Rightarrow M^2 = 2\pi T(N-a)$$

we will determine a below

let us first construct the states of the string

given string state has some momentum p (eigenvector of $\hat{p}$)

and some oscillator structure

define vacuum $|0; p\rangle$

$$\text{s.t. } \hat{p} |0; p\rangle = p |0; p\rangle$$

$$\alpha_{+k}^I |0; p\rangle = 0 \quad \forall k>0$$

create states by acting with α_{-k}^I on $|0; p\rangle$

cf quantum harmonic oscillator $\Rightarrow$ $D-2$ commuting oscillators, further labelled by $D-2$ dirs

can check that $\hat{N}|0;p\rangle = 0$

$$[\hat{N}, \hat{\alpha}_{-k}^I] = k \hat{\alpha}_{-k}^I$$

$\hat{N}$ - level ~~count~~^{number} operator

acting with $\hat{\alpha}_{-k}^I$ adds k to the level ($\hat{N}$ eigenvalue)
 $k > 0$

order states by $\hat{N}$ eigenvalue / level

N	states	# states
0	$ 0;p\rangle$	1
1	$\hat{\alpha}_{-1}^I 0;p\rangle$	D-2
2	$\hat{\alpha}_{-2}^I 0;p\rangle, \hat{\alpha}_{-1}^I \hat{\alpha}_{-1}^I 0;p\rangle$	$D-2 + \frac{1}{2}(D-2)(D-1)$
3	$\hat{\alpha}_{-3}^I 0;p\rangle, \hat{\alpha}_{-2}^I \hat{\alpha}_{-1}^I 0;p\rangle, \hat{\alpha}_{-1}^I \hat{\alpha}_{-1}^I \hat{\alpha}_{-1}^I 0;p\rangle$	$D-2 + (D-2)^2 + \frac{1}{6}(D-2)(D-1)(D-0)$
$\vdots$		

let $2\pi\alpha' T = \alpha'$ (conventional)

$$N=0 \quad M^2 = -\frac{a}{\alpha'}$$

$$N=1 \quad M^2 = \frac{1-a}{\alpha'} \quad (D-2) \text{ states}$$

Fact: a massless vector field A_μ in D-dimensions has $(D-2)$ degrees of freedom (polarisations)

Lorentz invariance requires that the $N=1$ states correspond to such a state

$$\Rightarrow \text{need } a=1 \Rightarrow M^2=0$$

also ~~requires~~ ^{implies} $N=0$ state has $M^2 = -\frac{1}{\alpha'} < 0$

$\Rightarrow$ tachyon, bad

continuing

$$N=2 \quad M^2 = \frac{a}{\alpha'} > 0 \quad \text{massive spin 2 rep} \quad t_{\mu\nu} \quad t_{(\mu\nu)} = t_{\mu\nu}$$

(consider little group classification

$$\square + \square \text{ of } SO(D-2)$$

$$\rightarrow \square \text{ of } SO(D-1))$$

Why $a=1$?

$$a = -\frac{D-2}{2} \sum_{k=0}^{\infty} k$$

consider Riemann zeta $\zeta(s) = \sum_{k=1}^{\infty} k^{-s} \quad \text{Re}(s) > 1$

has an analytical continuation $\forall s$ s.t. $\zeta(-1) = -\frac{1}{12}$

$$\Rightarrow \sum_{k=1}^{\infty} k = -\frac{1}{12}$$

$$\Rightarrow a = \frac{D-2}{24}$$

Alternatively, regulate

$$\sum_{k=1}^{\infty} k \rightarrow \sum_{k=1}^{\infty} e^{-\epsilon k} k = -\frac{\partial}{\partial \epsilon} \sum_{k=1}^{\infty} e^{-\epsilon k}$$

$$\text{now } \frac{1}{1-x} = \sum_{k=0}^{\infty} x^k \quad \text{so } \sum_{k=1}^{\infty} x^k = \frac{1}{1-x} - 1 = \frac{x}{1-x}$$

$$\begin{aligned} \Rightarrow \text{have } -\frac{\partial}{\partial \epsilon} \frac{e^{-\epsilon}}{1-e^{-\epsilon}} &= -\frac{\partial}{\partial \epsilon} \left(\frac{1}{e^{\epsilon}-1} \right) \\ &= \frac{1}{(e^{\epsilon}-1)^2} e^{\epsilon} \\ &= \frac{\left(1 + \epsilon + \frac{1}{2}\epsilon^2 + O(\epsilon^3) \right)}{\left(\epsilon + \frac{1}{2}\epsilon^2 + \frac{1}{6}\epsilon^3 + O(\epsilon^4) \right)^2} \\ &= \frac{1}{\epsilon^2} \frac{\left(1 + \epsilon + \frac{1}{2}\epsilon^2 + O(\epsilon^3) \right)}{\left(1 + \epsilon\frac{1}{2} + \frac{1}{6}\epsilon^2 + O(\epsilon^3) \right)^2} \\ &= \frac{1}{\epsilon^2} \frac{\left(1 + \epsilon + \frac{1}{2}\epsilon^2 + O(\epsilon^3) \right)}{\left(1 + \epsilon + \frac{7}{12}\epsilon^2 + O(\epsilon^3) \right)} \end{aligned}$$

$$\text{use } \frac{1}{1-x} \approx 1+x+x^2$$

$$\begin{aligned} &\sim \frac{1}{\epsilon^2} \left(1 + \epsilon + \frac{1}{2}\epsilon^2 + O(\epsilon^3) \right) \left(1 - \epsilon - \frac{7}{12}\epsilon^2 + \epsilon^2 + O(\epsilon^3) \right) \\ &= \frac{1}{\epsilon^2} \left(1 - \frac{1}{12}\epsilon^2 \right) + O(\epsilon^2) \\ &= \frac{1}{\epsilon^2} - \frac{1}{12} \\ &\quad \underbrace{\hspace{1cm}}_{\text{finite part}} \end{aligned}$$

note that $a=1 \Rightarrow D=26$ 'critical dimension'

spacetime dimension fixed by consistency of the string worldsheet theory!

Lorentz invariance also provides the same check

quantum Lorentz charges $\hat{J}^{mv} = \hat{L}^{mv} + \hat{S}^{mv} \quad [\hat{L}^{mv}, \hat{S}^{\mu\nu}] = 0$

$$\hat{S}^{mv} = -2 \sum_k \frac{i}{k} \hat{\alpha}_{-k}^{(m} \alpha_k^{n)}$$

for $D=3$ one finds

$$0 \stackrel{?}{=} [\hat{S}^{-1}, \hat{S}^{-3}] = \frac{4\pi T}{p^2} \sum_{k=1}^{\infty} \left[\left(\frac{D-2}{12} - 2 \right) k + \frac{1}{\hbar} \left(2a - \frac{D-2}{12} \right) \right] \times \hat{\alpha}_{-k}^{(1} \hat{\alpha}_k^{3)}$$

$$\Rightarrow D=26 \quad \text{again}$$

$$a=1$$

Closed string: behaves like two copies of open string

$$[x^m, p_\nu] = i\delta^m_\nu$$

and

$$[\hat{\alpha}_{+k}^I, \hat{\alpha}_{+l}^J] = [\hat{\tilde{\alpha}}_k^I, \hat{\tilde{\alpha}}_l^J] = k\delta_{kl}\delta^{IJ}$$

in lightcone gauge

$$\text{define } \hat{N} = \sum_{k>0} \hat{\alpha}_{-k}^I \hat{\alpha}_k^I \delta_{II}$$

$$\hat{\tilde{N}} = \sum_{k>0} \hat{\tilde{\alpha}}_{-k}^I \hat{\tilde{\alpha}}_k^I \delta_{II}$$

remaining constraints $L_0 = \tilde{L}_0 = 0$ imply

$$\hat{p}^2 + 4\pi T (\hat{N} + \hat{\tilde{N}} - a_L - a_R) = 0$$

$$\text{and } \hat{N} - a_R = \hat{\tilde{N}} - a_L$$

oscillator vacuum $|0\rangle = |0\rangle_R \otimes |0\rangle_L$

$\hat{\alpha}_{-k}^I$ acts on $|0\rangle_R$, $\hat{\tilde{\alpha}}_{-k}^I$ acts on $|0\rangle_L$

$$\text{define } \hat{\alpha}_{+k} |0\rangle_R = 0 \quad k>0$$

$$\hat{\tilde{\alpha}}_k |0\rangle_L = 0 \quad k>0$$

$$L_0, \tilde{L}_0 = 0 \text{ on } |0\rangle \Rightarrow a_R = a_L$$

$\Rightarrow$ write

$$p^2 + 8\pi T (N - a) = 0 \quad \text{mass-shell constraint}$$

$$N = \tilde{N} \quad \text{level matching}$$

states

$$N=0 \quad |0\rangle \quad M^2 = -\frac{4a}{\alpha'}$$

$$N=1 \quad \alpha_{-1}^I |0\rangle_R \otimes \tilde{\alpha}_{-1}^J |0\rangle_L \quad M^2 = -\frac{4(1-a)}{\alpha'}$$

 $(D-2)^2$ statesgeneric $N=1$ state

$$|\Psi\rangle = (h_{IJ} + \delta_{IJ}\phi + b_{IJ}) \alpha_{-1}^I |0\rangle_R \otimes \alpha_{-1}^J |0\rangle_L$$

$$h_{(IJ)} = 0 \quad \text{symm traceless}$$

$$h_I^I = 0$$

$$b_{(IJ)} = 0 \quad \text{antisymmetric}$$

$\Downarrow$ $D=26$ $\alpha=1$	Lorentz inv $\Rightarrow$ massless	spin 2	$h_{\mu\nu}$	$\rightarrow$ graviton
		2-form	$b_{\mu\nu}$	$\rightarrow$ 'B-field'
		scalar	ϕ	$\rightarrow$ dilaton

so string theory has a graviton state
in its spectrum $\rightarrow$ describes (quantum) gravity

Open string (ND): consider n -string with mixed boundary conditions

split $\mu = (a, i)$

$$a = 0, 1, \dots, p$$

$$i = p+1, \dots, D-1$$

$$X^a|_{\sigma=0} = 0 = X^a|_{\sigma=\pi} \quad N$$

$$X^i|_{\sigma=0} = 0, \quad X^i|_{\sigma=\pi} = L^i \quad D$$

Lorentz symmetry broken

$$SO(1, D-1) \rightarrow SO(1, p) \times SO(D-p-1)$$

$\nearrow$
 Lorentz in
 $(p+1)$ -dim plane
 Neumann

$\nwarrow$
 rotational invariance
 in Dirichlet dir's

work out mode expansions with doubling trick and

$$(P + TX')^a(\sigma) = (P - TX')^a(-\sigma)$$

$$(P + TX')^i(\sigma) = -(P - TX')^i(-\sigma) \Rightarrow P_{\mu i} \text{ zero at endpoints}$$

$$\alpha_k^i = -\tilde{\alpha}_k^i$$

now

$$X^i = \frac{L^i \sigma}{\pi} - \frac{i}{\pi T} \sum \frac{1}{k} \sin(k\sigma) \alpha_k^i$$

Quantize light cone gauge ($p \geq 3$), set $L^i = 0$ (both ends same plane)

$$M^2 = 2\pi T(N - a)$$

$$N = \sum_{k=1}^{\infty} (\alpha_{-k}^I \alpha_k^I \delta_{IJ} + \alpha_{-k}^i \alpha_k^i \delta_{ij})$$

$$I = 2, \dots, p$$

$\nearrow$
 $P^2 = -M^2$ in $(p+1)$ -dim Minkowski

$N=1$ states take the form

$$|\psi\rangle = (A_I \alpha_{-1}^I + \phi_i \alpha_{-1}^i) |0\rangle$$

$\nearrow$ $p-2$ phys cpts of $(p+1)$ massless vec field

$\nwarrow$ $D-p-1$ scalars in $Mink(p+1)$

A_a

$a=1, D=26$

translational invariance broken by Dirichlet cords

$\Rightarrow$ string can gain/lose momentum in directions
orthogonal to $(p+1)$ -dim plane

interpret the scalars ϕ_i as fluctuations of brane

this is a 'D-brane'

string theory is a theory also containing dynamics of other
extended objects like this!

theory that lives on a D-brane is a gauge theory