

A remark on Schwarz's topological field theory

David H. Adams and Emil M. Prodanov

Abstract

The standard evaluation of the partition function Z of Schwarz's topological field theory results in the Ray–Singer analytic torsion. Here we present an alternative evaluation which results in $Z = 1$. Mathematically, this amounts to a novel perspective on analytic torsion: it can be formally written as a ratio of volumes of spaces of differential forms which is formally equal to 1 by Hodge duality. An analogous result for Reidemeister combinatorial torsion is also obtained.

1 Introduction

Analytic torsion [1] arises in a quantum field theoretic context as (the square of) the partition function of Schwarz's topological field theory [2, 3, 4]. This has turned out to be an important result in topological quantum field theory; for example it is used to evaluate the semiclassical approximation for the Chern–Simons partition function [5, 6], which gives a QFT-predicted formula for an asymptotic limit of the Witten–Reshetikhin–Turaev 3-manifold invariant [7] since this invariant arises as the partition function of the Chern–Simons gauge theory on the 3-manifold [5]. (See also [8] for a review of Schwarz's topological field theory in a general context, and [9] for some explicit results in the case of hyperbolic 3-manifolds.)

The partition function, Z , of Schwarz's topological field theory is a priori a formal, mathematically ill-defined quantity and its evaluation [2, 3, 4] is by formal manipulations which in the end lead to a mathematically meaningful result: $Z = \tau^{1/2}$ where τ is the analytic torsion of the background manifold. In this paper we show (§2) that there is an alternative formal evaluation of the partition function which results

in the trivial answer $Z = 1$. This result amounts to a novel perspective on analytic torsion: we find that it can be formally written as a certain ratio of volumes of spaces of differential forms which is formally equal to 1 by Hodge duality.

Reidemeister combinatorial torsion (R-torsion) [10, 1] arises as the partition function of a discrete version of Schwarz’s topological field theory [11, 12]. This is of potential interest if one attempts to capture the invariants of topological QFT in a discrete, i.e. combinatorial, setting. In §3 an analogue of the above-mentioned result of §2 is derived for combinatorial torsion.

2 Schwarz’s topological field theory and analytic torsion

We begin by recalling the evaluation of the partition function

$$Z = \frac{1}{V} \int \mathcal{D}\omega e^{-S(\omega)} \quad (2.1)$$

of Schwarz’s topological field theory [2, 3, 4]. Here V is a normalisation factor to be specified below. The background manifold (“spacetime”) M is closed, oriented, riemannian, and has odd dimension $n = 2m + 1$. For simplicity we assume m is odd; then the following variant of Schwarz’s topological field theory can be considered [4]: The field $\omega \in \Omega^m(M, E)$ is an m -form on M with values in some flat $O(N)$ vectorbundle E over M . The action functional is

$$S(\omega) = \int_M \omega \wedge d_m \omega. \quad (2.2)$$

Here $d_p : \Omega^p \rightarrow \Omega^{p+1}$ ($\Omega^p \equiv \Omega^p(M, E)$) is the exterior derivative twisted by a flat connection on E (which we surpress in the notation) and a sum over vector indices is implied in (2.2)¹. A choice of metric on M determines an inner product in each Ω^p , given in terms of the Hodge operator $*$ by

$$\langle \omega, \omega' \rangle = \int_M \omega \wedge * \omega' \quad (2.3)$$

¹Note that (2.2) vanishes if m is even.

Using this the action (2.2) can be written as $S(\omega) = \langle \omega, *d_m \omega \rangle$. Let $\ker(S)$ denote the radical of the quadratic functional S and $\ker(d_p)$ the nullspace of d_p . Then $\ker(S) = \ker(d_m)$, and after decomposing the integration space in (2.1) as $\Omega^m = \ker(S) \oplus \ker(S)^\perp$ the partition function can be formally evaluated to get

$$Z = \frac{V(\ker(S))}{V} \det'((*d_m)^2)^{-1/4} = \frac{V(\ker(S))}{V} \det'(d_m^* d_m)^{-1/4} \quad (2.4)$$

(we are ignoring certain phase and scaling factors; see [13] for these). Here $V(\ker(S))$ denotes the formal volume of $\ker(S)$. The obvious normalisation choice, $V = V(\ker(S))$, does not preserve a certain symmetry property which the partition function has when S is non-degenerate [4]; therefore we do not use this but instead proceed, following Schwarz, by introducing a resolvent for S . For simplicity we assume that the cohomology of d vanishes, i.e. $\text{Im}(d_p) = \ker(d_{p+1})$ for all p ($\text{Im}(d_p)$ is the image of d_p). Then S has the resolvent

$$0 \longrightarrow \Omega^0 \xrightarrow{d_0} \Omega^1 \xrightarrow{d_1} \dots \longrightarrow \Omega^{m-1} \xrightarrow{d_{m-1}} \ker(S) \longrightarrow 0 \quad (2.5)$$

which we use in the following to formally rewrite $V(\ker(S))$. The orthogonal decompositions

$$\Omega^p = \ker(d_p) \oplus \ker(d_p)^\perp \quad (2.6)$$

give the formal relations

$$V(\Omega^p) = V(\ker(d_p)) V(\ker(d_p)^\perp). \quad (2.7)$$

The maps d_p restrict to isomorphisms $d_p : \ker(d_p)^\perp \xrightarrow{\cong} \ker(d_{p+1})$, giving the formal relations

$$V(\ker(d_{p+1})) = |\det'(d_p)| V(\ker(d_p)^\perp). \quad (2.8)$$

Combining (2.7)–(2.8) we get

$$V(\ker(d_{p+1})) = \det'(d_p^* d_p)^{1/2} V(\Omega^p) V(\ker(d_p))^{-1}. \quad (2.9)$$

Now a simple induction argument based on (2.9) and starting with $V(\ker(S)) = V(\ker(d_m))$ gives the formal relation

$$V(\ker(S)) = \prod_{p=0}^{m-1} \left(\det'(d_p^* d_p)^{1/2} V(\Omega^p) \right)^{(-1)^p}. \quad (2.10)$$

A natural choice of normalisation is now ²

$$V = \prod_{p=0}^{m-1} V(\Omega^p)^{(-1)^p}. \quad (2.11)$$

Substituting (2.10)–(2.11) in (2.4) gives

$$Z = \left[\prod_{p=0}^{m-1} \det'(d_p^* d_p)^{\frac{1}{2}(-1)^p} \right] \det'(d_m^* d_m)^{-1/4}. \quad (2.12)$$

These determinants can be given well-defined meaning via zeta-regularisation [1], resulting in a mathematically meaningful expression for the partition function. As a simple consequence of Hodge duality we have $\det'(d_p^* d_p) = \det'(d_{n-p-1}^* d_{n-p-1})$ which allows to rewrite (2.12) as

$$Z = \tau(M, d)^{1/2} \quad (2.13)$$

where

$$\tau(M, d) = \prod_{p=0}^{n-1} \det'(d_p^* d_p)^{\frac{1}{2}(-1)^p} \quad (2.14)$$

is the Ray–Singer analytic torsion [1]; it is independent of the metric, depending only on M and d . This variant of Schwarz’s result is taken from [4]; it has the advantage that the resolvent (2.5) is relatively simple. The cases where m need not be odd, and the cohomology of d need not vanish, are covered in [2, 3] (see also [4] for the latter case). Everything we do in the following has a straightforward extension to these more general settings, but for the sake of simplicity and brevity we have omitted this.

We now proceed to derive a different answer for Z to the one above. Our starting point is (2.13)–(2.14) which we consider as a formal expression for Z , i.e. we do not carry out the zeta regularisation of the determinants. Instead, we use (2.8) to formally write

$$\det'(d_p^* d_p)^{1/2} = \frac{V(\ker(d_{p+1}))}{V(\ker(d_p)^\perp)} \quad (2.15)$$

²This choice can be motivated by the fact that, in an analogous finite-dimensional setting, the partition function then continues to exhibit a certain symmetry property which it has when S is non-degenerate [4].

Substituting this in (2.14) and using (2.7) we find ³

$$\tau(M, d) = \frac{V(\Omega^1) V(\Omega^3) \dots V(\Omega^n)}{V(\Omega^0) V(\Omega^2) \dots V(\Omega^{n-1})} \quad (2.16)$$

Formally, the ratio of volumes on the r.h.s. equals 1 due to

$$V(\Omega^p) = V(\Omega^{n-p}). \quad (2.17)$$

This is a formal consequence of the Hodge star operator being an orthogonal isomorphism from Ω^p to Ω^{n-p} . (Recall $\langle *\omega, *\omega' \rangle = \langle \omega, \omega' \rangle$ for all $\omega, \omega' \in \Omega^p$.) This implies $Z = 1$ due to (2.13).

The formal relation (2.16) shows that analytic torsion can be considered as a “volume ratio anomaly”: The ratio of the volumes on the r.h.s. of (2.16) is formally equal to 1, but when $\tau(M, d)$ is given well-defined meaning via zeta regularisation of (2.14) a non-trivial value results in general.

It is also interesting to consider the case where n is even: In this case, using (2.7)–(2.8) we get in place of (2.16) the formal relation

$$\frac{V(\Omega^0) V(\Omega^2) \dots V(\Omega^n)}{V(\Omega^1) V(\Omega^3) \dots V(\Omega^{n-1})} = \prod_{p=0}^{n-1} \det'(d_p^* d_p)^{\frac{1}{2}(-1)^p} = 1 \quad (2.18)$$

The last equality is an easy consequence of Hodge duality and continues to hold after the determinants are given well-defined meaning via zeta regularisation [1]. On the other hand, the ratio of volumes on the l.h.s. is no longer formally equal to 1 by Hodge duality.

3 The discrete analogue

Given a simplicial complex K triangulating M a discrete version of Schwarz’s topological field theory can be constructed which captures the topological quantities of the continuum theory [11, 12]. The discrete theory uses \widehat{K} , the cell decomposition dual to K , as well as K itself. This necessitates a field doubling in the continuum theory

³This relation is obtained without any restriction on m , i.e. for arbitrary odd n .

prior to discretisation. An additional field ω' is introduced and the original action $S(\omega) = \langle \omega, *d_m \omega \rangle$ is replaced by the doubled action,

$$\tilde{S}(\omega, \omega') = \left\langle \begin{pmatrix} \omega \\ \omega' \end{pmatrix}, \begin{pmatrix} 0 & *d_m \\ *d_m & 0 \end{pmatrix} \begin{pmatrix} \omega \\ \omega' \end{pmatrix} \right\rangle = 2 \int_M \omega' \wedge d_m \omega \quad (3.1)$$

This theory (known as the abelian BF theory [8]) has the same topological content as the original one; in particular its partition function, \tilde{Z} , can be evaluated in an analogous way to get $\tilde{Z} = Z^2 = \tau(M, d)$. The discretisation prescription is [11, 12]

$$(\omega, \omega') \rightarrow (\alpha, \alpha') \in C^m(K) \times C^m(\widehat{K}) \quad (3.2)$$

$$\tilde{S}(\omega, \omega') \rightarrow \tilde{S}(\alpha, \alpha') = \left\langle \begin{pmatrix} \alpha \\ \alpha' \end{pmatrix}, \begin{pmatrix} 0 & *^{\widehat{K}} d_m^{\widehat{K}} \\ *^K d_m^K & 0 \end{pmatrix} \begin{pmatrix} \alpha \\ \alpha' \end{pmatrix} \right\rangle \quad (3.3)$$

Here $C^p(K) = C^p(K, E)$ is the space of p -cochains on K with values in the flat $O(N)$ vectorbundle E ; $d_p^K : C^p(K) \rightarrow C^{p+1}(K)$ is the coboundary operator twisted by a flat connection on E ; $C^q(\widehat{K})$ and $d_q^{\widehat{K}}$ are the corresponding objects for \widehat{K} ; $*^K : C^p(K) \rightarrow C^{n-p}(\widehat{K})$ and $*^{\widehat{K}} : C^q(\widehat{K}) \rightarrow C^{n-q}(K)$ are the duality operators induced by the duality between p -cells of K and $(n-p)$ -cells of \widehat{K} . The p -cells of K and \widehat{K} determine canonical inner products in $C^p(K)$ and $C^p(\widehat{K})$ for each p , and with respect to these the duality operators are orthogonal maps. (The definitions and background can be found in [14]; see also [1] and [11].) As in §2 we are assuming that m is odd and that the cohomology of the flat connection on E vanishes: $H^*(M, E) = 0$. Then the partition function of the discrete theory, denoted \tilde{Z}_K , can be evaluated by formal manipulations analogous to those in §2 (see [11, 12]) and the resulting expression can be written as either

$$\tilde{Z}_K = \tau(K, d^K) \quad \text{or} \quad \tilde{Z}_K = \tau(\widehat{K}, d^{\widehat{K}}) \quad (3.4)$$

where

$$\tau(K, d^K) = \prod_{p=0}^{n-1} \det'(\partial_{p+1}^K d_p^K)^{\frac{1}{2}(-1)^p} \quad (3.5)$$

and $\tau(\widehat{K}, d^{\widehat{K}})$ is defined analogously. Here ∂_{p+1}^K denotes the adjoint of d_p^K (it can be identified with the boundary operator on the $(p+1)$ -chains of K). The quantities

$\tau(K, d^K)$ and $\tau(\widehat{K}, d^{\widehat{K}})$ coincide; in fact (3.5) is the Reidemeister combinatorial torsion (also called the R-torsion) of M determined by the given flat connection on E , and is the same for all cell decompositions K of M [10, 1]. (This is analogous to the metric-independence of analytic torsion.) Moreover, the analytic and combinatorial torsions coincide [15], so the discrete partition function in fact reproduces the continuum one:

$$\widetilde{Z}_K = \widetilde{Z} \quad (3.6)$$

We now present an analogue of the formal argument which led to $Z = 1$ in §2. Consider

$$\tau(K, d^K) \tau(\widehat{K}, d^{\widehat{K}}) = \prod_{p=0}^{n-1} \det'(\partial_{p+1}^K d_p^K)^{\frac{1}{2}(-1)^p} \det'(\partial_{p+1}^{\widehat{K}} d_p^{\widehat{K}})^{\frac{1}{2}(-1)^p}. \quad (3.7)$$

Using the analogues of (2.15) and (2.7) in the present setting,

$$\det'(d_p^* d_p)^{1/2} = \frac{V(\ker(d_{p+1}^K))}{V(\ker(d_p^K)^\perp)} \quad (3.8)$$

and

$$V(C^p(K)) = V(\ker(d_p^K)) V(\ker(d_p^K)^\perp), \quad (3.9)$$

and the corresponding \widehat{K} relations, we find an analogue of the formal relation (2.16):

$$\begin{aligned} & \tau(K, d^K) \tau(\widehat{K}, d^{\widehat{K}}) \\ &= \frac{V(C^1(K)) V(C^3(K)) \dots V(C^n(K))}{V(C^0(K)) V(C^2(K)) \dots V(C^{n-1}(K))} \frac{V(C^1(\widehat{K})) V(C^3(\widehat{K})) \dots V(C^n(\widehat{K}))}{V(C^0(\widehat{K})) V(C^2(\widehat{K})) \dots V(C^{n-1}(\widehat{K}))} \end{aligned} \quad (3.10)$$

Formally, the r.h.s. equals 1 due to

$$V(C^p(K)) = V(C^{n-p}(\widehat{K})). \quad (3.11)$$

This is a formal consequence of the duality operator being an orthogonal isomorphism from $C^p(K)$ to $C^{n-p}(\widehat{K})$ (i.e. $\langle *^K \alpha, * \alpha' \rangle = \langle \alpha, \alpha' \rangle$ for all $\alpha, \alpha' \in C^p(K)$). This implies that, formally,

$$\widetilde{Z}_K = [\tau(K, d^K) \tau(\widehat{K}, d^{\widehat{K}})]^{1/2} = 1. \quad (3.12)$$

Thus we see that combinatorial torsion can also be considered as a “volume ratio anomaly” in an analogous way to analytic torsion.

Finally, in the n even case it is straightforward to find a combinatorial analogue of the formal relation (2.18) –we leave this to the reader.

Acknowledgements. D.A. acknowledges the support of a postdoctoral fellowship from the Australian Research Council.

References

- [1] D. B. Ray and I. M. Singer, *Adv. Math.* 7 (1971) 145
- [2] A. S. Schwarz, *Lett. Math. Phys.* 2 (1978) 247; *Commun. Math. Phys.* 67 (1979) 1
- [3] A. S. Schwarz and Yu. Tyupkin, *Nucl. Phys. B* 242 (1984) 436
- [4] D. H. Adams and S. Sen, hep-th/9503095
- [5] E. Witten, *Commun. Math. Phys.* 121 (1989) 351
- [6] D. Freed and R. Gompf, *Commun. Math. Phys.* 141 (1991) 79; L. Jeffrey, *Commun. Math. Phys.* 147 (1992) 563; L. Rozansky, *Commun. Math. Phys.* 171 (1995) 279; D. H. Adams, *Phys. Lett. B* 417 (1998) 53
- [7] N. Reshetikhin and V. Turaev, *Invent. Math.* 103 (1991) 547
- [8] D. Birmingham, M. Blau, G. Thompson and M. Rakowski, *Phys. Rep.* 209 (1991) 129
- [9] A. A. Bytsenko, L. Vanzo and S. Zerbini, *Nucl. Phys. B* 505 (1997) 641; hep-th/9906092; A. A. Bytsenko, A. E. Goncalves and W. da Cruz, *Mod. Phys. Lett. A* 13 (1998) 2453; A. A. Bytsenko, A. E. Goncalves, M. Simoes and F. L. Williams, hep-th/9901054

- [10] K. Reidemeister, *Hamburger Abhandl.* 11 (1935) 102; W. Franz, *J. Reine Angew. Math.* 173 (1935) 245; J. Milnor, *Bull. A.M.S.* 72 (1966) 348
- [11] D. H. Adams, hep-th/9612009
- [12] D. H. Adams, *Phys. Rev. Lett.* 78 (1997) 4155
- [13] D. H. Adams and S. Sen, *Phys. Lett. B* 353 (1995) 495
- [14] B. A. Dubrovin, A. T. Fomenko and S. P. Novikov, *Modern Geometry – Methods and Applications: Part III Introduction to Homology Theory*, Springer-Verlag, New York (1990)
- [15] J. Cheeger, *Ann. Math.* 109 (1979) 259; W. Müller, *Adv. Math.* 28 (1978) 233