

**IRREDUCIBLE CHARACTERS  
OF SMALL DEGREE OF THE  
UNITRIANGULAR GROUP**

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We let  $q$  be a power of some prime  $p$  and for all  $n \in \mathbb{N}$ , we let  $U_n(q)$  be the set of  $n \times n$  matrices over  $\mathbf{F}_q$  which are lower-triangular and have every diagonal entry equal to 1.  $U_n(q)$  is called the *unitriangular group* of degree  $n$  over  $\mathbf{F}_q$ .  $U_n(q)$  is a Sylow  $p$ -subgroup of  $\mathrm{GL}_n(q)$ , the general linear group of invertible  $n \times n$  matrices over  $\mathbf{F}_q$ . For any finite group  $G$ , we will write  $\mathrm{Irr}(G)$  for the set of irreducible complex characters of  $G$ . Isaacs [2] has shown that every element of  $\mathrm{Irr}(U_n(q))$  has degree a power of  $q$ . Using this result of Isaacs, a theorem of Huppert [1] for the case where  $q = p$  can be extended to prove that

$$\left\{ \Gamma(1) : \Gamma \in \mathrm{Irr}(U_n(q)) \right\} = \left\{ q^a : 0 \leq a \leq \frac{n(n-1)}{2} \right\}.$$

In [4], the author enumerated the irreducible complex characters of  $U_{2m}(q)$  having each of the three highest degrees, as well as the irreducible complex characters of  $U_{2m-1}(q)$  of highest degree. In this paper, we consider the elements of  $\mathrm{Irr}(U_n(q))$  having each of the three lowest degrees, namely 1,  $q$ , and  $q^2$ . As the underlying field will remain fixed throughout, we will write  $U_n$  in place of  $U_n(q)$ .

It is easy to see that the commutator subgroup  $U'_n$  of  $U_n$  is given by

$$U'_n = \{(a_{ij}) \in U_n : a_{i,i-1} = 0 \text{ for } 2 \leq i \leq n\}.$$

We let  $V_{n,1} = U_n/U'_n$ . Then the elements of  $\mathrm{Irr}(U_n)$  of degree 1 are obtained by extending the irreducible characters of  $V_{n,1}$  to  $U_n$ .

As  $V_{n,1}$  is an (elementary) abelian group of order  $q^{n-1}$ , this means that there are precisely  $q^{n-1}$  irreducible characters of  $U_n$  of degree 1. We will show that the irreducible characters of  $U_n$  of degrees  $q$  and  $q^2$  may be obtained by extending characters of analogous factor groups and we will count the number having each degree.

For  $0 \leq t \leq n-1$ , we define

$$W_{n,t} = \{(a_{ij}) \in U_n : a_{ij} = 0 \text{ if } 1 < i - j \leq t\}.$$

Thus, for example,  $W_{n,0} = U_n$ ,  $W_{n,1} = U'_n$ ,  $W_{n,3} = U''_n$ , and  $W_{n,n-1} = I$ . Each  $W_{n,t}$  is a normal subgroup of  $U_n$ . For  $0 \leq t \leq n-1$  we define

$$V_{n,t} = U_n/W_{n,t}.$$

Thus the elements of  $V_{n,t}$  may be identified with lower-triangular  $n \times n$  matrices over  $\mathbf{F}_q$  with 1's along the diagonal and for which we only consider the entries on the main diagonal and on the  $t$  diagonals below the main diagonal. We will write  $\hat{A}$  for the elements  $AW_{n,t}$  of  $V_{n,t}$ .

For  $1 \leq s \leq n$ , we define

$$\begin{aligned} N_{n,s} &= \{(a_{ij}) \in U_n : a_{ij} = 0 \text{ for } i > j \text{ if either } i \leq s \text{ or } j > s\} \\ &= \left\{ \begin{pmatrix} I_s & 0 \\ C & I_{n-s} \end{pmatrix} : C \in M_{n-s,s}(\mathbf{F}_q) \right\} \end{aligned}$$

and

$$\begin{aligned} H_{n,s} &= \{(a_{ij}) \in U_n : a_{ij} = 0 \text{ for } i > j \text{ where } i > s \text{ and } j \leq s\} \\ &= \left\{ \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} : A \in U_s, B \in U_{n-s} \right\}. \end{aligned}$$

For all  $s$ ,  $N_{n,s}$  is a normal abelian subgroup of  $U_n$  and  $H_{n,s}$  is a subgroup of  $U_n$  which complements  $N_{n,s}$ , i.e.  $N_{n,s} \cap H_{n,s} = I$  and  $U = N_{n,s}H_{n,s}$ . Usually we write the elements of  $H_{n,s}$  as  $(A, B)$

instead of  $\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$ .

We will make extensive use of the following lemma. It follows partly from a theorem of Gallagher, while the rest can be deduced from standard Clifford Theory. (See Corollary 6.17 and Problem 6.18 of [3].) The proof is straightforward and we omit it.

**Lemma 1.** *We let  $G$  be a finite group,  $N$  a normal abelian subgroup of  $G$ , and  $H$  a subgroup of  $G$  which complements  $N$ . We let  $\lambda$  be an element of  $\text{Irr}(N)$  and we let  $T_G(\lambda)$  be the stabilizer of  $\lambda$  in  $G$ , i.e.*

$$T_G(\lambda) = \{g \in G : \lambda^g = \lambda\},$$

where  $\lambda^g \in \text{Irr}(N)$ ,  $\lambda^g(n) = \lambda(g^{-1}ng)$  for all  $n \in N$ . We let  $S_G(\lambda) = T_G(\lambda) \cap H \cong T_G(\lambda)/N$ . Note that  $T_G(\lambda) = S_G(\lambda)N$ . We define a character  $\bar{\lambda}$  of  $T_G(\lambda)$  by  $\bar{\lambda}(hn) = \lambda(n)$  for all  $h$  in  $S_G(\lambda)$  and all  $n$  in  $N$ . Then for each irreducible character  $\Psi$  of  $S_G(\lambda)$ ,  $(\bar{\lambda}\Psi)^G$  is an element of  $\text{Irr}(G)$ , distinct for distinct  $\Psi$ 's, and

$$\lambda^G = \sum_{\Psi \in \text{Irr}(S_G(\lambda))} \Psi(1) (\bar{\lambda}\Psi)^G.$$

Starting instead with an irreducible character  $\Gamma$  of  $G$ , if  $\Gamma$  lies over  $\lambda \in \text{Irr}(N)$ , then there exists an irreducible character  $\Psi$  of  $S_G(\lambda)$  such that  $\lambda$  occurs with multiplicity  $\Psi(1)$  in  $\Gamma|_N$  and

$$\Gamma(1) = \Psi(1) \frac{|H|}{|S_G(\lambda)|}. \quad \blacksquare$$

For  $1 \leq s \leq n$  and for every  $s \times (n - s)$  matrix  $D$  over  $\mathbf{F}_q$ , we define  $\Lambda_D : N_{n,s} \rightarrow \mathbb{C}$  by

$$\Lambda_D \left( \begin{pmatrix} I_s & 0 \\ C & I_{n-s} \end{pmatrix} \right) = \omega^{T(\text{tr}(CD))},$$

where  $\omega$  is a primitive  $p^{\text{th}}$  root of unity in  $\mathbb{C}$ ,  $T : \mathbf{F}_q \rightarrow \mathbf{F}_p$  is the usual trace mapping from an extension field into the ground field,  $\text{tr}$  denotes the trace of a square matrix, and we identify the elements of  $\mathbf{F}_p$  with the integers  $0, 1, \dots, p - 1$ .

**Lemma 2.** For  $1 \leq s \leq n$ ,  $\text{Irr}(N_{n,s}) = \{\Lambda_D : D \in M_{s,n-s}\}$  and  $\Lambda_{D_1} = \Lambda_{D_2}$  if and only if  $D_1 = D_2$ .

*Proof:* It is easy to check that  $\Lambda_D$  is a degree 1 representation, and so an irreducible character, of  $N_{n,s}$ .  $N_{n,s}$  is abelian with  $q^{s(n-s)}$  elements, so the number of  $\Lambda_D$ 's is equal to the number of irreducible characters of  $N_{n,s}$ . The proof will be complete if we show that  $\Lambda_{D_1} = \Lambda_{D_2}$  implies that  $D_1 = D_2$ . Now,

$$\begin{aligned} \Lambda_{D_1} = \Lambda_{D_2} &\Rightarrow \omega^{T(\text{tr}(C D_1))} = \omega^{T(\text{tr}(C D_2))} \text{ for all } C \in M_{n-s,s}(\mathbf{F}_q) \\ &\Rightarrow T\left(\text{tr}(C(D_1 - D_2))\right) = 0 \text{ for all } C \in M_{n-s,s}(\mathbf{F}_q) \\ &\Rightarrow \text{tr}(C(D_1 - D_2)) = 0 \text{ for all } C \in M_{n-s,s}(\mathbf{F}_q) \end{aligned}$$

where the last implication follows from the well-known fact that  $T: \mathbf{F}_q \rightarrow \mathbf{F}_p$  is non-zero.

But the last condition above implies that  $D_1 - D_2 = 0$ . For suppose the  $(i, j)$ -entry of  $D_1 - D_2$  is non-zero. Then we can let  $C = E_{ji}$ , the  $(n-s) \times s$  matrix with 1 in the  $(j, i)$ -position and zeros elsewhere. It is clear that for this choice of  $C$ ,  $\text{tr}(C(D_1 - D_2))$  is equal to the  $(i, j)$ -entry of  $D_1 - D_2$ , and so is not equal to zero, a contradiction. Thus  $\Lambda_{D_1} = \Lambda_{D_2}$  implies that  $D_1 = D_2$  and the proof is complete. ■

For  $1 \leq s \leq n$ , we can now apply the result from Lemma 1 to the normal abelian subgroup  $N_{n,s}$  of  $U_n$  and its complement  $H_{n,s}$ . For all elements  $D$  of  $M_{s,n-s}$ , we let  $S(D) = S_{U_n}(\Lambda_D)$ .

**Lemma 3.** Let  $\Lambda_D$  be an element of  $\text{Irr}(N_{n,s})$  for some value of  $s$ . Then

$$S(D) = \{(A, B) \in H_{n,s} : ADB^{-1} = D\}.$$

*Proof:* Let  $(A, B)$  be any element of  $H_{n,s}$ . Then

$$\begin{aligned} \Lambda_D^{(A,B)} \left( \begin{pmatrix} I & 0 \\ C & I \end{pmatrix} \right) &= \Lambda_D \left( \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}^{-1} \begin{pmatrix} I & 0 \\ C & I \end{pmatrix} \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \right) \\ &= \Lambda_D \left( \begin{pmatrix} I & 0 \\ B^{-1}CA & I \end{pmatrix} \right) \\ &= \omega^T(\text{tr}(B^{-1}CAD)) \\ &= \omega^T(\text{tr}(CADB^{-1})) \\ &= \Lambda_{ADB^{-1}} \left( \begin{pmatrix} I & 0 \\ C & I \end{pmatrix} \right) \end{aligned}$$

for all elements  $\begin{pmatrix} I & 0 \\ C & I \end{pmatrix}$  of  $N_{n,s}$ . Thus  $\Lambda_D^{(A,B)} = \Lambda_{ADB^{-1}}$  and

$$\begin{aligned} S(D) &= \left\{ (A, B) \in H_{n,s} : \Lambda_D^{(A,B)} = \Lambda_D \right\} \\ &= \left\{ (A, B) \in H_{n,s} : \Lambda_{ADB^{-1}} = \Lambda_D \right\} \\ &= \left\{ (A, B) \in H_{n,s} : ADB^{-1} = D \right\}. \quad \blacksquare \end{aligned}$$

It follows from the proof above that  $H_{n,s}$  acts on  $\text{Irr}(N_{n,s})$  via the action  $(A, B) : \Lambda_D \mapsto \Lambda_{ADB^{-1}}$ . We let  $\mathcal{O}(\Lambda_D)$  be the orbit of  $\Lambda_D$  under this action. Of course every element  $\Lambda_{D'}$  of  $\mathcal{O}(\Lambda_D)$  lies under precisely the same irreducible characters of  $U_n$  as  $\Lambda_D$  while  $S(D') \cong S(D)$ .

**Lemma 4.** *Let  $\Gamma \in \text{Irr}(U_n)$  and choose  $t$  maximal such that  $W_{n,t+1} \leq \ker(\Gamma)$  but  $W_{n,t} \not\leq \ker(\Gamma)$ . Then there exists  $Y \in W_{n,t}$  such that  $Y \notin \ker(\Gamma)$  and  $Y$  has precisely one non-zero entry below the main diagonal.*

*Proof:* Let  $X = (x_{ij})$  be an element of  $W_{n,t} - \ker(\Gamma)$ . We define

$$Z = I_n - \sum_{l=t+2}^n x_{l,l-t-1} E_{l,l-t-1}.$$

We claim that  $Z \notin \ker(\Gamma)$ . For if  $f$  is the representation of  $U$  which affords  $\Gamma$ , then  $f(ZX) = I$  because  $ZX \in W_{n,t+1}$ . Thus  $f(Z) = f(X)^{-1} \neq I$ . We note that

$$Z = (I - x_{t+2,1}E_{t+2,1})(I - x_{t+3,2}E_{t+3,2}) \cdots \\ (I - x_{n,n-t-1}E_{n,n-t-1}).$$

If every term in this product were an element of  $\ker(f)$ , then  $Z$  would also be an element of  $\ker(f)$ . Thus for at least one choice of  $k$  satisfying  $t+2 \leq k \leq n$ ,

$$I - x_{k,k-t-1}E_{k,k-t-1} \notin \ker(\Gamma),$$

as required. ■

**Theorem 5.** Take  $\Gamma \in \text{Irr}(U_n)$  and choose  $t$  maximal such that  $W_{n,t+1} \leq \ker(\Gamma)$  but  $W_{n,t} \not\leq \ker(\Gamma)$ . Then  $\Gamma(1) \geq q^t$ .

*Proof:* We choose  $Y = I + \lambda E_{k,k-t-1}$  not in  $\ker(\Gamma)$ . We note that  $Y$  is an element of  $N_{n,k-1}$ . Thus there is at least one element  $\Lambda_D$  of  $\text{Irr}(N_{n,k-1})$  for which  $Y$  is not an element of  $\ker(\Lambda_D)$ , i.e.  $\text{tr } \lambda E_{1,k-t-1} D \neq 0$ , where  $D$  is a  $(k-1) \times (n-k+1)$  matrix over  $\mathbf{F}_q$ . If  $D = (d_{ij})$ , then it follows that  $d_{k-t-1,1} \neq 0$ . We let  $\alpha = d_{b1}$  be the first non-zero entry in column 1 of  $D$ . Then  $b \leq k-t-1$ . (In fact it must be the case that  $b = k-t-1$ , but we do not need to prove this.) We let

$$A = I - \sum_{l=b+1}^n \frac{d_{l1}}{\alpha} E_{lb}.$$

We set  $D' = AD$ . Then  $\Lambda_{D'}$  is an element of  $\mathcal{O}(\Lambda_D)$  and

$$D' = AD \\ = \left( I - \sum_{l=b+1}^n \frac{d_{l1}}{\alpha} E_{lb} \right) \left( \alpha E_{b1} + \sum_{l=b+1}^n d_{l1} E_{l1} + \sum_{\substack{1 \leq i \leq k-1 \\ 2 \leq j \leq n-k+1}} d_{ij} E_{ij} \right) \\ = \alpha E_{b1} + \sum_{\substack{1 \leq i \leq k-1 \\ 2 \leq j \leq n-k+1}} d'_{ij} E_{ij},$$

for some  $d'_{ij}$ 's in  $\mathbf{F}_q$ . Thus  $D'$  has precisely one non-zero entry in column 1, namely  $\alpha$  in the  $(b, 1)$ -position.

Now we have

$$S(D) \cong S(D') = \{(A, B) \in H_{n,k-1} : AD'B^{-1} = D'\}.$$

Writing  $A = (a_{ij})$ , the equation  $AD' = D'B$  gives us relations which the  $k-1-b$  entries  $a_{b+1,b}, a_{b+2,b}, \dots, a_{k-1,b}$  must satisfy. Thus

$$\begin{aligned} |S(D)| = |S(D')| &\leq \frac{|H_{n,k-1}|}{q^{k-1-b}} \\ &\leq \frac{|H_{n,k-1}|}{q^{k-1-(k-t-1)}} \\ &= \frac{|H_{n,k-1}|}{q^t}. \end{aligned}$$

By Lemma 1, there exists  $\Psi \in \text{Irr}(S(D))$  such that

$$\begin{aligned} \Gamma(1) &= \Psi(1) \frac{|H_{n,k-1}|}{|S(D)|} \\ &\geq \frac{|H_{n,k-1}|}{|H_{n,k-1}|/q^t} \\ &= q^t. \quad \blacksquare \end{aligned}$$

**Definition:** For a finite group  $G$  and a positive integer  $l$ , we define  $C(G, l)$  to be the number of irreducible characters of  $G$  having degree  $l$ .

**Corollary 6.** Choose  $t$  such that  $1 \leq t \leq n-1$ . Then for  $0 \leq a \leq t-1$ , we have

$$C(U_n, q^a) = C(V_{n,t}, q^a).$$

*Proof:* If  $\Gamma$  is an element of  $\text{Irr}(U_n)$  such that  $\Gamma(1) \leq q^{t-1}$ , then by Theorem 5  $W_{n,t} \leq \ker(\Gamma)$ . Thus  $\Gamma$  is an element of  $\text{Irr}(V_{n,t})$ . Conversely, given any element  $\Theta$  of  $\text{Irr}(V_{n,t})$ , we can extend  $\Theta$  to

an element of  $\text{Irr}(U_n)$  by letting  $\Theta(A) = \Theta(I)$  for all elements  $A$  of  $W_{n,t}$ . ■

To find the number of irreducible characters of  $U_n$  of degrees  $q$  and  $q^2$ , we need only calculate  $C(V_{n,2}, q)$  and  $C(V_{n,3}, q^2)$ . While our main interest in  $V_{n,2}$  is in the degree  $q$  characters, it turns out that for this group there is a simple closed formula describing the number of irreducible characters having any degree. The formulas we derive involve binomial coefficients. We adopt the conventions that  $\binom{0}{0} = 1$  and  $\binom{s}{t} = 0$  whenever  $s < t$ .

**Theorem 7.**  $\{\Theta(1) : \Theta \in \text{Irr}(V_{n,2})\} = \{q^a : 0 \leq a \leq \frac{n-1}{2}\}$  and, for all values of  $a$  in this range,

$$C(V_{n,2}, q^a) = \binom{n-a-1}{a} q^{n-a-2} (q-1)^a + \binom{n-a-2}{a} q^{n-a-2} (q-1)^{a+1}.$$

*Proof:* We proceed by induction on  $n$ .  $V_{2,2} = U_2$  has  $q$  irreducible characters, all of degree 1, as the theorem states.  $V_{3,2} = U_3$  has  $q^2$  characters of degree 1 while every other character degree is a power of  $q$ . Recall that the squares of the degrees of the irreducible characters of a finite group  $G$  add up to the order of  $G$ . It follows that  $\{\Theta(1) : \Theta \in \text{Irr}(V_{3,2})\} = \{1, q\}$  and that  $C(V_{3,2}, q) = q - 1$ , which again is in agreement with the statement of the theorem.

For  $n > 3$ , we let

$$J_n = \left\{ (a_{ij}) + W_{n,2} \in V_{n,2} : a_{n,n-2} = a_{n,n-1} = 0 \right\}$$

and

$$K_n = \left\{ (b_{ij}) + W_{n,2} \in V_{n,2} : b_{ij} = 0 \text{ for } i \neq n \right\}.$$

Now  $K_n$  is a normal abelian subgroup of  $V_{n,2}$ , having  $J_n$  as complement. For all  $\alpha$  and  $\beta$  in  $\mathbf{F}_q$ , we define  $\Delta_{\alpha,\beta} : K_n \rightarrow \mathbb{C}$  by

$$\Delta_{\alpha,\beta}((b_{ij}) + W_{n,2}) = \omega^{\alpha b_{n,n-2} + \beta b_{n,n-1}}.$$

It is easy to prove that  $\text{Irr}(K_n) = \{\Delta_{\alpha,\beta} : \alpha, \beta \in \mathbf{F}_q\}$  and  $\Delta_{\alpha',\beta'} = \Delta_{\alpha,\beta}$  if and only if  $\alpha' = \alpha$  and  $\beta' = \beta$ . Furthermore, for



any elements  $\tilde{A} = (a_{ij}) + W_{n,2}$  of  $J_n$  and  $\tilde{B} = (b_{ij}) + W_{n,2}$  of  $K_n$ ,

$$\begin{aligned}
 \Delta_{\alpha,\beta}^{\tilde{A}}(\tilde{B}) &= \Delta_{\alpha,\beta}^{\tilde{A}}((b_{ij}) + W_{n,2}) \\
 &= \Delta_{\alpha,\beta}(A^{-1}(b_{ij})A + W_{n,2}) \\
 &= \Delta_{\alpha,\beta}(I + (b_{n,n-2} + b_{n,n-1}a_{n-1,n-2})E_{n,n-2} + \\
 &\quad b_{n,n-1}E_{n,n-1} + W_{n,2}) \\
 &= \omega^{T(\alpha(b_{n,n-2} + b_{n,n-1}a_{n-1,n-2}) + \beta b_{n,n-1})} \\
 &= \omega^{T(\alpha b_{n,n-2} + (\beta + \alpha a_{n-1,n-2})b_{n,n-1})} \\
 &= \Delta_{\alpha,(\beta + \alpha a_{n-1,n-2})}(\tilde{B}),
 \end{aligned}$$

i.e.  $\Delta_{\alpha,\beta}^{\tilde{A}} = \Delta_{\alpha,(\beta + \alpha\varepsilon)}$ , where  $\varepsilon = a_{n-1,n-2}$ .

We define  $S(\alpha, \beta) = S_{V_{n,2}}(\Delta_{\alpha,\beta})$ . Then for all non-zero elements  $\alpha$  of  $\mathbf{F}_q$  and all elements  $\beta$  of  $\mathbf{F}_q$ ,

$$S(0, \beta) = J_n \cong V_{n-1,2}$$

and

$$\begin{aligned}
 S(\alpha, \beta) &\cong S(\alpha, 0) \\
 &= \left\{ (a_{ij}) + W_{n,2} \in J_n : a_{n-1,n-2} = 0 \right\} \\
 &= \left\{ (a_{ij}) + W_{n,2} \in V_{n,2} : a_{n-1,n-2} = a_{n,n-1} = a_{n,n-2} = 0 \right\} \\
 &\cong V_{n-2,2} \oplus (\mathbf{F}_q, +).
 \end{aligned}$$

For all non-zero elements  $\alpha$  of  $\mathbf{F}_q$  and all elements  $\beta$  of  $\mathbf{F}_q$ , Lemma 1 tells us that

$$\begin{aligned}
 &\left\{ \Gamma \in \text{Irr}(V_{n,2}) : \langle \Gamma|_N, \Delta_{0,\beta} \rangle_N \neq 0 \right\} \\
 &= \left\{ (\bar{\Delta}_{0,\beta} \Psi)^{V_{n,2}} : \Psi \in \text{Irr}(S(0, \beta)) \right\}
 \end{aligned}$$

and

$$\begin{aligned}
& \left\{ \Gamma \in \text{Irr}(V_{n,2}) : \langle \Gamma|_N, \Delta_{\alpha,\beta} \rangle_N \neq 0 \right\} \\
&= \left\{ \Gamma \in \text{Irr}(V_{n,2}) : \langle \Gamma|_N, \Delta_{\alpha,0} \rangle_N \neq 0 \right\} \\
&= \left\{ (\bar{\Delta}_{\alpha,0} \Psi)^{V_{n,2}} : \Psi \in \text{Irr}(S(\alpha, 0)) \right\}.
\end{aligned}$$

Lemma 1 also implies that, with the same notation as in the sets above,

$$(\bar{\Delta}_{0,\beta} \Psi)^{V_{n,2}}(1) = \Psi(1)$$

and

$$(\bar{\Delta}_{\alpha,0} \Psi)^{V_{n,2}}(1) = q\Psi(1).$$

Thus for  $0 \leq a \leq \frac{n-2-1}{2} + 1$ ,

$$\begin{aligned}
C(V_{n,2}, q^a) &= qC(V_{n-1,2}, q^a) + q(q-1)C(V_{n-2,2}, q^{a-1}) \\
&= q \binom{n-1-a-1}{a} q^{n-1-a-2} (q-1)^a + \\
&\quad q \binom{n-1-a-2}{a} q^{n-1-a-2} (q-1)^{a+1} + \\
&\quad q(q-1) \binom{n-2-(a-1)-1}{a-1} q^{n-2-(a-1)-2} (q-1)^{a-1} + \\
&\quad q(q-1) \binom{n-2-(a-1)-2}{a-1} q^{n-2-(a-1)-2} (q-1)^a \\
&= \binom{n-a-2}{a} q^{n-a-2} (q-1)^a + \binom{n-a-3}{a} q^{n-a-2} (q-1)^{a+1} + \\
&\quad \binom{n-a-2}{a-1} q^{n-a-2} (q-1)^a + \binom{n-a-3}{a-1} q^{n-a-2} (q-1)^{a+1} \\
&= \binom{n-a-1}{a} q^{n-a-2} (q-1)^a + \binom{n-a-2}{a} q^{n-a-2} (q-1)^{a+1}. \blacksquare
\end{aligned}$$

It seems to be much more difficult to find a formula for  $C(V_{n,3}, q^a)$  which holds for all relevant values of  $a$ . In this case, we will confine our attention to the characters of degree  $q^2$  and state without proof our findings in this case.

**Theorem 8.** We have  $C(V_{4,3}, q^2) = q(q-1)$  and for  $n \geq 5$ ,

$$\begin{aligned}
C(V_{n,3}, q^2) &= \binom{n-3}{2} q^{n-4} (q-1)^2 + \binom{n-4}{2} q^{n-4} (q-1)^3 + \\
&\quad (n-3)q^{n-2}(q-1) + (n-5)q^{n-2}(q-1)^2.
\end{aligned}$$

We can now provide formulae for the number of irreducible characters of  $U_n$  having the degrees 1,  $q$  and  $q^2$ .

**Theorem 9.** For  $n \geq 2$ ,  $U_n$  has  $q^{n-1}$  irreducible characters of degree 1. For  $n \geq 3$ ,  $U_n$  has  $(n-2)q^{n-3}(q-1) + (n-3)q^{n-3}(q-1)^2$  irreducible characters of degree  $q$ .  $U_4$  has  $q(q-1)$  irreducible characters of degree  $q^2$ ; for  $n \geq 5$ ,  $U_n$  has  $\binom{n-3}{2}q^{n-4}(q-1)^2 + \binom{n-4}{2}q^{n-4}(q-1)^3 + (n-3)q^{n-2}(q-1) + (n-5)q^{n-2}(q-1)^2$  irreducible characters of degree  $q^2$ .

*Proof:* This follows from Corollary 6, Theorem 7, and Theorem 8.

■

### References

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