

# Course 424

## Group Representations II

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EELT3

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16:00–17:30

*Answer as many questions as you can; all carry the same number of marks.*

*All representations are finite-dimensional over  $\mathbb{C}$ .*

1. What is meant by a *measure* on a compact space  $X$ ? What is meant by saying that a measure on a compact group  $G$  is *invariant*? Sketch the proof that every compact group  $G$  carries such a measure. To what extent is this measure unique?

**Answer:** *A measure  $\mu$  on  $X$  is a continuous linear functional*

$$\mu : C(X) \rightarrow \mathbb{C},$$

*where  $C(X) = C(X, \mathbb{R})$  is the space of real-valued continuous functions on  $X$  with norm  $\|f\| = \sup |f(x)|$ .*

*The compact group  $G$  acts on  $C(G)$  by*

$$(gf)(x) = f(g^{-1}x).$$

*The measure  $\mu$  is said to be invariant under  $G$  if*

$$\mu(gf)\mu(f)$$

*for all  $g \in G$ ,  $f \in C(G)$ .*

*By an average  $F$  of  $f \in C(G)$  we mean a function of the form*

$$F = \lambda_1 g_1 f + \lambda_2 g_2 f + \cdots + \lambda_r g_r f,$$

*where  $0 \leq \lambda_i \leq 1$ ,  $\sum \lambda_i = 1$  and  $g_1, g_2, \dots, g_r \in G$ .*

*If  $F$  is an average of  $f$  then*

(a)  $\inf f \leq \inf F \leq \sup F \leq \sup f$ ;

(b) *If  $\mu$  is an invariant measure then  $\mu(F) = \mu(f)$ ;*

(c) *An average of  $F$  is an average of  $f$ .*

*Continued overleaf*

If we set

$$\text{var}(f) = \sup f - \inf f$$

then

$$\text{var}(F) \leq \text{var}(f)$$

for any average  $F$  of  $f$ . We shall establish a sequence of averages  $F_0 = f, F_1, F_2, \dots$  (each an average of its predecessor) such that  $\text{var}(F_i) \rightarrow 0$ . It follows that

$$F_i \rightarrow c \in \mathbb{R},$$

ie  $F_i(g) \rightarrow c$  for each  $g \in G$ .

Suppose  $f \in C(G)$ . It is not hard to find an average  $F$  of  $f$  with  $\text{var}(F) < \text{var}(f)$ . Let

$$V = \{g \in G : f(g) < \frac{1}{2}(\sup f + \inf f),$$

ie  $V$  is the set of points where  $f$  is 'below average'. Since  $G$  is compact, we can find  $g_1, \dots, g_r$  such that

$$G = g_1V \cup \dots \cup g_rV.$$

Consider the average

$$F = \frac{1}{r}(g_1f + \dots + g_rf).$$

Suppose  $x \in G$ . Then  $x \in g_iV$  for some  $i$ , ie

$$g_i^{-1}x \in V.$$

Hence

$$(g_if)(x) < \frac{1}{2}(\sup f + \inf f),$$

and so

$$\begin{aligned} F(x) &< \frac{r-1}{r} \sup f + \frac{1}{2r}(\sup f + \inf f) \\ &= \sup f - \frac{1}{2r} \sup f - \inf f. \end{aligned}$$

Hence  $\sup F < \sup f$  and so

$$\text{var}(F) < \text{var}(f).$$

This allows us to construct a sequence of averages  $F_0 = f, F_1, F_2, \dots$  such that

$$\text{var}(f) = \text{var}(F)_0 > \text{var}(F)_1 > \text{var}(F)_2 > \dots.$$

But that is not sufficient to show that  $\text{var}(F)_i \rightarrow 0$ . For that we must use the fact that any  $f \in C(G)$  is uniformly continuous.

[I would accept this last remark as sufficient in the exam, and would not insist on the detailed argument that follows.]

In other words, given  $\epsilon > 0$  we can find an open set  $U \ni e$  such that

$$x^{-1}y \in U \implies |f(x) - f(y)| < \epsilon.$$

Since

$$(g^{-1}x)^{-1}(g^{-1}y) = x^{-1}y,$$

the same result also holds for the function  $gf$ . Hence the result holds for any average  $F$  of  $f$ .

Let  $V$  be an open neighbourhood of  $e$  such that

$$VV \subset U, \quad V^{-1} = V.$$

(If  $V$  satisfies the first condition, then  $V \cap V^{-1}$  satisfies both conditions.)  
Then

$$xV \cup yV \neq \emptyset \implies |f(x) - f(y)| < \epsilon.$$

For if  $xv = yv'$  then

$$x^{-1}y = vv'^{-1} \in U.$$

Since  $G$  is compact we can find  $g_1, \dots, g_r$  such that

$$G = g_1V \cup \dots \cup g_rV.$$

Suppose  $f$  attains its minimum  $\inf f$  at  $x_0 \in g_iV$ ; and suppose  $x \in g_jV$ .  
Then

$$g_i^{-1}x_0, g_j^{-1}x \in V.$$

Hence

$$(g_j^{-1}x)^{-1}(g_i^{-1}x_0) = (g_i g_j^{-1}x)^{-1}x_0 \in U,$$

and so

$$|f(g_i g_j^{-1}x) - f(x_0)| < \epsilon.$$

In particular,

$$(g_j g_i^{-1}f)(x) < \inf f + \epsilon.$$

Let  $F$  be the average

$$F = \frac{1}{r^2} \sum_{i,j} g_j g_i^{-1} f.$$

Then

$$\sup F < \frac{r^2 - 1}{r^2} \sup f + \frac{1}{r^2} (\inf f + \epsilon),$$

and so

$$\begin{aligned}\mathrm{var}(F) &< \frac{r^2 - 1}{r^2} \mathrm{var}(f) + \frac{1}{r^2} \epsilon \\ &< \frac{r^2 - 1/2}{r^2} \mathrm{var}(f),\end{aligned}$$

if  $\epsilon < \mathrm{var}(f)/2$ .

Moreover this result also holds for any average of  $f$  in place of  $f$ . It follows that a succession of averages of this kind

$$F_0 = f, F_1, \dots, F_s$$

will bring us to

$$\mathrm{var}(F)_s < \frac{1}{2} \mathrm{var}(f).$$

Now repeating the same argument with  $F_s$ , and so on, we will obtain a sequence of successive averages  $F_0 = f, F_1, \dots$  with

$$\mathrm{var}(F)_i \downarrow 0.$$

It follows that

$$F_i \rightarrow c$$

(the constant function with value  $c$ ).

It remains to show that this limit value  $c$  is unique. For this we introduce right averages

$$H(x) = \sum_j \mu_j f(xh_j)$$

where  $0 \leq \mu_j \leq 1$ ,  $\sum \mu_j = 1$ . (Note that a right average of  $f$  is in effect a left average of  $\tilde{f}$ , where  $\tilde{f}(x) = f(x^{-1})$ . In particular the results we have established for left averages will hold equally well for right averages.)

Given a left average and a right average of  $f$ , say

$$F(x) = \sum \lambda_i f(g_i^{-1}x), \quad H(x) = \sum \mu_j f(xh_j),$$

we can form the joint average

$$J(x) = \sum_{i,j} \lambda_i \mu_j f(g_i^{-1}xh_j).$$

It is easy to see that

$$\begin{aligned}\inf F &\leq \inf J \leq \sup J \leq \sup H, \\ \sup F &\geq \sup J \geq \inf J \geq \inf H.\end{aligned}$$

But if now  $H_0 = f, H_1, \dots$  is a succession of right averages with  $H_i \rightarrow d$  then it follows that

$$c = d.$$

In particular, any two convergent sequences of successive left averages must tend to the same limit. We can therefore set

$$\mu(f) = c.$$

Thus  $\mu(f)$  is well-defined; and it is invariant since  $f$  and  $gf$  have the same set of averages. Finally, if  $f = 1$  then  $\text{var}(f) = 0$ , and  $f, f, f, \dots$  converges to 1, so that

$$\mu(1) = 1.$$

The invariant measure on  $G$  is unique up to a scalar multiple. In other words, it is unique if we normalise the measure by specifying that

$$\mu(1) = 1$$

(where 1 on the left denotes the constant function 1).

2. Prove that every simple representation of a compact abelian group is 1-dimensional and unitary.

Determine the simple representations of  $\mathbf{SO}(2)$ .

Determine also the simple representations of  $\mathbf{O}(2)$ .

**Answer:** Suppose  $\alpha$  is a simple representation of the compact abelian group  $G$  in  $V$ .

Suppose  $g \in G$ . Let  $\lambda$  be an eigenvalue of  $g$ , and let  $E = E_\lambda$  be the corresponding eigenspace. We claim that  $E$  is stable under  $G$ . For suppose  $h \in G$ . Then

$$e \in E \implies g(he) = h(ge) = \lambda he \implies he \in E.$$

Since  $\alpha$  is simple, it follows that  $E = V$ , ie  $gv = \lambda v$  for all  $v$ , or  $g = \lambda I$ .

Since this is true for all  $g \in G$ , it follows that every subspace of  $V$  is stable under  $G$ . Since  $\alpha$  is simple, this implies that  $\dim V = 1$ , ie  $\alpha$  is of degree 1.

Thus a simple representation of  $G$  is a homomorphism  $\alpha : G \rightarrow \mathbb{C}^*$ . We must show that

$$|\alpha(g)| = 1$$

for all  $g \in G$ .

If  $|\alpha(g)| > 1$  then

$$|\alpha(g^n)| = (|\alpha(g)|)^n \rightarrow \infty.$$

This is a contradiction, since  $\text{im } \alpha \subset \mathbb{C}^*$  is compact and so bounded. On the other hand, if  $|\alpha(g)| < 1$  then  $|\alpha(g^{-1})| > 1$ . Hence  $|\alpha(g)| = 1$  for all  $g$ , ie  $\alpha$  is unitary.

We can identify  $\mathbf{SO}(2)$  with

$$\mathbf{U}(1) = \{z \in \mathbb{C} : |z| = 1\}.$$

From above, a representation of  $\mathbf{U}(1)$  is a homomorphism

$$\alpha : \mathbf{U}(1) \rightarrow \mathbf{U}(1).$$

For each  $n \in \mathbb{Z}$  the map

$$E(n) : z \rightarrow z^n$$

defines such a homomorphism. We claim that every representation of  $\mathbf{U}(1)$  is of this form.

3. Determine the conjugacy classes in  $\mathbf{SU}(2)$ ; and prove that this group has just one simple representation of each dimension.

Find the character of the representation  $D(j)$  of dimensions  $2j + 1$  (where  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$ ).

Determine the representation-ring of  $\mathbf{SU}(2)$ , ie express each product  $D(i)D(j)$  as a sum of simple representations  $D(k)$ .

**Answer:** We know that

(a) if  $U \in \mathbf{SU}(2)$  then  $U$  has eigenvalues

$$e^{\pm i\theta} \quad (\theta \in \mathbb{R}).$$

(b) if  $X, Y \in \mathbf{GL}(n, k)$  then

$$X \sim Y \implies X, Y \text{ have the same eigenvalues.}$$

A fortiori, if  $U \sim V \in \mathbf{SU}(2)$  then  $U, V$  have the same eigenvalues.

We shall show that the converse of the last result is also true, that is:  $U \sim V$  in  $\mathbf{SU}(2)$  if and only if  $U, V$  have the same eigenvalues  $e^{\pm i\theta}$ . This is equivalent to proving that

$$U \sim U(\theta) = \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix},$$

ie we can find  $V \in \mathbf{SU}(2)$  such that

$$V^{-1}UV = U(\theta).$$

To see this, let  $v$  be an  $e^{i\theta}$ -eigenvalue of  $U$ . Normalise  $v$ , so that  $v^*v = 1$ ; and let  $w$  be a unit vector orthogonal to  $v$ , ie  $w^*w = 1$ ,  $v^*w = 0$ . Then the matrix

$$V = (vw) \in \mathbf{Mat}(2, \mathbb{C})$$

is unitary; and

$$V^{-1}UV = \begin{pmatrix} e^{i\theta} & x \\ 0 & e^{-i\theta} \end{pmatrix}$$

But in a unitary matrix, the squares of the absolute values of each row and column sum to 1. It follows that

$$|e^{i\theta}|^2 + |x|^2 = 1 \implies x = 0,$$

ie

$$V^{-1}UV = U(\theta).$$

We only know that  $V \in \mathbf{U}(2)$ , not that  $V \in \mathbf{SU}(2)$ . However

$$V \in \mathbf{U}(2) \implies |\det V| = 1 \implies \det V = e^{i\phi}.$$

Thus

$$V' = e^{-i\phi/2}V \in \mathbf{SU}(2)$$

and still

$$(V')^{-1}UV = U(\theta).$$

To summarise: Since  $U(-\theta) \sim U(\theta)$  (by interchange of coordinates), we have show that if

$$C(\theta) = \{U \in \mathbf{SU}(2) : U \text{ has eigenvalues } e^{\pm i\theta}\}$$

then the conjugacy classes in  $\mathbf{SU}(2)$  are

$$C(\theta) \quad (0 \leq \theta \leq \pi).$$

Now suppose  $m \in \mathbb{N}$ , Let  $V(m)$  denote the space of homogeneous polynomials  $P(z, w)$  in  $z, w$ . Thus  $V(m)$  is a vector space over  $\mathbb{C}$  of dimension  $m + 1$ , with basis  $z^m, z^{m-1}w, \dots, w^m$ .

Suppose  $U \in \mathbf{SU}(2)$ . Then  $U$  acts on  $z, w$  by

$$\begin{pmatrix} z \\ w \end{pmatrix} \mapsto \begin{pmatrix} z' \\ w' \end{pmatrix} = U \begin{pmatrix} z \\ w \end{pmatrix}.$$

This action in turn defines an action of  $\mathbf{SU}(2)$  on  $V(m)$ :

$$P(z, w) \mapsto P(z', w').$$

We claim that the corresponding representation of  $\mathbf{SU}(2)$  — which we denote by  $D_{m/2}$  — is simple, and that these are the only simple (finite-dimensional) representations of  $\mathbf{SU}(2)$  over  $\mathbb{C}$ .

To prove this, let

$$\mathbf{U}(1) \subset \mathbf{SU}(2)$$

be the subgroup formed by the diagonal matrices  $U(\theta)$ . The action of  $\mathbf{SU}(2)$  on  $z, w$  restricts to the action

$$(z, w) \mapsto (e^{i\theta}z, e^{-i\theta}w)$$

of  $\mathbf{U}(1)$ . Thus in the action of  $\mathbf{U}(1)$  on  $V(m)$ ,

$$z^{m-r}w^r \mapsto e^{(m-2r)i\theta}z^{m-r}w^r,$$

It follows that the restriction of  $D_{m/2}$  to  $\mathbf{U}(1)$  is the representation

$$D_{m/2}|_{\mathbf{U}(1)} = E(m) + E(m-2) + \cdots + E(-m)$$

where  $E(m)$  is the representation

$$e^{i\theta} \mapsto e^{mi\theta}$$

of  $\mathbf{U}(1)$ .

In particular, the character of  $D_{m/2}$  is given by

$$\chi_{m/2}(U) = e^{mi\theta} + e^{(m-2)i\theta} + \cdots + e^{-mi\theta}$$

if  $U$  has eigenvalues  $e^{\pm i\theta}$ .

Now suppose  $D_{m/2}$  is not simple, say

$$D_{m/2} = \alpha + \beta.$$

(We know that  $D_{m/2}$  is semisimple, since  $\mathbf{SU}(2)$  is compact.) Let a corresponding split of the representation space be

$$V(m) = W_1 \oplus W_2.$$

Since the simple parts of  $D_{m/2}|_{\mathbf{U}(1)}$  are distinct, the expression of  $V(m)$  as a direct sum of  $\mathbf{U}(1)$ -spaces,

$$V(m) = \langle z^m \rangle \oplus \langle z^{m-1}w \rangle \oplus \cdots \oplus \langle w^m \rangle$$

is unique. It follows that  $W_1$  must be the direct sum of some of these spaces, and  $W_2$  the direct sum of the others. In particular  $z^m \in W_1$  or  $z^n \in W_2$ , say  $z^m \in W_1$ . Let

$$U = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \in \mathbf{SU}(2).$$



Then

$$\begin{pmatrix} z \\ w \end{pmatrix} \mapsto \frac{1}{\sqrt{2}} \begin{pmatrix} z+w \\ -z+w \end{pmatrix}$$

under  $U$ . Hence

$$z^m \mapsto 2^{-m/2}(z+w)^m.$$

Since this contains non-zero components in each subspace  $\langle z^{m-r}w^r \rangle$ , it follows that

$$W_1 = V(m),$$

ie the representation  $D_{m/2}$  of  $\mathbf{SU}(2)$  in  $V(m)$  is simple.

To see that every simple (finite-dimensional) representation of  $\mathbf{SU}(2)$  is of this form, suppose  $\alpha$  is such a representation. Consider its restriction to  $\mathbf{U}(1)$ . Suppose

$$\alpha|_{\mathbf{U}(1)} = e_r E(r) + e_{r-1} E(r-1) + \cdots + e_{-r} E(-r) \quad (e_r, e_{r-1}, \dots, e_{-r} \in \mathbb{N}).$$

Then  $\alpha$  has character

$$\chi(U) = \chi(\theta) = e_r e^{ri\theta} + e_{r-1} e^{(r-1)i\theta} + \cdots + e_{-r} e^{-ri\theta}$$

if  $U$  has eigenvalues  $e^{\pm i\theta}$ .

Since  $U(-\theta) \sim U(\theta)$  it follows that

$$\chi(-\theta) = \chi(\theta),$$

and so

$$e_{-i} = e_i,$$

ie

$$\chi(\theta) = e_r (e^{ri\theta} + e^{-ri\theta}) + e_{r-1} (e^{(r-1)i\theta} + e^{-(r-1)i\theta}) + \cdots.$$

It is easy to see that this is expressible as a sum of the  $\chi_j(\theta)$  with integer (possibly negative) coefficients:

$$\chi(\theta) = a_0 \chi_0(\theta) + a_{1/2} \chi_{1/2}(\theta) + \cdots + a_s \chi_s(\theta) \quad (a_0, a_{1/2}, \dots, a_s \in \mathbb{Z}).$$

Using the intertwining number,

$$I(\alpha, \alpha) = a_0^2 + a_{1/2}^2 + \cdots + a_s^2$$

(since  $I(D_j, D_k) = 0$ ). Since  $\alpha$  is simple,

$$I(\alpha, \alpha) = 1.$$

It follows that one of the coefficients  $a_j$  is  $\pm 1$  and the rest are 0, ie

$$\chi(\theta) = \pm \chi_j(\theta)$$

for some half-integer  $j$ . But

$$\chi(\theta) = -\chi_j(\theta) \implies I(\alpha, D_j) = -I(D_j, D_j) = -1,$$

which is impossible. Hence

$$\chi(\theta) = \chi_j(\theta),$$

and so (since a representation is determined up to equivalence by its character)

$$\alpha = D_j.$$

Finally, we show that

$$D_j D_k = D_{j+k} + D_{j+k-1} + \cdots + D_{|j-k|}.$$

It is sufficient to prove the corresponding result for the characters

$$\chi_j(\theta) \chi_k(\theta) = \chi_{j+k}(\theta) + \chi_{j+k-1}(\theta) + \cdots + \chi_{|j-k|}(\theta).$$

We may suppose that  $j \geq k$ . We prove the result by induction on  $k$ .

If  $k = 0$  the result is trivial, since  $\chi_0(\theta) = 1$ . If  $k = 1/2$  then

$$\begin{aligned} \chi_j(\theta) \chi_{1/2}(\theta) &= (e^{2ji\theta} + e^{2(j-1)i\theta} + e^{-2ji\theta}) (e^{i\theta} + e^{-i\theta}) \\ &= (e^{(2j+1)i\theta} + e^{-(2j-1)i\theta}) + (e^{(2j-1)i\theta} + e^{-(2j+1)i\theta}) \\ &= \chi_{j+1/2}(\theta) + \chi_{j-1/2}(\theta), \end{aligned}$$

as required.

Suppose  $k \geq 1$ . Then

$$\chi_k(\theta) = \chi_{k-1}(\theta) + (e^{ki\theta} + e^{-ki\theta}).$$

Thus applying our inductive hypothesis,

$$\chi_j(\theta) \chi_k(\theta) = \chi_{j+k-1}(\theta) + \cdots + \chi_{j-k+1}(\theta) + \chi_j(\theta) (e^{ki\theta} + e^{-ki\theta}).$$

But

$$\begin{aligned} \chi_j(\theta) (e^{ki\theta} + e^{-ki\theta}) &= (e^{2ji\theta} + e^{2(j-1)i\theta} + e^{-2ji\theta}) (e^{ki\theta} + e^{-ki\theta}) \\ &= \chi_{j+k}(\theta) + \chi_{j-k}(\theta), \end{aligned}$$

giving the required result

$$\begin{aligned} \chi_j(\theta) \chi_k(\theta) &= \chi_{j+k-1}(\theta) + \cdots + \chi_{j-k+1}(\theta) + \chi_{j+k}(\theta) + \chi_{j-k}(\theta) \\ &= \chi_{j+k}(\theta) + \cdots + \chi_{j-k}. \end{aligned}$$

4. Show that there exists a surjective homomorphism

$$\Theta : \mathbf{SU}(2) \rightarrow \mathbf{SO}(3)$$

with finite kernel.

Hence or otherwise determine all simple representations of  $\mathbf{SO}(3)$ .

Determine also all simple representations of  $\mathbf{O}(3)$ .

**Answer:** *The set of skew-hermitian  $2 \times 2$  matrices*

$$S = \begin{pmatrix} ia & -b + ic \\ b + ic & id \end{pmatrix} \quad (a, b, c, d \in \mathbb{R})$$

*forms a 4-dimensional real vector space  $U$ . The group  $\mathbf{SU}(2)$  acts on this space by*

$$(U, S) \mapsto U^{-1}SU = U^*SU,$$

*since*

$$(U^*SU)^* = U^*S^*U = -U^*SU.$$

*The 3-dimensional subspace  $W \subset U$  formed by trace-free skew-hermitian matrices*

$$T = \begin{pmatrix} ix & -y + iz \\ y + iz & -ix \end{pmatrix} \quad (x, y, z \in \mathbb{R})$$

*is stable under  $\mathbf{SU}(2)$  since*

$$\mathrm{tr}(U^*TU) = \mathrm{tr}(U^{-1}TU) = \mathrm{tr} T = 0.$$

*Thus  $W$  carries a representation of  $\mathbf{SU}(2)$  of degree 3, corresponding to a homomorphism*

$$\Theta : \mathbf{SU}(2) \rightarrow \mathbf{GL}(3, \mathbb{R}).$$

*Moreover, this homomorphism preserves the positive-definite quadratic form*

$$\det T = x^2 + y^2 + z^2$$

*on  $W$  since*

$$\det(U^*TU) = \det(U^{-1}TU) = \det T.$$

*Hence*

$$\mathrm{im} \Theta \subset \mathbf{O}(3).$$

*Finally,  $\mathbf{SU}(2) \cong S^3$  is connected; and so therefore is its image. But  $\mathbf{SO}(3)$  is an open subgroup of  $\mathbf{O}(3)$ . Hence*

$$\mathrm{im} \Theta \subset \mathbf{SO}(3).$$

Thus our homomorphism takes the form

$$\Theta : \mathbf{SU}(2) \rightarrow \mathbf{SO}(3).$$

It remains to show that  $\Theta$  has a finite kernel, and is surjective.

If

$$U \in \ker \Theta$$

then

$$U^{-1}TU = T$$

for all  $T \in W$ . Each  $S \in U$  can be expressed in the form

$$S = T + \rho I,$$

where  $T \in W$  and  $\rho = \text{tr } S/2$ . It follows that

$$U^{-1}SU = S$$

for all skew-hermitian  $S \in U$ .

Hence

$$U^{-1}HU = H$$

for all hermitian  $H$ , since  $H$  is hermitian if and only if  $S = iH$  is skew-hermitian.

It follows from this that

$$U^{-1}XU = X$$

for all  $X \in \mathbf{Mat}(2, \mathbb{C})$ , since every  $X$  is expressible in the form

$$X = H + S,$$

with  $H = (X + X^*)/2$  hermitian and  $S = (X - X^*)/2$  skew-hermitian.

But it is a simple matter to see that the only such  $U$  are  $U = \pm I$ . Thus

$$\ker \Theta = \{\pm I\}.$$

To see that  $\Theta$  is surjective, we note that if

$$U(\theta) = \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix}$$

then

$$U(\theta)^{-1} \begin{pmatrix} ix & -y + iz \\ y + iz & -ix \end{pmatrix} U(\theta) = \begin{pmatrix} ix & e^{-2i\theta}(-y + iz) \\ e^{2i\theta}(y + iz) & -ix \end{pmatrix},$$

ie

$$\Theta U(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos 2\theta & -\sin 2\theta \\ 0 & \sin 2\theta & \cos 2\theta \end{pmatrix} = R(Ox, 2\theta),$$

rotation about  $Ox$  through angle  $2\theta$ . In particular,  $\text{im } \Theta$  contains all rotations about  $Ox$ .

Now let

$$U = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}.$$

Then  $U \in \mathbf{SU}(2)$  and

$$U^{-1} \begin{pmatrix} ix & -y + iz \\ y + iz & -ix \end{pmatrix} U = \begin{pmatrix} iz & -y - ix \\ y - ix & -iz \end{pmatrix}.$$

Thus

$$\alpha(U) = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{pmatrix} = R(Oy, \pi/2).$$

Writing  $P = R(Oy, \pi/2)$ ,

$$P^{-1}R(Ox, \theta)P = R(Oz, \theta).$$

Thus  $\text{im } \Theta$  contains all rotations about  $Oz$  as well as  $Ox$ . But it is easy to see that every rotation  $R \in \mathbf{SO}(3)$  is expressible as a product of rotations about  $Ox$  and  $Oz$ . Hence

$$\text{im } \Theta = \mathbf{SO}(3),$$

ie  $\Theta$  is surjective.

Thus

$$\mathbf{SO}(3) = \mathbf{SU}(2)/\{\pm i\}.$$

It follows that the representations of  $\mathbf{SO}(3)$  are just the representations  $\alpha$  of  $\mathbf{SO}(2)$  such that

$$\alpha(-I) = I.$$

In particular, the simple representations of  $\mathbf{SO}(3)$  are those simple representations  $D_j$  of  $\mathbf{SU}(2)$  such that  $D_j(-I) = I$ . But  $D_j$  is defined by the action of  $\mathbf{SU}(2)$  on the polynomials

$$P(z, w) = c_0 z^{2j} + c_1 z^{2j-1} w + \cdots + c_{2j} w^{2j}.$$

It is clear that

$$P(-z, -w) = P(z, w)$$

for all  $P$  of degree  $2j$  if and only if  $2j$  is even, ie  $j$  is an integer.

Thus the simple representations of  $\mathbf{SO}(3)$  are  $D_0, D_1, D_2, \dots$  of degrees  $1, 3, 5, \dots$ .

Since

$$\mathbf{O}(3) = \mathbf{SO}(3) \times C_2,$$

where  $C_2 = \{\pm I\}$ , the simple representations of  $\mathbf{O}(3)$  are of the form  $\alpha \times \beta$ , where  $\alpha$  is a simple representation of  $\mathbf{SO}(3)$ , and  $\beta$  is a simple representation of  $C_2$ . Thus the simple representations of  $\mathbf{O}(3)$  are  $D_j \times 1$  and  $D_j \times \epsilon$ , where  $j \in \mathbb{N}$  and  $\epsilon$  is the representation  $-I \rightarrow -1$  of  $C_2$ .

5. Explain the division of simple representations of a finite or compact group  $G$  over  $\mathbb{C}$  into *real*, *essentially complex* and *quaternionic*. Give an example of each (justifying your answers).

Show that if  $\alpha$  is a simple representation with character  $\chi$  then the value of

$$\int_G \chi(g^2) dg$$

determines which of these three types  $\alpha$  falls into.

**Answer:** Suppose  $\alpha$  is a simple representation of  $G$  over  $\mathbb{C}$ . Then  $\alpha$  is said to be real if

$$\alpha = \beta_{\mathbb{C}}$$

for some representation of  $G$  over  $\mathbb{R}$ . If this is so then the character

$$\chi_{\alpha}(g) = \chi_{\beta}(g)$$

is real. We say that  $\alpha$  is quaternionic if its character is real, but it is not real. Finally, we say that  $\alpha$  is essentially complex if its character is not real.

The trivial character 1 of any group is real, since it is the complexification of the trivial character over  $\mathbb{R}$ .

The 1-dimensional character  $\theta$  of the cyclic group  $C_3 = \langle g \rangle$  given by

$$\theta : g \mapsto \omega = e^{2\pi/3}$$

is essentially complex, since its character  $\theta$  is not real.

Consider the quaternion group

$$Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}.$$

We can regard the quaternions  $\mathbb{H}$  as a 2-dimensional vector space over  $\mathbb{C} = \langle 1, i \rangle$ . The action of  $Q_8$  on  $\mathbb{H}$  by multiplication on the left defines a 2-dimensional representation  $\alpha$  of  $D_8$ . We assert that this is a simple quaternionic representation.

It is certainly simple, since otherwise  $\mathbb{H}$  would have a 1-dimensional subspace  $\langle q \rangle$  stable under  $D_8$ , and therefore under  $\mathbb{H}$ , since  $D_8$  spans  $\mathbb{H}$ . But that is impossible since

$$x = (xq^{-1})q$$

for any  $x \in \mathbb{H}$ . The simple representations of  $D_4$  must have dimensions 1, 1, 1, 1, 2 (since  $\sum \dim_i^2 = 8$ ). It follows that

$$\alpha^* = \alpha$$

since there is only 1 2-dimensional simple representation. Hence  $\chi_\alpha$  is real.

It remains to show that  $\alpha$  is not real. Consider the 4-dimensional representation  $\beta$  of  $D_8$  over  $\mathbb{R}$ , defined by the same action of  $D_4$  on  $\mathbb{H}$ . This is easily seen to be simple, by the argument above. It follows that  $\beta_{\mathbb{C}}$  is either simple, or splits into 2 simple representations over  $\mathbb{C}$  of dimension 2. The only possibility is that

$$\beta_{\mathbb{C}} = 2\alpha.$$

Now if  $\alpha$  were real, say

$$\alpha = \gamma_{\mathbb{C}}$$

we would deduce that  $\beta = 2\gamma$  which is impossible, since  $\beta$  is simple.

Now suppose  $\alpha$  is a simple representation of  $G$  in  $V$ . Then  $(\alpha^*)^2$  is the representation arising from the action of  $G$  on the space of bilinear forms on  $V$ .

But

$$\alpha^* = \alpha \iff \chi_\alpha \text{ is real.}$$

Thus

$$I(1, (\alpha^*)^2) = I(\alpha, \alpha^*) = \begin{cases} 1 & \text{if } \alpha \text{ is real or quaternionic} \\ 0 & \text{if } \alpha \text{ is essentially complex} \end{cases}.$$

In other words, there is just 1 invariant bilinear form (up to a scalar multiple) if  $\alpha$  is real or quaternionic, and no such form if  $\alpha$  is essentially complex.

Now the space of bilinear forms splits into the direct sum of symmetric (or quadratic) and skew-symmetric forms, since each bilinear form  $B(u, v)$  can be expressed as

$$B(u, v) = \frac{1}{2} (B(u, v) + B(v, u)) + \frac{1}{2} (B(u, v) - B(v, u)),$$

where the first form is symmetric and the second skew-symmetric.

It follows that

$$(\alpha^*)^2 = \phi + \psi,$$

where  $\phi$  is the representation of  $G$  in the space of symmetric forms, and  $\psi$  the representation in the space of skew-symmetric forms.

If  $\alpha$  is essentially complex, there is no invariant symmetric or skew-symmetric form. But if  $\alpha$  is real or quaternionic, there must be just 1 invariant form, either symmetric or skew-symmetric. We shall see that in fact there is an invariant symmetric form if and only if  $\alpha$  is real.

Certainly if  $\alpha$  is real, say  $\alpha = \beta_{\mathbb{C}}$ , where  $\beta$  is a representation in the real vector space  $U$ , then we know that there is an invariant positive-definite form on  $U$ , and this will give an invariant quadratic form on  $V = U_{\mathbb{C}}$ .

Conversely, suppose  $\alpha$  is a quaternionic simple representation on  $V$ . Then  $\beta = \alpha_{\mathbb{R}}$  is simple. For

$$(\alpha_{\mathbb{R}})_{\mathbb{C}} = \alpha + \alpha^*$$

for any representation  $\alpha$  over  $\mathbb{C}$ . Thus if  $\beta = \gamma + \gamma'$  then (with  $\alpha$  quaternionic)

$$2\alpha = \gamma_{\mathbb{C}} + \gamma'_{\mathbb{C}},$$

and it will follow that

$$\alpha = \gamma_{\mathbb{C}} = \gamma'_{\mathbb{C}},$$

so that  $\alpha$  is real.

Since  $\beta$  is simple, there is a unique invariant quadratic form  $P$  on  $V_{\mathbb{R}}$ , and this form is positive-definite. But if there were an invariant quadratic form  $Q$  on  $V$  this would give an invariant quadratic form on  $V_{\mathbb{R}}$ , which would not be positive-definite, since we would have

$$Q(iu, iu) = -Q(u).$$

Thus if  $\alpha$  is quaternionic, then there is no invariant quadratic form on  $V$ , and therefore there is an invariant skew-symmetric form.

It follows that we can determine which class  $\alpha$  falls into by computing

$$I(1, \phi) \text{ and } I(1, \psi).$$

To this end we compute the characters of  $\phi$  and  $\psi$ .

Suppose  $g \in G$ . Then we can diagonalise  $g$ , ie we can find a basis  $e_1, \dots, e_n$  of  $V$  consisting of eivenvectors, say

$$ge_i = \lambda_i e_i.$$

The space of quadratic forms is spanned by the  $n(n+1)/2$  forms

$$x_i x_j \quad (i \leq j),$$



where  $x_1, \dots, x_n$  are the coordinates with respect to the basis  $e_1, \dots, e_n$ .  
It follows that

$$\chi_\phi(g) = \sum \lambda_i^2 + \sum_{i < j} \lambda_i \lambda_j.$$

Now

$$\chi_\alpha(g) = \sum \lambda_i, \quad \chi_\alpha(g^2) = \sum \lambda_i^2.$$

It follows that

$$\chi_{phi}(g) = \frac{1}{2} (\chi_\alpha(g)^2 + \chi_{\alpha^2}(g)).$$

We deduce from this that

$$I(1, \phi) = \frac{1}{2\|G\|} \sum_{g \in G} (\chi_\alpha(g)^2 + \chi_{\alpha^2}(g)).$$

Since

$$\begin{aligned} I(1, \phi) + I(1, \psi) &= I(1, (\alpha^*)^2) \\ &= \frac{1}{\|G\|} \sum_g \chi_\alpha(g^{-1})^2 \\ &= \frac{1}{\|G\|} \sum_g \chi_\alpha(g)^2, \end{aligned}$$

it follows that

$$I(1, \psi) = \frac{1}{2\|G\|} \sum_{g \in G} (\chi_\alpha(g)^2 - \chi_{\alpha^2}(g)).$$

Putting all this together, we conclude that

$$\begin{aligned} \frac{1}{\|G\|} \sum_{g \in G} \chi_\alpha(g^2) &= I(1, \phi) - I(1, \psi) \\ &= \begin{cases} 1 & \text{if } \alpha \text{ is real,} \\ -1 & \text{if } \alpha \text{ is quaternionic,} \\ 0 & \text{if } \alpha \text{ is essentially complex.} \end{cases} \end{aligned}$$