

# Chapter 6

## The $p$ -adic Case

### 6.1 The $p$ -adic valuation on $\mathbb{Q}$

The absolute value  $|x|$  on  $\mathbb{Q}$  defines the metric, or distance function,

$$d(x, y) = |x - y|.$$

Surprisingly perhaps, there are other metrics on  $\mathbb{Q}$  just as worthy of study.

**Definition 6.1** *Let  $p$  be a prime. Suppose*

$$x = \frac{m}{n} \in \mathbb{Q},$$

*where  $m, n \in \mathbb{Z}$  with  $\gcd(m, n) = 1$ . Then we set*

$$\|x\|_p = \begin{cases} 0 & \text{if } x = 0, \\ p^{-e} & \text{if } p^e \parallel m, \\ p^e & \text{if } p^e \parallel n. \end{cases}$$

*We call the function  $x \mapsto \|x\|_p$  the  $p$ -adic valuation on  $\mathbb{Q}$ .*

Another way of putting this is: If  $x \in \mathbb{Q}$ ,  $x \neq 0$ , then we can write

$$x = \frac{m}{n} p^e$$

where  $p \nmid m, n$ . The  $p$ -adic value of  $x$  is given by

$$\|x\|_p = p^{-e}.$$

Note that all integers are quite small in the  $p$ -adic valuation:

$$x \in \mathbb{Z} \implies \|x\|_p \leq 1.$$

High powers of  $p$  are very small:

$$p^n \rightarrow 0 \text{ as } n \rightarrow \infty.$$

The following result is immediate.

**Proposition 6.1** 1.  $\|x\|_p \geq 0$ ; and  $\|x\|_p = 0 \iff x = 0$ ;

$$2. \|xy\|_p = \|x\|_p \|y\|_p;$$

$$3. \|x + y\|_p \leq \max(\|x\|_p, \|y\|_p).$$

From (3) we at once deduce

**Corollary 1** *The  $p$ -adic valuation satisfies the triangle inequality:*

$$3' \quad \|x + y\|_p \leq \|x\|_p + \|y\|_p.$$

A *valuation* on a field  $k$  is a map

$$x \mapsto \|x\| : k \rightarrow \mathbb{R}$$

satisfying (1), (2) and (3'). A valuation defines a *metric*

$$d(x, y) = \|x - y\|$$

on  $k$ ; and this in turn defines a *topology* on  $k$ .

**Corollary 2** *If  $\|x\|_p \neq \|y\|_p$  then*

$$\|x + y\|_p = \max(\|x\|_p, \|y\|_p).$$

**Corollary 3** *In a  $p$ -adic equation*

$$x_1 + \cdots + x_n = 0 \quad (x_1, \dots, x_n \in \mathbb{Q}_p)$$

*no term can dominate, ie at least two of the  $x_i$  must attain  $\max \|x_i\|_p$ .*

To emphasize the analogy between the  $p$ -adic valuation and the familiar valuation  $|x|$  we sometimes write

$$\|x\|_\infty = |x|.$$

## 6.2 $p$ -adic numbers

The reals  $\mathbb{R}$  can be constructed from the rationals  $\mathbb{Q}$  by *completing* the latter with respect to the valuation  $|x|$ . In this construction each Cauchy sequence

$$\{x_i \in \mathbb{Q} : |x_i - x_j| \rightarrow 0 \text{ as } i, j \rightarrow \infty\}$$

defines a real number, with 2 sequences defining the same number if  $|x_i - y_i| \rightarrow 0$ .

(There are 2 very different ways of constructing  $\mathbb{R}$  from  $\mathbb{Q}$ : by completing  $\mathbb{Q}$ , as above; or alternatively, by the use of *Dedekind sections*. In this each real number corresponds to a partition of  $\mathbb{Q}$  into 2 subsets  $L, R$  where

$$l \in L, r \in R \implies l < r.$$

The construction by completion is much more general, since it applies to any metric space; while the alternative construction uses the fact that  $\mathbb{Q}$  is an *ordered* field. John Conway, in *On Numbers and Games*, has generalized Dedekind sections to give an extraordinary construction of rationals, reals and infinite and infinitesimal numbers, starting ‘from nothing’. Knuth has given a popular account of Conway numbers in *Surreal Numbers*.)

We can complete  $\mathbb{Q}$  with respect to the  $p$ -adic valuation in just the same way. The resulting field is called *the field of  $p$ -adic numbers*, and is denoted by  $\mathbb{Q}_p$ . We can identify  $x \in \mathbb{Q}$  with the Cauchy sequence  $(x, x, x, \dots)$ . Thus

$$\mathbb{Q} \subset \mathbb{Q}_p.$$

To bring out the parallel with the reals, we sometimes write

$$\mathbb{R} = \mathbb{Q}_\infty.$$

The numbers  $x \in \mathbb{Q}_p$  with  $\|x\|_p \leq 1$  are called  *$p$ -adic integers*. The  $p$ -adic integers form a ring, denoted by  $\mathbb{Z}_p$ . For if  $x, y \in \mathbb{Z}_p$  then by property (3) above,

$$\|x + y\|_p \leq \max(\|x\|_p, \|y\|_p) \leq 1,$$

and so  $x + y \in \mathbb{Z}_p$ . Similarly, by property (1),

$$\|xy\|_p = \|x\|_p \|y\|_p \leq 1,$$

and so  $xy \in \mathbb{Z}_p$ .

Evidently

$$\mathbb{Z} \subset \mathbb{Z}_p.$$

More generally,

$$x = \frac{m}{n} \in \mathbb{Z}_p$$

if  $p \nmid n$ . (We sometimes say that a rational number  $x$  of this form is  *$p$ -integral*.) In other words,

$$\mathbb{Q} \cap \mathbb{Z}_p = \left\{ \frac{m}{n} : p \nmid n \right\}.$$

Evidently the  $p$ -integral numbers form a sub-ring of  $\mathbb{Q}$ .

Concretely, each element  $x \in \mathbb{Z}_p$  is uniquely expressible in the form

$$x = c_0 + c_1p + c_2p^2 + \dots \quad (0 \leq c_i < p).$$

More generally, each element  $x \in \mathbb{Q}_p$  is uniquely expressible in the form

$$x = c_{-i}p^{-i} + c_{-i+1}p^{-i+1} + \dots + c_0 + c_1p + \dots \quad (0 \leq c_i < p).$$

We can think of this as the  $p$ -adic analogue of the decimal expansion of a real number  $x \in \mathbb{R}$ .

Suppose for example  $p = 3$ . Let us express  $1/2 \in \mathbb{Q}_3$  in standard form. The first step is to determine if

$$\frac{1}{2} \equiv 0, 1 \text{ or } 2 \pmod{3}.$$

In fact  $2^2 \equiv 1 \pmod{3}$ ; and so

$$\frac{1}{2} \equiv 2 \pmod{3}.$$

Next

$$\frac{1}{3} \left( \frac{1}{2} - 2 \right) = -\frac{1}{2} \equiv 1 \pmod{3}$$

ie

$$\frac{1}{2} - 2 \equiv 1 \cdot 3 \pmod{3^2}.$$

Thus

$$\frac{1}{2} \equiv 2 + 1 \cdot 3 \pmod{3^2}$$

For the next step,

$$\frac{1}{3} \left( -\frac{1}{2} - 1 \right) = -\frac{1}{2} \equiv 1 \pmod{3}$$

giving

$$\frac{1}{2} \equiv 2 + 1 \cdot 3 + 1 \cdot 3^2 \pmod{3^3}$$

It is clear that this pattern will be repeated indefinitely. Thus

$$\frac{1}{2} = 2 + 3 + 3^2 + 3^3 + \cdots.$$

To check this,

$$\begin{aligned} 2 + 3 + 3^2 + \cdots &= 1 + (1 + 3 + 3^2 + \cdots) \\ &= 1 + \frac{1}{1-3} \\ &= 1 - \frac{1}{2} \\ &= \frac{1}{2}. \end{aligned}$$

As another illustration, let us expand  $3/5 \in \mathbb{Q}_7$ . We have

$$\begin{aligned}\frac{3}{5} &\equiv 2 \pmod{7} \\ \frac{1}{7} \left( \frac{3}{5} - 2 \right) &= -\frac{1}{5} \equiv 4 \pmod{7} \\ \frac{1}{7} \left( -\frac{1}{5} - 4 \right) &= -\frac{3}{5} \equiv 5 \pmod{7} \\ \frac{1}{7} \left( -\frac{3}{5} - 5 \right) &= -\frac{4}{5} \equiv 2 \pmod{7} \\ \frac{1}{7} \left( -\frac{4}{5} - 2 \right) &= -\frac{2}{5} \equiv 1 \pmod{7} \\ \frac{1}{7} \left( -\frac{2}{5} - 1 \right) &= -\frac{1}{5} \equiv 4 \pmod{7}\end{aligned}$$

We have entered a loop; and so (in  $\mathbb{Q}_7$ )

$$\frac{3}{5} = 2 + 4 \cdot 7 + 5 \cdot 7^2 + 2 \cdot 7^3 + 1 \cdot 7^4 + 4 \cdot 7^5 + 5 \cdot 7^6 + \dots$$

Checking,

$$\begin{aligned}1 + (1 + 4 \cdot 7 + 5 \cdot 7^2 + 2 \cdot 7^3) \frac{1}{1 - 7^4} &= 1 - \frac{960}{2400} \\ &= 1 - \frac{2}{5} \\ &= \frac{3}{5}.\end{aligned}$$

It is not difficult to see that a number  $x \in \mathbb{Q}_p$  has a recurring  $p$ -adic expansion if and only if it is rational (as is true of decimals).

Let  $x \in \mathbb{Z}_p$ . Suppose  $\|x\|_p = 1$ . Then

$$x = c + yp,$$

where  $0 < c < p$  and  $y \in \mathbb{Z}_p$ . Suppose first that  $c = 1$ , ie

$$x = 1 + yp.$$

Then  $x$  is invertible in  $\mathbb{Z}_p$ , with

$$x^{-1} = 1 - yp + y^2 p^2 - y^3 p^3 + \dots.$$

Even if  $c \neq 1$  we can find  $d$  such that

$$dc \equiv 1 \pmod{p}.$$

Then

$$dx \equiv dc \equiv 1 \pmod{p},$$

say

$$dx = 1 + py,$$

and so  $x$  is again invertible in  $\mathbb{Z}_p$ , with

$$x^{-1} = d(1 - yp + y^2p^2 - \cdots).$$

Thus the elements  $x \in \mathbb{Z}_p$  with  $\|x\|_p = 1$  are all *units* in  $\mathbb{Z}_p$ , ie they have inverses in  $\mathbb{Z}_p$ ; and all such units are of this form. These units form the multiplicative group

$$\mathbb{Z}_p^\times = \{x \in \mathbb{Z}_p : \|x\|_p = 1\}.$$

## 6.3 In the $p$ -adic neighbourhood of 0

Recall that an elliptic curve  $\mathcal{E}(k)$  can be brought to Weierstrassian form

$$y^2 + c_1xy + c_3y = x^3 + c_2x^2 + c_4x + c_6$$

if and only if it has a flex defined over  $k$ . This is not in general true for elliptic curves over  $\mathbb{Q}_p$ . For example, the curve

$$X^3 + pY^3 + p^2Z^3 = 0$$

has no points at all (let alone flexes) defined over  $\mathbb{Q}_p$ . For if  $[X, Y, Z]$  were a point on this curve then

$$\|X^3\|_p = p^{3e}, \|pY^3\|_p = p^{3f-1}, \|p^2Z^3\|_p = p^{3g-2}$$

for some integers  $e, f, g$ . But if  $a, b, c \in \mathbb{Q}_p$  and

$$a + b + c = 0$$

then two (at least) of  $a, b, c$  must have the same  $p$ -adic value, by Corollary 3 to Proposition F.1.

On the other hand,  $\mathbb{Q}_p$  is of characteristic 0; so if  $\mathcal{E}(\mathbb{Q}_p)$  is Weierstrassian — as we shall always assume, for reasons given earlier — then it can be brought to standard form

$$y^2 = x^3 + bx + c.$$

In spite of this, there is some advantage in working with the general Weierstrassian equation, since — as we shall see in Chapter 6 — this allows us to apply the results of this Chapter to study the integer points (that is, points with integer coordinates) on elliptic curves over  $\mathbb{Q}$  given in general Weierstrassian form. Such an equation over  $\mathbb{Q}$  can of course be reduced to standard form; but the reduction may well transform integer to non-integer points.

As in the real case, we study the curve in the neighbourhood of  $0 = [0, 1, 0]$  by taking coordinates  $X, Z$ , where

$$(X, Z) = [X, 1, Z].$$

In these coordinates the elliptic curve takes the form

$$\mathcal{E}(\mathbb{Q}_p) : Z + c_1 XZ + c_3 Z^2 = X^3 + c_2 X^2 Z + c_4 XZ^2 + c_6 Z^3.$$

As in the real case, if  $Z(P)$  is small then so is  $X(P)$ .

**Proposition 6.2** *If  $P \in \mathcal{E}(\mathbb{Q}_p)$  then*

$$\|Z\|_p < 1 \implies \|X\|_p < 1;$$

*and if this is so then*

$$\|Z\|_p = \|X\|_p^3.$$

*Proof* ► Suppose  $\|Z\|_p < 1$ . Let

$$\|X\|_p = p^e.$$

If  $e \geq 0$  then  $X^3$  will dominate; no other term can be as large,  $p$ -adically speaking.

Thus  $e < 0$ , ie  $\|X\|_p < 1$ ; and now each term

$$\|c_1 XZ\|_p, \|c_3 Z^2\|_p, \|c_2 X^2 Z\|_p, \|c_4 XZ^2\|_p, \|c_6 XZ^3\|_p < \|Z\|_p.$$

Only  $X^3$  is left to balance  $Z$ . Hence

$$\|Z\|_p = \|X^3\|_p = \|X\|_p^3.$$

◀

**Definition 6.2** *For each  $e > 0$  we set*

$$\mathcal{E}_{(p^e)} = \{(X, Z) \in \mathcal{E} : \|X\|_p \leq p^{-e}, \|Z\|_p \leq p^{-3e}\}.$$

Recall that in the real case, we showed that  $Z$  could be expressed as a power-series in  $X$ ,

$$Z = X^3 - c_1 X^4 + (c_1^2 + c_2) X^5 + \cdots.$$

valid in a neighbourhood of  $O = [0, 1, 0]$ . It follows that

$$F(X, Z(X)) = 0$$

identically, where

$$F(X, Z) = Z + c_1 XZ + c_3 Z^2 - (X^3 + c_2 X^2 Z + c_4 XZ^2 + c_6 Z^3).$$

This identity must hold in any field, in particular in  $\mathbb{Q}_p$ .

Note that in the  $p$ -adic case, convergence is much simpler than in the real case. A series in  $\mathbb{Q}_p$  converges if and only if its terms tend to 0:

$$\sum a_r \text{ convergent} \iff a_r \rightarrow 0.$$

Remember too that in the  $p$ -adic valuation integers are *small*,

$$x \in \mathbb{Z} \implies \|x\|_p \leq 1.$$

Thus a power-series

$$a_0 + a_1x + a_2x^2 + \cdots$$

where  $a_i \in \mathbb{Z}$ —or more generally,  $a_i \in \mathbb{Z}_p$ —will converge for all  $x$  with  $\|x\|_p < 1$ .

**Proposition 6.3** *Suppose  $\|Z\|_p < 1$ . Then we can express  $Z$  as a power-series in  $X$ ,*

$$Z = X^3 + a_1X^4 + a_2X^5 + \cdots$$

where

1.  $a_1 = -c_1$ ,  $a_2 = c_1^2 + c_2$ ,  $c_3 = -(c_1^3 + 2c_1c_3 + c_3)$ ;
2. each coefficient  $a_i$  is a polynomial in  $c_1, c_2, c_3, c_4, c_6$  with integer coefficients;
3. the coefficient  $a_i$  has weight  $i$ , given that  $c_i$  is ascribed weight  $i$  for  $(i = 1 - 4, 6)$ .

*Proof* ► By repeatedly substituting for  $Z$  on the right-hand side of the equation

$$Z = X^3 + c_2X^2Z + c_4XZ^2 + c_6Z^3 - (c_1XZ + c_3Z^2)$$

we can successively determine more and more terms in the power series. Thus suppose we have shown that

$$Z = X^3 (1 + a_1X + \cdots + a_{n-1}X^{n-1}).$$

On substituting for  $Z$  on the right-hand side of the equation and comparing coefficients of  $X^{n+3}$ ,

$$a_n = c_2a_{n-2} + c_4 \sum_{i+j=n-4} a_i a_j + c_6 \sum_{i+j+k=n-6} a_i a_j a_k - c_1 a_{n-1} - c_3 \sum_{i+j=n-3} a_i a_j,$$

from which the result follows. ◀

**Corollary** *If the elliptic curve is given in standard form*

$$y^2 = x^3 + ax^2 + bx + c$$

then

$$Z = X^3 + d_2X^5 + d_4X^7 + \cdots,$$

where

1. only odd powers of  $X$  appear, ie  $d_i = 0$  for  $i$  odd;
2.  $d_2 = a$ ,  $d_4 = a^2 + b$ ,  $d_6 = a^3 + 3ab + c$ ;
3. each coefficient  $d_{2i}$  is a polynomial in  $a, b, c$  with integer coefficients;
4. the coefficient  $d_{2i}$  has weight  $i$ , given that  $a, b, c$  are ascribed weights 2, 4, 6 respectively;

*Proof* ► We note that in the standard case the  $(X, Z)$ -equation

$$Z = X^3 + aX^2Z + bXZ^2 + cZ^3$$

is invariant under the reflection  $(X, Z) \mapsto (-X, -Z)$  (corresponding to  $P \mapsto -P$ ). Thus

$$Z(-X) = -Z(X),$$

from which the absence of terms of even degree  $X^{2i}$  follows. ◀

As in the real case, the sum of 2 points near  $O$  is defined by a function  $S(X_1, X_2)$ , where

$$X(P_1 + P_2) = S(X(P_1), X(P_2)).$$

**Proposition 6.4** *Suppose  $\|X_1\|_p, \|X_2\|_p < 1$ . Then we can express  $S(X_1, X_2)$  as a double power-series in  $X_1, X_2$ ,*

$$\begin{aligned} S(X_1, X_2) &= X_1 + X_2 + c_1 X_1 X_2 + \cdots \\ &= \sum_i S_i(X_1, X_2) \\ &= \sum_{i,j} s_{ij} X_1^i X_2^j \end{aligned}$$

where

1.  $S_i(X_1, X_2)$  is a symmetric polynomial in  $X_1, X_2$  of degree  $i$ ;
2.  $S_1(X_1, X_2) = X_1 + X_2$ ,  $S_2(X_1, X_2) = c_1 X_1 X_2$ ;
3. the coefficient  $s_{jk}$  of  $X^j X^k$  is a polynomial in  $c_1, c_2, c_3, c_4, c_6$  with integral coefficients.
4. all the coefficients in  $S_i(X_1, X_2)$  have weight  $i$ .

*Proof* ► As in the real case, let the line

$$P_1 P_2 : Z = MX + D$$

meet  $\mathcal{E}$  again in  $P_3 = (X_3, Z_3)$ , ie

$$P_3 = P_1 * P_2.$$

Then  $X_1, X_2, X_3$  are the roots of the equation

$$X^3 + c_2X^2(MX + D) + c_4X(MX + D)^2 + c_6(MX + D)^3 - (MX + D) - c_1X(MX + D) - c_3(MX + D)^2 = 0.$$

Hence

$$\begin{aligned} X_1 + X_2 + X_3 &= -\frac{\text{coeff of } X^2}{\text{coeff of } X^3} \\ &= \frac{c_1M + 2c_3M^2 - (c_2 + c_4M + c_6M^2)D}{1 + c_2M + c_4M^2 + c_6M^3} \end{aligned}$$

Now

$$\begin{aligned} M &= \frac{Z_2 - Z_1}{X_2 - X_1} \\ &= \frac{X_2^3 - X_1^3}{X_2 - X_1} - c_1 \frac{X_2^4 - X_1^4}{X_2 - X_1} + \cdots \\ &= X_1^2 + X_1X_2 + X_2^2 - c_1(X_1^3 + X_1^2X_2 + X_1X_2^2 + X_2^3) + \cdots, \\ D &= \frac{X_2Z_1 - X_1Z_2}{X_2 - X_1} \\ &= X_1X_2 \left( \frac{X_2^2 - X_1^2}{X_2 - X_1} - c_1 \frac{X_2^3 - X_1^3}{X_2 - X_1} + \cdots \right) \\ &= X_1X_2 (X_1 + X_2 - c_1(X_2^2 + X_1X_2 + X_2^2) + \cdots). \end{aligned}$$

Thus  $M, D$  are both expressible as symmetric power-series in  $X_1, X_2$ ; and

$$\|M\|_p \leq p^{-2}, \quad \|D\|_p \leq p^{-3},$$

or more precisely,

$$\begin{aligned} M &\equiv X_1^2 + X_1X_2 + X_2^2 \pmod{p^3} \\ D &\equiv X_1X_2(X_1 + X_2) \pmod{p^4}. \end{aligned}$$

Hence

$$X_1 + X_2 + X_3 \equiv 0 \pmod{p^2}.$$

More precisely,

$$X_1 + X_2 + X_3 \equiv c_1(X_1^2 + X_1X_2 + X_2^2) \pmod{p^3},$$

ie

$$X_3 \equiv -(X_1 + X_2) + c_1(X_1^2 + X_1X_2 + X_2^2) \pmod{p^3}.$$

In particular,

$$\|X_3\|_p \leq p^{-1},$$

and so

$$\|Z_3\|_p = \|MX_3 + D\| \leq p^{-3},$$

ie

$$P_1, P_2 \in \mathcal{E}_{(p)} \implies P_3 \in \mathcal{E}_{(p)}.$$

Recall that

$$P_1 + P_2 = O * (P_1 * P_2) = O * P_3.$$

By our formulae above, with  $O, X_3$  in place of  $X_1, X_2$ ,

$$X(O * P_3) \equiv -X_3 \pmod{p^2},$$

or more precisely

$$X(O * P_3) \equiv -X_3 + c_1 X_3^2 \pmod{p^3},$$

Hence

$$X(P_1 + P_2) = X_1 + X_2 \pmod{p^2},$$

or more precisely

$$\begin{aligned} X(P_1 + P_2) &= X_1 + X_2 - c_1(X_1^2 + X_1X_2 + X_2^2) + c_1(X_1 + X_2)^2 \pmod{p^3} \\ &= X_1 + X_2 + c_1X_1X_2 \pmod{p^3} \end{aligned}$$

◀

Finally, we turn to the normal coordinate function  $\theta(X)$ , defined as in the real case by

$$\begin{aligned} \frac{d\theta}{dX} &= \frac{1}{\partial F / \partial Z} \\ &= \frac{1}{1 + c_1X + 2c_3Z - c_2X^2 - 2c_4XZ - 3c_6Z^2} \end{aligned}$$

**Proposition 6.5** *Suppose  $\|X\|_p < 1$ . Then we can express  $\theta$  as a power-series in  $X$ ,*

$$\begin{aligned} \theta &= X + \frac{c}{2}X^2 + \cdots \\ &= \sum t_n X^{n+1} \end{aligned}$$

where

1.  $t_1 = 1, t_2 = -c_1/2$ ;
2. for each  $i$ ,  $t_i$  is a polynomial in  $c_1, c_2, c_3, c_4, c_6$  with integral coefficients;
3.  $t_i$  is of weight  $i$ .

*Proof* ► Since

$$\begin{aligned}\frac{d\theta}{dX} &= \frac{1}{1 + c_1X + 2c_3Z - c_2X^2 - 2c_4XZ - 3c_6Z^2} \\ &= 1 - (c_1X + 2c_3Z - c_2X^2 - 2c_4XZ - 3c_6Z^2) \\ &\quad + (c_1X + 2c_3Z - c_2X^2 - 2c_4XZ - 3c_6Z^2)^2 + \dots\end{aligned}$$

the coefficients in the power-series for  $d\theta/dX$  are integral polynomials in the  $c_i$ . It follows on integration that the coefficients  $t_i$  in the power-series for  $\theta(X)$  have at worst denominator  $i$ .

It remains to show that this power series converges for  $\|X\|_p < 1$ .

**Lemma 6** For all  $i$ ,

$$\|1/i\|_p \leq i.$$

*Proof of Lemma* ▷ Suppose

$$\|i\|_p = p^{-e}.$$

Then

$$\begin{aligned}p^e \mid i &\implies p^e \leq i \\ &\implies \|1/i\| \leq i.\end{aligned}$$

◁

If now  $\|X\|_p < 1$  then

$$\|X\|_p \leq \frac{1}{p};$$

and so

$$\|t_i X^i\|_p \leq \frac{i}{p^i},$$

which tends to 0 as  $i \rightarrow \infty$ . The power-series is therefore convergent. ◀

Note that

$$p^i \geq 2^i = (1+1)^i > i^2/2$$

if  $i \geq 2$ , while if  $p$  is odd,  $\|1/2\|_p = 1$ . Thus

$$\begin{aligned}\|X\|_p \leq p^{-1} &\implies \|X^i/i\|_p \leq p^{-2} \text{ for } i \geq 2 & (p \text{ odd}) \\ \|X\|_2 \leq 2^{-2} &\implies \|X^i/i\|_2 \leq 2^{-3} \text{ for } i \geq 2 & (p = 2).\end{aligned}$$

So if  $p$  is odd,

$$\theta(X) = X + O(p^2) \text{ if } \|X\|_p \leq p^{-1};$$

while if  $p = 2$ ,

$$\theta(X) = X + O(2^3) \text{ if } \|X\|_2 \leq 2^{-2}.$$

That is why in our discussion below the argument often applies to  $P \in \mathcal{E}_{(p)}$  if  $p$  is odd, while if  $p = 2$  we have to restrict  $P$  to  $\mathcal{E}_{2^2}$ .

**Theorem 6.1** *For each power  $p^e$ , where  $e \geq 1$ ,*

$$\mathcal{E}_{(p^e)}(\mathbb{Q}_p)$$

*is a subgroup of  $\mathcal{E}(\mathbb{Q}_p)$ . Moreover the map*

$$\theta : \mathcal{E}_{(p^e)}(\mathbb{Q}_p) \rightarrow p^e \mathbb{Z}_p$$

*is an isomorphism (of topological abelian groups), provided  $e \geq 2$  if  $p = 2$ .*

*Proof* ► The identity

$$\theta(S(X_1, X_2)) = \theta(X_1) + \theta(X_2),$$

which we established in the real case, must still hold; and we conclude from it, as before, that

$$\theta(P_1 + P_2) = \theta(P_1) + \theta(P_2)$$

whenever

$$P_1, P_2 \in \mathcal{E}_{(p^e)}(\mathbb{Q}_p).$$

It follows from this that  $\mathcal{E}_{(p^e)}$  is a subgroup; and that

$$\theta : \mathcal{E}_{(p^e)} \rightarrow p^e \mathbb{Z}_p$$

is a homomorphism, provided  $e \geq 2$  if  $p = 2$ .

Since

$$\theta(X) = X - c_1 X^2/2 + \cdots,$$

we have

$$\|\theta(X)\|_p = \|X\|_p$$

for all  $\|X\|_p \leq p^{-e}$ . In particular

$$\theta(X) = 0 \iff X = 0.$$

Hence  $\theta$  is injective.

It is also surjective, as the following Lemma will show.

**Lemma 7** *The only closed subgroups of  $\mathbb{Z}_p$  are the subgroups*

$$p^n \mathbb{Z}_p \quad (n = 0, 1, 2, \dots),$$

*together with  $\{0\}$ . In particular, every closed subgroup of  $\mathbb{Z}_p$ , apart from  $\{0\}$ , is in fact open.*

*Proof of Lemma*  $\triangleright \mathbb{Z}$  is a dense subset of  $\mathbb{Z}_p$ :

$$\overline{\mathbb{Z}} = \mathbb{Z}_p.$$

For the  $p$ -adic integer

$$x = c_0 + c_1p + c_2p^2 + \cdots \quad (c_i \in \{0, 1, \dots, p-1\})$$

is approached arbitrarily closely by the (rational) integers

$$x_r = c_0 + c_1p + \cdots + c_rp^r.$$

Now suppose  $S$  is a closed subgroup of  $\mathbb{Z}_p$ . Let  $s \in S$  be an element of maximal  $p$ -adic valuation, say

$$\|s\| = p^{-e}.$$

Then

$$s = p^e u$$

where  $u$  is a unit in  $\mathbb{Z}_p$ , with inverse  $v$ , say. Given any  $\epsilon > 0$ , we can find  $n \in \mathbb{Z}$  such that

$$\|v - n\| < \epsilon.$$

Then

$$\begin{aligned} ns - p^e &= p^e(nu - 1) \\ &= p^e u(n - v); \end{aligned}$$

and so

$$\|ns - p^e\| < \epsilon.$$

Since  $ns \in S$  and  $S$  is closed, it follows that

$$p^e \in S.$$

Hence

$$p^e \overline{\mathbb{Z}} = p^e \mathbb{Z}_p \subset S.$$

Since  $s$  was a maximal element in  $S$ , it follows that

$$S = p^e \mathbb{Z}_p.$$

$\triangleleft$

It follows from this Lemma that  $\text{im } \theta$  is one of the subgroups  $p^m \mathbb{Z}_p$ . But since

$$\|X\| = p^{-e} \implies \|\theta(X)\| = p^{-e},$$

$\text{im } \theta$  must in fact be  $p^e \mathbb{Z}_p$ , ie  $\theta$  is surjective.

A continuous bijective map from a compact space to a hausdorff space is necessarily a homeomorphism. (This follows from the fact that the image of every closed, and therefore compact, subset is compact, and therefore closed.) In particular,  $\theta$  establishes an isomorphism

$$\mathcal{E}_{(p^e)} \cong p^e \mathbb{Z}_p \cong \mathbb{Z}_p.$$

$\blacktriangleleft$

It follows from this Theorem that  $\mathcal{E}_{(p^e)}$  is torsion-free, since  $\mathbb{Z}_p$  is torsion-free. Thus *there are no points of finite order on  $\mathcal{E}$  close to  $O$* , a result which we shall exploit in the next Chapter.

## 6.4 The Structure of $\mathcal{E}(\mathbb{Q}_p)$

We shall not use the following result, but include it for the sake of completeness.

**Theorem 6.2** *Let  $\mathbb{F} \subset \mathcal{E}(\mathbb{Q}_p)$  be the torsion subgroup of the elliptic curve  $\mathcal{E}(\mathbb{Q}_p)$ . Then*

$$\mathcal{E}(\mathbb{Q}_p) \cong \mathbb{F} \oplus \mathbb{Z}_p.$$

*Proof* ► The torsion subgroup  $\mathbb{F}$  splits (uniquely) into its  $p$ -component  $\mathbb{F}_p$  and the sum  $\mathbb{F}_{p'}$  of all components  $\mathbb{F}_q$  with  $q \neq p$ :

$$\mathbb{F} = \mathbb{F}_p \oplus \mathbb{F}_{p'}.$$

(See Appendix A for details.) Explicitly,

$$\begin{aligned} \mathbb{F}_p &= \{P \in \mathcal{E} : p^n P = 0 \text{ for some } n\}, \\ \mathbb{F}_{p'} &= \{P \in \mathcal{E} : mP = 0 \text{ for some } d \text{ with } \gcd(m, p) = 1\}. \end{aligned}$$

(We write  $\mathcal{E}$  for  $\mathcal{E}(\mathbb{Q}_p)$ ).

We also set

$$\mathcal{E}_p = \{P \in \mathcal{E} : p^n P \rightarrow O \text{ as } n \rightarrow \infty\}.$$

Evidently

$$\mathcal{E}_p \supset \mathcal{E}_{(p)}.$$

Since  $\mathcal{E}_{(p)}$  is an open (and therefore closed) subgroup of  $\mathcal{E}$ , it follows that the same is true of  $\mathcal{E}_p$ .

**Lemma 8**  $p^n \mathcal{E}_p = \mathcal{E}_{(p^e)}$  for some  $n, e > 0$ .

*Proof of Lemma* ► For each  $P \in \mathcal{E}_p$ ,

$$p^n P \in \mathcal{E}_{(p)}$$

for some  $n > 0$  since  $p^n P \rightarrow O$  and  $\mathcal{E}_{(p)}$  is an open neighbourhood of  $O$ . Hence the open subgroups  $p^{-n} \mathcal{E}_{(p)}$  cover  $\mathcal{E}_p$ . Since  $\mathcal{E}_p$  is compact, it follows that  $p^{-n} \mathcal{E}_{(p)} \supset \mathcal{E}_p$  for some  $n$ , ie

$$p^n \mathcal{E}_p \subset \mathcal{E}_{(p)} \cong \mathbb{Z}_p.$$

But by Lemma 7 to Theorem 6.1, the only closed subgroups of  $\mathbb{Z}_p$  are the  $p^e \mathbb{Z}_p$ , which correspond under this isomorphism to the subgroups  $\mathcal{E}_{(p^e)}$  of  $\mathcal{E}_{(p)}$ .

We conclude that

$$p^n \mathcal{E}_p = \mathcal{E}_{(p^e)}$$

for some  $e$ . ◁

**Lemma 9** Suppose  $A$  is a finite  $p$ -group; and suppose  $\gcd(m, p) = 1$ . Then the map  $\psi : A \rightarrow A$  under which

$$a \mapsto ma$$

is an isomorphism.

*Proof of Lemma*  $\triangleright$  Suppose  $a \in \ker A$ , ie

$$ma = 0.$$

Then  $\text{order}(a) \mid m$ . But by Lagrange's Theorem,  $\text{order}(a) = p^e$  for some  $e$ . Hence  $\text{order}(a) = 1$ , ie  $a = 0$ .

Thus  $\psi$  is injective; and it is therefore surjective, by the Pigeon-Hole Principle. Hence  $\psi$  is an isomorphism.  $\triangleleft$

It is not difficult to extend this result to  $\mathcal{E}_p$ , which is in effect a kind of topological  $p$ -group.

**Lemma 10** Suppose  $\gcd(m, p) = 1$ . Then the map  $\psi : \mathcal{E}_p \rightarrow \mathcal{E}_p$  under which

$$a \mapsto ma$$

is an isomorphism.

*Proof of Lemma*  $\triangleright$  Suppose  $P \in \ker \psi$ , ie

$$mP = 0.$$

By Lemma 1,

$$p^n \mathcal{E}_p \subset \mathcal{E}_{(p^2)} \cong \mathbb{Z}_p$$

for some  $n$ .

But  $\mathbb{Z}_p$  is torsion-free. Thus

$$mP = 0 \implies m(p^n P = 0) \implies p^n P = 0.$$

Hence

$$m, p^n \mid \text{order}(P) \implies \text{order}(P) = 1 \implies P = 0$$

since  $\gcd(m, p^n) = 1$ . Thus

$$\ker \psi = 0,$$

ie  $\psi$  is injective.

Now suppose  $P \in \mathcal{E}_p$ . We have to show that  $P = mQ$  for some  $Q \in \mathcal{E}_p$ .

Since  $\mathcal{E}_p/p^n \mathcal{E}_p$  is a finite  $p$ -group we can find  $Q \in \mathcal{E}_p$  such that

$$mQ \equiv P \pmod{p^n \mathcal{E}_p}$$

ie

$$mQ = P + R,$$

where

$$R \in p^n \mathcal{E}_p \cong \mathbb{Z}_p.$$

Now the map

$$P \mapsto mP : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$$

is certainly an isomorphism, since  $m$  is a unit in  $\mathbb{Z}_p$  with inverse  $m^{-1} \in \mathbb{Z}_p$ . In particular we can find  $S \in p^n \mathcal{E}_p$  with

$$mS = R.$$

Putting all this together,

$$P = mQ + R = mQ + mS = m(Q + S).$$

Thus the map  $\psi$  is surjective, and so an isomorphism.  $\triangleleft$

**Lemma 11**  $\mathcal{E}(\mathbb{Q}_p) = \mathbb{F}_{p'} \oplus \mathcal{E}_p$ .

*Proof of Lemma*  $\triangleright$  Suppose

$$P \in \mathbb{F}_{p'} \cap \mathcal{E}_p,$$

say

$$mP = O,$$

where  $\gcd(m, p) = 1$ .

On considering  $p \bmod m$  as an element of the finite group

$$(\mathbb{Z}/m)^\times = \{r \bmod m : \gcd(r, m) = 1\},$$

it follows by Lagrange's Theorem that

$$p^r \equiv 1 \bmod m$$

for some  $n > 0$ . But then

$$p^r P = P;$$

and so

$$p^n P \rightarrow O \implies P = O.$$

Now suppose  $P \in \mathcal{E}$ . Since  $\mathcal{E}$  is compact, and  $\mathcal{E}_p$  is open,  $\mathcal{E}/\mathcal{E}_p$  is finite (eg since  $\mathcal{E}$  must be covered by a finite number of  $\mathcal{E}_p$ -cosets). Let the order of this finite group be  $mp^e$ , where  $\gcd(m, p) = 1$ .

We can find  $u, v \in \mathbb{Z}$  such that

$$um + vp^e = 1;$$

and then

$$P = Q + R,$$

where

$$Q = u(mP), \quad R = v(p^e P).$$

Now

$$p^e Q = u(mp^e P) \in \mathcal{E}_p.$$

Hence

$$p^n Q \rightarrow 0 \text{ as } n \rightarrow \infty$$

ie

$$Q \in \mathcal{E}_p.$$

On the other hand,

$$mR = v(mp^e P) \in \mathcal{E}_p.$$

Hence by Lemma 10, there is a point  $S \in \mathcal{E}_p$  such that

$$mR = mS,$$

and so

$$T = R - S \in \mathbb{F}_{p'}.$$

Putting these results together,

$$P = T + (Q + S),$$

with  $T \in \mathbb{F}_{p'}$  and  $Q + S \in \mathcal{E}_p$ .  $\triangleleft$

**Lemma 12**  $\mathbb{F}_p \subset \mathcal{E}_p$ .

*Proof of Lemma*  $\triangleright$  Suppose

$$P = Q + R \in \mathbb{F}_p,$$

where  $Q \in \mathbb{F}_{p'}$ ,  $R \in \mathcal{E}_p$ . Then

$$p^n P = 0 \implies p^n Q = 0, \quad p^n R = 0,$$

since the sum is direct. But

$$p^n Q = 0 \implies \text{order}(Q) \mid p^n \implies \text{order}(Q) = 1 \implies Q = 0,$$

since the order of  $Q$  is coprime to  $p$  by the definition of  $\mathbb{F}_{p'}$ . Thus

$$P = R \in \mathcal{E}_p.$$

$\triangleleft$

It remains to split  $\mathcal{E}_p$  into  $\mathbb{F}_p$  and a subgroup isomorphic to  $\mathbb{Z}_p$ .

Consider the surjection

$$\psi : \mathcal{E}_p \rightarrow \mathcal{E}_{(p^e)} \cong \mathbb{Z}_p.$$

Let us choose a point

$$P_0 \in \mathcal{E}_{p^e} \setminus \mathcal{E}_{(p^{e+1})},$$

eg if we identify  $\mathcal{E}_{(p^e)}$  with  $\mathbb{Z}_p$  we might take the point corresponding to  $1 \in \mathbb{Z}_p$ . Now choose a point  $P_1$  such that

$$\psi(P_1) = P_0;$$

and let

$$\mathcal{E}_1 = \overline{\langle P_1 \rangle}$$

be the closure in  $\mathcal{E}_p$  of the subgroup generated by  $P_1$ . We shall show that the restriction

$$\psi_1 = \psi|_{\mathcal{E}_1} : \mathcal{E}_1 \rightarrow \mathcal{E}_{(p^e)}$$

is an isomorphism, so that

$$\mathcal{E}_1 \cong \mathcal{E}_{(p^e)} \cong \mathbb{Z}_p.$$

Certainly  $\psi_1$  is surjective. For  $\mathcal{E}_1$  is compact, and so its image is closed; while  $\langle P_0 \rangle$  is dense in  $\mathcal{E}_{(p^e)} \cong \mathbb{Z}_p$ .

Suppose

$$Q \in \ker \psi_1 = \ker \psi \cap \mathcal{E}_1.$$

By definition,  $Q$  is the limit of points in  $\langle P_1 \rangle$ , say

$$n_i P_1 \rightarrow Q,$$

where  $n_i \in \mathbb{Z}$ . But then, since  $\psi$  is continuous,

$$n_i P_0 \rightarrow \psi(Q) = 0.$$

Hence

$$n_i \rightarrow 0$$

in  $\mathbb{Z}_p$ . But then it follows that

$$n_i P_1 \rightarrow 0$$

in  $\mathcal{E}_p$ , since

$$\bigcap p^n E_p = 0.$$

Hence  $Q = 0$ , ie  $\ker \psi_1 = 0$ .

It remains to show that

$$\mathcal{E}_p = \mathbb{F}_p \oplus \mathcal{E}_1.$$

Suppose  $P \in \mathcal{E}_p$ . Then

$$\psi(P) = \psi(Q),$$

for some  $Q \in \mathcal{E}_1$ . In other words,

$$p^n(P - Q) = 0.$$

Thus

$$R = P - Q \in \mathbb{F}_p$$

On the other hand, if

$$F_p \cap \mathcal{E}_1 = 0,$$

since as we have seen,

$$\mathcal{E}_1 \cong \mathcal{E}_{(p^e)} \cong \mathbb{Z}_p,$$

and  $\mathbb{Z}_p$  is torsion-free.

We have shown therefore that

$$\begin{aligned} \mathcal{E} &= \mathbb{F}_{p'} \oplus \mathcal{E}_p \\ &= \mathbb{F}_{p'} \oplus (\mathbb{F}_p \oplus \mathcal{E}_1) \\ &= (\mathbb{F}_{p'} \oplus \mathbb{F}_p) \oplus \mathcal{E}_1 \\ &= \mathbb{F} \oplus \mathcal{E}_1 \\ &\cong \mathbb{F} \oplus \mathbb{Z}_p. \end{aligned}$$

◀

*Remark:* We can regard  $\mathcal{E}_p$  as a  $\mathbb{Z}_p$ -module; for since  $p^n P \rightarrow O$  we can define  $xP$  unambiguously for  $x \in \mathbb{Z}_p$ :

$$n_i \rightarrow x \implies n_i P \rightarrow xP.$$

Moreover,  $\mathcal{E}_p$  is a *finitely-generated*  $\mathbb{Z}_p$ -module; that follows readily from the fact that  $\mathcal{E}_{(p)} \cong \mathbb{Z}_p$  is of finite index in  $\mathcal{E}_p$ .

The Structure Theorem for finitely-generated abelian groups, ie  $\mathbb{Z}$ -modules, extends easily to  $\mathbb{Z}_p$ -modules; such a module is the direct sum of copies of  $\mathbb{Z}_p$  and cyclic groups  $\mathbb{Z}/(p^e)$ . (This can be proved in much the same way as the corresponding result for abelian groups.)

Effectively, therefore, all we proved above was that the factor  $\mathbb{Z}_p$  occurred just once, which simply reflects the fact that we are dealing with a 1-dimensional curve.