

**DIFFEOMORPHISM GROUPS OF CONNECTED SUM OF A PRODUCT OF SPHERES AND CLASSIFICATION OF MANIFOLDS**

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(Received April 21, 1986)

**ABSTRACT.** In [1] and [2] a classification of a manifold  $M$  of the type  $(n,p,1)$  was given where  $H_p(M) = H_{n-p}(M) = \mathbb{Z}$  is the only non-trivial homology groups. In this paper we give a complete classification of manifolds of the type  $(n,p,2)$  and we extend the result to manifolds of type  $(n,p,r)$  where  $r$  is any positive integer and  $p = 3,5,6,7 \pmod{8}$ .

**KEY WORDS AND PHRASES.** Pseudo-diffeotopy classes of diffeomorphisms, diffeotopy.  
**1980 AMS SUBJECT CLASSIFICATION CODE.** 57R55

**0. INTRODUCTION.**

In [1] Edward C. Turner worked on a classification of a manifold  $M$  of the type  $(n,p,r)$  where this means that  $M$  is simply connected smooth  $n$ -manifold and  $H_p(M) \approx H_{n-1}(M) \approx \mathbb{Z}^r$  the only non-trivial homology groups except for the top and bottom groups. He gave a classification of such manifolds for the case  $r=1$  and  $p = 3,5,6,7 \pmod{8}$ . So Turner gave a classification of  $M$  of type  $(n,p,1)$  and  $p = 3,5,6,7 \pmod{8}$ . In [2] Hajime Sato independently obtained similar results for  $M$  of the type  $(n,p,1)$ . The question which naturally follows is: Suppose  $r=2,3,4$  and so on, what is the classification of such  $M$ ? i.e., what is the classification of  $M$  of the type  $(n,p,2)$ ,  $(n,p,3)$  and so on? In this paper we will study manifolds for the type  $(n,p,2)$  and give its complete classification and then generalize the result to manifolds  $M$  of the type  $(n,p,r)$  where  $r$  is an integer and  $p = 3,5,6,7 \pmod{8}$ .

In §1 we prove the following

**THEOREM 1.1** Let  $M$  be an  $n$ -dimensional oriented, closed, simply connected manifold of the type  $(n,p,2)$  with  $p = 3,5,6,7 \pmod{8}$ . Then  $M$  is diffeomorphic to  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \cup_h S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$  where  $n = p+q+1$ ,  $\#$  means connected sum along the boundary as defined by Milnor and Karvair [3] and  $h : S^p \times S^q \# S^p \times S^q \rightarrow S^p \times S^q \# S^p \times S^q$  is a diffeomorphism.

In §2 we compute the group  $\widetilde{\pi}_0 \text{Diff}(S^p \times S^q \# S^p \times S^q)$  of pseudo-diffeotopy classes of diffeomorphisms of  $S^p \times S^q \# S^p \times S^q$   $p < q$ .

Let  $GL(2, Z)$  denote the set of  $2 \times 2$  unimodular matrices and  $H$  the subgroup of  $GL(2, Z)$  consisting of matrices  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  such that  $ab = cd = 0 \pmod 2$  and  $Z_4$  the subgroup of  $GL(2, Z)$  of order 4 generated by  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . We will adopt the notation  $M_{p,q} = \text{Diff}(S^p \times S^q \# S^p \times S^q)$  and  $M_{p,q}^+$  the subgroup of  $M_{p,q}$  consisting of diffeomorphisms which induce identity map on all homology groups. We will then prove the following

**THEOREM 2.1 (i)** If  $p+q$  is even, then

$$\frac{\tilde{\pi}_0(M_{p,q})}{\tilde{\pi}_0(M_{p,q}^+)} \approx \begin{cases} Z_4 \oplus Z_4 & \text{if } p \text{ is even, } q \text{ is even} \\ GL(2, Z) \oplus GL(2, Z) & \text{if } p, q = 1, 3, 7 \\ H \oplus H & \text{if } p, q \text{ odd but } \neq 1, 3, 7 \\ GL(2, Z) \oplus H & \text{if } p = 1, 3, 7, q \text{ is odd but } \neq 1, 3, 7 \end{cases}$$

(ii) If  $p+q$  is odd then

$$\frac{\tilde{\pi}_0(M_{p,q})}{\tilde{\pi}_0(M_{p,q}^+)} \approx \begin{cases} Z_4 \oplus H & \text{if } p \text{ is even } q \text{ is odd but } \neq 1, 3, 7 \\ Z_4 \oplus GL(2, Z) & \text{if } p \text{ is even and } q = 1, 3, 7 \end{cases}$$

We will further prove the following .

**THEOREM 2.15** If  $p < q$  and  $p = 3, 5, 6, 7 \pmod 8$  the order of the group  $\tilde{\pi}_0(M_{p,q}^+)$  is twice the order of the group  $\pi_q(SO(p+1)) \oplus \theta^{p+q+1}$ .

In §3 we apply the result in §2 to prove the following

**THEOREM 3.7** Let  $M$  be an  $n$ -dimensional, smooth, closed, oriented manifold such that  $n = p+q+1$  and

$$H_i(M) = \begin{cases} \mathbf{Z} & i = 0, n \\ \mathbf{Z} \oplus \mathbf{Z} & i = p, q+1 \\ 0 & \text{elsewhere} \end{cases}$$

then if  $p = 3, 5, 6, 7 \pmod 8$  the number of differentiable manifolds up to diffeomorphism satisfying the above is equal to twice the order of the group  $\pi_q(SO(p+1)) \oplus \theta^{p+q+1}$ . With induction hypothesis and technique used in §1 and §2, one can prove the following

**THEOREM 3.8** If  $M$  is a smooth, closed simply connected manifold of type  $(n, p, r)$  where  $n = p+q+1$  and  $p = 3, 5, 6, 7 \pmod 8$ , then the number of differentiable manifolds up to diffeomorphism satisfying the above is equal to

$$r \text{ times the order of } \pi_q SO(p+1) \oplus \theta^{p+q+1} .$$

1. MANIFOLDS OF TYPE  $(n, p, r)$

**DEFINITION:** Let  $M$  be a closed, simply connected  $n$ -manifold.  $M$  is said to be of type  $(n, p, r)$  if

$$H_i(M) = \begin{cases} \mathbf{Z} & \text{if } i = 0, n \\ \mathbf{Z}^r & \text{if } i = p, q+1 \\ 0 & \text{elsewhere} \end{cases}$$

where  $n = p+q+1$

We recall from Milnor and Kervaire [3]

**DEFINITION:** Let  $M_1$  and  $M_2$  be  $(p+q+1)$ -manifolds with boundary and  $H^{p+q+1}$

be half-disc, i.e.,

$$H^{p+q+1} = \{x = x_1, x_2, \dots, x_{p+q+1} \mid |x| \leq 1, x_1 \geq 0\}$$

Let  $D^{p+q}$  be the subset of  $H^{p+q+1}$  for which  $x_1 = 0$ . We can choose embeddings

$$i_\alpha : (H^{p+q+1}, D^{p+q}) \longrightarrow (M_\alpha, \partial M_\alpha) \quad \alpha = 1, 2$$

so that  $i_2 \cdot i_1^{-1}$  reverses orientation. We then form the sum  $(M_1 - i_1(0)) + (M_2 - i_2(0))$  by identifying  $i_1(tu)$  with  $i_2((1-t)u)$  for  $0 < t < 1$   $u \in S^{p+q} \cap H^{p+q+1}$ . This sum is called the connected sum along the boundary and will be denoted by  $M_1 \#_{\partial} M_2$ .

REMARK: (1) Notice that the boundary of  $M_1 \#_{\partial} M_2$  is  $\partial M_1 \# \partial M_2$ .

(2)  $M_1 \#_{\partial} M_2$  has the homotopy type of  $M_1 \vee M_2$ : the union with a single point in common.

THEOREM 1.1 If  $M$  is a smooth manifold of type  $(n, p, 2)$  where  $n = p+q+1$  and  $p = 3, 5, 6, 7 \pmod{8}$  then there exists a diffeomorphism

$$h : S^p \times S^q \# S^p \times S^q \longrightarrow S^p \times S^q \# S^p \times S^q$$

which induce identity on homology such that  $M$  is diffeomorphic to

$$S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \cup_h S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}.$$

PROOF: Let  $\{M, \lambda_1, \lambda_2\}$  be a manifold of type  $(n, p, 2)$  and  $\lambda_1, \lambda_2$  represent the generators of the first and second summands of  $H_p(M) \approx \mathbf{Z} \oplus \mathbf{Z}$ . We can choose embeddings  $\varphi_i : S^p \longrightarrow M$  so as to represent the homology class  $\lambda_i$   $i = 1, 2$ . Since  $p < q$ , two homotopic embeddings are isotopic. Let  $\alpha_i \in \pi_{p-1} SO(q+1)$  be the characteristic class of the embedded sphere  $S^p$ , since  $p = 3, 5, 6, 7 \pmod{8}$ , the normal bundle of the embedded sphere is trivial. It follows that  $\varphi_i$  extends to an embedding  $\varphi'_i : S^p \times D^{q+1} \longrightarrow M$  such that its homology class is  $\lambda_i$ . Then we can form a connected sum along the boundary of the two embedded copies of  $S^p \times D^{q+1}$  to get  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$ . We then have an embedding  $i : S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \longrightarrow M$  such that  $i_*[S^p] = \lambda_1 + \lambda_2 \in H_p(M)$ . Notice that the boundary of  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$  is  $S^p \times S^q \# S^p \times S^q$  and since  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$  has the homotopy type of  $S^p \times D^{q+1} \vee S^p \times D^{q+1}$  then it is easy to see that

$$H_i(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) = \begin{cases} \mathbf{Z} & \text{for } i = 0 \\ \mathbf{Z} \oplus \mathbf{Z} & \text{for } i = p \end{cases}.$$

It is also easy to see that

$$H_i(M - \text{Int}(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})) = \begin{cases} \mathbf{Z} & \text{for } i = 0 \\ \mathbf{Z} \oplus \mathbf{Z} & \text{for } i = p \end{cases}.$$

Now since  $S^p \times D^{q+1}$  is a trivial disc bundle over  $S^p$  then it has cross sections; hence, there exists orientation reversing diffeomorphism of  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$  onto itself. Thus there exists an orientation reversing embedding

$$j : S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \longrightarrow M - \text{Int}(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$$

such that  $j_*[S^P] = \lambda_1 + \lambda_2$  and in fact this embedding is a homotopy equivalence. It follows by [4, Thm. 4.1] that  $S^P \times D^{q+1} \# S^P \times D^{q+1}$  is diffeomorphic to  $M\text{-Int}(S^P \times D^{q+1} \# S^P \times D^{q+1})$ . Consequently, it follows that  $M$  is diffeomorphic to  $S^P \times D^{q+1} \# S^P \times D^{q+1} \cup S^P \times D^{q+1} \# S^P \times D^{q+1}$  for an orientation preserving diffeomorphism  $h : S^P \times S^q \# S^P \times S^q \rightarrow S^P \times S^q \# S^P \times S^q$ . From the embeddings in the proof, it is clear that  $h$  induce identity on homology.

2. THE GROUP  $\tilde{\pi}_0 \text{Diff}(S^P \times S^q \# S^P \times S^q)$

For convenience, we adopt the notation  $M_{p,q} = \text{Diff}(S^P \times S^q \# S^P \times S^q)$  and  $M_{p,q}^+$  the subset of  $M_{p,q}$  consisting of diffeomorphisms of  $S^P \times S^q \# S^P \times S^q$  which induce identity on all homology groups.

DEFINITION: Let  $M$  be an oriented smooth manifold.  $\text{Diff}(M)$  is the group of orientation preserving diffeomorphisms of  $M$ . Let  $f, g \in \text{Diff}(M)$ ,  $f$  and  $g$  are said to be pseudo-diffeotopic if there exists a diffeomorphism  $H$  of  $M \times I$  such that  $H(x, 0) = (f(x), 0)$  and  $H(x, 1) = (g(x), 1)$  for all  $x \in M$ . The pseudo-diffeotopy class of diffeomorphisms of  $M$  is denoted by  $\tilde{\pi}_0(\text{Diff} M)$ . We wish to compute  $\tilde{\pi}_0(M_{p,q})$  for  $p < q$ . If  $f \in M_{p,q}$  then  $f$  induces an automorphism

$$f_* : H_*(S^P \times S^q \# S^P \times S^q) \rightarrow H_*(S^P \times S^q \# S^P \times S^q)$$

of homology groups of  $S^P \times S^q \# S^P \times S^q$ . Since pseudo-diffeotopic diffeomorphisms induce equal automorphism on homology then we have a well-defined homomorphism

$$\tilde{\varphi} : \tilde{\pi}_0(M_{p,q}) \rightarrow \text{Auto}(H_*(S^P \times S^q \# S^P \times S^q))$$

where  $\text{Auto}(H_*(S^P \times S^q \# S^P \times S^q))$  denotes the group of dimension preserving automorphisms of  $H_*(S^P \times S^q \# S^P \times S^q)$ .

THEOREM 2.1 (i) If  $p+q$  is even then

$$\tilde{\varphi}(\tilde{\pi}_0(M_{p,q})) = \begin{cases} \mathbf{Z}_4 \oplus \mathbf{Z}_4 & \text{if } p, q \text{ are even} \\ \text{GL}(2, \mathbf{Z}) \oplus \text{GL}(2, \mathbf{Z}) & \text{if } p, q \text{ are } 1, 3, 7 \\ \mathbf{H} \oplus \mathbf{H} & \text{if } p, q \text{ are odd but } \neq 1, 3, 7 \\ \text{GL}(2, \mathbf{Z}) \oplus \mathbf{H} & \text{if } p = 1, 3, 7 \text{ and } q \text{ is odd but } \neq 1, 3, 7 \end{cases}$$

The following propositions give the proof of Theorem 2.1.

PROPOSITION 2.1 If  $p+q$  is even,  $p$  is even, then

$$\tilde{\varphi}(\tilde{\pi}_0(M_{p,q})) = \mathbf{Z}_4 \oplus \mathbf{Z}_4 .$$

PROOF: Since  $p+q$  is even and  $p$  is even then  $q$  must also be even. We have

$$H_i(S^P \times S^q \# S^P \times S^q) = \begin{cases} \mathbf{Z} & \text{if } i = 0, p+q \\ \mathbf{Z} \oplus \mathbf{Z} & \text{if } i = p \text{ or } q \\ 0 & \text{elsewhere} \end{cases} .$$

Generators of  $H_0(S^P \times S^q \# S^P \times S^q)$  and  $H_{p+q}(S^P \times S^q \# S^P \times S^q)$  are mapped to the same generators but  $H_p(S^P \times S^q \# S^P \times S^q) = \mathbf{Z} \oplus \mathbf{Z}$ . If  $f \in M_{p,q}$ , we shall denote by  $\tilde{\varphi}(f)_p$  the automorphism  $f_* : H_p(S^P \times S^q \# S^P \times S^q) \rightarrow H_p(S^P \times S^q \# S^P \times S^q)$  induced by the image  $f$  under  $\tilde{\varphi}$  in dimension  $p$ . Then  $\tilde{\varphi}(f)_p = f_* : \mathbf{Z} \oplus \mathbf{Z} \rightarrow \mathbf{Z} \oplus \mathbf{Z}$  is the induced

automorphism. If  $e_1, e_2$  are the generators of the first and second summand of  $H_p(S^p \times S^q \# S^p \times S^q)$  if  $\circ$  denotes the intersection then  $e_1 \circ e_1 = 0$ ,  $e_2 \circ e_2 = 0$ ,  $e_1 \circ e_2 = 1$  and  $e_2 \circ e_1 = -1$ . Let  $\begin{pmatrix} a_1 & a_2 \\ a_3 & a_4 \end{pmatrix} \in GL(2, Z)$ , if  $\tilde{\Phi}(f)_p$  takes  $e_1, e_2$  to  $e'_1, e'_2$  respectively then  $e'_1 = a_1 e_1 + a_2 e_2$  and  $e'_2 = a_3 e_1 + a_4 e_2$  then

$$\begin{aligned} e'_1 \circ e'_1 &= (a_1 e_1 + a_2 e_2) \cdot (a_1 e_1 + a_2 e_2) \\ &= a_1 a_1 e_1 \cdot e_1 + a_1 a_2 e_1 \cdot e_2 + a_2 a_1 e_2 \cdot e_1 + a_2 a_2 e_2 \cdot e_2 \\ &= a_1 a_2 e_1 \cdot e_2 + a_2 a_1 e_2 \cdot e_1 = a_1 a_2 - a_1 a_2 = 0. \end{aligned}$$

Similarly  $e'_2 \cdot e'_2 = 0$

but  $e'_1 \cdot e'_2 = (a_1 e_1 + a_2 e_2) \circ (a_3 e_1 + a_4 e_2)$

$$\begin{aligned} &= a_1 a_3 e_1 \circ e_1 + a_1 a_4 e_1 \circ e_2 + a_2 a_3 e_2 \circ e_1 + a_2 a_4 e_2 \circ e_2 \\ &= a_1 a_4 - a_2 a_3 = 1 \text{ since } GL(2, Z) \text{ is unimodular.} \end{aligned}$$

$$\begin{aligned} e'_2 \cdot e'_1 &= (a_3 e_1 + a_4 e_2) \cdot (a_1 e_1 + a_2 e_2) = a_3 a_1 e_1 \circ e_1 + a_3 a_2 e_1 \circ e_2 + a_4 a_1 e_2 \circ e_1 \\ &\quad + a_4 a_2 e_2 \circ e_2 = a_3 a_2 - a_4 a_1 = -1 \end{aligned}$$

hence for  $p$  even  $\tilde{\Phi}(f)_p$  is an element of a subgroup of  $GL(2, Z)$  generated by

$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . This subgroup has elements  $\left\{ \begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix}, \begin{pmatrix} 0 & \pm 1 \\ \pm 1 & 0 \end{pmatrix} \right\} \approx Z_4$ . Hence  $\tilde{\Phi}(f)_p \in Z_4$ . Similarly for  $i = q$   $\tilde{\Phi}(f)_q \in Z_4$ , it then follows that

$$\tilde{\Phi}(\tilde{\pi}_0(M_{p,q})) \subset Z_4 \oplus Z_4.$$

We now show that  $Z_4 \oplus Z_4 \subset \tilde{\Phi}(\tilde{\pi}_0(M_{p,q}))$ . We need to show that the generators of  $Z_4 \oplus Z_4$  can be realized as the image of  $\tilde{\Phi}$ . We shall adopt the notation  $(S^p \times S^q)_1 \# (S^p \times S^q)_2$  where the subscripts 1 and 2 denote the first and second summands of  $S^p \times S^q \# S^p \times S^q$  and let  $R_p$  and  $R_q$  be reflections of  $S^p$  and  $S^q$  respectively. If  $(x_1, y_1) \in (S^p \times S^q)_1$  and  $(x_2, y_2) \in (S^p \times S^q)_2$ , we define  $f \in M_{p,q}$

$$\begin{aligned} f(x_1, y_1) &= (R_p(x_2), R_q(y_2)) \\ f(x_2, y_2) &= (x_1, y_1) \end{aligned}$$

In other words  $f((x_1, y_1)(x_2, y_2)) = ((R_p(x_2), R_q(y_2)), (x_1, y_1))$

$$(x_1, y_1) \in (S^p \times S^q)_1 \text{ and } (x_2, y_2) \in (S^p \times S^q)_2.$$

For  $\tilde{\Phi}(f)_p \in \text{Auto } H_p(M_{p,q})$ , if  $e_1, e_2$  are the generators of the first and second summands of  $H_p(S^p \times S^q \# S^p \times S^q) = Z \oplus Z$  since  $f$  takes  $x_1$  to  $R_p(x_2)$  and  $f$  takes  $x_2$  to  $x_1$ , then it is easily seen that  $\tilde{\Phi}(f)_p(e_1) = -e_2$  and  $\tilde{\Phi}(f)_p(e_2) = e_1$ . Hence  $e'_1 = -e_2$  and  $e'_2 = e_1$  and so  $e'_1 \circ e'_1 = -e_2 \circ -e_2 = 0$ ,  $e'_2 \circ e'_2 = e_1 \circ e_1 = 0$ ,  $e'_1 \circ e'_2 = -e_2 \circ e_1 = 1$  and  $e'_2 \circ e'_1 = e_1 \circ -e_2 = -1$ . Hence  $\tilde{\Phi}$  maps  $f$  in dimension  $p$  to  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  which generates  $Z_4$ . Similar argument shows that  $\tilde{\Phi}$  maps  $f$  in dimension  $q$  to  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  which generates  $Z_4$ . Then  $\tilde{\Phi}$  maps onto  $Z_4 \oplus Z_4$  hence the proof.

PROPOSITION 2.2 If  $p+q$  is even but  $p, q = 1, 3, 7$  then  $\tilde{\Phi}(\tilde{\pi}_0(M_{p,q})) = GL(2, Z) \oplus GL(2, Z)$ .

PROOF: From [5, Appendix B] and [6] one sees that  $GL(2, Z)$  is generated by  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Since  $p, q = 1, 3, 7$  it follows by [7, §1] that there exist maps  $f: S^p \rightarrow SO(p+1)$  and  $g: S^q \rightarrow SO(q+1)$  such that  $f$  and  $g$  have index +1.

We then define  $h \in M_{p,q}$

$$\begin{aligned} h(x_1, y_1) &= (x_1, y_1) & (x_1, y_1) &\in (S^p \times S^q)_1 \\ h(x_2, y_2) &= (f(x_1) \cdot x_2, g(y_1) \cdot y_2) & (x_2, y_2) &\in (S^p \times S^q)_2 \end{aligned}$$

i.e.,  $h((x_1, y_1), (x_2, y_2)) = ((x_1, y_1), (f(x_1) \cdot x_2, g(y_1) \cdot y_2))$

Since  $f$  has index +1 and  $h$  takes  $x_1$  to  $x_1$  and  $x_2$  to  $f(x_1) \cdot x_2$  then it follows by an easy application of [7, Prop. 1.2] or [6, Prop. 2.3] that  $\Phi(h)_p$  is  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  also since  $g$  has index +1 and  $h$  takes  $y_1$  to  $y_1$  and  $y_2$  to  $g(y_1) \cdot y_2$  then  $\Phi(h)_q$  is  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Hence  $\Phi$  maps  $h$  to  $\left\{ \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$ . We now define  $\alpha \in M_{p,q}$  by

$$\begin{aligned} \alpha(x_1, y_1) &= (R_p(x_2), R_q(y_2)) & (x_1, y_1) &\in (S^p \times S^q)_1 \\ \alpha(x_2, y_2) &= (x_1, y_1) & (x_2, y_2) &\in (S^p \times S^q)_2 \end{aligned}$$

i.e.,  $\alpha((x_1, y_1), (x_2, y_2)) = ((R_p(x_2), R_q(y_2)), (x_1, y_1))$

Since  $\alpha$  takes  $x_1$  to  $R_p(x_2)$  and  $x_2$  to  $x_1$  it follows from Proposition 2.1 that  $\Phi(\alpha)_p$  is  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  and by similar reasoning  $\Phi(\alpha)_q$  is  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . This means that  $\Phi$  maps  $\alpha$  to  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}$ . Since  $GL(2, Z)$  is generated by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  then it follows that for  $p, q = 1, 3, 7$

$$\Phi(\tilde{\pi}_0(M_{p,q})) \approx GL(2, Z) \oplus GL(2, Z).$$

**PROPOSITION 2.3** If  $p+q$  is even but  $p$  and  $q$  are odd but  $p, q \neq 1, 3, 7$ , then  $\Phi(\tilde{\pi}_0(M_{p,q})) \approx H \oplus H$ .

**PROOF:** By using Proposition 2.1 and [8, Lemma 5] it is enough to produce a diffeomorphism in  $M_{p,q}$  whose image under  $\Phi$  is  $\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$  in each of the dimensions  $p$  and  $q$ . This is because  $\begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix}, \begin{pmatrix} 0 & \pm 1 \\ \pm 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$  generate  $H$ . However  $\begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix}$  is trivially the image under  $\Phi$  of identity map and reflections on each coordinate while  $\begin{pmatrix} 0 & \pm 1 \\ \pm 1 & 0 \end{pmatrix}$  is by Proposition 2.1 the image under  $\Phi$  of an element of  $M_{p,q}$ . However, there exists a map  $\alpha: S^p \rightarrow SO(p+1)$  of index 2 by [8] so also is a map  $\beta: S^q \rightarrow SO(q+1)$  of index 2 and then we can define  $f \in M_{p,q}$  thus.

$$\begin{aligned} f(x_1, y_1) &= (x_1, y_1) & (x_1, y_1) &\in (S^p \times S^q)_1 \\ f(x_2, y_2) &= (\alpha(x_1) \cdot x_2, \beta(y_1) \cdot y_2) & (x_2, y_2) &\in (S^p \times S^q)_2 \end{aligned}$$

i.e.,  $f((x_1, y_1), (x_2, y_2)) = ((x_1, y_1), (\alpha(x_1) \cdot x_2, \beta(y_1) \cdot y_2))$ .

It easily follows that since  $f$  takes  $x_1$  to  $x_1$  and takes  $x_2$  to  $\alpha(x_1) \cdot x_2$  with  $\alpha$  having index 2 then it follows by applying [7, Lemma 5] that  $\Phi(f)_p$  is  $\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ . Similar argument shows that  $\Phi(f)_q$  is  $\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ ; hence  $\Phi(\tilde{\pi}_0(M_{p,q})) \approx H \oplus H$ .

**PROPOSITION 2.4** If  $p+q$  is even,  $p = 1, 3, 7$  but  $q$  is odd and  $q \neq 1, 3, 7$  then  $\Phi(\tilde{\pi}_0(M_{p,q})) = GL(2, Z) \oplus H$ .

**PROOF:**  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$  generates  $GL(2, Z)$  while  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \right\}$  generates  $H$ , since  $q \neq 1, 3, 7$  and by [8] there exists  $\alpha: S^q \rightarrow SO(q+1)$  of index 2. If  $R_p$  is reflection of  $S^p$  then we define  $h \in M_{p,q}$

$$h(x_1, y_1) = (R_p(x_2), y_1) \quad (x_1, y_1) \in (S^p \times S^q)_1$$

$$h(x_2, y_2) = (x_1, \alpha(y_1) \cdot y_2) \quad (x_2, y_2) \in (S^p \times S^q)_2$$

Since  $h$  takes  $x_1$  to  $R_p(x_2)$  and takes  $x_2$  to  $x_1$  it follows by Proposition 2.1 that  $\tilde{\Phi}(h)_p = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ ; similarly  $h$  takes  $y_1$  to  $y$ , and  $y_2$  to  $\alpha(y_1) \cdot y_2$  and since  $\alpha$  has index 2, it follows that  $\tilde{\Phi}(h)_q = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ .

Now if  $R_q$  is a reflection on  $S^q$  and  $\beta: S^p \rightarrow SO_{p+1}$  is of index +1 then we define  $f \in M_{p,q}$

$$f(x_1, y_1) = (x_1, R_q(y_2)) \quad (x_1, y_1) \in (S^p \times S^q)_1$$

$$f(x_2, y_2) = (\beta(x_1) \cdot x_2, y_1) \quad (x_2, y_2) \in (S^p \times S^q)_2$$

then it is easy to see that  $\tilde{\Phi}(f)_p = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\tilde{\Phi}(f)_q = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  so the image of  $h$  under  $\tilde{\Phi}$  is  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \right\}$  and the image of  $f$  under  $\tilde{\Phi}$  is  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$  and since  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \right\}$  generate  $H$  and  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$  generate  $GL(2, Z)$  then it follows that  $\tilde{\Phi}(\tilde{\pi}_0(M_{p,q})) \approx GL(2, Z) \oplus H$ . Hence the proof.

REMARK. For  $p$  odd but  $\neq 1, 3, 7$  and  $q=1, 3, 7$ , we have the same result as above using the same method but since by assumption  $p < q$  only one dimension (consequently one manifold) comes in here, viz  $p=5, q=7$ , i.e.,  $S^5 \times S^7 \# S^5 \times S^7$ .

Combination of Propositions 2.1, 2.2, 2.3, and 2.4 proves Theorem 2.1(i).

PROPOSITION 2.5 Suppose  $p+q$  is odd and  $p$  is even and  $q$  odd  $\neq 1, 3, 7$  then  $\tilde{\Phi}(\tilde{\pi}_0(M_{p,q})) \approx Z_4 \oplus H$ .

PROOF: Since  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  generate  $Z_4$  and  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \right\}$  generate  $H$ , then we only need to find the diffeomorphism in  $M_{p,q}$  that  $\tilde{\Phi}$  maps to these generators. Similar to Proposition 2.4, we define  $f \in M_{p,q}$  by

$$f(x_1, y_1) = (R_p(x_2), y_1) \quad (x_1, y_1) \in (S^p \times S^q)_1$$

$$f(x_2, y_2) = (x_1, \alpha(y_1) \cdot y_2) \quad (x_2, y_2) \in (S^p \times S^q)_2$$

where  $R_p$  is the reflection on  $S^p$  and  $\alpha: S^q \rightarrow SO_{q+1}$  is of index 2 which exists by [3] since  $q \neq 1, 3, 7$ . It then follows that  $\tilde{\Phi}(f)_p = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  and  $\tilde{\Phi}(f)_q = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ .

Also we define  $g \in M_{p,q}$  thus

$$g(x_1, y_1) = (x_1, R_q(y_2)) \quad (x_1, y_1) \in (S^p \times S^q)_1, (x_2, y_2) \in (S^p \times S^q)_2$$

$$g(x_2, y_2) = (x_2, y_1)$$

i.e.,  $g((x_1, y_1), (x_2, y_2)) = ((x_1, R_q(y_2)), (x_2, y_1))$

where  $R_q$  is the reflection on  $S^q$ . Since  $g$  takes  $x_1$  to  $x_1$  and  $x_2$  to  $x_2$  then  $\tilde{\Phi}(g)_p = \text{identity} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  and since  $g$  takes  $y_1$  to  $R_q(y_2)$  and  $y_2$  to  $y_1$  it follows that by applying Proposition 2.1,  $\tilde{\Phi}(g)_q = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Hence  $f$  is mapped by  $\tilde{\Phi}$  to  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \right\}$  while  $g$  is mapped by  $\tilde{\Phi}$  to  $\left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}$  and since these matrices generate  $H$  and  $Z_4$  respectively then it follows that

$\tilde{\Phi}(\tilde{\pi}_0(M_{p,q})) \approx Z_4 \oplus H$ .

PROPOSITION 2.6 Suppose  $p+q$  is odd and  $p$  is even  $q$  is odd and  $\neq 1, 3, 7$ . Then  $\tilde{\Phi}(\tilde{\pi}_0(M_{p,q})) \approx Z_4 \oplus GL(2, Z)$ .

PROOF: Again since  $q = 1, 3, 7$  by [6, Prop. 2.4] there exists a map  $\alpha: S^q \rightarrow SO_{q+1}$  of index 1. Since  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  generates  $Z_4$  and  $\left\{ \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}$  generate  $GL(2, Z)$  we define elements of  $M_{p,q}$  that are mapped onto these generators. Let  $h \in M_{p,q}$  be defined thus

$$\begin{aligned} h(x_1, y_1) &= (R_p(x_2), y_1) \quad \text{where } (x_1, y_1) \in (S^p \times S^q)_1 \\ h(x_2, y_2) &= (x_1, \alpha(y_1) \cdot y_2) \quad (x_2, y_2) \in (S^p \times S^q)_2 \end{aligned}$$

i.e.,  $h(x_1, y_1), (x_2, y_2) = ((R_p(x_2), y_1), (x_1, \alpha(y_1) \cdot y_2))$

where  $R_p$  is the reflection of  $S^p$ . Then it is easy to see that  $\Phi(h)_p = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  while  $\Phi(h)_q = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Also one can define  $f \in M_{p,q}$  as

$$\begin{aligned} f(x_1, y_1) &= (x_1, R_q(x_2)) \quad \text{where } (x_1, y_1) \in (S^p \times S^q)_1, (x_2, y_2) \in (S^p \times S^q)_2 \\ f(x_2, y_2) &= (x_2, y_1) \end{aligned}$$

i.e.,  $f((x_1, y_1), (x_2, y_2)) = ((x_1, R_q(x_2)), (x_2, y_1))$  where  $R_q$  is a reflection of  $S^q$  and so it is easily seen that  $\Phi(f)_p = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  while  $\Phi(f)_q = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  so  $h$  is mapped by  $\Phi$  to  $\left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$  while  $f$  is mapped by  $\Phi$  to  $\left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}$  and since these sets of matrices generate  $GL(2, Z)$  and  $Z_4$  respectively then  $\tilde{\Phi}(\pi_0(M_{p,q})) \approx Z_4 \oplus GL(2, Z)$ . Combining Propositions 2.5 and 2.6, we obtain Theorem 2.1 (ii).

REMARK. If  $p$  is odd but  $\neq 1, 3, 7$  and  $q$  is even, we get the same result as in Proposition 2.5 using equivalent method. Also if  $p = 1, 3, 7$  and  $q$  is even, we obtain the same result as that of Proposition 2.6.

Since  $M_{p,q}^+$  denotes the subgroup of  $M_{p,q}$  consisting of diffeomorphisms of  $S^p \times S^q \# S^p \times S^q$  which induce identity map on all homology groups, it follows that  $M_{p,q}^+$  is the kernel of the homomorphism  $\Phi$ . We now compute  $M_{p,q}^+$ . We define a homomorphism

$$G: \tilde{\pi}_0(M_{p,q}^+) \rightarrow \pi_p SO(q+1)$$

Given an element  $\{f\} \in \tilde{\pi}_0(M_{p,q}^+)$ , since  $\Phi(f)$  is identity, it means that if  $i(S^p \times \{p_0\})$  is the usual identity embedding of  $S^p \times \{p_0\}$  into  $S^p \times S^q \# S^p \times S^q$  where  $p_0$  is a fixed point in  $S^q$  far away from the connected sum, then the sphere  $S^p \times \{p_0\}$  in  $S^p \times S^q \# S^p \times S^q$  represents a generator of the homology  $H_p(S^p \times S^q \# S^p \times S^q) \approx \mathbf{Z} \oplus \mathbf{Z}$ . Since  $\Phi(f)$  is identity, it follows that  $f(S^p \times p_0)$  is homologous to  $i(S^p \times p_0)$  and since  $p < q$  and by Hurewicz theorem,  $f$  and  $i$  are homotopic and in fact with the dimension restriction, they are diffeotopic. By tubular neighborhood theorem,  $f$  is diffeotopic to a map say  $f''$  such that  $f''(S^p \times D^q) = S^p \times D^q$  where  $f''(x, y) = (x, \alpha(f'')(x) \cdot y)$  and  $\alpha(f''): S^p \rightarrow SO(q)$ . Let  $i: SO(q) \rightarrow SO(q+1)$  be the inclusion map and  $i_*: \pi_p SO(q) \rightarrow \pi_p SO(q+1)$  the induced map on the homotopy groups. Then we define

$$G\{f\} = i_* \alpha(f'')$$

LEMMA 2.7  $G$  is well-defined.

PROOF: Let  $f, h \in M_{p,q}^+$  such that  $f$  and  $h$  are pseudo-diffeotopic then  $f \cdot h^{-1} \in M_{p,q}^+$  is pseudo-diffeotopic to the identity. If  $G\{f\} = i_* \alpha(f'')$  and

$G(h) = i_*\alpha(h'')$  where  $f(x, y) = (x, \alpha(f'')(x) \cdot y)$  and  $h(x, y) = (x, \alpha(h'')(x) \cdot y)$  for  $(x, y) \in S^p \times D^q$  then it follows that

$$f \cdot h^{-1}(x, y) = (x, \alpha(f'')\alpha(h'')^{-1}(x) \cdot y) \quad (x, y) \in S^p \times D^q .$$

We wish to show that  $i_*\alpha(f'') = i_*\alpha(h'')$ . Since  $G(f) = i_*\alpha(f'') \in \pi_p SO(q+1)$  and  $G(h) = i_*\alpha(h'') \in \pi_p SO(q+1)$  then we can define maps  $f_1, h_1 \in \text{Diff}(S^p \times S^q)$  thus  $f_1(x, y) = (x, i_*\alpha(f'')(x) \cdot y)$  and  $h_1(x, y) = (x, i_*\alpha(h'')(x) \cdot y)$  then consider  $f_1 h_1^{-1} \in \text{Diff}(S^p \times S^q)$  defined by  $f_1 h_1^{-1}(x, y) = (x, i_*\alpha(f'') i_*\alpha(h'')^{-1}(x) \cdot y) \quad (x, y) \in S^p \times S^q$ . Since  $f \cdot h^{-1}$  is pseudo-diffeotopic to identity so is  $f_1 \cdot h_1^{-1}$  by its definition. Hence  $f_1 \cdot h_1^{-1} \in \text{Diff}(S^p \times S^q)$  is diffeotopic to the identity hence it extends to a diffeomorphism  $g$  of  $D^{p+1} \times S^q$ , i.e., there exists  $g \in \text{Diff}(D^{p+1} \times S^q)$  such that  $g|_{\text{Diff}(S^p \times S^q)} = f_1 \cdot h_1^{-1}$ . Let  $S_\beta$  denote the  $q$ -sphere bundle over  $p+1$ -sphere with characteristic map  $\beta : S^p \rightarrow SO(q+1)$ . Then we have

$$S \quad i_*\alpha(f'') i_*\alpha(h'')^{-1} = D^{p+1} \times S^q \bigcup_{f_1 h_1^{-1}} D^{p+1} \times S^q$$

so this gives a  $q$ -sphere bundle over a  $p+1$ -sphere with the characteristic class of the equivalent plane bundle being  $i_*\alpha(f'') \cdot i_*\alpha(h'')^{-1}$ . However,  $f_1 h_1^{-1}$  extends to  $g \in \text{Diff}(D^{p+1} \times S^q)$  then we have

$$S \quad S^{p+1} \times S^q = D_1^{p+1} \times S_1^q \bigcup_{\text{id}} D_2^{p+1} \times S_2^q$$

$$S \quad i_*\alpha(f'') \cdot i_*\alpha(h'')^{-1} = D_1^{p+1} \times S_1^q \bigcup_{f_1 h_1^{-1}} D_2^{p+1} \times S_2^q$$

Hence we define a map  $H : S^{p+1} \times S^q \rightarrow S$

$$i_*\alpha(f'') \cdot i_*\alpha(h'')^{-1}$$

$$H(x, y) = \begin{cases} (x, y) & \text{if } (x, y) \in D_2^{p+1} \times S_2^q \\ g(x, y) & \text{if } (x, y) \in D_1^{p+1} \times S_1^q \end{cases} .$$

$H$  is well-defined and is a diffeomorphism. This means that  $S_{i_*\alpha(f'') \cdot i_*\alpha(h'')^{-1}}$  is a trivial  $q$ -sphere bundle over  $S^{p+1}$  with characteristic class  $i_*\alpha(f'') \cdot i_*\alpha(h'')^{-1}$ . It then follows from [1, Lemma 3.6(b)] that  $i_*\alpha(f'') = i_*\alpha(h'')$ . Hence  $G$  is well-defined. It is easy to see that  $G$  is a homomorphism.

LEMMA 2.8  $G(\tilde{\pi}_0(M_{p,q}^+)) = i_*(\pi_p(SO(q)))$ .

PROOF: By the definition of  $G$ ,  $G(\tilde{\pi}_0(M_{p,q}^+)) \subset i_*(\pi_p SO(q))$  we then show that  $i_*(\pi_p SO(q)) \subset G(\tilde{\pi}_0(M_{p,q}^+))$ . If  $\alpha \in i_*\pi_p(SO(q))$  and  $\{a\} = \alpha$  where  $a : S^p \rightarrow SO(q+1)$  then we can define  $f \in M_{p,q}$  by

$$f(x, y) = \begin{cases} (x, a(x) \cdot y) & \text{if } (x, y) \in (S^p \times S^q)_1 \\ (x, y) & \text{if } (x, y) \in (S^p \times S^q)_2 \end{cases}$$

since  $a \in i_*(\pi(SO(q)))$  then  $f \in \tilde{\pi}_0(M_{p,q}^+)$  and so  $G(f) = \alpha \in i_*(\pi_p SO(q))$ .

In fact since  $p < q$ , then  $\pi_p(S^q) = 0$  hence it follows from the exact sequence  $\pi_{p+1} S^q \rightarrow \pi_p SO_q \xrightarrow{i_*} \pi_p SO_{q+1} \rightarrow \pi_p S^q \rightarrow \dots$  that  $i_*$  is an epimorphism and so it is easily seen that  $G$  is surjective. Hence the proof.

The next lemma is similar to [6, Lemma 3.3].

**LEMMA 2.9** Let  $u \in \ker G$ , then there exists a representative  $f \in M_{p,q}^+$  of  $u$  such that  $f$  is identity on  $S^p \times D^q$ .

**PROOF:** If  $p < q-1$ , then  $\pi_{p+1}(S^q) = 0$  and also  $\pi_p(S^q) = 0$  and so it follows from the exact sequence

$$\cdots \rightarrow \pi_{p+1}(S^q) \rightarrow \pi_p(SOq) \xrightarrow{i_*} \pi_p(SO(q+1)) \rightarrow \pi_p(S^q) \rightarrow \cdots$$

that  $i_*$  is an isomorphism hence if  $u = \{f\} \in \ker G$  then  $G(u) = i_*\alpha(f'') = 0$  implies  $\alpha(f'') = 0$ . Since  $f(x, y) = (x, \alpha(f'')(x) \cdot y)$  for  $(x, y) \in S^p \times D^q$  then it means  $f(x, y) = (x, y)$  hence  $f$  is identity on  $S^p \times D^q$ . However, in general let  $g \in M_{p,q}$  be defined thus, if  $S^p \times D_+^q, S^p \times D_-^q$  are subsets of  $(S^p \times S^q)_1$ , away from the connected sum in  $M_{p,q}$ , we then define

$$g(x, y) = \begin{cases} (x, \alpha(f'')^{-1}(x) \cdot y) & \text{for } (x, y) \in S^p \times D_+^q \text{ and } S^p \times D_-^q \subset (S^p \times S^q)_1 \\ (x, y) & (S^p \times S^q)_2 \end{cases}$$

since  $i_*\alpha(f'') \in \pi_p(SO(q+1))$  we define  $g' \in M_{p,q}$  by

$$g'(x, y) = \begin{cases} (x, i_*\alpha(f'')^{-1}(x) \cdot y) & \text{if } (x, y) \in (S^p \times S^q)_1 \\ (x, y) & \text{if } (x, y) \in (S^p \times S^q)_2 \end{cases}$$

then  $g$  and  $g'$  are diffeotopic and since  $u \in \ker G$ ,  $G(u) = 0 = i_*\alpha(f'')$  then  $g'$  is pseudo-diffeotopic to the identity and so follows that  $g$  is also pseudo-diffeotopic to the identity in  $M_{p,q}$ . Then the composition  $g \circ f$  is pseudo-diffeotopic to  $f$  and clearly by the definition of  $g$ ,  $g \circ f$  keeps  $S^p \times D_+^q$  fixed and represents  $u$  because it is pseudo-diffeotopic to  $f$ . Hence the proof.

We now wish to compute  $\ker G$ . To do this, we define a homomorphism

$$N : \ker G \longrightarrow \tilde{\pi}_0(\text{Diff}^+(S^p \times S^q)) \quad \text{and}$$

show that  $N$  is surjective. Here we adopt the notation  $\text{Diff}^+(S^p \times S^q)$  to mean the set of all diffeomorphisms of  $S^p \times S^q$  to itself which induce identity on all homology groups. Given  $u \in \ker G$ , let  $f \in M_{p,q}^+$  be its representative then it follows from Lemma 2.9 that we can take  $f$  to be identity on  $S^p \times D^q$ . So we have a map

$$f : (S^p \times S^q)_1 \# (S^p \times S^q)_2 \longrightarrow (S^p \times S^q)_3 \# (S^p \times S^q)_4 \quad \text{such that}$$

$f$  is identity on  $S^p \times D^q \subset (S^p \times S^q)_1$ .

Using the technique introduced by Milnor [9] and [3], we perform the spherical modification on the domain  $(S^p \times S^q)_1 \# (S^p \times S^q)_2$  that removes  $S^p \times D^q \subset (S^p \times S^q)_1$  and replaces it with  $D^{p+1} \times S^{q-1}$ . Clearly we obtain  $(S^p \times S^q)_2$  since  $S^p \times D^q \cup_{\text{id}} D^{p+1} \times S^{q-1}$  is diffeomorphic to  $S^{p+q}$ . Since  $f$  is the identity on  $S^p \times D^q$ , we can assume that  $f(S^p \times D^q) = S^p \times D^q \subset (S^p \times S^q)_3$  and then perform the corresponding spherical modification on the range  $(S^p \times S^q)_3 \# (S^p \times S^q)_4$  to obtain  $(S^p \times S^q)_4$ .

After this modification we are then left with a diffeomorphism say  $f'$  of  $(S^p \times S^q)_1$  onto  $(S^p \times S^q)_4$ , i.e.,  $f' \in \text{Diff}(S^p \times S^q)$  since  $f \in M_{p,q}^+$  then  $f' \in \text{Diff}^+(S^p \times S^q)$ . So we define  $N\{f\} = \{f'\}$ .

LEMMA 2.10  $N$  is well-defined.

PROOF: Let  $f, g \in \text{Ker } G$  such that  $f$  is pseudo-diffeotopic to  $g$ , then  $f$  is identity on  $S^p \times D^q$  and  $g$  is also identity on  $S^p \times D^q$ . Since  $f$  is pseudo-diffeotopic to  $g$  then there exists a diffeomorphism

$F \in \text{Diff}((S^p \times S^q \# S^p \times S^q) \times I)$  such that  $F$  is identity on  $S^p \times D^q \times I$  and  $F|(S^p \times S^q \# S^p \times S^q) \times 0 = f$  while  $F|(S^p \times S^q \# S^p \times S^q) \times 1 = g$ . If we now perform the spherical modification on the domain  $(S^p \times S^q)_1 \# (S^p \times S^q)_2 \times I$  of  $F$  by removing  $S^p \times D^q \times I \subset (S^p \times S^q)_1 \times I$  and replacing it with  $D^{p+1} \times S^{q-1} \times I$ , then we obtain the manifold  $(S^p \times S^q)_2 \times I$  and since  $F$  is identity on  $S^p \times D^q \times I$ , we then perform the corresponding modification on the range  $(S^p \times S^q)_3 \# (S^p \times S^q)_4 \times I$  by removing  $S^p \times D^q \times I \subset (S^p \times S^q)_3 \times I$  and replacing it with  $D^{p+1} \times S^{q-1} \times I$  to obtain  $(S^p \times S^q)_4 \times I$ . We then obtain a diffeomorphism

$$F' : (S^p \times S^q)_2 \times I \longrightarrow (S^p \times S^q)_4 \times I$$

i.e.,  $F' \in \text{Diff}^+(S^p \times S^q \times I)$  hence  $N(F) = F'$  and  $F'|_{(S^p \times S^q \times 0)} = f'$  and  $F'|_{S^p \times S^q \times 1} = g'$  hence  $f'$  is pseudo-diffeotopic to  $g'$  and so  $N$  is well-defined. It is easy to see that  $N$  is a homomorphism.

LEMMA 2.11  $N$  is surjective.

PROOF: Let  $h' \in \text{Diff}^+(S^p \times S^q)$ , we need to find a diffeomorphism  $h \in M_{p,q}^+$  such that  $N(h) = h'$ . If  $D^{p+q}$  is a disc in  $S^p \times S^q$  then we can assume  $h'$  is identity on  $D^{p+q}$  then we have  $h' \in \text{Diff}^+(S^p \times S^q - D^{p+q})$ . We then define  $h \in M_{p,q}^+$  thus

$$h(x, y) = \begin{cases} (x, y) & \text{if } (x, y) \in (S^p \times S^q)_1 - D^{p+q} \\ h'(x, y) & \text{if } (x, y) \in (S^p \times S^q)_2 - D^{p+q} \end{cases}$$

where  $M_{p,q}^+ = \text{Diff}^+(S^p \times S^q)_1 \# (S^p \times S^q)_2$  as earlier stated.  $h$  is well-defined and  $h \in M_{p,q}^+$ . Since  $h$  is identity on  $(S^p \times S^q)_1$  then it is identity on  $S^p \times D^q \subset (S^p \times S^q)_1$  hence  $h \in \text{Ker } G$  and clearly  $N(h) = h'$  and so  $N$  is surjective.

We recall from [6, §3] the homomorphism

$$B : \tilde{\pi}_0 \text{Diff}^+(S^p \times S^q) \longrightarrow \pi_p \text{SO}(q+1) \text{ which is similarly}$$

defined as homomorphism  $G$  and where Sato gave a computation of  $\text{Ker } B$ . We will apply this result of  $\text{Ker } B$  to the next lemma.

LEMMA 2.12  $\text{Ker } N$  is in one-to-one correspondence with  $\text{Ker } B$ .

PROOF: Let  $f \in \text{Ker } B$ , we will produce a diffeomorphism  $f' \in M_{p,q}^+$  such that  $f' \in \text{Ker } N$ . Since  $f \in \text{Ker } B$  then  $f \in \text{Diff}^+(S^p \times S^q)$  and  $f|_{S^p \times D^q} = \text{identity}$ . We define a diffeomorphism  $f' : (S^p \times S^q)_1 \# (S^p \times S^q)_2 \longrightarrow (S^p \times S^q)_3 \# (S^p \times S^q)_4$  by

$$f'(x, y) = \begin{cases} f(x, y) & \text{if } (x, y) \in (S^p \times S^q)_1 - D^{p+q} \\ (x, y) & \text{if } (x, y) \in (S^p \times S^q)_2 - D^{p+q} \end{cases}$$

$f'$  is well-defined and  $f' \in M_{p,q}^+$ . Since  $f' = f$  on  $(S^p \times S^q)$ , and since  $f|_{S^p \times D^q \subset (S^p \times S^q)_1}$  is identity then it follows that  $f'|_{S^p \times D^q} = \text{identity}$  and so  $f' \in \text{Ker } G$ . However, using  $S^p \times D^q \subset (S^p \times S^q)_1$  to perform spherical modification on both sides of the domain and range of  $f'$  and the fact that  $f'$  is the identity on  $(S^p \times S^q)_2$  we clearly see that  $N(f') = \text{identity} \in \text{Diff}(S^p \times S^q)_2$  hence  $f' \in \text{Ker } N$ .

Conversely let  $f \in \text{Ker } N$ , then  $N(f) = f' \in \tilde{\pi}_0 \text{Diff}^+(S^p \times S^q)$ . We want to show that  $f' \in \text{Ker } B$ . Since  $f \in \text{Ker } N$  then it means the image of  $f$  under  $N$  is trivial hence  $N(f) = f'$  is pseudo-diffeotopic to the identity. We now consider  $B(f')$  where  $B: \tilde{\pi}_0 \text{Diff}^+(S^p \times S^q) \rightarrow \pi_p(\text{SO}(q+1))$  is defined in [6] similar to our homomorphism  $G$ . Since  $f' \in \text{Diff}^+(S^p \times S^q)$  and  $p < q$  then  $f'|_{S^p \times D^q} = S^p \times D^q$  where  $f'(x, y) = (x, b(f')(x) \cdot y)$  for  $(x, y) \in S^p \times D^q$  and  $b(f'): S^p \rightarrow \text{SO}(q)$ . If  $i: \text{SO}(q) \rightarrow \text{SO}(q+1)$  is the inclusion map and  $i_*: \pi_p \text{SO}(q) \rightarrow \pi_p \text{SO}(q+1)$  is the induced homomorphism then  $B(f') = i_* b(f') \in \pi_p \text{SO}(q+1)$ .

However since  $f'$  is pseudo-diffeotopic to the identity then let  $H: S^p \times S^q \times I \rightarrow S^p \times S^q \times I$  be the pseudo-diffeotopy between  $f'$  and identity  $\text{id}$ . Then

$$\begin{array}{c} D^{p+1} \times S^q \underset{f'}{\cup} D^{p+1} \times S^q = D^{p+1} \times S^q \underset{f'_1}{\cup} S^p \times S^q \times I \underset{\text{id}_1}{\cup} D^{p+1} \times S^q \\ \downarrow \approx \qquad \qquad \downarrow \text{id} \qquad \downarrow H \qquad \downarrow \text{id}_1 \qquad \downarrow \text{id} \\ D^{p+1} \times S^q \underset{\text{id}}{\cup} D^{p+1} \times S^q = D^{p+1} \times S^q \underset{\text{id}'_2}{\cup} S^p \times S^q \times I \underset{\text{id}_2}{\cup} D^{p+1} \times S^q \end{array}$$

is the required diffeomorphism between  $D^{p+1} \times S^q \underset{f'}{\cup} D^{p+1} \times S^q$  and  $D^{p+1} \times S^q \underset{\text{id}}{\cup} D^{p+1} \times S^q = S^{p+1} \times S^q$  where  $\text{id}_1(x, y) = (x, y, 1)$ ,  $\text{id}'_2(x, y, 0) = (x, y)$ ,  $f'_1(x, y, 0) = f'(x, y)$  and  $\text{id}_2(x, y) = \text{id}(x, y, 1) = (x, y)$ . However, consider  $S_{i_* b(f')}$  the  $q$ -sphere bundle over a  $(p+1)$ -sphere whose characteristic class of the equivalent normal bundle is  $i_* b(f') \in \pi_p \text{SO}(q+1)$  hence  $S_{i_* b(f')} = D^{p+1} \times S^q \underset{f'}{\cup} D^{p+1} \times S^q \approx S^{p+1} \times S^q$  by the above diffeomorphism and since  $p < q$  it follows by [1, Prop. 3.6] that  $i_* b(f') = 0$ . Hence  $f' \in \text{Ker } B$  and so  $\text{Ker } N$  is in one-to-one correspondence with  $\text{Ker } B$ . Since  $N$  is surjective by Lemma 2.11 then we have

**LEMMA 2.13** The order of the group  $\text{Ker } G$  equals the order of the direct sum group

$$\text{Ker } B \oplus \tilde{\pi}_0 \text{Diff}^+(S^p \times S^q)$$

Also since  $G$  is surjective by Lemma 2.8 then it is easily seen that

**LEMMA 2.14** The order of  $\tilde{\pi}_0(M_{p,q}^+)$  is equal to the order of the direct sum group

$$\pi_p \text{SO}(q+1) \oplus \text{Ker } B \oplus \tilde{\pi}_0 \text{Diff}^+(S^p \times S^q)$$

However one can easily deduce from [6, §4]

**LEMMA 2.15**  $\text{ker } B \approx \pi_q \text{SO}(p+1) \oplus \theta^{p+q+1}$

Also from [6, Thm. II] and [1, Thm. 3.10] we have

**LEMMA 2.16**  $\tilde{\pi}_0 \text{Diff}^+(S^p \times S^q) = \pi_p \text{SO}(q+1) \oplus \pi_q \text{SO}(p+1) \oplus \theta^{p+q+1}$

Combining Lemmas 2.12, 2.13, 2.14, 2.15, and 2.16, we obtain

**THEOREM 2.17** For  $p < q$ , the order of the group  $\tilde{\pi}_0(M_{p,q}^+)$  equals twice the order of the group  $\pi_p \text{SO}(q+1) \oplus \pi_q \text{SO}(p+1) \oplus \theta^{p+q+1}$ .

**3. CLASSIFICATION OF MANIFOLDS**

Consider the class of manifolds  $\{M, \lambda_1, \lambda_2\}$  where  $M$  is a manifold of type

$(n, p, 2)$  where  $n = p + q + 1$  and  $p = 3, 5, 6, 7 \pmod{8}$  and  $\lambda_1, \lambda_2$  are the generators of  $H_p(M) = \mathbf{Z} \oplus \mathbf{Z}$ . By the proof of Theorem 1.1 we have an embedding  $\varphi_i: S^p \times D^{q+1} \rightarrow M$  which represents the homology class  $\lambda_i$   $i = 1, 2$ . If we then take the connected sum along the boundary of the two embedded copies of  $S^p \times D^{q+1}$  we have an embedding

$$i: S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \rightarrow M \quad \text{such that} \quad i_*[S^p] = \lambda_1 + \lambda_2$$

Two of such manifolds  $\{M, \lambda_1, \lambda_2\}$  and  $\{M', \lambda'_1, \lambda'_2\}$  will be said to be equivalent if there is an orientation preserving diffeomorphism of  $M$  onto  $M'$  which takes  $\lambda_i$  to  $\lambda'_i$   $i = 1, 2$ . Let  $\mathcal{M}_n$  be the equivalent class of manifolds satisfying these conditions. This equivalent class which is also the diffeomorphism class has a group structure. The operation is connected sum along the boundary  $S^p \times S^q \# S^p \times S^q$  of  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$ . For if  $\{M, \lambda_1, \lambda_2\}, \{M', \lambda'_1, \lambda'_2\} \in \mathcal{M}_n$ , then let

$i_1: S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \rightarrow M$  be an orientation preserving embedding such that

$i_{1*}[S^p] = \lambda_1 + \lambda_2$  and since there is an orientation reversing diffeomorphism of  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$  to itself (because  $S^p \times D^{q+1}$  is a trivial  $q+1$ -disc bundle over  $S^p$ ) then we have an orientation reversing embedding  $i_2: S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \rightarrow M'$

such that  $i_{2*}[S^p] = \lambda'_1 + \lambda'_2$ . We now obtain  $M \#_{2p} M'$  from the disjoint sum

$(M - \text{Int } i_1(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})) \cup (M' - \text{Int } i_2(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}))$  by identifying

$i_1(x)$  with  $i_2(x)$  for  $x \in S^p \times S^q \# S^p \times S^q$ . We will call this operation the

connected sum along double  $p$ -cycle. Where the  $2p$  in  $M \#_{2p} M'$  means that we are

identifying along the boundary of embedded copies of connected sum along the boundary of two copies of  $S^p \times D^{q+1}$ . It is easy to see that  $H_p(M \#_{2p} M') \approx \mathbf{Z} \oplus \mathbf{Z}$ . Since we

have identified  $i_1(S^p \times S^q \# S^p \times S^q)$  with  $i_2(S^p \times S^q \# S^p \times S^q)$  we can define

$i_{1*}[S^p] = \lambda_1 \# \lambda'_1 + \lambda_2 \# \lambda'_2$  the generators of  $H_p(M \#_{2p} M')$  then we see that  $M \#_{2p} M' \in \mathcal{M}_n$ .

**LEMMA 3.1** The connected sum along the double  $p$ -cycle is well-defined and associative.

**PROOF:** We need to show that the operation does not depend on the choice of the embeddings. Suppose there is another embedding  $\varphi'_i: S^p \times D^{q+1} \rightarrow M$  which represents the homology class  $\lambda'_i$   $i = 1, 2$  and gives a corresponding embedding  $i'_1: S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \rightarrow M$ . By the tubular neighborhood theorem  $\varphi_i(S^p \times D^{q+1})$  and  $\varphi'_i(S^p \times D^{q+1})$  differ only by rotation of their fiber, i.e., by an element of  $\pi_p SO(q+1) = 0$  since  $p = 3, 5, 6, 7 \pmod{8}$  hence the two embeddings are isotopic and so the corresponding embeddings

$$i_1: S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \rightarrow M \quad \text{and} \\ i'_1: S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \rightarrow M \quad \text{are isotopic.}$$

The definition does not therefore depend on the choice of  $i_1$ . With similar argument it does not depend on  $i_2$ . The connected sum is therefore well-defined. Associativity is easy to check.

LEMMA 3.2 If  $\{M, \lambda_1, \lambda_2\}$ ,  $\{M_1, \lambda_{1_1}, \lambda_{1_2}\} \in \mathcal{M}_n$ , such that they are equivalent. If  $\{M', \lambda'_1, \lambda'_2\} \in \mathcal{M}_n$  then  $(M \#_{2p} M', \lambda_1 \#_{2p} \lambda'_1, \lambda_2 \#_{2p} \lambda'_2)$  is equivalent to  $(M_{1_{2p}} \#_{2p} M', \lambda_{1_1} \#_{2p} \lambda'_1, \lambda_{1_2} \#_{2p} \lambda'_2)$ .

PROOF: Since  $M, M_1$  are equivalent in  $\mathcal{M}_n$  then there exists an orientation preserving diffeomorphism  $f: M \rightarrow M_1$  which carries  $\lambda_1$  to  $\lambda_{1_1}$  and  $\lambda_2$  to  $\lambda_{1_2}$  hence it carries the embedding  $\varphi_i(S^p \times D^{q+1})$  to the corresponding embedding  $\varphi_{1_i}(S^p \times D^{q+1})$   $i=1,2$  and so  $f$  carries the embedding  $i(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \subset M$  to the embedding  $i_1(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \subset M_1$  hence  $f$  induces a diffeomorphism

$$f': M - \text{Int } i(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \rightarrow M_1 - \text{Int } i_1(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1})$$

which carries  $\lambda_1$  to  $\lambda_{1_1}$  and  $\lambda_2$  to  $\lambda_{1_2}$ .

Trivially we have the identity map

$$\text{id}: M' - \text{Int } i'(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \rightarrow M' - \text{Int } i'(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1})$$

which carries  $\lambda'_1$  to  $\lambda'_{1_1}$  and  $\lambda'_2$  to  $\lambda'_{1_2}$ . We then take the connected sum along their boundary  $S^p \times S^q \#_{2p} S^p \times S^q$  to have  $M \#_{2p} M'$  which is disjoint sum of

$M - \text{Int } i(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \cup M' - \text{Int } i'(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1})$  by identifying  $i(x)$  and  $i'(x)$  for  $x \in S^p \times S^q \#_{2p} S^p \times S^q$ . Similarly  $M_{1_{2p}} \#_{2p} M'$  is the disjoint sum of

$M - \text{Int } i_1(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \cup M' - \text{Int } i'(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1})$  by identifying  $i_1(x)$  and  $i'(x)$  for  $x \in S^p \times S^q \#_{2p} S^p \times S^q$ . Clearly we have a diffeomorphism

$g: M \#_{2p} M' \rightarrow M_{1_{2p}} \#_{2p} M'$  which is  $f'$  on  $M$  and identity of  $M'$  and  $g$  carries  $\lambda_1 \#_{2p} \lambda'_1$  to  $\lambda_{1_1} \#_{2p} \lambda'_{1_1}$  and  $\lambda_2 \#_{2p} \lambda'_2$  to  $\lambda_{1_2} \#_{2p} \lambda'_{1_2}$ . Hence  $\{M \#_{2p} M', \lambda_1 \#_{2p} \lambda'_1, \lambda_2 \#_{2p} \lambda'_2\}$  is equivalent to  $\{M_{1_{2p}} \#_{2p} M', \lambda_{1_1} \#_{2p} \lambda'_{1_1}, \lambda_{1_2} \#_{2p} \lambda'_{1_2}\}$  in  $\mathcal{M}_n$ . That proves the lemma.

If we now take two copies of  $S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}$  and identify the two copies on their common boundaries by the identity map, we will obtain the manifold  $S^p \times S^{q+1} \#_{2p} S^p \times S^{q+1}$ , i.e.,  $S^p \times S^{q+1} \#_{2p} S^p \times S^{q+1} = (S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \cup (S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1})$  where  $\text{id} = \text{identity}: S^p \times S^q \#_{2p} S^p \times S^q \rightarrow S^p \times S^q \#_{2p} S^p \times S^q$ . If  $\lambda_{0_1}, \lambda_{0_2}$  are the generators of  $H_p(S^p \times S^{q+1} \#_{2p} S^p \times S^{q+1}) = \mathbf{Z} \oplus \mathbf{Z}$  and  $-\lambda_1 + (-\lambda_2) \in H_p(-M) = \mathbf{Z} \oplus \mathbf{Z}$  where  $i_*[S^p] = -\lambda_1 + -\lambda_2$  and  $i: M \rightarrow -M$  is the orientation reversing diffeomorphism then we have the following.

LEMMA 3.3  $\mathcal{M}_n$  is a group with identity element  $(S^p \times S^{q+1} \#_{2p} S^p \times S^{q+1}, \lambda_{0_1}, \lambda_{0_2})$  and for  $(M, \lambda_1, \lambda_2) \in \mathcal{M}_n$   $(-M, -\lambda_1, -\lambda_2)$  is the inverse element.

To be able to prove our main theorem later, we need to investigate  $\tilde{\pi}_0 \text{Diff}^+(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1})$ . As in the case of  $\tilde{\pi}_0(M_{p,q})$ , we define a homomorphism  $\tilde{\varphi}': \tilde{\pi}_0 \text{Diff}(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) \rightarrow \text{Auto } H_*(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1})$  by induced automorphism of homology groups. Since  $S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}$  has the homotopy type of  $S^p \times D^{q+1} \vee S^p \times D^{q+1}$  then

$$H_i(S^p \times D^{q+1} \#_{2p} S^p \times D^{q+1}) = \begin{cases} \mathbf{Z} & \text{if } i=0 \\ \mathbf{Z} \oplus \mathbf{Z} & \text{if } i=p \end{cases} .$$

Using similar ideas in §2, it is easy to prove the following.

LEMMA 3.4

$$\Phi'(\tilde{\pi}_0(\text{Diff}(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}))) = \begin{cases} Z_4 & \text{if } p \text{ is even} \\ \text{GL}(2, Z) & \text{if } p = 1, 3, 7 \\ H & \text{if } p \text{ is odd but } \neq 1, 3, 7 \end{cases}$$

Let  $\text{Diff}^+(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}) \subset \text{Diff}(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}})$  be the set of all diffeomorphisms of  $S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}$  which induce identity automorphisms on its homology. Then it follows that  $\tilde{\pi}_0 \text{Diff}^+(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}})$  is the kernel of  $\Phi'$ . We define a homomorphism

$$G' : \pi_p \text{SO}(q+1) \longrightarrow \tilde{\pi}_0 \text{Diff}^+(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}})$$

If  $\alpha \in \pi_p \text{SO}(q+1)$  and  $\alpha = \{a\}$  then we define a map

$$g_a : S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}} \longrightarrow S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}$$

by

$$g_a(x, y) = \begin{cases} (x, a(x) \cdot y) & \text{for } (x, y) \in (S^p \times D^{\frac{q+1}{2}})_1 \\ (x, a(x) \cdot y) & \text{for } (x, y) \in (S^p \times D^{\frac{q+1}{2}})_2 \end{cases}$$

$g_a$  is clearly well-defined and it is a diffeomorphism and since  $g_a$  keeps  $S^p$  fixed, it induces identity on all homology groups hence  $g_a \in \text{Diff}^+(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}})$ .

We will define  $G'[\alpha] = \{g_a\}$

LEMMA 3.5  $G'$  is well defined.

PROOF: If  $a' \in \pi_p \text{SO}(q+1)$  such that  $a$  is homotopic to  $a'$  and let  $H : S^p \times I \longrightarrow \text{SO}(q+1)$  be the homotopy such that  $H(S^p \times 0) = a$  and  $H(S^p \times 1) = a'$  then we construct a diffeomorphism  $F$  of  $(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}) \times I$  by

$$F(x, y, t) = \begin{cases} (x, H(x, t) \cdot y) & (x, y) \in (S^p \times D^{\frac{q+1}{2}})_1 \\ (x, H(x, t) \cdot y) & (x, y) \in (S^p \times D^{\frac{q+1}{2}})_2 \end{cases}$$

This is the diffeotopy which connects  $g_a$  and  $g_{a'}$ .

LEMMA 3.6  $G'$  is surjective.

PROOF: Let  $\{f\} \in \tilde{\pi}_0 \text{Diff}^+(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}})$  then  $f$  induces identity on all homology groups. However  $H_p(S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}) \approx \mathbf{Z} \oplus \mathbf{Z}$  and so if  $\lambda_1$  and  $\lambda_2$  represents the generators of the first and second summand and the embeddings  $i_1 : S^p \times \{p_0\} \longrightarrow S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}$  and  $i_2 : S^p \times \{p_0\} \longrightarrow S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}$  represents the homology class  $\lambda_1$  and  $\lambda_2$  respectively, since  $f$  induces identity on homology then  $f(S^p \times \{p_0\})$  and  $i_1(S^p \times \{p_0\})$  are homologous. Since  $p < q$  and by Hurewicz theorem  $i_1$  and  $f \circ i_1$  are homotopic, by Haefliger [10] and by the diffeotopy extension theorem and tubular neighborhood theorem, there exists  $f'$  in the diffeotopy class of  $f$  such that  $f'(x, y) = (x, a(x) \cdot y)$  for  $(x, y) \in (S^p \times D^{\frac{q+1}{2}})_1$  where  $S^p \times D^{\frac{q+1}{2}}$  is the tubular neighborhood of  $S^p \times \{p_0\}$  and  $a : S^p \longrightarrow \text{SO}(q+1)$ . Similar argument applies to the embedding  $i_2 : S^p \times \{p_0\} \longrightarrow S^p \times D^{\frac{q+1}{2}} \#_{\partial} S^p \times D^{\frac{q+1}{2}}$  and

so we have a map  $f'$  in the diffeotopy class of  $f$  hence in the diffeotopy class of  $f'$  and so  $f'$  must be of the form  $f'(x, y) = (x, a(x) \cdot y)$  where  $(x, y) \in (S^p \times D^{q+1})_2$ . It follows that

$$f(x, y) = \begin{cases} (x, a(x) \cdot y) & (x, y) \in (S^p \times D^{q+1})_1 \\ (x, a(x) \cdot y) & (x, y) \in (S^p \times D^{q+1})_2 \end{cases}$$

Hence  $G'$  is surjective.

One can easily deduce from Lemma 3.6 that  $\tilde{\pi}_0 \text{Diff}^+(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$  is a factor group of  $\pi_p(SO_{q+1})$ .

**THEOREM 3.7** Let  $M$  be an  $n$ -dimensional closed simply connected manifold of type  $(n, p, 2)$  where  $n = p + q + 1$  with  $p = 3, 5, 6, 7 \pmod{8}$  then the number of differentiable manifolds satisfying the above conditions up to diffeomorphism is twice the order of the direct sum group  $\pi_p SO(p+1) \oplus \theta^n$ .

**PROOF:** We define a map  $C: \tilde{\pi}_0(M_{p,q}^+) \rightarrow \mathcal{M}_n$  and show that  $C$  is an isomorphism. Let  $\{f\} \in \tilde{\pi}_0(M_{p,q}^+)$  then  $f$  is a diffeomorphism of  $S^p \times S^q \#_{\partial} S^p \times S^q$  which induce identity on homology. We then take two copies  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1$  and  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_2$  of  $S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}$  and attach them on the boundary by  $f$  to have  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1 \cup (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_2$ . An orientation is chosen to be compatible with  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1$  and the manifold obtained belongs to the group  $\mathcal{M}_n$ . The generators of the  $p$ -dimensional homology group is fixed to be the one represented by the usual embedding  $S^p \times \{p_0\} \rightarrow (S^p \times D^{q+1})_1 \subset (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1$  and  $S^p \times \{p_0\} \rightarrow (S^p \times D^{q+1})_2 \subset (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1$ . We then define

$$C\{f\} = (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) \cup_f (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}).$$

We now show that  $C$  is well-defined.

Let  $f_0, f_1 \in M_{p,q}^+$  such that  $f_0$  is pseudo-diffeotopic to  $f_1$  then there exists  $H: (S^p \times S^q \#_{\partial} S^p \times S^q) \times I \rightarrow (S^p \times S^q \#_{\partial} S^p \times S^q) \times I$  such that  $H(x, y, 0) = f_0$  and  $H(x, y, 1) = f_1$  then we wish to show that  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) \cup_f (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$  is diffeomorphic to  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) \cup_{f_1} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$ . We then define a map

$$\begin{array}{ccccccc} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) \cup_{f_0} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) & = & S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \cup_{f'_0} (S^p \times S^q \#_{\partial} S^p \times S^q) \times I \cup_{id_0} S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \\ \downarrow f_0 & & \downarrow id & \downarrow H & \downarrow id_0 & \downarrow id \\ (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) \cup_{f_1} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) & = & S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \cup_{id_1} (S^p \times S^q \#_{\partial} S^p \times S^q) \times I \cup_{f_1} S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1} \end{array}$$

where  $id_0(x, y) = (x, y, 1)$ ,  $id_1(x, y, 0) = (x, y)$ ,  $f'_0(x, y, 0) = f_0(x, y)$  and  $f'_1(x, y) = f_1(x, y, 1)$ .

This is a well-defined map and is the required diffeomorphism from  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) \cup_{f_0} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$  to  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1}) \cup_{f_1} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$ . Hence  $C$  is well-defined and it is easy to see that  $C$  is a homomorphism. By Theorem 1.1 it follows that  $C$  is surjective. We now need to show that  $C$  is injective. Suppose  $\{f\} \in \tilde{\pi}_0(M_{p,q}^+)$  and  $C(f) = (M, \lambda_1, \lambda_2)$  is trivial, then it follows that

$M = (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1 \cup_f (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_2$  is diffeomorphic to  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1 \cup_{id} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_2 = S^p \times S^{q+1} \# S^p \times S^{q+1}$  with  $p$ -dimensional homology generators  $\lambda_{0_1}, \lambda_{0_2}$ , by a diffeomorphism  $d$  which carries  $\lambda_1$  to  $\lambda_{0_1}$  and  $\lambda_2$  to  $\lambda_{0_2}$ , i.e.,

$$\begin{array}{c} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1 \cup_f (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_2 \\ \downarrow \\ (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1 \cup_{id} (S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_2 = S^p \times S^{q+1} \# S^p \times S^{q+1} \end{array}$$

It is easy to see that since  $d$  carries  $\lambda_1$  to  $\lambda_{0_1}$  and  $\lambda_2$  to  $\lambda_{0_2}$  and because  $p = 3, 5, 6, 7 \pmod{8}$  then  $d$  is the identity on  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_1$ . On the boundary  $S^p \times S^q \# S^p \times S^q$ ,  $d$  is just  $f$ . Since  $d$  is a diffeomorphism it follows that  $f$  extends to a diffeomorphism of  $(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})_2$  which means  $f \in \text{Diff}^+(S^p \times S^q \# S^p \times S^q)$  is extendable to  $\text{Diff}^+(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$ , but by Lemma 3.5,  $\tilde{\pi}_0 \text{Diff}^+(S^p \times D^{q+1} \#_{\partial} S^p \times D^{q+1})$  is a factor group of  $\pi_p(SO^{q+1})$  but since  $p = 3, 5, 6, 7, \pmod{8}$  then  $\pi_p(SO^{q+1}) = 0$ . Hence  $f$  is pseudo-diffeotopic to the identity and so  $C$  is injective. It then follows that  $C$  is an isomorphism. By Theorem 2.17 and since  $p = 3, 5, 6, 7 \pmod{8}$  it follows that the order of the group  $\tilde{\pi}_0(M_{p,q}^+)$  is twice the order of the group  $\pi_q SO(p+1) \oplus \theta^n$  and since  $C$  is an isomorphism the theorem is proved. The methods used here if carefully applied can be used to obtain a general result.

**THEOREM 3.8** If  $M$  is a smooth, closed simply connected manifold of type  $(n, p, r)$  where  $n = p+q+1$  and  $p = 3, 5, 6, 7 \pmod{8}$  then the number of differentiable manifolds up to diffeomorphism satisfying the above is equal to  $r$  times the order of  $\pi_q SO(p+1) \oplus \theta^n$ .

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