

LOCALIZED NODAL SOLUTIONS FOR SEMICLASSICAL CHOQUARD EQUATIONS WITH CRITICAL GROWTH

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ABSTRACT. In this article, we study the existence of localized nodal solutions for semiclassical Choquard equation with critical growth

$$-\varepsilon^2 \Delta v + V(x)v = \varepsilon^{\alpha-N} \left(\int_{\mathbb{R}^N} \frac{|v(y)|^{2_\alpha^*}}{|x-y|^\alpha} dy \right) |v|^{2_\alpha^*-2} v + \vartheta |v|^{q-2} v, \quad x \in \mathbb{R}^N,$$

where $\vartheta > 0$, $N \geq 3$, $0 < \alpha < \min\{4, N-1\}$, $\max\{2, 2^*-1\} < q < 2^*$, $2_\alpha^* = \frac{2N-\alpha}{N-2}$, V is a bounded function. By the perturbation method and the method of invariant sets of descending flow, we establish for small ε the existence of a sequence of localized nodal solutions concentrating near a given local minimum point of the potential function V .

1. INTRODUCTION

In this article, we study localized nodal solutions of the nonlinear Choquard equation with critical exponent

$$\begin{aligned} -\varepsilon^2 \Delta v + V(x)v &= \varepsilon^{\alpha-N} \left(\int_{\mathbb{R}^N} \frac{|v(y)|^{2_\alpha^*}}{|x-y|^\alpha} dy \right) |v|^{2_\alpha^*-2} v + \vartheta |v|^{q-2} v, \quad x \in \mathbb{R}^N, \\ v(x) &\rightarrow 0 \quad \text{as } |x| \rightarrow \infty, \end{aligned} \tag{1.1}$$

where $\vartheta > 0$, $N \geq 3$, $0 < \alpha < \min\{4, N-1\}$, $\max\{2, 2^*-1\} < q < 2^*$, $2_\alpha^* = \frac{2N-\alpha}{N-2}$ is the upper critical exponent in the sense of the Hardy-Littlewood-Sobolev inequality, $\varepsilon > 0$ is small parameter. The potential function V satisfies following assumptions:

(A1) $V \in C^1(\mathbb{R}^N, \mathbb{R})$ and there exist $b > a > 0$ such that

$$a \leq V(x) \leq b, \quad \forall x \in \mathbb{R}^N.$$

(A2) There exists a bounded domain $\mathcal{M} \subset \mathbb{R}^N$ with the smooth boundary $\partial\mathcal{M}$ such that

$$\langle \vec{n}(x), \nabla V(x) \rangle > 0, \quad \forall x \in \partial\mathcal{M},$$

where $\vec{n}(x)$ is the outer normal of $\partial\mathcal{M}$ at x .

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Over the previous decades, the Choquard type equation has been widely studied. The Choquard equation

$$-\Delta u + u = \left(\int_{\mathbb{R}^3} \frac{|u(y)|^2}{|x-y|} dy \right) u, \quad x \in \mathbb{R}^3, \quad (1.2)$$

is the Choquard-Pekar equation which originated from the description of the quantum theory of a polaron at rest by Pekar in 1954 [35]. Choquard also used (1.2) to describe the Hartree-Fock [23] theory of one component plasma in 1976. If u is a solution of (1.2), then $\psi(t, x) = e^{it}u(x)$ is a solitary wave solution of the Hartree equation

$$i\psi_t = -\Delta\psi - \left(\int_{\mathbb{R}^3} \frac{|\psi(y)|^2}{|x-y|} dy \right) \psi, \quad x \in \mathbb{R}^3. \quad (1.3)$$

In 1996, Penrose [29] proposed equation (1.2) as a model of self gravitation. Lieb [21] proved the existence and uniqueness of the ground state solution for (1.2) by using the symmetric rearrangement inequality. Lions [24] showed that the equation

$$-\Delta u + \lambda u = \left(\int_{\mathbb{R}^3} V(x-y)|u(y)|^2 dy \right) u, \quad x \in \mathbb{R}^3 \quad (1.4)$$

has a positive radial symmetric solution and infinitely many radial symmetric solutions, where $\lambda > 0$, $V > 0$ and V is radially symmetric. For semilinear Choquard equation

$$-\Delta u + V(x)u = \left(\int_{\mathbb{R}^N} \frac{F(y, u(y))}{|x-y|^\alpha} dy \right) f(x, u), \quad x \in \mathbb{R}^N, \quad (1.5)$$

where $f(x, u) = \frac{\partial F(x, u)}{\partial u}$, a large number of research results have been obtained. We refer the reader to [2, 11, 12, 13, 14, 15, 30, 31, 33, 39, 40], and references therein.

For the semiclassical Choquard equation with subcritical growth

$$-\varepsilon^2 \Delta u + V(x)u = \varepsilon^{\alpha-N} \left(\int_{\mathbb{R}^N} \frac{F(u(y))}{|x-y|^\alpha} dy \right) f(u), \quad x \in \mathbb{R}^N, \quad (1.6)$$

Wei and Winter [41] proved the existence of solution by using the Lyapunov-Schmidt reduction method [19] in 2009, where $N = 3$, $\alpha = 1$, $F(u) = |u|^2$, V satisfies

$$\inf_{x \in \mathbb{R}^3} V(x) > 0, \quad V(x) \in C^2(\mathbb{R}^3).$$

In 2015, Moroz and Van Schaftingen [32] constructed the single spike solution which concentrating around the local minimum of potential V by using the nonlocal penalization method, where $N \geq 1$, $0 < \alpha < N$, $F(u) = |u|^p$, $p \in [2, \frac{2N-\alpha}{N-2})$, $V \in C(\mathbb{R}^N, [0, \infty))$. More results for the semiclassical Choquard equation with subcritical growth we refer [8, 17, 18, 27, 37, 44, 45, 48] and references therein.

For the semiclassical Choquard equation with critical growth

$$-\varepsilon^2 \Delta u + V(x)u = \varepsilon^{\alpha-N} \left(\int_{\mathbb{R}^N} \frac{F(y, u(y))}{|x-y|^\alpha} dy \right) f(x, u), \quad x \in \mathbb{R}^N, \quad (1.7)$$

Cassani and Zhang [5] proved the existence and decays exponentially of positive solution for the semiclassical critical Choquard (1.7), where $N = 3$, $0 < \alpha < 3$, V, F satisfies some suitable assumptions. In 2017, Alves and Gao [1] investigated the existence of ground state solutions, multiplicity and concentration of semiclassical solutions for the semiclassical Choquard (1.7) by using variational methods [3], where $N = 3$, $0 < \alpha < 3$, V, F satisfy some suitable assumptions. In 2020, Gao, Yang and Zhou [10] obtained existence and multiplicity of solutions for (1.7), where

$N \geq 3$, $0 < \alpha < \min\{4, N\}$, V and F satisfy some suitable assumptions. Qi and Zou [36] investigated semiclassical critical Choquard equation

$$-\varepsilon^2 \Delta u + V(x)u = \varepsilon^{\alpha-N} \left(\int_{\mathbb{R}^N} \frac{|u(y)|^{2_\alpha^*}}{|x-y|^\alpha} dy \right) |u|^{2_\alpha^*-2} u + \lambda g(u), \quad x \in \mathbb{R}^N, \quad (1.8)$$

where $N \geq 3$, $0 < \alpha < \min\{4, N\}$, $2_\alpha^* = \frac{2N-\alpha}{N-2}$, $\lambda > 0$, $g \in C^1(\mathbb{R}^N, \mathbb{R})$ satisfies

- (A3) $\lim_{t \rightarrow 0^+} \frac{g(t)}{t} = 0$, and there exists $p \in (1, \frac{N+2}{N-2})$, such that $\lim_{t \rightarrow \infty} \frac{g(t)}{t^p} = 0$;
- (A4) There exists $\mu \in (2, \frac{2(2N-\alpha)}{N-2})$, such that $0 < \mu G(t) \leq g(t)t$ for all $t \in (0, +\infty)$;
- (A5) $\frac{g(t)}{t}$ is monotonic increasing on $(0, \infty)$.

and V satisfies:

There exists a bounded smooth domain $\mathcal{M} \subset \mathbb{R}^N$ such that

$$m = \min_{x \in \mathcal{M}} V(x) < \min_{x \in \partial \mathcal{M}} V(x).$$

They obtained a local solution concentrating around the local minimum of potential V by using Byeon-Wang [4] type penalization method. More results for the equation (1.7), one can see [9, 34, 42, 43, 46, 47] and references therein.

In recent years, there have been many results of localized nodal solution for the Choquard equation. In 2021, He and Liu [17] proved existence and concentration of infinitely many sign-changing solutions for the following Choquard equation

$$-\varepsilon^2 \Delta u + V(x)u = \varepsilon^{\alpha-N} \left(\int_{\mathbb{R}^N} \frac{|u(y)|^p}{|x-y|^\alpha} dy \right) |u|^{p-2} u, \quad x \in \mathbb{R}^N, \quad (1.9)$$

where $N \geq 3$, $0 < \alpha < \min\{4, N-1\}$, the potential function V satisfies (A1) and (A2). Zhang and Liu [45] investigated the semiclassical quasi-linear Choquard equation with subcritical growth, and obtained a conclusion similar to that of [17] in 2022.

For the semiclassical Choquard equation with critical growth

$$-\varepsilon^2 \Delta v + V(x)v = \varepsilon^{\alpha-N} \left(\int_{\mathbb{R}^N} \frac{|v(y)|^{2_\alpha^*}}{|x-y|^\alpha} dy \right) |v|^{2_\alpha^*-2} v + \vartheta |v|^{q-2} v, \quad x \in \mathbb{R}^N, \quad (1.10)$$

where $\vartheta > 0$, $N \geq 3$, $0 < \alpha < \min\{4, N-1\}$, $\max\{2, 2^* - 1\} < q < 2^*$, $2_\alpha^* = \frac{2N-\alpha}{N-2}$, there is no results on nodal solutions yet. Combining perturbation method, truncation method and the method of invariant sets of descending flow, we prove (1.10) possesses a sequence of localized nodal solutions. As for the method mentioned, we refer [49, 45, 16] and the references therein.

Under the assumption (A2), the critical set satisfies

$$\mathcal{A} = \{x \in \mathcal{M} \mid \nabla V(x) = 0\} \neq \emptyset,$$

and without loss of generality we assume $0 \in \mathcal{A}$. For any set $B \subset \mathbb{R}^N$ and any $\delta > 0$, we set

$$\begin{aligned} B_\delta &= \{x \in \mathbb{R}^N \mid \delta x \in B\}, \\ B^\delta &= \{x \in \mathbb{R}^N \mid \text{dist}(x, B) := \inf_{y \in B} |x-y| < \delta\}. \end{aligned}$$

The main result of this paper is as follows.

Theorem 1.1. Assume that (A1) and (A2) hold. Then for each positive integer k there exists $\varepsilon_k > 0$ such that if $0 < \varepsilon < \varepsilon_k$, equation (1.1) has at least k pairs of sign-changing solutions $\pm v_{j,\varepsilon}$, $j = 1, \dots, k$. Moreover, for each $\delta > 0$ there exist $\mu > 0$, $C = C_k > 0$ and $\varepsilon_k(\delta) > 0$ such that if $0 < \varepsilon < \varepsilon_k(\delta)$; then

$$|v_{j,\varepsilon}(x)| \leq C \exp\left\{-\frac{\mu}{\varepsilon} \operatorname{dist}(x, \mathcal{A}^\delta)\right\}, \quad \forall x \in \mathbb{R}^N, \quad j = 1, \dots, k. \quad (1.11)$$

Denoting $u(x) = v(\varepsilon x)$, equation (1.1) is equivalent to

$$\begin{aligned} -\Delta u + V(\varepsilon x)u &= \left(\int_{\mathbb{R}^N} \frac{|u(y)|^{2_\alpha^*}}{|x-y|^\alpha} dy \right) |u|^{2_\alpha^*-2}u + \vartheta |u|^{q-2}u, \quad x \in \mathbb{R}^N, \\ u(x) &\rightarrow 0 \quad \text{as } |x| \rightarrow \infty, \end{aligned} \quad (1.12)$$

and the corresponding energy functional is

$$\begin{aligned} I_\varepsilon(u) &= \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + V(\varepsilon x)u^2) dx - \frac{1}{2 \cdot 2_\alpha^*} \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|u(y)|^{2_\alpha^*}|u(x)|^{2_\alpha^*}}{|x-y|^\alpha} dx dy \\ &\quad - \frac{\vartheta}{q} \int_{\mathbb{R}^N} |u|^q dx, \quad u \in H^1(\mathbb{R}^N). \end{aligned}$$

To obtain multiple localized nodal solutions for I_ε , we use the penalization method due to Byeon and Wang [4]. Let $\zeta \in C^\infty$ be a cut-off function, $\zeta(t) = 0$ for $t \leq 0$; $\zeta(t) = 1$ for $t \geq 1$; $0 \leq \zeta'(t) \leq 2$ and $0 \leq \zeta(t) \leq 1$. We define

$$\chi_\varepsilon(x) = \begin{cases} 0, & \text{if } x \in \mathcal{M}_\varepsilon \\ \varepsilon^{-6}\zeta(\operatorname{dist}(x, \mathcal{M}_\varepsilon)), & \text{if } x \notin \mathcal{M}_\varepsilon. \end{cases}$$

We truncate the critical term to a subcritical term by truncation method. Now we define some auxiliary functions. Let $\xi(t) \in C^\infty(\mathbb{R}, [0, 1])$ be a smooth, even function such that $\xi(t) = 1$ if $|t| \leq 1$; $\xi(t) = 0$ if $|t| \geq 2$; $0 \leq \xi(t) \leq 1$ and ξ is decreasing in $[1, 2]$. For $\varepsilon \in (0, 1]$, $x \in \mathbb{R}^N$, $t \in \mathbb{R}$, we define

$$\begin{aligned} b_\nu(t) &= \xi(\nu t), \quad m_\nu(t) = \int_0^t b_\nu(\tau) d\tau, \\ f_\nu(t) &= |m_\nu(t)|^{2_\alpha^*-r} |t|^{r-2}t, \quad F_\nu(t) = \int_0^t f_\nu(\tau) d\tau, \end{aligned}$$

where $2 < r < 2_\alpha^*$ and $r \leq q$. We now consider the equation

$$\begin{aligned} -\Delta u + V(\varepsilon x)u &= 2_\alpha^* \left(\int_{\mathbb{R}^N} \frac{F_\nu(u(y))}{|x-y|^\alpha} dy \right) f_\nu(u(x)) + \vartheta |u|^{q-2}u, \quad x \in \mathbb{R}^N, \\ u(x) &\rightarrow 0 \quad \text{as } |x| \rightarrow \infty, \end{aligned} \quad (1.13)$$

and its corresponding energy functional

$$\begin{aligned} I_{\varepsilon,\nu}(u) &= \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + V(\varepsilon x)u^2) dx - \frac{2_\alpha^*}{2} \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y))F_\nu(u(x))}{|x-y|^\alpha} dx dy \\ &\quad - \frac{\vartheta}{q} \int_{\mathbb{R}^N} |u|^q dx, \quad u \in H^1(\mathbb{R}^N). \end{aligned}$$

Since the imbedding from $H^1(\mathbb{R}^N)$ to $L^p(\mathbb{R}^N)$ ($2 \leq p \leq 2^*$) is continuous but not compact, we need to choose a suitable function space as working space such

that the functional $I_{\varepsilon,\nu}(u)$ recovers compactness. For this purpose, we denote $X_\varepsilon = H^1(\mathbb{R}^N) \cap L_\varepsilon^m(\mathbb{R}^N)$, where $L_\varepsilon^m(\mathbb{R}^N)$ is a weighted space defined as

$$L_\varepsilon^m(\mathbb{R}^N) = \left\{ u \in L^m(\mathbb{R}^N) : \int_{\mathbb{R}^N} \exp\{(m-2)\operatorname{dist}(\varepsilon x, \mathcal{M})\} |u|^m dx < +\infty \right\}$$

endowed with the norm

$$\|u\|_{L_\varepsilon^m(\mathbb{R}^N)} = \left(\int_{\mathbb{R}^N} \exp\{(m-2)\operatorname{dist}(\varepsilon x, \mathcal{M})\} |u|^m dx \right)^{1/m}.$$

We define

$$\begin{aligned} \|u\|_{H^1(\mathbb{R}^N)} &= \left(\int_{\mathbb{R}^N} (|\nabla u|^2 + E(\varepsilon x)u^2) dx \right)^{1/2}, \\ \|u\|_{X_\varepsilon} &= \|u\|_{H^1(\mathbb{R}^N)} + \|u\|_{L_\varepsilon^m(\mathbb{R}^N)}, \end{aligned}$$

where $E(x) = V(x) - \sigma$, σ is small enough such that E satisfies the assumptions (A1) and (A2) (with a different constant $a' = a - \sigma > 0$).

Meanwhile, we introduce an additional coercive term such that $I_{\varepsilon,\nu}$ has necessary compactness property on X_ε . For this purpose, we need some auxiliary functions. For $\varepsilon \in (0, 1]$, $x \in \mathbb{R}^N$, $t \in \mathbb{R}$, we define

$$\begin{aligned} b_\varepsilon(x, t) &= \xi(\varepsilon \exp\{\operatorname{dist}(\varepsilon x, \mathcal{M})\} t), \quad m_\varepsilon(x, t) = \int_0^t b_\varepsilon(x, \tau) d\tau, \\ k_\varepsilon(x, t) &= \left(\frac{t}{m_\varepsilon(x, t)} \right)^{m-2} t, \quad K_\varepsilon(x, t) = \int_0^t k_\varepsilon(x, \tau) d\tau, \end{aligned}$$

where $2 < m < r$. We define the perturbed functional

$$\begin{aligned} \Gamma_{\varepsilon,\nu}(u) &= \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + E(\varepsilon x)u^2) dx + \sigma \int_{\mathbb{R}^N} K_\varepsilon(x, u) dx \\ &\quad + \frac{1}{2\beta} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x)u^2 dx - 1 \right)_+^\beta - \frac{2_\alpha^*}{2} \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y))F_\nu(u(x))}{|x-y|^\alpha} dx dy \\ &\quad - \frac{\vartheta}{q} \int_{\mathbb{R}^N} |u|^q dx, \quad u \in X_\varepsilon, \end{aligned}$$

where $2 < 2\beta < r$. Note that the method of invariant sets of descending flow [28] can not fit well for the functional $\Gamma_{\varepsilon,\nu}$, so we also use the perturbation method [17] to overcome this difficulty. For $t \in \mathbb{R}^+$, we define

$$\begin{aligned} b_\lambda(t) &= \xi(\lambda t), \quad m_\lambda(t) = \int_0^t b_\lambda(\tau) d\tau, \\ g_\lambda(t) &= \frac{m_\lambda(t)}{t}, \quad h_\lambda(t) = g_\lambda(t) + b_\lambda(t). \end{aligned}$$

Now we define

$$\begin{aligned} \Gamma_{\varepsilon,\nu,\lambda}(u) &= \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + E(\varepsilon x)u^2) dx + \sigma \int_{\mathbb{R}^N} K_\varepsilon(x, u) dx \\ &\quad + \frac{1}{2\beta} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x)u^2 dx - 1 \right)_+^\beta - \frac{2_\alpha^*}{2} g_\lambda(\varphi^{1/2}(u))\varphi(u) \\ &\quad - \frac{\vartheta}{q} \int_{\mathbb{R}^N} |u|^q dx, \quad u \in X_\varepsilon, \end{aligned}$$

where

$$\varphi(u) = \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(x))F_\nu(u(y))}{|x-y|^\alpha} dx dy.$$

By Hardy-Littlewood-Sobolev inequality and Sobolev inequality, we have

$$\varphi^{1/2}(u) \leq C_0 \|u\|_{H^1(\mathbb{R}^N)}^{2^*_\alpha}.$$

Note that if

$$\begin{aligned} |u(x)| &\leq \frac{1}{\varepsilon} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\} \quad \text{for } x \in \mathbb{R}^N, \\ |u(x)| &\leq \frac{1}{\nu}, \quad \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+ = 0, \quad \|u\|_{H^1(\mathbb{R}^N)} \leq \left(\frac{1}{C_0 \lambda} \right)^{1/2^*_\alpha} \end{aligned}$$

for sufficiently small $\varepsilon, \nu, \lambda$, then $\Gamma_{\varepsilon, \nu, \lambda}(u) = I_\varepsilon(u)$, and $D\Gamma_{\varepsilon, \nu, \lambda}(u) = DI_\varepsilon(u)$. Hence we can obtain solutions of the equation (1.12) by researching $\Gamma_{\varepsilon, \nu, \lambda}$.

In the following c denotes various constants, c_ε denotes constants depending on ε and c, c_ε may be used from line to line for different constants but independent of the arguments.

This article organized as follows. In section 2 we prove preliminary results and verify the Palais-Smale condition for the function $\Gamma_{\varepsilon, \nu, \lambda}$. In section 3 we construct a sequence of nodal critical points of $\Gamma_{\varepsilon, \nu, \lambda}$ by using the invariant sets method. In Section 4, we prove uniform bound on the critical points obtained in Section 3. Section 5 is devoted to the proof of Theorem 1.1.

2. PRELIMINARIES AND PALAIS-SMALE CONDITION FOR $\Gamma_{\varepsilon, \nu, \lambda}$

In this section, we first collect some elementary results about the auxiliary functions involved in the perturbed functional $\Gamma_{\varepsilon, \nu, \lambda}$. Then, we prove that $\Gamma_{\varepsilon, \nu, \lambda}$ satisfies the (PS) condition.

Lemma 2.1 (Hardy-littlewood-Sobolev inequality [22]). *Suppose $\alpha \in (0, N)$, and $s, r > 1$ with $\frac{1}{s} + \frac{1}{r} = \frac{2N-\alpha}{N}$. Let $g \in L^s(\mathbb{R}^N), h \in L^r(\mathbb{R}^N)$, there exists a sharp constant $C(s, \alpha, r, N)$, independent of g, h , such that*

$$\int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{g(x)h(y)}{|x-y|^\alpha} dx dy \leq C(s, \alpha, r, N) \|g\|_{L^r(\mathbb{R}^N)} \|h\|_{L^s(\mathbb{R}^N)}.$$

By the above lemma we have the following result.

Lemma 2.2. *If $v \in L^s(\mathbb{R}^N)$ and $s \in (1, \frac{N}{N-\alpha})$, then $\int_{\mathbb{R}^N} \frac{v(y)}{|x-y|^\alpha} dy \in L^{\frac{Ns}{N-Ns+\alpha s}}(\mathbb{R}^N)$, and*

$$\left(\int_{\mathbb{R}^N} \left| \int_{\mathbb{R}^N} \frac{v(y)}{|x-y|^\alpha} dy \right|^{\frac{Ns}{N-Ns+\alpha s}} dx \right)^{\frac{N-Ns+\alpha s}{Ns}} \leq c(s, N, \alpha) \|v\|_{L^s(\mathbb{R}^N)}.$$

We denote

$$D(f, g) = \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{f(x)g(y)}{|x-y|^\alpha} dx dy.$$

Lemma 2.3 ([22, Theorem 9.8]). *Let $N \geq 3$, $0 < \alpha < N$, and $D(f, f), D(g, g) < \infty$. Then*

$$|D(f, g)|^2 \leq D(f, f)D(g, g)$$

with equality for $g \neq 0$ if and only if $f = cg$ for some constant c .

Lemma 2.4. *For $x \in \mathbb{R}^N$ and $t \in \mathbb{R}$ the following holds;*

- (1) $0 \leq b_\varepsilon(x, t) \leq \frac{m_\varepsilon(x, t)}{t} \leq 1$;
- (2) $m_\varepsilon(x, t) = t$, if $|t| < \varepsilon^{-1} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\}$;
- (3) $\varepsilon^{-1} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\} \leq m_\varepsilon(x, t) \leq C\varepsilon^{-1} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\}$, if $\varepsilon^{-1} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\} \leq t \leq 2\varepsilon^{-1} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\}$;
- (4) $m_\varepsilon(x, t) = C\varepsilon^{-1} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\}$, if $t \geq 2\varepsilon^{-1} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\}$, where $C = \int_0^\infty \xi(\tau) d\tau$;
- (5) $C_1(1 + \varepsilon^{m-2} \exp\{(m-2)\text{dist}(\varepsilon x, \mathcal{M})\}|t|^{m-2})t \leq k_\varepsilon(x, t)$
 $\leq C_2(1 + \varepsilon^{m-2} \exp\{(m-2)\text{dist}(\varepsilon x, \mathcal{M})\}|t|^{m-2})t$;
- (6) $\frac{1}{m}tk_\varepsilon(x, t) \leq K_\varepsilon(x, t) \leq \frac{1}{2}tk_\varepsilon(x, t)$;
- (7) $(k_\varepsilon(x, t_1) - k_\varepsilon(x, t_2))(t_1 - t_2) \geq C(1 + \varepsilon^{m-2} \exp\{(m-2)\text{dist}(\varepsilon x, \mathcal{M})\}|t_1 - t_2|^{m-2})|t_1 - t_2|^2$;
- (8) $|k_\varepsilon(x, t_1) - k_\varepsilon(x, t_2)| \leq C(1 + \varepsilon^{m-2} \exp\{(m-2)\text{dist}(\varepsilon x, \mathcal{M})\}(|t_1|^{m-2} + |t_2|^{m-2}))|t_1 - t_2|$;
- (9) $|F_\nu(t)| \leq C_\nu|t|^r$;
- (10) $|f_\nu(t)| \leq C_\nu|t|^{r-1}$;
- (11) $\frac{1}{2^*_\alpha}tf_\nu(t) \leq F_\nu(t) \leq \frac{1}{r}tf_\nu(t)$;

Proof. The proof is straightforward. We only prove (6). Let $f(x, t) = K_\varepsilon(x, t) - \frac{1}{2}tk_\varepsilon(x, t)$ and $g(x, t) = K_\varepsilon(x, t) - \frac{1}{m}tk_\varepsilon(x, t)$. Since $f(x, 0) = 0$, we have $\frac{\partial f(x, t)}{\partial t} \leq 0$, if $t \geq 0$; and $\frac{\partial f(x, t)}{\partial t} \geq 0$, if $t \leq 0$. $g(x, 0) = 0$; $\frac{\partial g(x, t)}{\partial t} \geq 0$, if $t \geq 0$; $\frac{\partial g(x, t)}{\partial t} \leq 0$, if $t \leq 0$. So (6) holds. \square

Lemma 2.5. *For $t \in \mathbb{R}^+$ it holds*

- (1) $g_\lambda(t) = 1, g'_\lambda(t) = 0$ if $0 < t < \frac{1}{\lambda}$;
- (2) $b_\lambda(t)t \leq g_\lambda(t)t \leq c_\lambda$, where $c_\lambda = \frac{\int_0^\infty \xi(\tau) d\tau}{\lambda}$;
- (3) $g'_\lambda(t)t + g_\lambda(t) = b_\lambda(t)$.

The proof of the above lemma is obviously, we omit it.

Lemma 2.6. *The imbedding $X_\varepsilon = H^1(\mathbb{R}^N) \cap L_\varepsilon^m(\mathbb{R}^N) \hookrightarrow L^p(\mathbb{R}^N)$ is compact for $1 \leq p < 2^*$.*

Proof. Let $\{u_n\}$ is bounded in X_ε and assume $u_n \rightharpoonup u$ in X_ε and $u_n \rightarrow u$ in $L_{loc}^p(\mathbb{R}^N), 1 \leq p < 2^*$. We first prove $u_n \rightarrow u$ in $L^1(\mathbb{R}^N)$. For $R > 0$, we have

$$\begin{aligned} & \int_{\mathbb{R}^N \setminus B(0, R)} |u| dx \\ & \leq \left(\int_{\mathbb{R}^N \setminus B(0, R)} \exp\{(m-2)\text{dist}(\varepsilon x, \mathcal{M})\}|u|^m dx \right)^{1/m} \\ & \quad \times \left(\int_{\mathbb{R}^N \setminus B(0, R)} \exp\{-\frac{m-2}{m-1}\text{dist}(\varepsilon x, \mathcal{M})\} dx \right)^{\frac{m-1}{m}} \\ & \leq \|u\|_{L_\varepsilon^m(\mathbb{R}^N)} \left(\int_{\mathbb{R}^N \setminus B(0, R)} \exp\{-\frac{m-2}{m-1}\text{dist}(\varepsilon x, \mathcal{M})\} dx \right)^{\frac{m-1}{m}} = o_R(1). \end{aligned}$$

Hence $\int_{\mathbb{R}^N} |u_n - u| dx = \int_{B(0, R)} |u_n - u| dx + \int_{\mathbb{R}^N \setminus B(0, R)} |u_n - u| dx = o_n(1) + o_R(1) \rightarrow 0$ as $n \rightarrow \infty$. For $1 < p < 2^*$, we have

$$\int_{\mathbb{R}^N} |u_n - u|^p dx$$

$$\begin{aligned}
&= \int_{\mathbb{R}^N} |u_n - u|^{p\theta + (1-\theta)p} dx \\
&\leq \left(\int_{\mathbb{R}^N} |u_n - u|^{p\theta \cdot \frac{1}{p^\theta}} dx \right)^{p\theta} \left(\int_{\mathbb{R}^N} |u_n - u|^{(1-\theta)p \cdot \frac{2^*}{(1-\theta)p}} dx \right)^{\frac{(1-\theta)p}{2^*}} \\
&\leq c \left(\int_{\mathbb{R}^N} |u_n - u| dx \right)^{p\theta},
\end{aligned}$$

where $0 < \theta < 1$, $\frac{1}{p} = \theta + \frac{1-\theta}{2^*}$, so $u_n \rightarrow u$ in $L^p(\mathbb{R}^N)$ ($1 \leq p < 2^*$). \square

Lemma 2.7. *Let $\{u_n\}$ be a (PS) sequence of the functional $\Gamma_{\varepsilon,\nu,\lambda}$, then $\{u_n\}$ is bounded in X_ε .*

Proof. A direct computation shows that

$$\begin{aligned}
\langle D\Gamma_{\varepsilon,\nu,\lambda}(u), v \rangle &= \int_{\mathbb{R}^N} \nabla u \nabla v + E(\varepsilon x)uv dx + \sigma \int_{\mathbb{R}^N} k_\varepsilon(x, u)v dx \\
&\quad + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x)u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x)uv dx \\
&\quad - \frac{2^*_\alpha}{2} h_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y))f_\nu(u(x))v(x)}{|x-y|^\alpha} dx dy \\
&\quad - \vartheta \int_{\mathbb{R}^N} |u|^{q-2}uv dx, \quad \text{for } v \in X_\varepsilon.
\end{aligned} \tag{2.1}$$

By Lemma 2.4, we have

$$\begin{aligned}
&\Gamma_{\varepsilon,\nu,\lambda}(u_n) - \frac{1}{r} \langle D\Gamma_{\varepsilon,\nu,\lambda}(u_n), u_n \rangle \\
&= \left(\frac{1}{2} - \frac{1}{r} \right) \int_{\mathbb{R}^N} (|\nabla u_n|^2 + E(\varepsilon x)u_n^2) dx + \sigma \int_{\mathbb{R}^N} K_\varepsilon(x, u_n) dx \\
&\quad - \frac{\sigma}{r} \int_{\mathbb{R}^N} k_\varepsilon(x, u_n)u_n dx + \frac{1}{2\beta} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x)u_n^2 dx - 1 \right)_+^\beta \\
&\quad - \frac{1}{r} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x)u_n^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x)u_n^2 dx \\
&\quad + \frac{2^*_\alpha}{2r} h_\lambda(\varphi^{1/2}(u_n)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u_n(y))f_\nu(u_n(x))u_n(x)}{|x-y|^\alpha} dx dy \\
&\quad - \frac{2^*_\alpha}{2} g_\lambda(\varphi^{1/2}(u_n))\varphi(u_n) + \vartheta \left(\frac{1}{r} - \frac{1}{q} \right) \int_{\mathbb{R}^N} |u_n|^{q-2}u_n dx \\
&\geq \left(\frac{1}{2} - \frac{1}{r} \right) \int_{\mathbb{R}^N} (|\nabla u_n|^2 + E(\varepsilon x)u_n^2) dx + \left(\frac{1}{m} - \frac{1}{r} \right) \sigma \int_{\mathbb{R}^N} k_\varepsilon(x, u_n)u_n dx \\
&\quad + c \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x)u_n^2 dx - 1 \right)_+^\beta - c \\
&\geq c(\|u_n\|_{H^1(\mathbb{R}^N)}^2 + \|u_n\|_{L_\varepsilon^m(\mathbb{R}^N)}^m) + c \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x)u_n^2 dx - 1 \right)_+^\beta - c.
\end{aligned}$$

Combining $|\Gamma_{\varepsilon,\nu,\lambda}(u_n)| \leq C$ and $D\Gamma_{\varepsilon,\nu,\lambda}(u_n) \rightarrow 0$, it follows that $\{u_n\}$ is bounded in X_ε . \square

Lemma 2.8. *For every $\varepsilon, \nu, \lambda > 0$, $\Gamma_{\varepsilon,\nu,\lambda}$ satisfies the (PS) condition.*

Proof. Let $\{u_n\}$ be a (PS) sequence of the functional $\Gamma_{\varepsilon,\nu,\lambda}$. By Lemma 2.7, $\{u_n\}$ is bounded in X_ε . Up to a subsequence, we may assume $u_n \rightharpoonup u$ in X_ε and $u_n \rightarrow u$ in $L^r(\mathbb{R}^N)$ ($2 \leq r < 2^*$). By Lemma 2.1, we have

$$\begin{aligned}
o(1) &= \langle D\Gamma_{\varepsilon,\nu,\lambda}(u_n) - D\Gamma_{\varepsilon,\nu,\lambda}(u), u_n - u \rangle \\
&= \int_{\mathbb{R}^N} (|\nabla(u_n - u)|^2 + E(\varepsilon x)(u_n - u)^2) dx \\
&\quad + \sigma \int_{\mathbb{R}^N} (k_\varepsilon(x, u_n) - k_\varepsilon(x, u))(u_n - u) dx \\
&\quad + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u_n^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) u_n (u_n - u) dx \\
&\quad - \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) u (u_n - u) dx \\
&\quad - \frac{2^*_\alpha}{2} h_\lambda(\varphi^{1/2}(u_n)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u_n(y)) f_\nu(u_n(x)) (u_n(x) - u(x))}{|x - y|^\alpha} dx dy \\
&\quad + \frac{2^*_\alpha}{2} h_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y)) f_\nu(u(x)) (u_n(x) - u(x))}{|x - y|^\alpha} dx dy \\
&\quad - \vartheta \int_{\mathbb{R}^N} (|u_n|^{q-2} u_n - |u|^{q-2} u) (u_n - u) dx \\
&\geq \int_{\mathbb{R}^N} (|\nabla(u_n - u)|^2 + E(\varepsilon x)(u_n - u)^2) dx \\
&\quad + c(1 + \varepsilon^{m-2} \exp\{(m-2)\text{dist}(\varepsilon x, \mathcal{M})\}|u_n - u|^{m-2})|u_n - u|^2 \\
&\quad - c \int_{\mathbb{R}^N} (|u_n|^{q-1} + |u|^{q-1})|u_n - u| dx + o(1) \\
&\geq c(\|u_n - u\|_{H^1(\mathbb{R}^N)}^2 + \|u_n - u\|_{L_\varepsilon^m(\mathbb{R}^N)}^m) + o(1), \quad \text{as } n \rightarrow \infty,
\end{aligned}$$

which implies $\|u_n - u\|_{X_\varepsilon} \rightarrow 0$. Therefore, $\Gamma_{\varepsilon,\nu,\lambda}$ satisfies the (PS) condition. \square

3. EXISTENCE OF SOLUTIONS FOR PERTURBED FUNCTIONS $\Gamma_{\varepsilon,\nu,\lambda}$

In this section, we construct a sequence of critical points of the functional $\Gamma_{\varepsilon,\nu,\lambda}$ by using the method of invariant sets with respect to a descending flow. Firstly we define an operator $A : X \rightarrow X$. The vector field $u - Au$ will be used as pseudo-gradient vector field of the functional $\Gamma_{\varepsilon,\nu,\lambda}$. To obtain multiple sign-changing critical points of $\Gamma_{\varepsilon,\nu,\lambda}$, we introduce the abstract critical point theorem [26, Theorem 2.5], see also [7, Theorem 3.2].

Let X be a Banach space, f be an even C^1 -functional on X . Let P, Q be two family of open convex sets of X , $Q = -P$. We set

$$W = P \cup Q, \quad \Sigma = \partial P \cap \partial Q.$$

Then we assume that

- (A6) f satisfies the (PS) condition.
- (A7) $c^* = \inf_{x \in \Sigma} f(x) > 0$,

and that there exists an odd continuous map $A : X \rightarrow X$ satisfying

- (A8) For each $c_0, b_0 > 0$, there exists $b = b(c_0, b_0) > 0$ such that if $\|Df(x)\| \geq b_0$, $|f(x)| \leq c_0$, then

$$\langle Df(x), x - Ax \rangle \geq b\|x - Ax\|_X > 0.$$

(A9) $A(\partial P) \subset P$ and $A(\partial Q) \subset Q$.

We define

$$\Gamma_j = \{E \subset X : E \text{ is compact}, -E = E, \gamma(E \cap \eta^{-1}(\Sigma)) \geq j \text{ for } \eta \in \Lambda\},$$

$$\Lambda = \{\eta \in C(X, X) : \eta \text{ is odd, } \eta(P) \subset P, \eta(Q) \subset Q, \eta(x) = x \text{ if } f(x) < 0\}$$

where γ is the genus of symmetric sets, defined as

$$\gamma(E) = \inf \{n : \text{there exists an odd map } \eta : E \rightarrow \mathbb{R}^n \setminus \{0\}\}.$$

Now we assume

(A10) Γ_j is nonempty.

We define

$$c_j = \inf_{E \in \Gamma_j} \sup_{x \in E \setminus W} f(x), \quad j = 1, 2, \dots,$$

$$K_c = \{x : Df(x) = 0, f(x) = c\}, \quad K_c^* = K_c \setminus W.$$

Theorem 3.1 ([26, Theorem 3.1]). *Assume (A6)–(A10) hold. Then*

- (1) $c_j \geq c^*$, $K_{c_j}^* \neq \emptyset$.
- (2) $c_j \rightarrow \infty$ as $j \rightarrow \infty$.
- (3) If $c_j = c_{j+1} = \dots = c_{j+k-1} = c$, then $\gamma(K_c^*) \geq k$.

We prove the existence of critical points of $\Gamma_{\varepsilon, \nu, \lambda}$ by using the method of invariant sets of descending flow. First, we need to define the operator A .

Definition 3.2. Given $u \in X_\varepsilon$ define $v = Au$ by the equation

$$\begin{aligned} & \int_{\mathbb{R}^N} (\nabla v \nabla \eta + E(\varepsilon x) v \eta) dx + \sigma \int_{\mathbb{R}^N} k_\varepsilon(x, v) \eta dx \\ & + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) v \eta dx \\ & = \frac{2^*_\alpha}{2} h_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y)) f_\nu(u(x)) \eta(x)}{|x-y|^\alpha} dx dy \\ & + \vartheta \int_{\mathbb{R}^N} |u|^{q-2} u \eta dx, \quad \text{for } \eta \in X_\varepsilon. \end{aligned} \tag{3.1}$$

Lemma 3.3 (Brezis-Libe type lemma [6]). *Assume $0 < \alpha < \min\{4, N-1\}$ and that f satisfies*

- (1) there exists a constant $C > 0$ such that

$$|f(t)| \leq C(|t|^{\frac{N-\alpha}{N}} + |t|^{\frac{N+2-\alpha}{N-2}}), \quad \forall t \in \mathbb{R}.$$

$$(2) \lim_{t \rightarrow 0^+} \frac{f(t)}{t} = 0, \lim_{t \rightarrow \infty} \frac{f(t)}{t^{\frac{N+2-\alpha}{N-2}}} = 1.$$

Let $\{u_n\} \subset H^1(\mathbb{R}^N)$ be such that $u_n \rightharpoonup u$ in $H^1(\mathbb{R}^N)$ and $u_n(x) \rightarrow u(x)$ a.e. $x \in \mathbb{R}^N$, then up to a subsequence if necessary, it holds

$$\begin{aligned} & \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F(u_n(x)) F(u_n(y))}{|x-y|^\alpha} dx dy \\ & = \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F(u_n(x) - u(x)) F(u_n(y) - u(y))}{|x-y|^\alpha} dx dy \\ & + \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F(u(x)) F(u(y))}{|x-y|^\alpha} dx dy + o_n(1), \end{aligned} \tag{3.2}$$

$$\begin{aligned}
& \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F(u_n(x))f(u_n(y))v(y)}{|x-y|^\alpha} dx dy \\
&= \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F(u_n(x)-u(x))f(u_n(y)-u(y))v(y)}{|x-y|^\alpha} dx dy \\
&\quad + \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F(u(x))f(u(y))v(y)}{|x-y|^\alpha} dx dy + o_n(1),
\end{aligned} \tag{3.3}$$

where $o_n(1) \rightarrow 0$ uniformly as $n \rightarrow \infty$ for any $v \in C_0^\infty(\mathbb{R}^N)$.

Lemma 3.4. *Function A is well defined, odd and continuous on X_ε .*

Proof. For simplicity, we denote

$$\psi_\varepsilon(u) = \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1},$$

and define

$$B(v) = \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla v|^2 + E(\varepsilon x)v^2) dx + \sigma \int_{\mathbb{R}^N} K_\varepsilon(x, v) dx + \frac{1}{2} \psi_\varepsilon(u) \int_{\mathbb{R}^N} \chi_\varepsilon(x) v^2 dx.$$

Since

$$\langle DB(v_1) - DB(v_2), v_1 - v_2 \rangle \geq c(\|v_1 - v_2\|_{H^1(\mathbb{R}^N)}^2 + \|v_1 - v_2\|_{L_\varepsilon^m(\mathbb{R}^N)}^m), \tag{3.4}$$

for all $v_1, v_2 \in X_\varepsilon$, it follows that DB is strongly monotone. Then problem (3.1) has a unique solution $v = Au$, which can be obtained by solving the minimization problem

$$\inf\{B(v) - F(v) | v \in X_\varepsilon\},$$

where

$$F(v) = \frac{2^*_\alpha}{2} h_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y))f_\nu(u(x))v(x)}{|x-y|^\alpha} dx dy + \vartheta \int_{\mathbb{R}^N} |u|^{q-2}uv dx.$$

So A is well defined. Moreover, it is easy to check the operator A is odd. Finally, let $u_n \rightarrow u$ in X_ε , and denote $v_n = Au_n$, $v = Au$. By choosing $\eta = v_n - v$ in (3.1), we have

$$\begin{aligned}
& \int_{\mathbb{R}^N} (|\nabla(v_n - v)|^2 + E(\varepsilon x)|v_n - v|^2) dx + \sigma \int_{\mathbb{R}^N} (k_\varepsilon(x, v_n) - k_\varepsilon(x, v))(v_n - v) dx \\
&+ \psi_\varepsilon(u) \int_{\mathbb{R}^N} \chi_\varepsilon(x)(v_n - v)^2 dx \\
&= (\psi_\varepsilon(u_n)) - \psi_\varepsilon(u) \int_{\mathbb{R}^N} \chi_\varepsilon(x)v_n(v - v_n) dx + \frac{2^*_\alpha}{2} h_\lambda(\varphi^{1/2}(u_n)) \\
&\quad \times \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{(F_\nu(u_n(y))f_\nu(u_n(x)) - F_\nu(u(y))f_\nu(u(x)))(v_n(x) - v(x))}{|x-y|^\alpha} dx dy \\
&\quad + \frac{2^*_\alpha}{2} (h_\lambda(\varphi^{1/2}(u_n)) - h_\lambda(\varphi^{1/2}(u))) \\
&\quad \times \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y))f_\nu(u(x))(v_n(x) - v(x))}{|x-y|^\alpha} dx dy \\
&\quad + \vartheta \int_{\mathbb{R}^N} (|u_n|^{q-2}u_n - |u|^{q-2}u)(v_n - v) dx.
\end{aligned} \tag{3.5}$$

By Lemma 2.4, can estimate the two side of (3.5):

$$\begin{aligned} \text{LHS} &\geq c(\|v_n - v\|_{H^1(\mathbb{R}^N)}^2 + \|v_n - v\|_{L_\varepsilon^m(\mathbb{R}^N)}^m), \\ \text{RHS} &= o_n(1)\|v_n - v\|_{H^1(\mathbb{R}^N)}. \end{aligned}$$

So we obtain $\|Au_n - Au\|_{X_\varepsilon} \rightarrow 0$. This means A is continuous. \square

Lemma 3.5. *Let $u \in X_\varepsilon$, $v = Au$. Then it holds:*

- (1) $\langle D\Gamma_{\varepsilon,\nu,\lambda}(u), u - v \rangle \geq c(\|u - v\|_{H^1(\mathbb{R}^N)}^2 + \|u - v\|_{L_\varepsilon^m(\mathbb{R}^N)}^m);$
- (2) $\|D\Gamma_{\varepsilon,\nu,\lambda}(u)\| \leq c(1 + |\Gamma_{\varepsilon,\nu,\lambda}(u)| + \|u - v\|_{X_\varepsilon})^\gamma \|u - v\|_{X_\varepsilon} (\gamma > 1).$

Proof. (1) By (3.1), for $\eta \in X_\varepsilon$, we have

$$\begin{aligned} &\langle D\Gamma_{\varepsilon,\nu,\lambda}(u), \eta \rangle \\ &= \int_{\mathbb{R}^N} (\nabla u \nabla \eta + E(\varepsilon x) u \eta) dx + \sigma \int_{\mathbb{R}^N} k_\varepsilon(x, u) \eta dx \\ &\quad + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) u \eta dx \\ &\quad - \frac{2^*_\alpha}{2} h_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y)) f_\nu(u(x)) \eta(x)}{|x-y|^\alpha} dx dy - \vartheta \int_{\mathbb{R}^N} |u|^{q-2} u \eta dx \\ &= \int_{\mathbb{R}^N} (\nabla(u-v) \nabla \eta + E(\varepsilon x)(u-v) \eta) dx + \sigma \int_{\mathbb{R}^N} (k_\varepsilon(x, u) - k_\varepsilon(x, v)) \eta dx \\ &\quad + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) (u-v) \eta dx. \end{aligned}$$

Hence

$$\begin{aligned} &\langle D\Gamma_{\varepsilon,\nu,\lambda}(u), u - v \rangle \\ &= \int_{\mathbb{R}^N} (|\nabla(u-v)|^2 + E(\varepsilon x)(u-v)^2) dx + \sigma \int_{\mathbb{R}^N} (k_\varepsilon(x, u) - k_\varepsilon(x, v))(u-v) dx \\ &\quad + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) (u-v)^2 dx \\ &\geq c \left(\|u - v\|_{H^1(\mathbb{R}^N)}^2 + \|u - v\|_{L_\varepsilon^m(\mathbb{R}^N)}^m \right). \end{aligned}$$

(2) We define

$$J_\varepsilon(u) = \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + E(\varepsilon x) u^2) dx + \sigma \int_{\mathbb{R}^N} K_\varepsilon(x, u) dx.$$

On the one hand,

$$\begin{aligned} &\Gamma_{\varepsilon,\nu,\lambda}(u) - \frac{1}{r} \langle D J_\varepsilon(u) - D J_\varepsilon(v), u \rangle \\ &= \left(\frac{1}{2} - \frac{1}{r} \right) \int_{\mathbb{R}^N} (|\nabla u|^2 + E(\varepsilon x) u^2) dx + \sigma \int_{\mathbb{R}^N} K_\varepsilon(x, u) dx - \frac{\sigma}{r} \int_{\mathbb{R}^N} k_\varepsilon(x, u) u dx \\ &\quad + \frac{1}{2\beta} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta - \frac{1}{r} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) u v dx \\ &\quad + \frac{2^*_\alpha}{2r} h_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y)) f_\nu(u(x)) u(x)}{|x-y|^\alpha} dx dy - \frac{2^*_\alpha}{2} g_\lambda(\varphi^{1/2}(u)) \varphi(u) \\ &\quad + \vartheta \left(\frac{1}{r} - \frac{1}{q} \right) \int_{\mathbb{R}^N} |u|^q dx. \end{aligned} \tag{3.6}$$

By Hölder's inequality and the Young's inequality, we have

$$\begin{aligned}
& \frac{1}{2\beta} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta - \frac{1}{r} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) uv dx \\
&= \frac{1}{2\beta} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta - \frac{1}{r} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx \\
&\quad + \frac{1}{r} \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_\varepsilon(x) u(u-v) dx \\
&\geq c \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta - c \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) |u-v| |u| dx \right)^\beta - c \\
&\geq c \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta - c \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) |u-v|^2 dx \right)^\beta - c.
\end{aligned}$$

Consequently,

$$\begin{aligned}
& \Gamma_{\varepsilon,\nu,\lambda}(u) - \frac{1}{r} \langle D J_\varepsilon(u) - D J_\varepsilon(v), u \rangle \\
&\geq C(\|u\|_{H^1(\mathbb{R}^N)}^2 + \|u\|_{L_\varepsilon^m(\mathbb{R}^N)}^m) + C \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta \\
&\quad - c \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) (u-v)^2 dx \right)^\beta - c.
\end{aligned}$$

On the other hand,

$$\begin{aligned}
& \Gamma_{\varepsilon,\nu,\lambda}(u) - \frac{1}{r} \langle D J_\varepsilon(u) - D J_\varepsilon(v), u \rangle \\
&\leq |\Gamma_{\varepsilon,\nu,\lambda}(u)| + c \|u\|_{H^1(\mathbb{R}^N)} \|u-v\|_{H^1(\mathbb{R}^N)} \\
&\quad + c (\|u\|_{L_\varepsilon^m(\mathbb{R}^N)}^{m-2} + \|v\|_{L_\varepsilon^m(\mathbb{R}^N)}^{m-2}) \|u-v\|_{L_\varepsilon^m(\mathbb{R}^N)} \|u\|_{L_\varepsilon^m(\mathbb{R}^N)}. \tag{3.7}
\end{aligned}$$

By (3.6), (3.7) and Young's inequality, we have

$$\begin{aligned}
& \|u\|_{H^1(\mathbb{R}^N)}^2 + \|u\|_{L_\varepsilon^m(\mathbb{R}^N)}^m + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta \\
&\leq c(1 + |\Gamma_{\varepsilon,\nu,\lambda}(u)| + \|u-v\|_{H^1(\mathbb{R}^N)}^2 + \|u-v\|_{L_\varepsilon^m(\mathbb{R}^N)}^m + \|u-v\|_{H^1(\mathbb{R}^N)}^{2\beta}).
\end{aligned}$$

By (3.1), we have

$$\begin{aligned}
& |\langle D\Gamma_{\varepsilon,\nu,\lambda}(u), \eta \rangle| \\
&\leq c \|u-v\|_{H^1(\mathbb{R}^N)} \|\eta\|_{H^1(\mathbb{R}^N)} + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \|u-v\|_{H^1(\mathbb{R}^N)} \|\eta\|_{H^1(\mathbb{R}^N)} \\
&\quad + c \int_{\mathbb{R}^N} \varepsilon^{m-2} \exp\{(m-2) \text{dist}(\varepsilon x, \mathcal{M})\} (|u|^{m-2} + |v|^{m-2}) |u-v| |\eta| dx \\
&\leq c (\|u\|_{L_\varepsilon^m(\mathbb{R}^N)}^{m-2} + \|v\|_{L_\varepsilon^m(\mathbb{R}^N)}^{m-2}) \|u-v\|_{L_\varepsilon^m(\mathbb{R}^N)} \|\eta\|_{L_\varepsilon^m(\mathbb{R}^N)} \\
&\quad + c \left(1 + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \right) \|u-v\|_{H^1(\mathbb{R}^N)} \|\eta\|_{H^1(\mathbb{R}^N)}.
\end{aligned}$$

This implies

$$\begin{aligned}
& \|D\Gamma_{\varepsilon,\nu,\lambda}(u)\| \\
&\leq c (\|u\|_{L_\varepsilon^m(\mathbb{R}^N)}^{m-2} + \|v\|_{L_\varepsilon^m(\mathbb{R}^N)}^{m-2}) \|u-v\|_{L_\varepsilon^m(\mathbb{R}^N)} \\
&\quad + c \left(1 + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^{\beta-1} \right) \|u-v\|_{H^1(\mathbb{R}^N)}
\end{aligned}$$

$$\begin{aligned}
&\leq c \left(1 + \|u\|_{L_\varepsilon^m(\mathbb{R}^N)}^m + \|u - v\|_{L_\varepsilon^m(\mathbb{R}^N)}^m + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1 \right)_+^\beta \right) \|u - v\|_{X_\varepsilon} \\
&\leq c (1 + |\Gamma_{\varepsilon,\nu,\lambda}(u)| + \|u - v\|_{H^1(\mathbb{R}^N)}^2 + \|u - v\|_{L_\varepsilon^m(\mathbb{R}^N)}^m + \|u - v\|_{H^1(\mathbb{R}^N)}^{2\beta}) \|u - v\|_{X_\varepsilon} \\
&\leq c (1 + |\Gamma_{\varepsilon,\nu,\lambda}(u)| + \|u - v\|_{X_\varepsilon})^\gamma \|u - v\|_{X_\varepsilon},
\end{aligned}$$

where $\gamma > 1$. \square

Corollary 3.6. *Given b_0, c_0 , there exist $b = b(b_0, c_0)$ such that if $|\Gamma_{\varepsilon,\nu,\lambda}(u)| \leq c_0$ and $\|D\Gamma_{\varepsilon,\nu,\lambda}(u)\| \geq b_0$, then $u - Au \neq 0$ and*

$$\langle D\Gamma_{\varepsilon,\nu,\lambda}(u), u - Au \rangle \geq b \|u - Au\| > 0.$$

For $\delta > 0$, we define the convex open sets

$$\begin{aligned}
P &= \{u \mid u \in X_\varepsilon(\mathbb{R}^N), \|u\|_{H^1(\mathbb{R}^N)} < \delta\}, \\
Q &= \{u \mid u \in X_\varepsilon(\mathbb{R}^N), \|u\|_{H^1(\mathbb{R}^N)} < \delta\}.
\end{aligned}$$

Lemma 3.7. *There exists $\delta_\lambda > 0$ such that for $0 < \delta < \delta_\lambda$,*

$$A(\partial P) \subset P, \quad A(\partial Q) \subset Q.$$

Proof. We only prove $A(\partial Q) \subset Q$. Similarly, $A(\partial P) \subset P$. For $u \in \partial Q$, let $v = Au$. By Lemma 2.3 and Lemma 2.5, we have

$$\begin{aligned}
&\|v_+\|_{H^1(\mathbb{R}^N)}^2 \\
&\leq c \int_{\mathbb{R}^N} (\nabla v \nabla v_+ + E(\varepsilon x) vv_+) dx + c \int_{\mathbb{R}^N} k_\varepsilon(x, v) v_+ dx \\
&\leq ch_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y)) f_\nu(u(x)) v_+(x)}{|x - y|^\alpha} dx dy \\
&\quad + c \int_{\mathbb{R}^N} |u|^{q-2} uv_+ dx \\
&\leq ch_\lambda(\varphi^{1/2}(u)) \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y)) f_\nu(u_+(x)) v_+(x)}{|x - y|^\alpha} dx dy + c \int_{\mathbb{R}^N} |u_+|^{q-1} v_+ dx \\
&\leq ch_\lambda(\varphi^{1/2}(u)) \varphi^{1/2}(u) \left(\int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{f_\nu(u_+(x)) v_+(x) f_\nu(u_+(y)) v_+(y)}{|x - y|^\alpha} dx dy \right)^{1/2} \\
&\quad + c \|u_+\|_{H^1(\mathbb{R}^N)}^{q-1} \|v_+\|_{H^1(\mathbb{R}^N)} \\
&\leq c_\lambda \left(\int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|u_+(x)|^{2_\alpha^*-1} v_+(x) |u_+(y)|^{2_\alpha^*-1} v_+(y)}{|x - y|^\alpha} dx dy \right)^{1/2} \\
&\quad + c \|u_+\|_{H^1(\mathbb{R}^N)}^{q-1} \|v_+\|_{H^1(\mathbb{R}^N)} \\
&\leq c_\lambda (\|u_+\|_{H^1(\mathbb{R}^N)}^{2_\alpha^*-1} + \|u_+\|_{H^1(\mathbb{R}^N)}^{q-1}) \|v_+\|_{H^1(\mathbb{R}^N)}.
\end{aligned}$$

Taking $\delta_\lambda = \min\{\frac{1}{2}c_\lambda^{-\frac{1}{2_\alpha^*-2}}, \frac{1}{2}c_\lambda^{-\frac{1}{q-2}}\}$, it is easy to get that $\|v_+\|_{H^1(\mathbb{R}^N)} < \delta$ for $0 < \delta < \delta_\lambda$. Consequently, the conclusion follows. \square

Lemma 3.8. *There exist $\delta_0 > 0$ and $0 < c^* = c^*(\delta)$, such that for $0 < \delta < \delta_0$ and $u \in \partial P \cap \partial Q$, we have $\Gamma_{\varepsilon,\nu,\lambda}(u) \geq c^*$.*

Proof. For $u \in \partial P \cap \partial Q$, we have $\|u\|_{H^1(\mathbb{R}^N)} \geq \delta$, $\|u\|_{L^{2^*}(\mathbb{R}^N)} \leq c\delta$, and $\|u\|_{L^q(\mathbb{R}^N)} \leq c\delta$. Hence

$$\Gamma_{\varepsilon,\nu,\lambda}(u) \geq \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + E(\varepsilon x) u^2) dx - \frac{2_\alpha^*}{2} g_\lambda(\varphi^{1/2}(u)) \varphi(u) - \frac{\vartheta}{q} \int_{\mathbb{R}^N} |u|^q dx$$

$$\begin{aligned} &\geq c_1 \|u\|_{H^1(\mathbb{R}^N)}^2 - c_2 (\|u\|_{L^{2^*_\alpha}(\mathbb{R}^N)}^{2 \cdot 2^*_\alpha} + \|u\|_{L^q(\mathbb{R}^N)}^q) \\ &\geq c_1 \|u\|_{H^1(\mathbb{R}^N)}^2 - c_2 (\delta^{2 \cdot 2^*_\alpha - 2} + \delta^{q-2}) \|u\|_{H^1(\mathbb{R}^N)}^2. \end{aligned}$$

Taking $\delta_0 = \min\{\left(\frac{c_1}{4c_2}\right)^{\frac{1}{2 \cdot 2^*_\alpha - 2}}, \left(\frac{c_1}{4c_2}\right)^{\frac{1}{q-2}}\}$, then for $0 < \delta < \delta_0$, we have

$$\Gamma_{\varepsilon, \nu, \lambda}(u) \geq \frac{c_1}{2} \|u\|_{H^1(\mathbb{R}^N)}^2 \geq \frac{c_1}{2} \delta^2 := c^*. \quad \square$$

Assume $B(0, R) \subset \mathcal{M}$. Let $\{e_n\}_{n=1}^\infty$ be a family of linearly independent functions in $C_0^\infty(B(0, R))$. There exists an increasing sequence R_n such that

$$J_0(u) < 0, \quad \forall u \in H_n, \quad \|u\| \geq R_n.$$

where $H_n := \text{span}\{e_1, \dots, e_n\}$ and

$$J_0(u) = \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u|^2 + bu^2) dx + \sigma \int_{\mathbb{R}^N} e^{(m-2)|x|} |u|^m dx - \frac{\vartheta}{q} \int_{\mathbb{R}^N} |u|^q dx.$$

We define $\varphi_n \in C(B_n, C_0^\infty(B(0, R)))$,

$$\varphi_n(t) = R_n \sum_{i=1}^n t_i e_i, \quad t = (t_1, \dots, t_n) \in B_n = \{t \in \mathbb{R}^n : |t| \leq 1\}.$$

Let

$$\begin{aligned} \Gamma_j &= \{E \subset X_\varepsilon : E \text{ is compact, } E = E, \gamma(E \cap \eta^{-1}(\Sigma)) \geq j \text{ for } \eta \in \Lambda\}, \\ \Lambda &= \{\eta \in C(X_\varepsilon, X_\varepsilon) : \eta \text{ is odd, } \eta(P) \subset P, \eta(Q) \subset Q, \eta(u) = u \text{ if } \Gamma_\varepsilon(u) \leq 0\}. \end{aligned}$$

Similarly from [25, Lemma 5.6], we obtain the following Lemma.

Lemma 3.9. Γ_j is nonempty, for $j = 1, 2, \dots$

Theorem 3.10. Assume that conditions (A1) and (A2) hold, then there exist $0 < \tilde{\varepsilon} < 1$, $0 < \tilde{\nu} < 1$, and $0 < \tilde{\lambda} < 1$, such that if $0 < \varepsilon < \tilde{\varepsilon}$, $0 < \nu < \tilde{\nu}$, and $0 < \lambda < \tilde{\lambda}$, then the functional $\Gamma_{\varepsilon, \nu, \lambda}$ has infinitely many sign-changing critical points; the corresponding critical values are

$$c_j(\varepsilon, \nu, \lambda) = \inf_{E \in \Gamma_j} \sup_{u \in E \setminus W} \Gamma_{\varepsilon, \nu, \lambda}(u), \quad j = 1, 2, \dots \quad (3.8)$$

Moreover

(1) there exist m_j , $j = 1, \dots$, independent of $\varepsilon, \nu, \lambda$ such that

$$c_j(\varepsilon, \nu, \lambda) \leq m_j, \quad j = 1, 2, \dots \quad (3.9)$$

(2) If $c_j(\varepsilon, \nu, \lambda) = \dots = c_{j+k}(\varepsilon, \nu, \lambda) = c$, then $\gamma(K_c^*) \geq k+1$, where

$$K_c^* = K_c \setminus W, \quad K_c = \{x : D\Gamma_{\varepsilon, \nu, \lambda}(u) = 0, \Gamma_{\varepsilon, \nu, \lambda}(u) = c\}.$$

Proof. For the functional $\Gamma_{\varepsilon, \nu, \lambda}$, it is easy to check that $\Gamma_{\varepsilon, \nu, \lambda}$ satisfies the assumptions of Theorem 3.1. Therefore, we only need to prove (3.9). Note that $E_j = \varphi_{j+1}(B_{j+1}) \in \Gamma_j$. It is easy to know that there exist $0 < \tilde{\varepsilon} < 1$, $0 < \tilde{\nu} < 1$, and $0 < \tilde{\lambda} < 1$, such that if $0 < \varepsilon < \tilde{\varepsilon}$, $0 < \nu < \tilde{\nu}$, and $0 < \lambda < \tilde{\lambda}$, then $\Gamma_{\varepsilon, \nu, \lambda}(u) \leq J_0(u)$ for $u \in \varphi_{j+1}(B_{j+1})$ and $(\int_{\mathbb{R}^N} \chi_\varepsilon(x) |u|^p dx - 1)_+^\beta = 0$. Hence

$$c_j(\varepsilon, \nu, \lambda) \leq m_j := \sup_{u \in E_j} J_0(u). \quad \square$$

4. UNIFORM BOUNDS

In this section, we prove the following theorem that gives uniform bounds needed for proving Theorem 1.1.

Theorem 4.1. (1) Assume $\Gamma_{\varepsilon,\nu,\lambda}(u) \leq L$ and $D\Gamma_{\varepsilon,\nu,\lambda}(u) = 0$. Then there exists a constant $H = H(L)$ such that

$$\|u\|_{H^1(\mathbb{R}^N)} \leq H.$$

(2) Assume $\Gamma_{\varepsilon,\nu}(u) \leq L$ and $D\Gamma_{\varepsilon,\nu}(u) = 0$. Then there exist constants $\mu > 0$, $C = C(L)$ such that, for any $\delta > 0$, there exists $\varepsilon = \varepsilon(\delta) > 0$, for $0 < \varepsilon < \varepsilon(\delta)$,

$$|u(x)| \leq C \exp\{-\mu \operatorname{dist}(x, (\mathcal{A}^\delta)_\varepsilon)\} \quad \text{for } x \in \mathbb{R}^N.$$

(3) Assume $I_{\varepsilon,\nu}(u) \leq L$ and $DI_{\varepsilon,\nu}(u) = 0$. Then there exists a positive constant $M = M(L)$ such that $|u(x)| \leq M$ for $x \in \mathbb{R}^N$.

Similar to Lemma 2.7, it is easy to obtain Theorem 4.1(1). Before proving parts (2) and (3), we need establish some preliminary lemmas.

Lemma 4.2. Assume $D\Gamma_{\varepsilon,\nu}(u) = 0$ and $\Gamma_{\varepsilon,\nu}(u) \leq L$. Then

- (1) there exist $c_{\nu,L}$, such that $|u(x)| \leq c_{\nu,L}$ for $x \in \mathbb{R}^N$;
- (2) there exist $b_{\nu,L}$, such that $\int_{\mathbb{R}^N} \frac{F_\nu(u(y))}{|x-y|^\alpha} dy \leq b_{\nu,L}$ for $x \in \mathbb{R}^N$;
- (3) for any $\delta > 0$ there exist $c = c(\delta, \nu, L)$ such that $|u(x)| \leq c\varepsilon^3$ for $x \in \mathbb{R}^N \setminus (\mathcal{M}_\varepsilon)^\delta$.

Proof. (1) Assume $D\Gamma_{\varepsilon,\nu}(u) = 0$ and $\Gamma_{\varepsilon,\nu}(u) \leq L$, it is easy to show that u is bounded in $H^1(\mathbb{R}^N)$ and $(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx - 1)_+^\beta$ is bounded. Choose $\phi = |u_T|^{2k-2} u$ as test function in $\langle D\Gamma_{\varepsilon,\nu}(u), \phi \rangle = 0$, where $k \geq 1, T > 0$ and $u_T(x) = \pm T$ if $\pm u(x) \geq T$, $u_T(x) = u(x)$ if $|u(x)| \leq T$. By $\langle D\Gamma_{\varepsilon,\nu}(u), \phi \rangle = 0$, it is easy to obtain the inequality

$$\begin{aligned} \int_{\mathbb{R}^N} (\nabla u \nabla \phi + E(\varepsilon x) u \phi) dx &\leq c_\nu \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|u(y)|^r |u(x)|^{r-1} \phi(x)}{|x-y|^\alpha} dx dy \\ &\quad + \tau \int_{\mathbb{R}^N} u \phi dx + c_\tau \int_{\mathbb{R}^N} |u|^{\frac{2Nr}{2N-\alpha}-2} u \phi dx, \end{aligned} \tag{4.1}$$

where $\tau \leq \inf_{x \in \mathbb{R}^N} E(x)$. Hence

$$\begin{aligned} &\int_{\mathbb{R}^N} \nabla u \nabla \phi dx \\ &\leq c_\nu \int_{\mathbb{R}^N} \left(\int_{\mathbb{R}^N} \frac{|u(y)|^r}{|x-y|^\alpha} dy + |u|^{\frac{\alpha r}{2N-\alpha}} \right) |u|^{r-2} u \phi dx \\ &\leq c_\nu \left(\int_{\mathbb{R}^N} \left(\int_{\mathbb{R}^N} \frac{|u(y)|^r}{|x-y|^\alpha} dy + |u|^{\frac{\alpha r}{2N-\alpha}} \right)^{2N/\alpha} dx \right)^{\frac{\alpha}{2N}} \\ &\quad \times \left(\int_{\mathbb{R}^N} (|u|^r |u_T|^{2k-2})^{\frac{2N}{2N-\alpha}} dx \right)^{\frac{2N-\alpha}{2N}} \\ &\leq c_\nu \left(\int_{\mathbb{R}^N} (|u| |u_T|^{k-1})^{\frac{2Nr}{2N-\alpha}} dx \right)^{\frac{2N-\alpha}{Nr}} \left(\int_{\mathbb{R}^N} |u|^{\frac{2Nr}{2N-\alpha}} dx \right)^{\frac{(r-2)(2N-\alpha)}{2Nr}} \\ &\leq c_\nu \left(\int_{\mathbb{R}^N} (|u| |u_T|^{k-1})^{\frac{2Nr}{2N-\alpha}} dx \right)^{\frac{2N-\alpha}{Nr}}. \end{aligned} \tag{4.2}$$

The left-hand side of (4.1) satisfies

$$\begin{aligned} \text{LHS} &\geq \int_{\mathbb{R}^N} |\nabla u|^2 |u_T|^{2k-2} dx \\ &\geq \frac{c}{k^2} \int_{\mathbb{R}^N} |\nabla(|u||u_T|^{k-1})|^2 dx \\ &\geq \frac{c}{k^2} \left(\int_{\mathbb{R}^N} (|u||u_T|^{k-1})^{2^*} dx \right)^{2/2^*}. \end{aligned} \quad (4.3)$$

Combining (4.2) and (4.3), we have

$$\left(\int_{\mathbb{R}^N} (|u||u_T|^{k-1})^{2^*} dx \right)^{2/2^*} \leq c_\nu k^2 \left(\int_{\mathbb{R}^N} (|u||u_T|^{k-1})^{\frac{2Nr}{2N-\alpha}} dx \right)^{\frac{2N-\alpha}{Nr}}. \quad (4.4)$$

Letting $T \rightarrow \infty$ in (4.4) we obtain

$$\left(\int_{\mathbb{R}^N} |u|^{2^*k} dx \right)^{2/2^*} \leq c_\nu k^2 \left(\int_{\mathbb{R}^N} |u|^{\frac{2Nrk}{2N-\alpha}} dx \right)^{\frac{2N-\alpha}{Nr}}. \quad (4.5)$$

We denote $\chi = \frac{2N-\alpha}{r(N-2)} > 1$, $k_1 = \chi$, by iterations, we obtain

$$\left(\int_{\mathbb{R}^N} |u|^{2^*\chi^n} dx \right)^{\frac{1}{2^*\chi^n}} \leq (C_\nu \chi^{2n})^{\frac{1}{2\chi^n}} \left(\int_{\mathbb{R}^N} |u|^{2^*\chi^{n-1}} dx \right)^{\frac{1}{2^*\chi^{n-1}}} \quad n = 1, 2, \dots \quad (4.6)$$

Hence

$$\|u\|_{L^\infty(\mathbb{R}^N)} \leq c_\nu \|u\|_{L^{2^*}(\mathbb{R}^N)} \leq c_{\nu,L}. \quad (4.7)$$

(2) In view of $1 < \alpha < N - 1$, for $x \in \mathbb{R}^N$ we have

$$\begin{aligned} \int_{\mathbb{R}^N} \frac{F_\nu(u(y))}{|x-y|^\alpha} dy &\leq b_\nu \left(\int_{|x-y|\geq 1} \frac{|u(y)|^r}{|x-y|^\alpha} dx + \int_{|x-y|<1} \frac{|u(y)|^r}{|x-y|^\alpha} dx \right) \\ &\leq b_\nu \left(\|u\|_{L^r(\mathbb{R}^N)}^r + \int_{|x-y|<1} \frac{1}{|x-y|^\alpha} dx \|u\|_{L^\infty(\mathbb{R}^N)}^r \right) \\ &\leq b_\nu (\|u\|_{L^r(\mathbb{R}^N)}^r + \|u\|_{L^\infty(\mathbb{R}^N)}^r) \\ &\leq b_{\nu,L}. \end{aligned} \quad (4.8)$$

(3) For $y \in \mathbb{R}^N$, $0 < \rho < R \leq 1$. We choose $\eta \in C_0^\infty(\mathbb{R}^N, [0, 1])$ such that $\eta(x) = 0$ for $x \notin B(y, R)$; $\eta(x) = 1$ for $x \in B(y, \rho)$ and $|\nabla \eta| \leq \frac{c}{R-\rho}$. Setting $\varphi = u|u|^{2k-2}\eta^m$, $k \geq 1$ as test function in $\langle D\Gamma_{\varepsilon,\nu}(u), \varphi \rangle = 0$, we have

$$\begin{aligned} &\int_{\mathbb{R}^N} \nabla u \nabla \varphi dx \\ &\leq c \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u(y))|u(x)|^{2^*_\alpha-1}|\varphi(x)|}{|x-y|^\alpha} dx dy + c \int_{\mathbb{R}^N} |u|^{q-2} u \varphi dx. \end{aligned} \quad (4.9)$$

The left-hand side of (4.9) satisfies

$$\begin{aligned}
\text{LHS} &\geq \int_{\mathbb{R}^N} |\nabla u|^2 |u|^{2k-2} \eta^2 dx - c \int_{\mathbb{R}^N} |\nabla u| |\nabla \eta| |u|^{2k-1} \eta dx \\
&\geq \frac{1}{2} \int_{\mathbb{R}^N} |\nabla u|^2 |u|^{2k-2} \eta^2 dx - c \int_{\mathbb{R}^N} |u|^{2k} |\nabla \eta|^2 \eta^2 dx \\
&\geq \frac{c}{k^2} \int_{\mathbb{R}^N} |\nabla(|u|^k \eta)|^2 dx - c \int_{\mathbb{R}^N} |u|^{2k} |\nabla \eta|^2 dx \\
&\geq \frac{c}{k^2} \left(\int_{B(y, \rho)} |u|^{2^* k} dx \right)^{2/2^*} - \frac{c}{(R-\rho)^2} \int_{B(y, R)} |u|^{2k} dx,
\end{aligned} \tag{4.10}$$

By (4.7) and (4.8) we obtain

$$\begin{aligned}
\text{RHS} &\leq c_{\nu, L} \int_{\mathbb{R}^N} |u|^{2^*_\alpha - 2} |u|^{2k} \eta^2 dx + c \int_{\mathbb{R}^N} |u|^{q-2} |u|^{2k} \eta^2 dx \\
&\leq c_{\nu, L} \int_{B(y, R)} |u|^{2k} dx.
\end{aligned} \tag{4.11}$$

With the above estimations, we have

$$\left(\int_{B(y, \rho)} |u|^{2^* k} dx \right)^{2/2^*} \leq \frac{c_{\nu, L} k^2}{(R-\rho)^2} \int_{B(y, R)} |u|^{2k} dx, \quad \text{for } k \geq 1.$$

By iteration again, we obtain

$$\|u\|_{L^\infty(B(y, \frac{R}{2}))} \leq c_{\nu, L} \|u\|_{L^2(B(y, R))}.$$

Since

$$\int_{\mathbb{R}^N \setminus (\mathcal{M}_\varepsilon)^\delta} u^2 dx \leq c_\delta \varepsilon^6,$$

it follows that $|u(x)| \leq c_{\delta, \nu, L} \varepsilon^3$ for all $x \in \mathbb{R}^N \setminus (\mathcal{M}_\varepsilon)^\delta$. \square

For ν fixed, let $\varepsilon_n \rightarrow 0$, and assume $u_n \in H^1(\mathbb{R}^N)$ is such that $D\Gamma_{\varepsilon_n, \nu}(u_n) = 0$ and $\Gamma_{\varepsilon_n, \nu}(u_n) \leq L$. It is easy to show that $\{u_n\}$ is bounded in $H^1(\mathbb{R}^N)$, hence we have the following profile decomposition [38],

$$u_n = \sum_{k \in \Lambda} U_k(\cdot - y_{n,k}) + r_n, \tag{4.12}$$

where Λ is an index set, $y_{n,k} \in \mathbb{R}^N$. Moreover,

- (1) $u_n(\cdot + y_{n,k}) \rightharpoonup U_k$ in $H^1(\mathbb{R}^N)$ as $n \rightarrow \infty$.
- (2) $|y_{n,k} - y_{n,l}| \rightarrow \infty$ as $n \rightarrow \infty$ for $k \neq l$.
- (3) $\|u_n\|_{H^1(\mathbb{R}^N)}^2 = \sum_{k \in \Lambda} \|U_k\|_{H^1(\mathbb{R}^N)}^2 + \|r_n\|_{H^1(\mathbb{R}^N)}^2 + o(1)$ as $n \rightarrow \infty$.
- (4) $\|r_n\|_{L^s(\mathbb{R}^N)} \rightarrow 0$ as $n \rightarrow \infty$, $2 < s < 2^*$,

$$\|u_n\|_{L^s(\mathbb{R}^N)}^s = \sum_{k \in \Lambda} \|U_k\|_{L^s(\mathbb{R}^N)}^s + o(1)$$

as $n \rightarrow \infty$.

By Lemma 4.2 (3) we have

$$\lim_{n \rightarrow \infty} \text{dist}(y_{n,k}, \mathcal{M}_{\varepsilon_n}) < +\infty.$$

We denote $y_k^* = \lim_{n \rightarrow \infty} \varepsilon_n y_{n,k}$. Since $\text{dist}(y_{n,k}, \mathcal{M}_{\varepsilon_n}) = \varepsilon_n^{-1} \text{dist}(\varepsilon_n y_{n,k}, \mathcal{M})$, we have

$$\text{dist}(y_k^*, \overline{\mathcal{M}}) = 0, \quad \text{i.e. } y_k^* \in \overline{\mathcal{M}}. \tag{4.13}$$

Moreover, we obtain the following properties of $\{u_n\}$.

Lemma 4.3. *If $\tilde{u}_n = u_n(\cdot + y_n) \rightharpoonup U$ in $H^1(\mathbb{R}^N)$ for $y_n \in \mathbb{R}^N$, and $\lim_{n \rightarrow \infty} \varepsilon_n y_n = y^*$, then $Z = |U|$ satisfies*

$$\begin{aligned} & \int_{\mathbb{R}^N} \nabla Z \nabla \varphi dx + \int_{\mathbb{R}^N} Z \varphi dx \\ & \leq C_\nu \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|Z(y)|^r |Z(x)|^{r-1} \varphi(x)}{|x-y|^\alpha} dx dy + c \int_{\mathbb{R}^N} Z^{q-1} \varphi dx, \end{aligned} \quad (4.14)$$

for $\varphi \in H^1(\mathbb{R}^N)$ and $\varphi \geq 0$.

Proof. Let $\varphi \in C_0^\infty(\mathbb{R}^N)$, $R > 0$, such that $\varphi(x) = 1$ for $|x| \leq R$; $\varphi(x) = 0$ for $|x| \geq 2R$ and $|\nabla \varphi| \leq \frac{c}{R}$. Choosing $\varphi_n = \varphi(\cdot - y_n)$ as the test function in $\langle D\Gamma_{\varepsilon_n, \nu}(u_n), \varphi_n \rangle = 0$, we deduce

$$\begin{aligned} & \int_{\mathbb{R}^N} (\nabla \tilde{u}_n \nabla \varphi dx + E(\varepsilon_n(x + y_n)) \tilde{u}_n \varphi) dx + \sigma \int_{\mathbb{R}^N} k_{\varepsilon_n}(x + y_n, \tilde{u}_n) \varphi dx \\ & + \left(\int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x) u_n^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x + y_n) \tilde{u}_n \varphi dx \\ & = 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(\tilde{u}_n(y)) f_\nu(\tilde{u}_n(x)) \varphi(x)}{|x-y|^\alpha} dx dy + \vartheta \int_{\mathbb{R}^N} |\tilde{u}_n|^{q-2} \tilde{u}_n \varphi dx. \end{aligned} \quad (4.15)$$

By Rellich's imbedding theorem, we have $\tilde{u}_n \rightarrow U$ in $L_{loc}^s(\mathbb{R}^N)$ ($1 \leq s < 2^*$). By Lemma 2.1, we have

$$\begin{aligned} & \int_{\mathbb{R}^N} (|\nabla(\tilde{u}_k - \tilde{u}_l)|^2 \varphi dx \\ & = - \int_{\mathbb{R}^N} (\nabla(\tilde{u}_k - \tilde{u}_l), \nabla \varphi)(\tilde{u}_k - \tilde{u}_l) dx \\ & \quad - \int_{\mathbb{R}^N} (E(\varepsilon_k(x + y_k)) \tilde{u}_k - E(\varepsilon_l(x + y_l)) \tilde{u}_l)(\tilde{u}_k - \tilde{u}_l) \varphi dx \\ & \quad - \sigma \int_{\mathbb{R}^N} (k_{\varepsilon_k}(x + y_k, \tilde{u}_k) - k_{\varepsilon_l}(x + y_l, \tilde{u}_l))(\tilde{u}_k - \tilde{u}_l) \varphi dx \\ & \quad - \int_{\mathbb{R}^N} (\psi_{\varepsilon_k}(u_k) \chi_{\varepsilon_k}(x + y_k) \tilde{u}_k - \psi_{\varepsilon_l}(u_l) \chi_{\varepsilon_l}(x + y_l) \tilde{u}_l)(\tilde{u}_k - \tilde{u}_l) \varphi dx \\ & \quad + 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(\tilde{u}_k(y)) f_\nu(\tilde{u}_k(x)) (\tilde{u}_k(x) - \tilde{u}_l(x)) \varphi(x)}{|x-y|^\alpha} dx dy \\ & \quad - 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(\tilde{u}_k(y)) f_\nu(\tilde{u}_k(x)) (\tilde{u}_k(x) - \tilde{u}_l(x)) \varphi(x)}{|x-y|^\alpha} dx dy \\ & \quad + \vartheta \int_{\mathbb{R}^N} (|\tilde{u}_k|^{q-2} \tilde{u}_k - |\tilde{u}_l|^{q-2} \tilde{u}_l)(\tilde{u}_k - \tilde{u}_l) \varphi dx \\ & \leq c \|\tilde{u}_k - \tilde{u}_l\|_{L^2(B(0, 2R))} + c \|\tilde{u}_k - \tilde{u}_l\|_{L^m(B(0, 2R))} + c \|\tilde{u}_k - \tilde{u}_l\|_{L^q(B(0, 2R))} \\ & \quad + c \|\tilde{u}_k - \tilde{u}_l\|_{L^{\frac{2Nr}{2N-\alpha}}(B(0, 2R))} \rightarrow 0, \quad \text{as } k, l \rightarrow \infty. \end{aligned}$$

Since $\varphi = 1$ in $B(0, R)$ and $\varphi \geq 0$, $\tilde{u}_n \rightarrow U$ in $H_{loc}^1(\mathbb{R}^N)$. Let $z_n = |\tilde{u}_n|$, $w_{n,\delta} = (\tilde{u}_n^2 + \delta^2)^{1/2} - \delta$. Then from Lebesgue's controlled convergence theorem it follows that $w_{n,\delta} \in H^1(\mathbb{R}^N)$, and $w_{n,\delta} \rightarrow z_n$ in $H^1(\mathbb{R}^N)$ as $\delta \rightarrow 0$. Now for any $\varphi \in C_0^\infty(\mathbb{R}^N)$,

$\varphi \geq 0$, we have $\varphi_\delta = \varphi \tilde{u}_n (\tilde{u}_n^2 + \delta^2)^{-1/2} \in H_{loc}^1(\mathbb{R}^N)$, and

$$\begin{aligned} & \int_{\mathbb{R}^N} (\nabla w_{n,\delta} \nabla \varphi + E(\varepsilon_n(x+y_n)) w_{n,\delta} \varphi) dx \\ &= \int_{\mathbb{R}^N} (\tilde{u}_n \nabla \tilde{u}_n \nabla \varphi (\tilde{u}_n^2 + \delta^2)^{-1/2} + E(\varepsilon_n(x+y_n)) ((\tilde{u}_n^2 + \delta^2)^{1/2} - \delta) \varphi) dx \\ &= \int_{\mathbb{R}^N} (\nabla \tilde{u}_n \nabla \varphi_\delta - |\nabla \tilde{u}_n|^p \varphi (\tilde{u}_n^2 + \delta^2)^{-\frac{3}{2}} \delta^2 + E(\varepsilon_n(x+y_n)) ((\tilde{u}_n^2 + \delta^2)^{1/2} - \delta) \varphi) dx \\ &\leq \int_{\mathbb{R}^N} (\nabla \tilde{u}_n \nabla \varphi_\delta + E(\varepsilon_n(x+y_n)) \tilde{u}_n \varphi_\delta) dx \\ &\leq c \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|z_n(y)|^r |z_n(x)|^{r-1} |\varphi_\delta(x)|}{|x-y|^\alpha} dx dy + c \int_{\mathbb{R}^N} |z_n|^{q-1} |\varphi_\delta| dx. \end{aligned}$$

Letting $\delta \rightarrow 0$ in the above inequality we obtain

$$\begin{aligned} & \int_{\mathbb{R}^N} (\nabla z_n \nabla \varphi + z_n \varphi) dx \\ &\leq C_\nu \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|z_n(y)|^q |z_n(x)|^{q-1} \varphi(x)}{|x-y|^\alpha} dx dy + c \int_{\mathbb{R}^N} |z_n|^{q-1} \varphi dx. \end{aligned} \tag{4.16}$$

for $\varphi \in C_0^\infty(\mathbb{R}^N)$, $\varphi \geq 0$. By $\tilde{u}_n \rightarrow U$ in $H_{loc}^1(\mathbb{R}^N)$ as $n \rightarrow \infty$, we have $z_n \rightarrow Z$ in $W_{loc}^{1,2}(\mathbb{R}^N)$ as $n \rightarrow \infty$. Finally, by a density argument we complete the proof. \square

Corollary 4.4. Λ is a finite set.

Proof. $Z_k = |U_k|$ satisfies (4.14) and taking $\varphi = Z_k$ in (4.14), we have

$$\begin{aligned} \|Z_k\|_{H^1(\mathbb{R}^N)}^2 &\leq C_\nu \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|Z_k(y)|^r |Z_k(x)|^r}{|x-y|^\alpha} dx dy + c \int_{\mathbb{R}^N} |Z_k|^q dx \\ &\leq C_\nu \|Z_k\|_{H^1(\mathbb{R}^N)}^{2r} + c \|Z_k\|_{L^q(\mathbb{R}^N)}^q. \end{aligned} \tag{4.17}$$

So there exists $m > 0$ such that $\|U_k\|_{W^{1,p}(\mathbb{R}^N)} \geq m$. By property (3) of the profile decomposition (4.12), we know that Λ is a finite set. \square

Assume that the sequence $\{u_n\}$ has the profile decomposition (4.12). By Corollary 4.4, we can assume that $\Lambda = \{1, \dots, k\}$. Meanwhile, we denote

$$\Omega_R^{(n)} = \mathbb{R}^N \setminus \{\cup_{k \in \Lambda} B(y_{n,k}, R) \cup B(0, R)\},$$

for the above $\{u_n\}$, we have the following statements.

Lemma 4.5. Assume $\Gamma_{\varepsilon_n, \nu}(u_n) \leq L$, $D\Gamma_{\varepsilon_n, \nu}(u_n) = 0$, then there exist c, μ , independent of n , such that

$$\int_{\Omega_R^{(n)}} G_{\varepsilon_n}(x, u_n, \nabla u_n) dx \leq c \exp\{-\mu R\},$$

where

$$\begin{aligned} & G_{\varepsilon_n}(x, u_n, \nabla u_n) \\ &= |\nabla u_n|^2 + E(\varepsilon_n x) u_n^2 + \sigma k_{\varepsilon_n}(x, u_n) u_n + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u_n^2 dx - 1 \right)_+^{\beta-1} \chi_\varepsilon(x) u_n^2. \end{aligned}$$

Moreover,

$$|u_n(x)| \leq c \exp\{-\mu R\}, \quad x \in \Omega_R^{(n)}.$$

Proof. By the decomposition (4.12) we have

$$\|u_n\|_{L^s(\Omega_R^{(n)})} = o_R(1), \quad 2 < s < 2^*$$

where $o_R(1) \rightarrow 0$ as $R \rightarrow +\infty$. By Moser's iteration we have

$$\|u_n\|_{L^\infty(\Omega_R^{(n)})} = o_R(1). \quad (4.18)$$

Let $\eta \in C^\infty(\mathbb{R}^N)$ such that $\eta(x) = 0$ for $x \notin \Omega_R^{(n)}$, and $\eta(x) = 1$ for $x \in \Omega_{R+1}^{(n)}$, $|\nabla \eta| \leq 2$. Take $\varphi_n = u_n \eta^2$ as a test function in $\langle D\Gamma_{\varepsilon_n}(u_n), \varphi \rangle = 0$, we have

$$\begin{aligned} & \int_{\Omega_R^{(n)}} (|\nabla u_n|^2 + E(\varepsilon_n x)|u_n|^2) \eta^2 dx + \sigma \int_{\Omega_R^{(n)}} k_{\varepsilon_n}(x, u_n) u_n \eta^2 dx \\ & + \left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) u_n^2 dx - 1 \right)_+^{\beta-1} \int_{\Omega_R^{(n)}} \chi_\varepsilon(x) u_n^2 \eta^2 dx \\ & = \frac{2^*_\alpha}{2} \int_{\Omega_R^{(n)}} \int_{\mathbb{R}^N} \frac{F(u_n(y)) f(u_n(x)) u_n(x) \eta^2(x)}{|x-y|^\alpha} dx dy + \vartheta \int_{\Omega_R^{(n)}} |u_n|^q \eta^2 dx \\ & - 2 \int_{\Omega_R^{(n)} \setminus \Omega_{R+1}^{(n)}} \nabla u_n \nabla \eta u_n \eta dx. \end{aligned} \quad (4.19)$$

By Lemma 4.2(2) and (4.18), for n large enough, we have

$$\begin{aligned} & \frac{2^*_\alpha}{2} \int_{\Omega_R^{(n)}} \int_{\mathbb{R}^N} \frac{F(u_n(y)) f(u_n(x)) u_n(x) \eta^2(x)}{|x-y|^\alpha} dx dy + \vartheta \int_{\Omega_R^{(n)}} |u_n|^q \eta^2 dx \\ & \leq \frac{1}{2} \int_{\Omega_R^{(n)}} E(\varepsilon_n x) |u_n|^p \eta^p dx. \end{aligned} \quad (4.20)$$

Also

$$2 \left| \int_{\Omega_R^{(n)} \setminus \Omega_{R+1}^{(n)}} \nabla u_n \nabla \eta u_n \eta dx \right| \leq c \int_{\Omega_R^{(n)} \setminus \Omega_{R+1}^{(n)}} (|\nabla u_n|^2 + |u_n|^2) dx. \quad (4.21)$$

By (4.19)-(4.21), we have

$$\int_{\Omega_{R+1}^{(n)}} G_{\varepsilon_n}(x, u_n, \nabla u_n) dx \leq c \int_{\Omega_R^{(n)} \setminus \Omega_{R+1}^{(n)}} G_{\varepsilon_n}(x, u_n, \nabla u_n) dx.$$

Consequently,

$$\int_{\Omega_{R+1}^{(n)}} G_{\varepsilon_n}(x, u_n, \nabla u_n) dx \leq \theta \int_{\Omega_R^{(n)}} G_{\varepsilon_n}(x, u_n, \nabla u_n) dx,$$

where $\theta = \frac{c}{c+1} < 1$. Finally

$$\int_{\Omega_R^{(n)}} G_{\varepsilon_n}(x, u_n, \nabla u_n) dx \leq c \exp\{-\mu R\},$$

where $\mu = -\ln \theta > 0$. And by Moser's iteration, we have

$$|u_n(x)| \leq c \exp\{-\mu R\}, \quad x \in \Omega_R^{(n)}. \quad \square$$

Lemma 4.6. *For every $k \in \Lambda$ it holds $k^* = \lim_{n \rightarrow \infty} \varepsilon_n y_n^k \in \bar{\mathcal{A}}$.*

Proof. If the lemma does not hold, we assume that there exist $k \in \Lambda$ and $\varepsilon_n > 0$ such that $\lim_{n \rightarrow \infty} \varepsilon_n = 0$ and $\text{dist}(y_k^*, \mathcal{A}) > 0$. Let $t_k = \nabla V(y_k^*) \neq 0$, by (A2) we deduce that there exists $\delta_1 > 0$ such that

$$(t_k, \nabla V(x)) \geq \frac{1}{2}|t_k|^2 > 0, \quad (t_k, \nabla \text{dist}(x, \mathcal{M})) \geq 0 \quad \text{for } x \in B_{\delta_1}(y_k^*). \quad (4.22)$$

Let

$$\delta_2 = \min\{|y_k^* - y_l^*| \mid y_k^* \neq y_l^*, k, l = 1, 2, \dots, k\}, \quad 0 < \delta < \min\{\frac{1}{2}\delta_1, \frac{1}{100}\delta_2\}.$$

From $\langle D\Gamma_{\varepsilon_n, \nu}(u_n), \varphi \rangle = 0$, we have

$$\begin{aligned} & \int_{\mathbb{R}^N} (\nabla u_n \nabla \varphi + E(\varepsilon_n x) u_n \varphi) dx + \sigma \int_{\mathbb{R}^N} k_{\varepsilon_n}(x, u_n) \varphi dx \\ & + \left(\int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x) u_n^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x) u_n \varphi dx \\ & = 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_\nu(u_n(y)) f_\nu(u_n(x)) \varphi(x)}{|x-y|^\alpha} dx dy + \vartheta \int_{\mathbb{R}^N} |u_n|^{q-2} u_n \varphi dx, \end{aligned} \quad (4.23)$$

for all $\varphi \in H^1(\mathbb{R}^N)$. Let $\eta \in C_0^\infty(\mathbb{R}^N)$ be such that $\eta(x) = 0$ if $|x - y_{n,k}| \geq 2\delta\varepsilon_n^{-1}$; $\eta(x) = 1$ if $|x - y_{n,k}| \leq \delta\varepsilon_n^{-1}$ and $|\nabla \eta| \leq \frac{2}{\delta}\varepsilon_n (\leq 1)$. Choosing $\varphi = (t_k, \nabla u_n)\eta$ as test function in (4.23), we obtain the local Pohožaev identity

$$\begin{aligned} & \frac{\varepsilon_n}{2} \int_{\mathbb{R}^N} (t_k, \nabla E(\varepsilon_n x)) u_n^2 \eta dx + \sigma \int_{\mathbb{R}^N} (t_k, \nabla_x K_{\varepsilon_n}(x, u_n)) \eta dx \\ & + \frac{1}{2} \left(\int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x) u_n^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} (\nabla \chi_{\varepsilon_n}(x), t_k) u_n^2 \eta dx \\ & = \int_{\mathbb{R}^N} (\nabla u_n, \nabla \eta)(t_k, \nabla \eta) dx - \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla u_n|^2 + E(\varepsilon_n x) u_n^2)(t_k, \nabla \eta) dx \\ & - \frac{1}{2} \left(\int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x) u_n^2 dx - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x) u_n^2 (t_k, \nabla \eta) dx \\ & - \alpha 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} (t_k, x-y) \frac{F_\nu(u_n(y)) F_\nu(u_n(x)) \eta(x)}{|x-y|^{\alpha+2}} dx dy \\ & + 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} (t_k, \nabla \eta) \frac{F_\nu(u_n(y)) F_\nu(u_n(x))}{|x-y|^\alpha} dx dy \\ & + \sigma \int_{\mathbb{R}^N} K_{\varepsilon_n}(x, u_n)(t_k, \nabla \eta) dx + \frac{\vartheta}{q} \int_{\mathbb{R}^N} |u_n|^q (t_k, \nabla \eta) dx. \end{aligned} \quad (4.24)$$

We denote

$$\begin{aligned} B_n &= B(y_{n,k}, 2\delta\varepsilon_n^{-1}), \quad T_n = B(y_{n,k}, 2\delta\varepsilon_n^{-1}) \setminus B(y_{n,k}, \delta\varepsilon_n^{-1}), \\ \tilde{T}_n &= B(y_{n,k}, 3\delta\varepsilon_n^{-1}) \setminus B(y_{n,k}, \delta\varepsilon_n^{-1}). \end{aligned}$$

Next, we estimate equation (4.24). By (4.22), we have

$$\begin{aligned} & \varepsilon_n \int_{B_n} (t_k, \nabla E(\varepsilon_n x)) u_n^2 \eta dx \geq c\varepsilon_n, \\ & (t_k, \nabla_x K_{\varepsilon_n}(x, u_n)) = c(t_k, \nabla \text{dist}(\varepsilon_n x, \mathcal{M})) \geq 0, \quad \forall x \in B_n, \\ & \left(\int_{\mathbb{R}^N} \chi_{\varepsilon_n}(x) u_n^2 dx - 1 \right)_+^{\beta-1} \int_{B_n} (\nabla \chi_{\varepsilon_n}(x), t_k) u_n^2 \eta dx \geq 0. \end{aligned}$$

Hence the left-hand side of (4.24) satisfies

$$\text{LHS} \geq c\varepsilon_n. \quad (4.25)$$

We estimate the right-hand side of (4.24). Since

$$\int_{\mathbb{R}^N} \int_{\mathbb{R}^N} (t_k, x-y) \frac{F_\nu(u_n(y)) F_\nu(u_n(x)) \eta(x) \eta(y)}{|x-y|^{\alpha+2}} dx dy = 0,$$

and

$$\begin{aligned} & \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} (t_k, x-y) \frac{F_\nu(u_n(y)) F_\nu(u_n(x)) \eta(x)}{|x-y|^{\alpha+2}} dx dy \\ &= \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} (t_k, x-y) \frac{F_\nu(u_n(y)) F_\nu(u_n(x)) (1-\eta(y))}{|x-y|^{\alpha+2}} dx dy \\ &\leq c \int_{B_n} \int_{(\mathbb{R}^N \setminus B_n) \cup T_n} \frac{|u_n(y)|^r |u_n(x)|^r}{|x-y|^{\alpha+1}} dx dy \\ &\leq c \int_{B_n} \int_{T_n} \frac{|u_n(y)|^r |u_n(x)|^r}{|x-y|^{\alpha+1}} dx dy + c \int_{B_n} \int_{\mathbb{R}^N \setminus (T_n \cup B_n)} \frac{|u_n(y)|^r |u_n(x)|^r}{|x-y|^{\alpha+1}} dx dy \\ &=: \text{I} + \text{II}. \end{aligned}$$

Then

$$\text{II} \leq c \int_{B_n} \int_{\mathbb{R}^N \setminus (T_n \cup B_n)} |u_n(y)|^r |u_n(x)|^r \frac{1}{\delta^{\alpha+1}} \varepsilon_n^{\alpha+1} dx dy \leq c \varepsilon_n^{\alpha+1}.$$

By Lemma 4.5, we have

$$|u_n(y)| \leq c \exp\{-\mu\delta\varepsilon_n^{-1}\}, \quad \forall y \in T_n.$$

Consequently, for n large enough, we have

$$\begin{aligned} \text{I} &\leq c \exp\{-r\mu\delta\varepsilon_n^{-1}\} \int_{B_n} \int_{T_n} \frac{|u_n(x)|^r}{|x-y|^{\alpha+1}} dx dy \\ &\leq c \exp\{-r\mu\delta\varepsilon_n^{-1}\} \int_{B_n} |u_n(x)|^r dx \int_{|x-y| \leq 5\delta\varepsilon_n^{-1}} \frac{1}{|x-y|^{\alpha+1}} dy \\ &\leq c \exp\{-r\mu\delta\varepsilon_n^{-1}\} \varepsilon_n^{-N+\alpha+1} \leq c \varepsilon_n^{\alpha+1}. \end{aligned}$$

By Lemma 4.5, for n large enough, the right hand side of (4.24) satisfies

$$\begin{aligned} \text{RHS} &\leq c \int_{T_n} G_{\varepsilon_n}(x, u_n, \nabla u_n) dx + c \exp\{-\frac{\mu\delta}{2}\varepsilon_n^{-1}\} + c \exp\{-q\mu\delta\varepsilon_n^{-1}\} \varepsilon_n^{-N} + c \varepsilon_n^{\alpha+1} \\ &\leq c \exp\{-\mu\delta\varepsilon_n^{-1}\} + c \exp\{-\frac{\mu\delta}{2}\varepsilon_n^{-1}\} + c \exp\{-q\mu\delta\varepsilon_n^{-1}\} \varepsilon_n^{-N} + c \varepsilon_n^{\alpha+1} \leq c \varepsilon_n^{\alpha+1}. \end{aligned}$$

Hence

$$\varepsilon_n \leq c \varepsilon_n^{\alpha+1}.$$

Since $0 < \alpha < \min\{N-1, 4\}$, we arrive at a contradiction as $n \rightarrow \infty$ and complete the proof. \square

Proof of Theorem 4.1 part 2. By Lemma 4.5,

$$|u_n(x)| \leq c \exp\{-\mu R\} \quad \text{for } x \in \Omega_R^{(n)}.$$

Let $R_n(x) = \min\{|x - y_{n,k}| \mid k \in \Lambda\}$, then

$$|u_n(x)| \leq c \exp\{-\mu R_n(x)\} \quad \text{for } x \in \Omega_{R_n}^{(n)}.$$

Since $\varepsilon_n y_{n,k} \rightarrow y_k^* \in \mathcal{A}$, for any $\delta > 0$, there exists $\varepsilon(\delta)$ such that for $\varepsilon_n \leq \varepsilon(\delta)$, $\varepsilon_n y_{n,k} \in \mathcal{A}^\delta$, hence

$$|u_n(x)| \leq c \exp\{-\mu R_n\} \leq c \exp\{-\mu \text{dist}(x, (\mathcal{A}^\delta)_{\varepsilon_n})\}, \quad x \in \mathbb{R}^N. \quad \square$$

In the following, we assume $u_n \in H^1(\mathbb{R}^N)$, $L > 0$, $I_{\varepsilon_n, \nu_n}(u_n) \leq L$, $D I_{\varepsilon_n, \nu_n}(u_n) = 0$, $\nu_n \rightarrow 0$, and $\varepsilon_n \rightarrow \varepsilon^* \in (0, 1)$. The case $\nu_n \rightarrow \nu^* \in (0, 1)$ is easier, since in that case we need only to deal with subcritical problems. It is easy to show that $\{u_n\}$ is bounded in $H^1(\mathbb{R}^N)$, we have the following profile decomposition [38].

$$u_n = \sum_{k \in \Lambda_1} U_k(\cdot - y_{n,k}) + \sum_{k \in \Lambda_\infty} \sigma_{n,k}^{\frac{N-2}{2}} U_k(\sigma_{n,k}(\cdot - y_{n,k})) + r_n, \quad (4.26)$$

where $y_{n,k} \in \mathbb{R}^N$, $\sigma_{n,k} \in \mathbb{R}^+$, Λ is an index set, $U_k \in H^1(\mathbb{R}^N)$ for $k \in \Lambda_1$, $U_k \in \mathcal{D}^{1,2}(\mathbb{R}^N)$ for $k \in \Lambda_\infty$, $r_n \in \mathcal{D}^{1,2}(\mathbb{R}^N)$ satisfying

- (1) For $k \in \Lambda_1$, $u_n(\cdot + y_{n,k}) \rightharpoonup U_k$ in $H^1(\mathbb{R}^N)$ as $n \rightarrow \infty$. For $k \in \Lambda_\infty$, $\sigma_{n,k}^{-\frac{N-2}{2}} u_n(\sigma_{n,k}^{-1}(\cdot + y_{n,k})) \rightharpoonup U_k$ in $\mathcal{D}^{1,2}(\mathbb{R}^N)$ as $n \rightarrow \infty$.
- (2) For $k \in \Lambda_1$, $k \neq l$, $|y_{n,k} - y_{n,l}| \rightarrow \infty$ as $n \rightarrow \infty$. For $k \in \Lambda_\infty$, $k \neq l$, $\frac{\sigma_{n,k}}{\sigma_{n,l}} + \frac{\sigma_{n,l}}{\sigma_{n,k}} + \sigma_{n,k} \sigma_{n,l} |y_{n,k} - y_{n,l}|^2 \rightarrow \infty$ as $n \rightarrow \infty$.
- (3) $\|u_n\|_{\mathcal{D}^{1,2}(\mathbb{R}^N)}^2 = \sum_{k \in \Lambda} \|U_k\|_{\mathcal{D}^{1,2}(\mathbb{R}^N)}^2 + \|r_n\|_{\mathcal{D}^{1,2}(\mathbb{R}^N)}^2 + o(1)$ as $n \rightarrow \infty$, $\Lambda = \Lambda_1 \cup \Lambda_\infty$. $\|u_n\|_{L^{2^*}(\mathbb{R}^N)}^{2^*} = \sum_{k \in \Lambda} \|U_k\|_{L^{2^*}(\mathbb{R}^N)}^{2^*} + o(1)$ as $n \rightarrow \infty$, $\Lambda = \Lambda_1 \cup \Lambda_\infty$.
- (4) $r_n = u_n - \sum_{k \in \Lambda_1} U_k(\cdot - y_{n,k}) - \sum_{k \in \Lambda_\infty} \sigma_{n,k}^{\frac{N-2}{2}} U_k(\sigma_{n,k}(\cdot - y_{n,k})) \rightarrow 0$ in $L^{2^*}(\mathbb{R}^N)$ as $n \rightarrow \infty$.

Similar to Lemma 4.3 and Corollary 4.4, we have the following lemma.

Lemma 4.7. (1) Assume $y_n \in \mathbb{R}^N$ and set $\tilde{u}_n = u_n(\cdot + y_n) \rightharpoonup U$ in $H^1(\mathbb{R}^N)$. Then $Z = |U|$ satisfies

$$\begin{aligned} & \int_{\mathbb{R}^N} \nabla Z \nabla \varphi dx + \int_{\mathbb{R}^N} Z \varphi dx \\ & \leq c \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|Z(y)|^{2_\alpha^*} |Z(x)|^{2_\alpha^*-1} \varphi(x)}{|x-y|^\alpha} dx dy + c \int_{\mathbb{R}^N} Z^{q-1} \varphi dx, \end{aligned} \quad (4.27)$$

for $\varphi \in H^1(\mathbb{R}^N)$, $\varphi \geq 0$.

(2) The index sets Λ_1 , Λ_∞ in the profile decomposition (4.26) are infinite.

Lemma 4.8. Assume $y_n \in \mathbb{R}^N$, $\sigma_n \rightarrow \infty$. Set $\tilde{u}_n = \sigma_n^{-\frac{N-2}{2}} u_n(\sigma_n^{-1} \cdot + y_n) \rightharpoonup U$ in $\mathcal{D}^{1,2}(\mathbb{R}^N)$. Then $Z = |U|$ satisfies

$$\int_{\mathbb{R}^N} \nabla Z \nabla \varphi dx \leq c \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|Z(y)|^{2_\alpha^*} |Z(x)|^{2_\alpha^*-1} \varphi(x)}{|x-y|^\alpha} dx dy, \quad (4.28)$$

for $\varphi \in H^1(\mathbb{R}^N)$, $\varphi \geq 0$.

Proof. Let $\varphi \in C_0^\infty(\mathbb{R}^N)$, $R > 0$, such that $\varphi(x) = 1$ for $|x| \leq R$; $\varphi(x) = 0$ for $|x| \geq 2R$, and $|\nabla \varphi| \leq \frac{c}{R}$. Select $\varphi_n = \sigma_n^{\frac{N-2}{2}} \varphi(\sigma_n(\cdot - y_n))$ as the test function in

$\langle DI_{\varepsilon_n, \nu_n}(u_n), \varphi_n \rangle = 0$, we have

$$\begin{aligned} & \int_{\mathbb{R}^N} (\nabla \tilde{u}_n \nabla \varphi dx + \sigma_n^{-2} V(\varepsilon_n(x + y_n)) \tilde{u}_n \varphi) dx \\ &= 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{\tilde{F}_{\nu_n}(\tilde{u}_n(y)) \tilde{f}_{\nu_n}(\tilde{u}_n(x)) \varphi(x)}{|x - y|^\alpha} dx dy \\ &+ \vartheta \sigma_n^{q \frac{N-2}{2} - N} \int_{\mathbb{R}^N} |\tilde{u}_n|^{q-2} \tilde{u}_n \varphi dx, \end{aligned} \quad (4.29)$$

where $\tilde{F}_{\nu_n}(t) = \sigma_n^{\frac{\alpha}{2}-N} F_{\nu_n}(\sigma_n^{\frac{N-2}{N}} t)$, $\tilde{f}_{\nu_n}(t) = \sigma_n^{\frac{\alpha-N}{2}-1} f_{\nu_n}(\sigma_n^{\frac{N-2}{N}} t)$. By Rellich's imbedding theorem, we have $\tilde{u}_n \rightarrow U$ in $L_{loc}^s(\mathbb{R}^N)$ ($1 \leq s < 2^*$). By (4.29) and Lemma 2.1, we have

$$\begin{aligned} & \int_{\mathbb{R}^N} (|\nabla(\tilde{u}_k - \tilde{u}_l)|^2 \varphi dx \\ &= - \int_{\mathbb{R}^N} (\nabla(\tilde{u}_k - \tilde{u}_l), \nabla \varphi)(\tilde{u}_k - \tilde{u}_l) dx \\ & \quad - \int_{\mathbb{R}^N} (V(\varepsilon_k(x + y_k)) \tilde{u}_k - V(\varepsilon_l(x + y_l)) \tilde{u}_l)(\tilde{u}_k - \tilde{u}_l) \varphi dx \\ & \quad + 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_{\nu_n}(\tilde{u}_k(y)) f_{\nu_n}(\tilde{u}_k(x)) (\tilde{u}_k(x) - \tilde{u}_l(x)) \varphi(x)}{|x - y|^\alpha} dx dy \\ & \quad - 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_{\nu_n}(\tilde{u}_k(y)) f_{\nu_n}(\tilde{u}_k(x)) (\tilde{u}_k(x) - \tilde{u}_l(x)) \varphi(x)}{|x - y|^\alpha} dx dy \\ & \quad + \vartheta \int_{\mathbb{R}^N} (|\tilde{u}_k|^{q-2} \tilde{u}_k - |\tilde{u}_l|^{q-2} \tilde{u}_l)(\tilde{u}_k - \tilde{u}_l) \varphi dx \\ &\leq c \|\tilde{u}_k - \tilde{u}_l\|_{L^2(B(0, 2R))} + c \|\tilde{u}_k - \tilde{u}_l\|_{L^q(B(0, 2R))} + c \|\tilde{u}_k - \tilde{u}_l\|_{L^{\frac{2Nr}{2N-\alpha}}(B(0, 2R))} \\ &\rightarrow 0, \quad \text{as } k, l \rightarrow \infty. \end{aligned}$$

Since $\varphi = 1$ in $B(0, R)$ and $\varphi \geq 0$, $\tilde{u}_n \rightarrow U$ in $\mathcal{D}_{loc}^{1,2}(\mathbb{R}^N)$. Let $\sigma_n \rightarrow \infty$ in (4.29), we have

$$\int_{\mathbb{R}^N} \nabla \tilde{u}_n \nabla \varphi dx = 2_\alpha^* \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{\tilde{F}_{\nu_n}(\tilde{u}_n(y)) \tilde{f}_{\nu_n}(\tilde{u}_n(x)) \varphi(x)}{|x - y|^\alpha} dx dy \quad (4.30)$$

Let $z_n = |\tilde{u}_n|$, $w_{n,\delta} = (\tilde{u}_n^2 + \delta^2)^{1/2} - \delta$, then it follows from Lebesgue's controlled convergence theorem that $w_{n,\delta} \in \mathcal{D}_{loc}^{1,2}(\mathbb{R}^N)$, and $w_{n,\delta} \rightarrow z_n$ in $\mathcal{D}_{loc}^{1,2}(\mathbb{R}^N)$ as $\delta \rightarrow 0$. Now for any $\varphi \in C_0^\infty(\mathbb{R}^N)$, $\varphi \geq 0$, we have $\varphi_\delta = \varphi \tilde{u}_n (\tilde{u}_n^2 + \delta^2)^{-1/2} \in \mathcal{D}_{loc}^{1,2}(\mathbb{R}^N)$, and

$$\begin{aligned} & \int_{\mathbb{R}^N} \nabla w_{n,\delta} \nabla \varphi dx = \int_{\mathbb{R}^N} \tilde{u}_n \nabla \tilde{u}_n \nabla \varphi (\tilde{u}_n^2 + \delta^2)^{-1/2} dx \\ &= \int_{\mathbb{R}^N} (\nabla \tilde{u}_n \nabla \varphi_\delta - |\nabla \tilde{u}_n|^p \varphi (\tilde{u}_n^2 + \delta^2)^{-\frac{3}{2}} \delta^2) dx \\ &\leq \int_{\mathbb{R}^N} \nabla \tilde{u}_n \nabla \varphi_\delta dx \\ &\leq c \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|z_n(y)|^{2_\alpha^*} |z_n(x)|^{2_\alpha^*-1} |\varphi_\delta(x)|}{|x - y|^\alpha} dx dy. \end{aligned} \quad (4.31)$$

Letting $\delta \rightarrow 0$ in the above inequality, we obtain

$$\int_{\mathbb{R}^N} \nabla z_n \nabla \varphi \, dx \leq c \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|z_n(y)|^{2^*_\alpha} |z_n(x)|^{2^*_\alpha - 1} \varphi(x)}{|x - y|^\alpha} \, dx \, dy. \quad (4.32)$$

for $\varphi \in C_0^\infty(\mathbb{R}^N)$, $\varphi \geq 0$. By $\tilde{u}_n \rightarrow U$ in $\mathcal{D}_{loc}^{1,2}(\mathbb{R}^N)$ as $n \rightarrow \infty$, we have $z_n \rightarrow Z$ in $\mathcal{D}_{loc}^{1,2}(\mathbb{R}^N)$ as $n \rightarrow \infty$. Hence by a density argument we complete the proof. \square

Lemma 4.9 ([49]). *Let $w \geq 0$, $w \in \mathcal{D}^{1,2}(\mathbb{R}^N)$ be a solution of $-\Delta w \leq aw$, where $a \in L^{N/2}(\mathbb{R}^N)$, $v \geq 0$, $v \in L^{2^*}(\mathbb{R}^N) \cap L^s(\mathbb{R}^N)$, $s > \frac{2^*}{2}$. Then*

$$\|w\|_{L^s(\mathbb{R}^N)} \leq c \|a\|_{L^{N/2}(\mathbb{R}^N)} \|v\|_{L^s(\mathbb{R}^N)}.$$

Lemma 4.10. *Let $w \geq 0$, $w \in \mathcal{D}^{1,2}(\mathbb{R}^N)$ be a solution of $-\Delta w \leq aw^{2^*_\alpha - 1}$, where $a(x) = \int_{\mathbb{R}^N} \frac{w^{2^*_\alpha}(y)}{|x-y|^\alpha} dy + w^{2^* - 2^*_\alpha}(x)$, $a \in L^{2N/\alpha}(\mathbb{R}^N)$, and $\|a\|_{L^{2N/\alpha}(\mathbb{R}^N)} \leq d_1$. Assume $\int_{B(y,2\rho)} w^{2^*} dx \leq d_2 := (\frac{S}{2^*d_1})^{\frac{2^*\kappa}{2^*-2\kappa}}$, ll $y \in \mathbb{R}^N$ and $0 < \rho < 1$, where $\kappa = \frac{2N}{2N-\alpha}$, S is optimal constant of the embedding $\mathcal{D}^{1,2}(\mathbb{R}^N) \hookrightarrow L^{2^*}(\mathbb{R}^N)$.*

$$S = \inf_{u \in \mathcal{D}^{1,2}(\mathbb{R}^N)} \frac{\int_{\mathbb{R}^N} |\nabla u|^2 dx}{\left(\int_{\mathbb{R}^N} |u|^{2^*} dx \right)^{2/2^*}},$$

then there exist constants c, c^* , depending on $\|w\|_{L^{2^*}(\mathbb{R}^N)}$ and ρ such that

$$\begin{aligned} \|w\|_{L^\infty(B(y,\frac{\rho}{2}))} &\leq c \|w\|_{L^{2^*}(B(y,\rho))} \leq c^*, \\ \|w\|_{L^\infty(B(y,\frac{\rho}{2}))} &\leq c \|w\|_{L^\tau(B(y,\rho))}, \quad \forall \tau \in (0, 2^*]. \end{aligned}$$

Proof. For every $\varphi \in H^1(\mathbb{R}^N)$ with $\varphi \geq 0$, we have

$$\begin{aligned} \int_{\mathbb{R}^N} \nabla w \nabla \varphi \, dx &\leq \|a\|_{L^{2N/\alpha}(\mathbb{R}^N)} \left(\int_{\mathbb{R}^N} w^{2^* - \kappa} \varphi^\kappa \, dx \right)^{1/\kappa} \\ &\leq d_1 \left(\int_{\mathbb{R}^N} w^{2^* - \kappa} \varphi^\kappa \, dx \right)^{1/\kappa}. \end{aligned} \quad (4.33)$$

Let $p > 1$, $\psi \in C_0^\infty(\mathbb{R}^N)$, $\psi(x) = 1$ if $x \in B(y, \rho)$; $\psi(x) = 0$ if $x \notin B(y, 2\rho)$, and $|\nabla \psi| \leq \frac{2}{\rho}$. By choosing the test function $\varphi = w^{2p-1}\psi^2$ in (4.33), we deduce that

$$\int_{\mathbb{R}^N} |\nabla(w^p\psi)|^2 \, dx \leq pd_1 \left(\int_{\mathbb{R}^N} w^{2^* - 2\kappa} (w^{2p}\psi^2)^\kappa \, dx \right)^{1/\kappa} + \int_{\mathbb{R}^N} w^{2p} |\nabla \psi|^2 \, dx. \quad (4.34)$$

Taking $p = 1 + \delta$, and $\delta > 0$ small, we obtain

$$\begin{aligned} &\int_{\mathbb{R}^N} |\nabla(w^{1+\delta}\psi)|^2 \, dx \\ &\leq pd_1 \left(\int_{\mathbb{R}^N} w^{2^* - 2\kappa} (w^{1+\delta}\psi)^{2\kappa} \, dx \right)^{1/\kappa} + \int_{\mathbb{R}^N} w^{2(1+\delta)} |\nabla \psi|^2 \, dx. \end{aligned} \quad (4.35)$$

The left-hand side of (4.35) satisfies

$$\text{LHS} \geq S \left(\int_{\mathbb{R}^N} (w^{1+\delta}\psi)^{2^*} \, dx \right)^{2/2^*}, \quad (4.36)$$

where S is the Sobolev constant. The first term of right-hand side of (4.35),

$$\begin{aligned} & pd_1 \left(\int_{\mathbb{R}^N} w^{2^*-2\kappa} (u^{1+\delta} \psi)^{2\kappa} dx \right)^{1/\kappa} \\ & \leq pd_1 \left(\int_{B(y,2\rho)} w^{2^*} dx \right)^{\frac{2^*-2\kappa}{2^*\cdot\kappa}} \left(\int_{\mathbb{R}^N} (w^{1+\delta} \psi)^{2^*} dx \right)^{2/2^*}. \end{aligned} \quad (4.37)$$

From (4.35)-(4.37), we have

$$\begin{aligned} & S \left(\int_{\mathbb{R}^N} (w^{1+\delta} \psi)^{2^*} dx \right)^{2/2^*} \\ & \leq pd_1 \left(\int_{B(y,2\rho)} w^{2^*} dx \right)^{\frac{2^*-2r}{2^*\cdot r}} \left(\int_{\mathbb{R}^N} (w^{1+\delta} \psi)^{2^*} dx \right)^{2/2^*} + \int_{\mathbb{R}^N} w^{2(1+\delta)} |\nabla \psi|^2 dx. \end{aligned} \quad (4.38)$$

Taking $1 + \delta = 2^*/2$, $q = (1 + \delta)2^* > 2^*$, and denoting $\int_{B(y,2\rho)} w^{2^*} dx \leq d_2$, we have

$$\frac{S}{2} \left(\int_{B(y,\rho)} w^q dx \right)^{2/2^*} \leq \int_{\mathbb{R}^N} w^{2^*} |\nabla \psi|^2 dx \leq \frac{4}{\rho^2} \int_{B(y,2\rho)} w^{2^*} dx \leq \frac{4d_2}{\rho^2}.$$

hence

$$\int_{B(y,\rho)} w^q dx \leq d_3 := \left(\frac{8d_2}{S\rho^2} \right)^{\frac{2^*}{2}}.$$

Let $0 < r < R < \rho < 1$, $\psi \in C_0^\infty(\mathbb{R}^N)$, $\psi(x) = 1$ if $x \in B(y,r)$; $\psi(x) = 0$ if $x \notin B(y,R)$, and $|\nabla \psi| \leq \frac{2}{R-r}$. Then the left-hand side of (4.34) satisfies

$$\text{LHS} \geq S \left(\int_{\mathbb{R}^N} (w^p \psi)^{2^*} dx \right)^{2/2^*} \geq S \left(\int_{B(y,r)} (w^{2^*\cdot p} dx) \right)^{2/2^*}. \quad (4.39)$$

The right-hand side of (4.34) satisfies

$$\begin{aligned} \text{RHS} & \leq \left(\int_{B(y,\rho)} w^q dx \right)^{\frac{2^*-2\kappa}{q\kappa}} \left(\int_{\mathbb{R}^N} (w^{2p} \psi^2)^{\frac{q\kappa}{q-2^*+2\kappa}} dx \right)^{\frac{q-2^*+2\kappa}{q\kappa}} \\ & + \frac{c}{(R-r)^2} \left(\int_{B(y,R)} w^{\frac{2^*\cdot p}{d}} dx \right)^{2d/2^*} \\ & \leq (c + \frac{c}{(R-r)^2}) \left(\int_{B(y,R)} w^{\frac{2^*\cdot p}{d}} dx \right)^{2d/2^*}, \end{aligned} \quad (4.40)$$

where $d = \frac{2^*(q-2^*+2\kappa)}{2q\kappa}$. By (4.34), (4.39) and (4.40), we have

$$\left(\int_{B(y,r)} w^{2^*\cdot p} dx \right)^{\frac{1}{2^*\cdot p}} \leq \left(\frac{c}{R-r} \right)^{\frac{1}{p}} \left(\int_{B(y,R)} w^{\frac{2^*\cdot p}{d}} dx \right)^{\frac{d}{2^*\cdot p}}, \quad (4.41)$$

Taking $p = p_k = d^k$, $R = r_k = \frac{\rho}{2} + \frac{\rho}{2^k}$, $r = r_{k+1}$, $k = 1, 2, \dots$. By (4.41), we have

$$\left(\int_{B(y,r_{k+1})} w^{2^*d^k} dx \right)^{\frac{1}{2^*d^k}} \leq \left(\frac{c2^{k+1}}{\rho} \right)^{1/d^k} \left(\int_{B(y,r_k)} w^{2^*d^{k-1}} dx \right)^{\frac{1}{2^*d^{k-1}}},$$

Letting $k \rightarrow \infty$, we obtain

$$\|w\|_{L^\infty(B(y,\frac{\rho}{2}))} \leq c \|w\|_{L^{2^*}(B(y,\rho))} \leq c^*.$$

Taking $p = p_k = d^k$, $r_k = r + \frac{R-r}{2^{k-1}}$, $k = 1, 2, \dots$. By (4.41), we obtain

$$\left(\int_{B(y, r_{k+1})} w^{2^*d^k} dx \right)^{\frac{1}{2^*d^k}} \leq \left(\frac{c2^k}{R-r} \right)^{1/d^k} \left(\int_{B(y, r_k)} w^{2^*d^{k-1}} dx \right)^{\frac{1}{2^*d^{k-1}}},$$

Letting $k \rightarrow \infty$, we have

$$\|w\|_{L^\infty(B(y, r))} \leq c \left(\frac{1}{R-r} \right)^{\frac{1}{d-1}} \|w\|_{L^{2^*}(B(y, R))}.$$

hence

$$\begin{aligned} \|w\|_{L^\infty(B(y, r))} &\leq c \left(\frac{1}{R-r} \right)^{\frac{1}{d-1}} \|w\|_{L^{2^*}(B(y, R))} \\ &\leq c \left(\frac{1}{R-r} \right)^{\frac{1}{d-1}} \|w\|_{L^\infty(B(y, R))}^{\frac{2^*-\tau}{2^*}} \|w\|_{L^\tau(B(y, R))}^{\frac{\tau}{2^*}} \\ &\leq \frac{1}{2} \|w\|_{L^\infty(B(y, R))} + c \left(\frac{1}{R-r} \right)^{\frac{2^*}{\tau(d-1)}} \|w\|_{L^\tau(B(y, R))}. \end{aligned} \quad (4.42)$$

By iteration, we have

$$\|w\|_{L^\infty(B(y, \frac{\rho}{2}))} \leq c \|w\|_{L^\tau(B(y, \rho))}. \quad \square$$

from the above lemma, we have the following Lemma.

Lemma 4.11. *Let $w \geq 0$, $w \in \mathcal{D}^{1,2}(\mathbb{R}^N)$ be a solution of*

$$-\Delta w \leq aw^{2_\alpha^*-1},$$

where $a(x) = \int_{\mathbb{R}^N} \frac{w^{2_\alpha^*}(y)}{|x-y|^\alpha} dy + w^{2^*-2_\alpha^*}(x)$, and $a \in L^{2N/\alpha}(\mathbb{R}^N)$. Then there exist $R > 0$ and a constant c depending on $\|w\|_{L^{2^*}(\mathbb{R}^N)}$, such that

$$|w(x)| \leq c \left(\int_{|x| \geq \frac{R}{2}} w^{2^*} dx \right)^{1/2^*}, \quad x \in \mathbb{R}^N, |x| \geq R.$$

Lemma 4.12. *There exist positive constants c, μ such that*

$$\begin{aligned} |U_k(x)| &\leq c(1+|x|^2)^{\frac{2-N}{2}} \quad \text{for } k \in \Lambda_\infty, \\ |U_k(x)| &\leq c \exp\{-\mu|x|\} \quad \text{for } k \in \Lambda_1. \end{aligned}$$

Proof. Let $w \geq 0$, $w \in \mathcal{D}^{1,2}(\mathbb{R}^N)$ be a solution of

$$-\Delta w \leq aw^{2_\alpha^*-1},$$

where $a \in L^{2N/\alpha}(\mathbb{R}^N)$. Using the Kelvin transformation $v(x) = |x|^{2-N}w(\frac{x}{|x|^2})$, we know that v satisfies

$$-\Delta v \leq \tilde{a}v^{2_\alpha^*-1}, \quad \forall |x| \leq 1,$$

where $\tilde{a}(x) = |x|^{\alpha-N}a(\frac{x}{|x|^2})$. We also have $\int_{B_\rho(0)} |v|^{2^*} dx = o_\rho(1)$, and

$$\|\tilde{a}\|_{L^{2N/\alpha}(\mathbb{R}^N)} = \|a\|_{L^{2N/\alpha}(\mathbb{R}^N)} \leq b.$$

Choose ρ small enough, By Lemma 4.10, we obtain $|v(x)| \leq c$ in $B_{\frac{\rho}{2}}(0)$. This shows that

$$|w(x)| \leq \frac{c}{|x|^{N-2}}, \quad |x| \geq 2\rho.$$

Similarly, we obtain that $|w(x)| \leq c$, $|x| \leq 2\rho$. So we have

$$w(x) \leq c(1+|x|^2)^{\frac{2-N}{2}}.$$

We use the hole-filling technique. For

$$-\Delta w + w \leq aw^{2^*_\alpha - 1},$$

we also have

$$w(x) \leq c(1 + |x|^2)^{\frac{2-N}{2}}.$$

So there exists $R_0 > 0$ such that

$$w(x)^{2^*-2} \leq \frac{1}{2}, \quad \text{for } x \in \mathbb{R}^N, \quad |x| \geq R_0.$$

Without loss of generality, we assume that

$$-\Delta w + w \leq 0, \quad \text{for } x \in \mathbb{R}^N, \quad |x| \geq R_0.$$

For $R > R_0$, we choose $\psi \in C^\infty(\mathbb{R}^N)$ such that $\psi(x) = 1$, $|x| \geq R + 1$; $\psi(x) = 0$, $|x| \leq R$, $|\nabla \psi| \leq 2$. Taking $\varphi = w\psi^2$ as test function we have

$$\begin{aligned} \int_{\mathbb{R}^N} |\nabla w|^2 \psi^2 dx + \int_{\mathbb{R}^N} w^2 \psi^2 dx &\leq 2 \int_{\mathbb{R}^N} \nabla w \psi w \nabla \psi dx \\ &\leq \frac{1}{2} \int_{\mathbb{R}^N} |\nabla w|^2 \psi^2 dx + c \int_{\mathbb{R}^N} w^2 |\nabla \psi|^2 dx. \end{aligned} \tag{4.43}$$

Therefore,

$$\begin{aligned} \int_{|x| \geq R+1} w^2 dx &\leq \int_{\mathbb{R}^N} w^2 \psi^2 dx \leq c \int_{\mathbb{R}^N} w^2 |\nabla \psi|^2 dx \leq \int_{R \leq |x| \leq R+1} w^2 dx, \\ \int_{|x| \geq R+1} w^2 dx &\leq \frac{c}{c+1} \int_{|x| \geq R} w^2 dx. \end{aligned}$$

It follows that there exist c, μ such that

$$\int_{|x| \geq \frac{R}{2}} w^2 dx \leq c \exp\{-\mu R\}.$$

By Lemma 4.11, there exist c', μ' such that

$$w(x) \leq c \left(\int_{|x| \geq \frac{R}{2}} w^2 dx \right)^{1/2^*} \leq c' \exp\{-\mu' R\}, \quad x \in \mathbb{R}^N, \quad |x| \geq R.$$

□

Suppose $1 \leq p_2 < 2^* < p_1$, $\sigma \geq 1$ and $m > 0$. Consider the system of inequalities

$$\begin{aligned} \|u_1\|_{L^{p_1}(\mathbb{R}^N)} &\leq m, \\ \|u_2\|_{L^{p_2}(\mathbb{R}^N)} &\leq m \sigma^{\frac{N}{2^*} - \frac{N}{p_2}}. \end{aligned} \tag{4.44}$$

We define the norm

$$\|u\|_{p_1, p_2, \sigma} = \inf\{m : \text{there exists } u_1, u_2 \text{ satisfying } |u| \leq u_1 + u_2 \text{ and (4.44) holds}\}.$$

Lemma 4.13. *Assume the profile decomposition (4.26) holds. Without loss of generality assume $k_\infty \in \Lambda_\infty$, $\sigma_n = \sigma_{n, k_\infty} = \min\{\sigma_{n,k} \mid k \in \Lambda_\infty\}$. $\frac{N}{N-2} < p_2 < 2^* < p_1$, then there exists a constant c , depending on p_1, p_2 such that $\|u\|_{p_1, p_2, \sigma} \leq c$.*

Proof. We divide u_n into three parts

$$u_n = z_n + w_n + r_n,$$

where

$$z_n = \sum_{k \in \Lambda_1} U_k(\cdot - y_{n,k}), \quad w_n = \sum_{k \in \Lambda_\infty} \sigma_{n,k}^{\frac{N-2}{2}} U_k(\sigma_{n,k}(\cdot - y_{n,k})).$$

By Lemma 4.12, for $k \in \Lambda_1$, U_k decays exponentially. Hence $|z_n|_{p_1} \leq c$ for all $p_1 \geq 1$. For $k \in \Lambda_\infty$, U_k decays polynomially. Hence for $\frac{N}{N-2} < p_2 < 2^*$,

$$\begin{aligned} \|\sigma_{n,k}^{\frac{N-2}{2}} U_k(\sigma_{n,k}(\cdot - y_{n,k}))\|_{L^{p_2}(\mathbb{R}^N)} &= \sigma_{n,k}^{\frac{N-2}{2} - \frac{N}{p_2}} \|U_k\|_{L^{p_2}(\mathbb{R}^N)} \\ &\leq c \sigma_{n,k}^{\frac{N}{2} - \frac{N}{p_2}} \left(\int_{\mathbb{R}^N} (1 + |x|^2)^{\frac{2-N}{2} p_2} dx \right)^{\frac{1}{p_2}} \quad (4.45) \\ &\leq c \sigma_{n,k}^{\frac{N}{2} - \frac{N}{p_2}} \leq c \sigma_n^{\frac{N}{2} - \frac{N}{p_2}} \end{aligned}$$

and $\|w_n\|_{L^{p_2}(\mathbb{R}^N)} \leq c \sigma_n^{\frac{N}{2} - \frac{N}{p_2}}$. We define $Z_n, W_n, R_n \in \mathcal{D}^{1,2}(\mathbb{R}^N)$ as follows:

$$\begin{aligned} -\Delta Z_n &= a_n(x)|z_n|^{2_\alpha^*-1}, \quad \text{in } \mathbb{R}^N, \\ -\Delta W_n &= a_n(x)|w_n|^{2_\alpha^*-1}, \quad \text{in } \mathbb{R}^N, \\ -\Delta R_n &= a_n(x)|r_n|^{2_\alpha^*-1}, \quad \text{in } \mathbb{R}^N. \end{aligned}$$

Here $a_n(x) = \int_{\mathbb{R}^N} \frac{|u_n(y)|^{2_\alpha^*}}{|x-y|^\alpha} dy + |u_n(x)|^{\frac{\alpha}{N-2}}$. By Lemma 4.9, we have

$$\begin{aligned} \|Z_n\|_{L^{p_1}(\mathbb{R}^N)} &\leq c \|a_n|z_n|^{2_\alpha^*-2}\|_{L^{N/2}(\mathbb{R}^N)} \|z_n\|_{L^{p_1}(\mathbb{R}^N)} \\ &\leq c \|a_n\|_{L^{2N/\alpha}(\mathbb{R}^N)} \|z_n|^{2_\alpha^*-2}\|_{L^{\frac{2N}{4-\alpha}}(\mathbb{R}^N)} \|z_n\|_{L^{p_1}(\mathbb{R}^N)} \quad (4.46) \\ &\leq c \|z_n\|_{L^{p_1}(\mathbb{R}^N)} \leq c. \end{aligned}$$

Similar to (4.46), we have

$$\begin{aligned} \|W_n\|_{L^{p_2}(\mathbb{R}^N)} &\leq c \|w_n\|_{L^{p_2}(\mathbb{R}^N)} \leq c \sigma_n^{\frac{N}{2} - \frac{N}{p_2}}, \\ \|R_n\|_{p_1, p_2, \sigma_n} &\leq o(1) \|r_n\|_{p_1, p_2, \sigma_n}. \end{aligned}$$

Let u_n satisfy the equation

$$-\Delta u_n + V(\varepsilon_n x)u_n = 2_\alpha^* \left(\int_{\mathbb{R}^N} \frac{F_{\nu_n}(u_n(y))}{|x-y|^\alpha} dy \right) f_{\nu_n}(u_n) + \vartheta |u_n|^{q-2} u_n, \quad (4.47)$$

where $x \in \mathbb{R}^N$.

By Lemma 2.4 and the inequality $|t| \leq \varepsilon|t| + c_\varepsilon|t|^{2^*}$, the function $v_n = |u_n|$ satisfies

$$-\Delta v_n \leq c a_n(x) |u_n|^{2_\alpha^*-1} \leq -c \Delta(Z_n + W_n + R_n).$$

By the maximum principle, we obtain

$$|u_n| = v_n \leq c(Z_n + W_n + R_n).$$

Now we have the estimate

$$\begin{aligned} \|u_n\|_{p_1, p_2, \sigma_n} &\leq c (\|Z_n\|_{p_1, p_2, \sigma_n} + \|W_n\|_{p_1, p_2, \sigma_n} + \|R_n\|_{p_1, p_2, \sigma_n}) \\ &\leq c + o(1) \|r_n\|_{p_1, p_2, \sigma_n} \\ &\leq c + o(1) (\|u_n\|_{p_1, p_2, \sigma_n} + \|z_n\|_{p_1, p_2, \sigma_n} + \|w_n\|_{p_1, p_2, \sigma_n}) \quad (4.48) \\ &\leq c + o(1) \|u_n\|_{p_1, p_2, \sigma_n}, \end{aligned}$$

and $\|u_n\|_{p_1, p_2, \sigma_n} \leq c$. \square

Assume the profile decomposition (4.26) holds. Let $k_\infty \in \Lambda_\infty$ be such that $\sigma_n = \sigma_{n, k_\infty} = \min\{\sigma_{n,k} | k \in \Lambda_\infty\}$. We denote $y_n = y_{n, k_\infty}$. Since the index set Λ_∞ is finite, we can find a constant $\bar{c} > 0$ such that the region

$$\mathcal{A}_n^1 = B(y_n, 7\bar{c}\sigma_n^{-1/2}) \setminus B(y_n, \bar{c}\sigma_n^{-1/2})$$

does not contain any concentration points, corresponding to the index set Λ_∞ ,

$$\mathcal{A}_n^1 \cap \{y_{n,k} \mid k \in \Lambda_\infty\} = \emptyset.$$

Lemma 4.14 ([20]). *Let $u \geq 0$, $u \in H^1(\mathbb{R}^N)$ and satisfy*

$$-\Delta u \leq f, \quad \text{in } \mathbb{R}^N,$$

where $f \geq 0$, $f \in L^1_{loc}(\mathbb{R}^N)$. Then for $\gamma \in (1, \frac{N}{N-1})$, there exists a positive constant $c = c(N, \gamma)$ such that for $x_0 \in \mathbb{R}^N$, $r \in (0, 1)$

$$\left(r^{-N} \int_{B(x_0, r)} |u|^\gamma dx\right)^{1/\gamma} \leq c \left(1 + \int_r^1 (t^{1-N} \int_{B(x_0, t)} f dx) dt\right).$$

Lemma 4.15. *Assume the profile decomposition (4.26) holds. Then there exists a constant $c > 0$, independent of n , such that $|u_n(x)| \leq c$ for $x \in \mathcal{A}_n^2$, and*

$$\int_{\mathcal{A}_n^3} |\nabla u_n|^2 dx \leq c \sigma_n^{\frac{2-N}{2}},$$

where

$$\mathcal{A}_n^2 = B(y_n, 6\bar{c}\sigma_n^{-1/2}) \setminus B(y_n, 2\bar{c}\sigma_n^{-1/2}), \quad \mathcal{A}_n^3 = B(y_n, 5\bar{c}\sigma_n^{-1/2}) \setminus B(y_n, 3\bar{c}\sigma_n^{-1/2}).$$

Proof. It is easy to show that

$$-\Delta v_n \leq cw_n v_n^{2_\alpha^*-1},$$

where

$$w_n(x) = \int_{\mathbb{R}^N} \frac{|v_n(y)|^{2_\alpha^*}}{|x-y|^\alpha} dy + |v_n(x)|^{2^*-2_\alpha^*}.$$

By Lemma 4.14, for all $y \in \mathbb{R}^N$ and $r \in (0, 1)$, we have

$$\begin{aligned} \left(r^{-N} \int_{B(y, r)} |u_n|^\gamma dx\right)^{1/\gamma} &= \left(r^{-N} \int_{B(y, r)} v_n^\gamma dx\right)^{1/\gamma} \\ &\leq c \left(1 + \int_r^1 (t^{1-N} \int_{B(y, t)} w_n v_n^{2_\alpha^*-1} dx) dt\right). \end{aligned} \tag{4.49}$$

By Lemma 4.13, $\|v_n\|_{p_1, p_2, \sigma_n} \leq c$ for $\frac{N}{N-2} < p_2 < 2^* < p_1$. There exist functions $v_n^{(1)}, v_n^{(2)}$ such that $v_n \leq v_n^{(1)} + v_n^{(2)}$, $\|v_n^{(1)}\|_{L^{p_1}(\mathbb{R}^N)} \leq c$, and

$$\|v_n^{(2)}\|_{L^{p_2}(\mathbb{R}^N)} \leq c \sigma_n^{\frac{N}{2^*} - \frac{N}{p_2}}.$$

We choose $p_1 = \frac{N(N+2)}{N-2}$ and $p_2 = \frac{2N(N+2-\alpha)}{(N-2)(2N-\alpha)}$. We have the estimate

$$\begin{aligned} \left(r^{-N} \int_{B(y, r)} |u_n|^\gamma dx\right)^{1/\gamma} &\leq c + c \int_r^1 \left(t^{1-N} \int_{B(y, t)} w_n^{(1)} |v_n^{(1)}|^{2_\alpha^*-1} dx\right) dt \\ &\quad + c \int_r^1 \left(t^{1-N} \int_{B(y, t)} w_n^{(2)} |v_n^{(2)}|^{2_\alpha^*-1} dx\right) dt, \end{aligned}$$

where

$$\begin{aligned} &\int_r^1 \left(t^{1-N} \int_{B(y, t)} w_n^{(1)} |v_n^{(1)}|^{2_\alpha^*-1} dx\right) dt \\ &\leq \left(\int_r^1 t^{1-N} dt\right) \left(\int_{B(y, t)} |w_n^{(1)}|^N |v_n^{(1)}|^{N(2_\alpha^*-1)} dx\right)^{1/N} \left(\int_{B(y, t)} dt\right)^{\frac{N-1}{N}} \end{aligned}$$

$$\begin{aligned}
&\leq c \left(\int_{B(y,1)} |w_n^{(1)}|^N |v_n^{(1)}|^{N(2_\alpha^*-1)} dx \right)^{1/N} \\
&\leq c \left(\int_{B(y,1)} |w_n^{(1)}|^{\frac{N(N+2)}{\alpha}} dx \right)^{\frac{\alpha}{N+2}} \cdot \left(\int_{B(y,1)} |v_n^{(1)}|^{N(2_\alpha^*-1)\frac{N+2}{N+2-\alpha}} dx \right)^{\frac{N+2-\alpha}{N+2}} \\
&\leq c \left(\int_{B(y,1)} |v_n^{(1)}|^{\frac{N(N+2)}{N-2}} dx \right)^{\frac{N+2-\alpha}{N+2}} \leq c,
\end{aligned}$$

and

$$\begin{aligned}
&\int_r^1 \left(t^{1-N} \int_{B(y,t)} w_n^{(2)} |v_n^{(2)}|^{2_\alpha^*-1} dx \right) dt \\
&\leq \left(\int_r^1 t^{1-N} dt \right) \left(\int_{B(y,t)} |w_n^{(2)}|^{2N/\alpha} dx \right)^{\frac{\alpha}{2N}} \left(\int_{B(y,t)} |v_n^{(2)}|^{(2_\alpha^*-1)\frac{2N}{2N-\alpha}} dx \right)^{\frac{2N-\alpha}{2N}} \\
&\leq c \left(\int_r^1 t^{1-N} dt \right) \left(\int_{B(y,t)} |v_n^{(2)}|^{p_2} dx \right)^{\frac{2_\alpha^*-1}{p_2}} \\
&\leq c \left(\int_r^1 t^{1-N} dt \right) \sigma_n^{\frac{2-N}{2}} \\
&\leq c(r\sigma_n^{1/2})^{2-N} \leq c, \quad \text{provided } r \geq \frac{\bar{c}}{4}\sigma_n^{-1/2}.
\end{aligned}$$

Therefore

$$\left(\sigma_n^{N/2} \int_{B(y, \frac{\bar{c}}{4}\sigma_n^{-1/2})} |u_n|^\gamma dx \right)^{1/\gamma} \leq c, \quad y \in \mathcal{A}_n^2.$$

In (4.26), with $u_n = z_n + w_n + r_n$, $z_n \in L^\infty(\mathbb{R}^N)$, $|r_n|_{2^*} = o(1)$, by (4) of the profile decomposition (4.26) becomes $w_n = \sum_{k \in \Lambda_\infty} \sigma_{n,k}^{\frac{N-2}{2}} U_k(\sigma_{n,k}(\cdot - x_{n,k}))$. For $y \in \mathcal{A}_n^2$, $x \in B(y, \frac{\bar{c}}{2}\sigma_n^{-1/2})$, by our choice \mathcal{A}_n^1 , $|y - y_{n,k}| \geq \bar{c}\sigma_n^{-1/2}$, $|x - y_{n,k}| \geq |y_{n,k} - y| - |x - y| \geq \frac{\bar{c}}{2}\sigma_n^{-1/2} \geq \frac{\bar{c}}{2}\sigma_{n,k}^{-1/2}$. Hence for n is large enough,

$$\begin{aligned}
\int_{B(y, \frac{\bar{c}}{2}\sigma_n^{-1/2})} |u_n|^{2^*} dx &= \int_{B(y, \frac{\bar{c}}{2}\sigma_n^{-1/2})} |\sigma_{n,k}^{\frac{N-2}{2}} U_k(\sigma_{n,k}(\cdot - y_{n,k}))|^{2^*} dx + o(1) \\
&\leq \int_{|x-x_{n,k}| \geq \frac{\bar{c}}{2}\sigma_{n,k}^{-1/2}} |\sigma_{n,k}^{\frac{N-2}{2}} U_k(\sigma_{n,k}(\cdot - y_{n,k}))|^{2^*} dx + o(1) \\
&= \int_{|x| \geq \frac{\bar{c}}{2}\sigma_{n,k}^{-1/2}} |U_k(x)|^{2^*} dx + o(1) = o(1), \quad \forall y \in \mathcal{A}_n^2.
\end{aligned}$$

By Lemma 4.10, we have

$$|u_n(x)| \leq c \left(\sigma_n^{N/2} \int_{B(y, \frac{\bar{c}}{4}\sigma_n^{-1/2})} |u_n|^\gamma dx \right)^{1/\gamma} \leq c, \quad \forall x \in B(y, \frac{\bar{c}}{8}\sigma_n^{-1/2}), \quad y \in \mathcal{A}_n^2.$$

Hence $|u_n(x)| \leq c$ for $x \in \mathcal{A}_n^2$.

Let $\varphi \in C_0^\infty(\mathbb{R}^N)$ be such that $\varphi(x) = 1$ for $x \in \mathcal{A}_n^3$; $\varphi(x) = 0$ for $x \notin \mathcal{A}_n^2$ and $|\nabla \varphi| \leq 2\bar{c}^{-1}\sigma_n^{1/2}$. Taking $\phi = u_n \varphi^2$ as test function in $\langle DI_{\varepsilon_n, \nu_n}(u_n), \phi \rangle = 0$, we obtain

$$\int_{\mathbb{R}^N} (|\nabla u_n|^2 \varphi^2 + V(\varepsilon_n x) u_n^2 \varphi^2) dx$$

$$\begin{aligned}
&= -2 \int_{\mathbb{R}^N} u_n \nabla u_n \varphi \nabla \varphi dx + 2^*_\alpha \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{F_{\nu_n}(u_n(y))}{|x-y|^\alpha} dy f_{\nu_n}(u_n) u_n \varphi^2 dx \\
&\quad + \vartheta \int_{\mathbb{R}^N} |u_n|^q \varphi^2 dx \\
&\leq \frac{1}{2} \int_{\mathbb{R}^N} |\nabla u_n|^2 \varphi^2 dx + c \int_{\mathbb{R}^N} |u_n|^2 |\nabla \varphi|^2 dx \\
&\quad + c \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|u_n(y)|^{2^*_\alpha}}{|x-y|^\alpha} dy |u_n|^{2^*_\alpha} \varphi^2 dx + c \int_{\mathbb{R}^N} |u_n|^q \varphi^2 dx.
\end{aligned}$$

Since $|u_n(x)| \leq c$ for $x \in \mathcal{A}_n^2$, we have

$$\begin{aligned}
&\int_{\mathcal{A}_n^3} |\nabla u_n|^2 dx \\
&\leq \int_{\mathbb{R}^N} |\nabla u_n|^2 \varphi^2 dx \\
&\leq c \sigma_n \int_{\mathcal{A}_n^2} |u_n|^2 dx + c \int_{\mathcal{A}_n^2} \int_{\mathbb{R}^N} \frac{|u_n(y)|^{2^*_\alpha}}{|x-y|^\alpha} dy |u_n|^{2^*_\alpha} \varphi^2 dx + c \int_{\mathcal{A}_n^2} |u_n|^q dx \\
&\leq c \left(\int_{\mathcal{A}_n^2} \left| \int_{\mathbb{R}^N} \frac{|u_n(y)|^{2^*_\alpha}}{|x-y|^\alpha} dy \right|^{2N/\alpha} dx \right)^{\frac{\alpha}{2N}} \left(\int_{\mathcal{A}_n^2} |u_n|^{2^*} dx \right)^{\frac{2N-\alpha}{2N}} + c \sigma_n^{1-\frac{N}{2}} \\
&\leq c \sigma_n^{\frac{2-N}{2}},
\end{aligned}$$

for n large enough. \square

Similar to [16, Lemma 4.3], we can prove that the index set Λ_∞ in the profile decomposition (4.26) is empty.

The proof part 3 of Theorem 4.1. Note that Λ_∞ is empty, the profile decomposition in (4.26) reduces to

$$u_n = \sum_{k \in \Lambda_1} U_k(\cdot - y_{n,k}) + r_n, \quad (4.50)$$

where $r_n \rightarrow 0$ in $L^{2^*}(\mathbb{R}^N)$ as $n \rightarrow \infty$. By Lemma 4.12, there exist c, μ , such that $|U_k(x)| \leq c \exp\{-\mu|x|\}$ for $k \in \Lambda_1$. Hence, $\sum_{k \in \Lambda_1} U_k(\cdot - y_{n,k})$ are uniformly bounded. It follows that $\{u_n\}$ are uniformly bounded, that is there exists a constant M depending on L , but not on n , such that

$$|u_n(x)| \leq M, \quad \text{for } x \in \mathbb{R}^N, n = 1, 2, \dots.$$

\square

5. PROOF OF THEOREM 1.1

From Theorem 4.1, we deduce the following corollary.

Corollary 5.1. (1) Assume $\Gamma_{\varepsilon,\nu,\lambda}(u) \leq L$ and $D\Gamma_{\varepsilon,\nu,\lambda}(u) = 0$. Then there exists $\bar{\lambda} = \bar{\lambda}(L)$ such that $\Gamma_{\varepsilon,\nu,\lambda}(u) = \Gamma_{\varepsilon,\nu}(u)$ and $D\Gamma_{\varepsilon,\nu}(u) = 0$ if $0 < \lambda \leq \bar{\lambda}$.

(2) Assume $\Gamma_{\varepsilon,\nu}(u) \leq L$ and $D\Gamma_{\varepsilon,\nu}(u) = 0$. Then there exists $\bar{\varepsilon} = \bar{\varepsilon}(L)$ such that $\Gamma_{\varepsilon,\nu}(u) = I_{\varepsilon,\nu}(u)$ and $DI_{\varepsilon,\nu}(u) = 0$ if $0 < \varepsilon \leq \bar{\varepsilon}$.

(3) Assume $I_{\varepsilon,\nu}(u) \leq L$ and $DI_{\varepsilon,\nu}(u) = 0$. Then there exists $\bar{\nu} = \bar{\nu}(L)$ such that $I_{\varepsilon,\nu}(u) = I_\varepsilon(u)$ and $DI_\varepsilon(u) = 0$ if $0 < \nu \leq \bar{\nu}$.

Proof. (1) By Theorem 4.1(1), if $0 < \lambda < \bar{\lambda}(L) = \frac{1}{CH^{2\alpha}}$, then

$$\|u\|_{H^1(\mathbb{R}^N)} \leq \left(\frac{1}{C\lambda}\right)^{\frac{1}{2\alpha}}.$$

It follows that $\Gamma_{\varepsilon,\nu,\lambda}(u) = \Gamma_{\varepsilon,\nu}(u)$ and $D\Gamma_{\varepsilon,\nu}(u) = 0$.

(2) By Theorem 4.1(2), there exist constants $\mu, c = c(L)$ such that, for every $\delta > 0$, there exists $\varepsilon = \varepsilon(\delta) > 0$, for $0 < \varepsilon < \varepsilon(\delta)$ and $x \in \mathbb{R}^N$

$$|u(x)| \leq c \exp\{-\mu \text{dist}(x, (\mathcal{A}^\delta)_\varepsilon)\}.$$

Let $\bar{\varepsilon}(L) \leq \min\{\mu, \frac{1}{c}\}$, then for $0 < \varepsilon \leq \bar{\varepsilon}$ and $x \in \mathbb{R}^N$, it holds

$$\begin{aligned} |u(x)| &\leq c \exp\{-\mu \text{dist}(x, (\mathcal{A}^\delta)_\varepsilon)\} \\ &\leq \frac{1}{\varepsilon} \exp\{-\varepsilon \text{dist}(x, (\mathcal{A}^\delta)_\varepsilon)\} \\ &\leq \frac{1}{\varepsilon} \exp\{-\text{dist}(\varepsilon x, \mathcal{M})\}. \end{aligned}$$

Hence $m_\varepsilon(x, u) = u$, for $x \in \mathbb{R}^N$. Moreover we denote $D = \max\{|y| \mid y \in \overline{\mathcal{M}}\}$, $d = \text{dist}(\mathcal{A}^\delta, \partial\mathcal{M})$. We choose an integer $l > 1$ such that $ld \geq D$. Then for $x \notin \overline{\mathcal{M}_\varepsilon}$,

$$\begin{aligned} l \text{dist}(x, (\mathcal{A}^\delta)_\varepsilon) &\geq l \text{dist}((\mathcal{A}^\delta)_\varepsilon, \partial\mathcal{M}_\varepsilon) + \text{dist}(x, \partial\mathcal{M}_\varepsilon) \\ &\geq \frac{l}{\varepsilon} d + |x| - \frac{D}{\varepsilon} \geq |x|, \end{aligned}$$

and hence

$$|u(x)| \leq c \exp\{-c \text{dist}(x, (\mathcal{A}^\delta)_\varepsilon)\} \leq c \exp\{-\frac{c}{l}|x|\}, \quad \forall x \notin \mathcal{M}_\varepsilon.$$

As a consequence, for $0 < \varepsilon < \varepsilon(\delta)$ sufficiently small, one has

$$\int_{\mathbb{R}^N} \chi_\varepsilon(x) u^2 dx \leq c\varepsilon^{-6} \int_{|x| \geq c\varepsilon^{-1}} \exp\{-\frac{c}{l}|x|\} dx \leq c\varepsilon^{-N-5} \exp\{-\frac{c}{\varepsilon}\} < 1$$

and

$$\left(\int_{\mathbb{R}^N} \chi_\varepsilon(x) |u|^p dx - 1 \right)_+ = 0.$$

It follows that $\Gamma_\varepsilon(u) = I_\varepsilon(u)$ and $DI_\varepsilon(u) = 0$.

(3) By Theorem 4.1(3), if $0 < \nu < \bar{\nu}(L) = \frac{1}{M}$, then

$$|u(x)| \leq \frac{1}{\nu}, \quad \forall x \in \mathbb{R}^N.$$

Hence, $I_{\varepsilon,\nu}(u) = I_\varepsilon(u)$ and $DI_\varepsilon(u) = 0$. \square

Proof of Theorem 1.1. Given a positive integer k , by Theorem 3.10, there exist $0 < \tilde{\varepsilon} < 1$, $0 < \tilde{\nu} < 1$, and $0 < \tilde{\lambda} < 1$, such that if $0 < \varepsilon < \tilde{\varepsilon}$, $0 < \nu < \tilde{\nu}$, $0 < \lambda < \tilde{\lambda}$, then the functional $\Gamma_{\varepsilon,\nu,\lambda}$ has k pairs of sign-changing critical points $\pm u_j$, $j = 1, \dots, k$, and the corresponding critical values satisfy

$$0 < c_1(\varepsilon, \nu, \lambda) \leq \dots \leq c_k(\varepsilon, \nu, \lambda) \leq m_k.$$

By Corollary 5.1(3), there exists $\nu_k = \nu_k(m_k)$, such that if

$$0 < \nu < \tilde{\nu}_k = \min\{\nu_k, \tilde{\nu}\}, \quad I_{\varepsilon,\nu}(u) \leq m_k, \quad DI_{\varepsilon,\nu}(u) = 0,$$

then

$$I_{\varepsilon,\nu}(u) = I_\varepsilon(u), \quad DI_\varepsilon(u) = 0.$$

Fixed $\bar{\nu} \in (0, \nu_k)$. By Corollary 5.1(2), there exists $\varepsilon_k = \varepsilon_k(m_k)$, such that if

$$0 < \varepsilon < \tilde{\varepsilon}_k = \min\{\varepsilon_k, \tilde{\varepsilon}\}, \quad \Gamma_{\varepsilon, \bar{\nu}}(u) \leq m_k, \quad D\Gamma_{\varepsilon, \bar{\nu}}(u) = 0,$$

then

$$\Gamma_{\varepsilon, \bar{\nu}}(u) = I_{\varepsilon, \bar{\nu}}(u), \quad DI_{\varepsilon, \bar{\nu}}(u) = 0.$$

We fix $\bar{\nu} \in (0, \nu_k)$ and $\bar{\varepsilon} \in (0, \varepsilon_k)$. By Corollary 5.1(1), there exists $\lambda_k = \lambda_k(m_k)$, such that if

$$0 < \lambda < \tilde{\lambda}_k = \min\{\lambda_k, \tilde{\lambda}\}, \quad \Gamma_{\bar{\varepsilon}, \bar{\nu}, \lambda}(u) \leq m_k, \quad DI_{\bar{\varepsilon}, \bar{\nu}, \lambda}(u) = 0,$$

then

$$\Gamma_{\bar{\varepsilon}, \bar{\nu}, \lambda}(u) = \Gamma_{\bar{\varepsilon}, \bar{\nu}}(u), \quad D\Gamma_{\bar{\varepsilon}, \bar{\nu}}(u) = 0.$$

Now for $0 < \nu < \tilde{\nu}_k$, $0 < \varepsilon < \tilde{\varepsilon}_k$, $0 < \lambda < \tilde{\lambda}_k$, we have that $u_{j, \varepsilon} = u_j(\varepsilon, \nu, \lambda)$, $j = 1, \dots, k$ are critical points of the functional I_ε . Moreover, by Theorem 4.1, there exist constants $\mu > 0$, $c = c(m_k)$, such that for any $\delta > 0$, there exists $\bar{\varepsilon}_k(\delta)$ such that for $0 < \varepsilon < \bar{\varepsilon}_k(\delta)$ it holds

$$|u_{j, \varepsilon}| \leq c \exp\{-\mu \text{dist}(x, (\mathcal{A}^\delta)_\varepsilon)\}, \quad \text{for } x \in \mathbb{R}^N,$$

hence

$$|v_{j, \varepsilon}| \leq c \exp\{-\frac{\mu}{\varepsilon} \text{dist}(x, \mathcal{A}^\delta)\}, \quad \text{for } x \in \mathbb{R}^N. \quad \square$$

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