

# MAU22102

## Rings, Fields, and Modules

### 3 - Field extensions

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# Field extensions, algebraicness

Let  $K$  and  $L$  be fields such that  $K \subseteq L$ . One says that  $K$  is a subfield of  $L$ , and that  $L$  is an extension of  $K$ .

## Example

$\mathbb{R}$  is a subfield of  $\mathbb{C}$ , and  $\mathbb{C}$  is an extension of  $\mathbb{R}$ .

# Notation

In what follows, whenever  $\alpha \in L$ , we write  $K(\alpha)$  for the subfield of  $L$  generated by  $K$  and  $\alpha$ , and  $K[\alpha]$  for the subring of  $L$  generated by  $K$  and  $\alpha$ .

## Example

The ring  $K[\alpha]$  is a subring of the field  $K(\alpha)$ .

## Example

$$\mathbb{C} = \mathbb{R}(i) = \mathbb{R}[i].$$

We have

$$K[\alpha] = \{P(\alpha), P(x) \in K[x]\}.$$

For  $K(\alpha)$ , more delicate, as we will see below.

# Algebraic vs. transcendental (1/2)

## Definition (Algebraic, transcendental)

Let  $K \subset L$ , and let  $\alpha \in L$ . Then

$$I_\alpha = \{F(x) \in K[x] \mid F(\alpha) = 0\}$$

is an ideal of  $K[x]$ . One says that  $\alpha$  is algebraic over  $K$  if this ideal is nonzero, that is to say if there exists a nonzero  $F(x) \in K[x]$  which vanishes at  $\alpha$ . Else one says that  $\alpha$  is transcendental over  $K$ .

## Example

$\alpha = i \in \mathbb{C}$  is algebraic over  $\mathbb{R}$ , since it is a root of the nonzero polynomial  $P(x) = x^2 + 1 \in \mathbb{R}[x]$ . In fact,  $\alpha$  is even algebraic over  $\mathbb{Q}$  since  $P(x) \in \mathbb{Q}[x]$ .

# Algebraic vs. transcendental (2/2)

## Counter-example

One can show (but this is difficult) that  $\pi$  is transcendental over  $\mathbb{Q}$ . This means for instance that an “identity” of the form

$$2130241\pi^3 - 22294338\pi^2 + 51516201\pi - 7857464 = 0$$

is automatically **FALSE**.

On the other hand,  $\pi$  is algebraic over  $\mathbb{R}$ , since it is a root of  $x - \pi \in \mathbb{R}[x]$ .

## Definition (Algebraic extension)

*If every element of  $L$  is algebraic over  $K$ , one says that  $L$  is an algebraic extension of  $K$ .*

# Minimal polynomials (1/2)

Since since the ring  $K[x]$  is a PID, the ideal  $I_\alpha$  is principal, so there exists a polynomial  $M(x) \in K[x]$  such that

$$I_\alpha = (M(x)) = M(x)K[x].$$

If  $\alpha$  is transcendental over  $K$ , then  $I_\alpha = \{0\}$  so  $M(x)$  is the zero polynomial.

Suppose on the contrary that  $\alpha$  is algebraic over  $K$ , so that  $M(x) \neq 0$ . Since  $K[x]$  is a domain, the other generators of  $I_\alpha$  are the associates of  $M(x)$  in  $K[x]$ , that is the  $U(x)M(x)$  for  $U \in K[x]^\times$ . But  $K[x]^\times = K^\times$ , so  $M(x)$  is unique up to scaling, so there is a unique monic polynomial  $m_\alpha(x)$  that generates  $I_\alpha$ .

## Definition (Minimal polynomial)

$m_\alpha(x)$  is called the minimal polynomial of  $\alpha$  over  $K$ .

# Minimal polynomials (2/2)

## Definition (Degree of an algebraic element)

*One then says that  $\alpha$  is algebraic over  $K$  of degree  $n$ , where  $n = \deg m_\alpha \in \mathbb{N}$ , and one writes  $\deg_K \alpha = n$ .*

## Remark

By definition, for all  $F(x) \in K[x]$ ,  $F(\alpha) = 0 \iff F$  is a multiple of  $m_\alpha$ . In particular,  $m_\alpha$  is the unique (up to scaling) polynomial of minimal degree vanishing at  $x = \alpha$ , hence the name minimal polynomial.



# Minimal polynomials and irreducibility

## Remark

Minimal polynomials (over a field  $K$ ) are always irreducible (over the same field  $K$ ). Indeed, let  $m_\alpha(x) \in K[x]$  be the minimal polynomial of some  $\alpha \in L$ , and suppose  $m_\alpha(x) = A(x)B(x)$  with  $A(x), B(x) \in K[x]$ . Then  $0 = m_\alpha(\alpha) = A(\alpha)B(\alpha)$ , so WLOG we may assume that  $A(\alpha) = 0$ . By definition of the minimal polynomial,  $m_\alpha(x) \mid A(x)$ ; but also  $A(x) \mid m_\alpha(x)$ , so  $A$  and  $m_\alpha$  must be associate, so  $B(x)$  must be a constant as  $K[x]^\times = K^\times$ .

## Remark

Conversely, if  $M(x) \in K[x]$  vanishes at  $x = \alpha$  and it monic and irreducible, then  $M(x)$  is the minimal polynomial of  $\alpha$ . Indeed,  $M(\alpha) = 0$  implies  $m_\alpha \mid M$ , and since both are irreducible, they must be associate, hence equal since they are both monic.

# Minimal polynomials: examples (1/2)

## Example

Let  $K = \mathbb{Q}$ ,  $L = \mathbb{C}$ , and  $\alpha = \sqrt[3]{2} \in L$ . Then  $\alpha$  is a root of  $M(x) = x^3 - 2$ , so  $\alpha$  is algebraic over  $\mathbb{Q}$ . Besides,  $M(x)$  is monic and irreducible over  $\mathbb{Q}$  because it is Eisenstein at  $p = 2$ , so  $M(x)$  is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ , so

$$I_\alpha = (x^3 - 2),$$

which means an element of  $K[x]$  vanishes at  $\alpha$  iff. it is divisible by  $M(x)$ . In particular, we have

$$\deg_{\mathbb{Q}} \alpha = \deg M = 3.$$

However, the minimal polynomial of  $\alpha$  over  $\mathbb{R}$  is **NOT**  $M(x)$ , but  $x - \alpha \in \mathbb{R}[x]$ .

# Minimal polynomials: examples (2/2)

## Example

Let  $K = \mathbb{Q}$ ,  $L = \mathbb{C}$ , and  $\alpha = e^{2\pi i/3}$  so that  $\alpha^3 = 1$ . Then  $\alpha$  is a root of

$$M(x) = x^3 - 1 \in K[x],$$

so  $\alpha$  is algebraic over  $K$  (of degree at most 3, since its minimal polynomial must divide  $M$ ). However,

$$M(x) = (x - 1)(x^2 + x + 1) \in K[x]$$

is not irreducible over  $K$ , so it is **NOT** the minimal polynomial of  $\alpha$  over  $K$ . In fact, since  $\alpha - 1 \neq 0$ ,  $\alpha$  is a root of the cofactor

$$N(x) = x^2 + x + 1 \in K[x].$$

This cofactor is irreducible over  $K$ , so it is the minimal polynomial of  $\alpha$  over  $K$ , and  $\deg_K \alpha = 2$ .

# The degree of an extension

# The degree of an extension

Let  $L$  be an extension of a field  $K$ . If we forget temporarily about the multiplication on  $L$ , so that only addition is left, then  $L$  can be seen as a vector space over  $K$ .

## Definition

*The degree of  $L$  over  $K$  is the dimension (finite or infinite) of  $L$  seen as a  $K$ -vector space. It is denoted by  $[L : K]$ . If this degree is finite, one says that  $L$  is a finite extension of  $K$ .*

## Example

$\mathbb{C}$  is an extension of  $\mathbb{R}$ , so  $\mathbb{C}$  is a vector space over  $\mathbb{R}$ . In fact, it admits  $\{1, i\}$  are a basis, so it has finite dimension, namely 2, so  $\mathbb{C}$  is a finite extension of  $\mathbb{R}$ , of degree

$$[\mathbb{C} : \mathbb{R}] = 2.$$

# Extension degree vs. algebraic degree

## Theorem

Let  $K \subset L$  be a field extension, and let  $\alpha \in L$ .

- 1 If  $\alpha$  is transcendental over  $K$ , then evaluating at  $x = \alpha$  yields a ring isomorphism  $K[x] \simeq K[\alpha]$  and a field isomorphism  $K(x) \simeq K(\alpha)$ . In particular,  $K(\alpha)$  is an infinite extension of  $K$ .
- 2 If  $\alpha$  is algebraic over  $K$  of degree  $n$ , then  $K[\alpha]$  is a field, so it agrees with  $K(\alpha)$ . It is also a vector space of dimension  $n$  over  $K$ , with basis  $1, \alpha, \alpha^2, \dots, \alpha^{n-1}$ . In particular,  $K(\alpha)$  is a finite extension of  $K$ , of degree  $[K(\alpha) : K] = n$ .

# Extension degree vs. algebraic degree, proof (1/3)

Proof, case  $\alpha$  transcendental over  $K$

$$\begin{aligned} K[x] &\longrightarrow K[\alpha] \\ P(x) &\longmapsto P(\alpha) \end{aligned}$$

is a ring morphism, is surjective by definition of  $K[\alpha]$ , and injective: if  $P(x)$  lies in the kernel, then  $P(\alpha) = 0$ , so  $P(x)$  is the 0 polynomial as  $\alpha$  is transcendental.

This extends into the field morphism

$$\begin{aligned} K(x) &\longrightarrow K(\alpha) \\ \frac{P(x)}{Q(x)} &\longmapsto \frac{P(\alpha)}{Q(\alpha)} \end{aligned}$$

which is well defined since  $Q(\alpha) \neq 0$  for nonzero  $Q(x)$ , surjective by definition of  $K(\alpha)$ , and injective by the same reason as above.

In particular,  $1 = \alpha^0, \alpha, \alpha^2, \alpha^3, \dots \in K(\alpha)$  are linearly independent over  $K$ , so  $[K(\alpha) : K] = +\infty$ .

# Extension degree vs. algebraic degree, proof (2/3)

## Proof, case $\alpha$ algebraic over $K$

Let us begin by proving that  $1, \alpha, \dots, \alpha^{n-1}$  is a  $K$ -basis of  $K[\alpha]$ . Let  $m(x) = m_\alpha(x) \in K[x]$  be the minimal polynomial of  $\alpha$  over  $K$ ; it has degree  $n$ . For all  $P(x) \in K[x]$ , we may perform the Euclidean division

$$P(x) = m(x)Q(x) + R(x)$$

where  $Q(x), R(x) \in K[x]$  and  $\deg R(x) < n$ . Evaluating at  $x = \alpha$ , we find that  $P(\alpha) = R(\alpha)$ , so every element of  $K[\alpha]$  is of the form  $\sum_{j=0}^{n-1} \lambda_j \alpha^j$  for some  $\lambda_j \in K$ . Besides, if we had a relation of the form  $\sum_{j=0}^{n-1} \lambda_j \alpha^j = 0$  with the  $\lambda_j$  in  $K$  and not all zero, this would mean that the nonzero polynomial  $\sum_{j=0}^{n-1} \lambda_j x^j \in K[x]$  of degree  $< n$  vanishes at  $x = \alpha$ , which contradicts the definition of the minimal polynomial.

Therefore,  $1, \alpha, \dots, \alpha^{n-1}$  is a  $K$ -basis of  $K[\alpha]$ . Since there are  $n$  of them, we have  $[K(\alpha) : K] = n$ .



# Extension degree vs. algebraic degree, proof (3/3)

## Proof, case $\alpha$ algebraic over $K$

We must now prove that the ring  $K[\alpha]$  is actually a field. Let us thus prove that any nonzero  $\beta \in K[\alpha]$  is invertible in  $K[\alpha]$ . We know from the above that  $\beta = P(\alpha)$  for some nonzero  $P(x) \in K[x]$  of degree  $< n$ . Since  $m(x)$  is irreducible over  $K$  and  $\deg P(x) < \deg m(x) = n$ , it follows that  $P(x)$  and  $m(x)$  are coprime, so that there exist  $U(x)$  and  $V(x)$  in  $K[x]$  such that

$$U(x)P(x) + V(x)m(x) = 1.$$

Evaluating at  $x = \alpha$ , we find that  $U(\alpha)P(\alpha) + 0 = 1$ , which proves that  $U(\alpha) \in K[\alpha]$  is the inverse of  $\beta = P(\alpha)$ .  $\square$

# Extension degree vs. algebraic degree, examples

## Example

Let  $\alpha = \sqrt[3]{2}$ . We have seen that the minimal polynomial of  $\sqrt[3]{2}$  over  $\mathbb{Q}$  is  $x^3 - 2$ , so  $\deg_{\mathbb{Q}} \sqrt[3]{2} = 3$ . As a result,

$$\mathbb{Q}(\sqrt[3]{2}) = \mathbb{Q}[\sqrt[3]{2}] = \mathbb{Q} \oplus \mathbb{Q}\sqrt[3]{2} \oplus \mathbb{Q}\sqrt[3]{2}^2,$$

which means that every element of  $\mathbb{Q}(\sqrt[3]{2})$  can be written in a unique way as  $a + b\sqrt[3]{2} + c\sqrt[3]{2}^2$  with  $a, b, c \in \mathbb{Q}$ .

# Extension degree vs. algebraic degree, examples

## Example

Similarly, since  $i^2 = -1$ ,  $i$  is algebraic of degree 2 over  $\mathbb{Q}$ , with minimal polynomial  $x^2 + 1$ . It is also algebraic of degree 2 over  $\mathbb{R}$ , with the same minimal polynomial  $x^2 + 1$ , but which is this time seen as lying in  $\mathbb{R}[x]$ . We deduce that

$$\mathbb{Q}(i) = \mathbb{Q}[i] = \mathbb{Q} \oplus \mathbb{Q}i$$

and that

$$\mathbb{C} = \mathbb{R}(i) = \mathbb{R}[i] = \mathbb{R} \oplus \mathbb{R}i.$$

We thus recover the well-known fact that every complex number can be written uniquely as  $a + bi$  with  $a, b \in \mathbb{R}$ . We also get that the elements of  $\mathbb{Q}(i)$  may be written uniquely as  $a + bi$  with  $a, b \in \mathbb{Q}$ ; in particular, these elements form a subfield of  $\mathbb{C}$ .

# Extension degree vs. algebraic degree, examples

## Example

On the contrary, since  $\pi$  is transcendental over  $\mathbb{Q}$ ,  $\mathbb{R}$  is not an algebraic extension of  $\mathbb{Q}$ , and its subfield  $\mathbb{Q}(\pi)$  is isomorphic to  $\mathbb{Q}(x)$  by  $x \mapsto \pi$ .

# Extension degree vs. algebraic degree, examples

## Example

Finally, one can prove that  $\sqrt{3}$  is algebraic of degree 2 over  $\mathbb{Q}(\sqrt{2})$ . This amounts to say that  $x^2 - 3$ , which is irreducible over  $\mathbb{Q}$ , remains irreducible over  $\mathbb{Q}(\sqrt{2})$ . Indeed, if it became reducible, then  $\sqrt{3}$  would lie in  $\mathbb{Q}(\sqrt{2})$ . Since  $(1, \sqrt{2})$  is a  $\mathbb{Q}$ -basis of  $\mathbb{Q}(\sqrt{2})$ , there would exist  $a, b \in \mathbb{Q}$  such that  $\sqrt{3} = a + b\sqrt{2}$ . Squaring yields  $3 = (a^2 + 2b^2) + 2ab\sqrt{2}$ , which implies that  $a^2 + 2b^2 = 3$  and that  $2ab = 0$ , which is clearly impossible. So

$$\mathbb{Q}(\sqrt{2})(\sqrt{3}) = \mathbb{Q}(\sqrt{2}) \oplus \mathbb{Q}(\sqrt{2})\sqrt{3}$$

as a vector space over  $\mathbb{Q}(\sqrt{2})$ , so that every element of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  can be written in a unique way as  $a + b\sqrt{3}$  with  $a, b \in \mathbb{Q}(\sqrt{2})$ .

# A converse

## Theorem (Finite $\implies$ algebraic)

*If an extension is finite, then it is algebraic.*

### Proof.

Let  $n = [L : K] < +\infty$ , and let  $\alpha \in L$ . The  $n + 1$  vectors

$$1 = \alpha^0, \alpha, \alpha^2, \dots, \alpha^n$$

lie in the vector space  $L$  of dimension  $n$ , so they must be linearly dependent. This means we have

$$\lambda_0 1 + \lambda_1 \alpha + \lambda_2 \alpha^2 + \dots + \lambda_n \alpha^n = 0$$

for some  $\lambda_i \in K$  not all 0, which proves that  $\alpha$  is algebraic over  $K$ . □

# A converse

## Example

Let  $L$  be an extension of  $K$ , and  $\alpha \in L$  be algebraic over  $K$ . Then  $K(\alpha)$  is a finite extension of  $K$ , so it is an algebraic extension of  $K$ , so that all its elements (such that  $\alpha^2$ ) are also algebraic over  $K$ .

## Counter-example

The converse is false: there exist extensions that are algebraic, but not finite. More below.

# The tower law

## Theorem (Tower law)

Let  $K \subseteq L \subseteq M$  be finite extensions, let

$$(l_i)_{1 \leq i \leq [L:K]}$$

be a  $K$ -basis of  $L$ , and let

$$(m_j)_{1 \leq j \leq [M:L]}$$

be an  $L$ -basis of  $M$ . Then

$$(l_i m_j)_{\substack{1 \leq i \leq [L:K] \\ 1 \leq j \leq [M:L]}}$$

is a  $K$ -basis of  $M$ .

In particular,  $[M : K] = [M : L][L : K]$ .



# The tower law, proof (1/2)

## Proof : Generating

Let  $m \in M$ . Since  $(m_j)_{1 \leq j \leq [M:L]}$  is an  $L$ -basis of  $M$ , we have

$$m = \sum_{j=1}^{[M:L]} \lambda_j m_j$$

for some  $\lambda_j \in L$ , and since  $(l_i)_{1 \leq i \leq [L:K]}$  is a  $K$ -basis of  $L$ , each  $\lambda_j$  can be written

$$\lambda_j = \sum_{i=1}^{[L:K]} \mu_{i,j} l_i.$$

Thus we have

$$m = \sum_{j=1}^{[M:L]} \sum_{i=1}^{[L:K]} \mu_{i,j} l_i m_j,$$

which proves that the  $l_i m_j$  span  $M$  over  $K$ .

# The tower law, proof (2/2)

## Proof: Independent

Suppose now that

$$\sum_{j=1}^{[M:L]} \sum_{i=1}^{[L:K]} \mu_{i,j} l_i m_j = 0$$

with  $\mu_{i,j} \in K$ . This can be written as

$$\sum_{j=1}^{[M:L]} \lambda_j m_j = 0, \text{ where } \lambda_j = \sum_{i=1}^{[L:K]} \mu_{i,j} l_i \in L.$$

Since  $(m_j)_{1 \leq j \leq [M:L]}$  is an  $L$ -basis of  $M$ , this would imply that each of the  $\lambda_j$  is 0. And since  $(l_i)_{1 \leq i \leq [L:K]}$  is a  $K$ -basis of  $L$ , this means that the  $\mu_{i,j}$  are all zero. Thus the  $l_i m_j$  are linearly independent over  $K$ . □

# The tower law, example

## Example

We have seen above that

$$[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2 \text{ and } [\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}(\sqrt{2})] = 2.$$

It then follows from the tower law that

$$[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 2 \times 2 = 4.$$

More precisely, since we know that  $(1, \sqrt{2})$  is a  $\mathbb{Q}$ -basis of  $\mathbb{Q}(\sqrt{2})$ , and that  $(1, \sqrt{3})$  is a  $\mathbb{Q}(\sqrt{2})$ -basis of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ , we deduce from the tower law that  $(1, \sqrt{2}, \sqrt{3}, \sqrt{6})$  is a  $\mathbb{Q}$ -basis of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ . This means that each element of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  may be written as  $a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6}$  for unique  $a, b, c, d \in \mathbb{Q}$ .

# Algebraicness is preserved by field operations

## Theorem (Preservation of algebraicness)

*Let  $L/K$  be a field extension. The sum, difference, product, and quotient of two elements of  $L$  which are algebraic over  $K$  are algebraic over  $K$ .*

# Algebraicity is preserved by field operations

## Proof.

Let  $\alpha, \beta \in L$ ; note that  $K(\alpha, \beta) = K(\alpha)(\beta)$ . If  $\alpha$  is algebraic over  $K$ , then we have  $[K(\alpha) : K] < +\infty$ . If furthermore  $\beta$  is also algebraic over  $K$ , then it satisfies a non-trivial equation in  $K[x]$ ; viewing this equation as an element of  $K(\alpha)[x]$ , we deduce that  $\beta$  is also algebraic over  $K(\alpha)$ , so that  $[K(\alpha)(\beta) : K(\alpha)] < +\infty$ . The tower law then yields

$$[K(\alpha, \beta) : K] = [K(\alpha, \beta) : K(\alpha)][K(\alpha) : K] < +\infty,$$

in other words  $K(\alpha, \beta)$  is a finite extension of  $K$ . It is thus an algebraic extension of  $K$ . which means that all its elements, including  $\alpha + \beta$ ,  $\alpha - \beta$ ,  $\alpha\beta$ , and  $\alpha/\beta$  (if  $\beta \neq 0$ ) are algebraic over  $K$ . □

# Algebraicness is preserved by field operations

## Example

Let

$$\overline{\mathbb{Q}} = \{\alpha \in \mathbb{C} \mid \alpha \text{ algebraic over } \mathbb{Q}\}.$$

By the above,  $\overline{\mathbb{Q}}$  is actually a subfield of  $\mathbb{C}$ . Besides,  $\overline{\mathbb{Q}}$  is by definition an algebraic extension of  $\mathbb{Q}$ . However, it is not a finite one. Indeed, one can show that in the chain

$$\mathbb{Q} \subseteq \mathbb{Q}(\sqrt{2}) \subseteq \mathbb{Q}(\sqrt{2}, \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}) \subseteq \dots$$

(throw in the square root of each prime number one by one), each extension is of degree 2, so that the  $n$ -th extension is of degree  $2^n$  over  $\mathbb{Q}$  by the tower law, which forces  $[\overline{\mathbb{Q}} : \mathbb{Q}] = \infty$ . We thus have an example of an extension which is algebraic, but not finite.

# Application: constructible numbers

# Extensions of degree 2

## Lemma

Let  $K \subset L$  be subfields of  $\mathbb{C}$  such that  $[L : K] = 2$ . Then  $L = K(\sqrt{k})$  for some  $k \in K$ .

## Proof.

Let  $\alpha \in L \setminus K$ . Then  $K \subsetneq K(\alpha) \subset L$ ; as

$$2 = [L : K] = [L : K(\alpha)][K(\alpha) : K],$$

we have  $L = K(\alpha)$  and  $\deg_K \alpha = 2$ .

Let  $m_\alpha(x) = x^2 + bx + c \in K[x]$ ; then  $\alpha = \frac{-b \pm \sqrt{\Delta}}{2}$  where  $\Delta = b^2 - 4c \in K$ , so  $L = K(\alpha) = K(\sqrt{\Delta})$ . □



# Constructible numbers (1/6)

Suppose we are given an orthonormal coordinate frame  $(O, I, J)$  in the plane. A point is said to be constructible if we can obtain it from  $O, I, J$  in finitely many steps using only a ruler and a compass. A number  $\alpha \in \mathbb{R}$  is said to be constructible if it is a coordinate of a constructible point; equivalently,  $\alpha$  is constructible if  $|\alpha|$  is the distance between two constructible points.

A bit of geometry shows that the set of constructible numbers is a subfield of  $\mathbb{R}$ , which is stable under radicals (of positive elements only, of course).

## Constructible numbers (2/6)

Conversely, suppose that we perform a ruler-and-compass construction in  $n \in \mathbb{N}$  steps, and let  $K_j$  ( $j \leq n$ ) be the subfield of  $\mathbb{R}$  generated by the coordinates of the points constructed at the  $j$ -th step, so that  $\mathbb{Q} = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n$ . At each step  $j$ , we either construct the intersection of two lines, or the intersection of a line and a circle or of two circles. In the first case, the coordinates of the intersection can be found by solving a linear system, which can be done by field operations in  $K_j$ , so that  $K_{j+1} = K_j$ . In the second case, the coordinates of the intersection can be found by solving quadratic equations, so that  $[K_{j+1} : K_j]$  is either 1 (if the solutions to these equations already lie in  $K_j$ ) or 2 (if they do not, so that  $K_{j+1}$  is genuinely bigger than  $K_j$ ). By removing the steps such that  $K_{j+1} = K_j$ , we thus establish the following result:

# Constructible numbers (3/6)

## Theorem (Wantzel)

*Let  $\alpha \in \mathbb{R}$ . Then  $\alpha$  is constructible iff. there exist fields*

$$\mathbb{Q} = K_0 \subsetneq K_1 \subsetneq \cdots \subsetneq K_n$$

*such that  $[K_{j+1} : K_j] = 2$  for all  $j$  and that  $\alpha \in K_n$ .*

# Constructible numbers (4/6)

## Corollary

If  $\alpha \in \mathbb{R}$  is constructible, then  $\alpha$  is algebraic over  $\mathbb{Q}$ , and  $\deg_{\mathbb{Q}} \alpha$  is a power of 2.

## Proof.

Since  $\alpha$  is constructible, there exist fields

$$\mathbb{Q} = K_0 \subseteq K_1 \subseteq \cdots \subseteq K_n \ni \alpha$$

such that  $[K_{j+1} : K_j] = 2$  for all  $j$ . By the tower law, we have  $[K_j : \mathbb{Q}] = 2^j$  for all  $j$ , so in particular  $[K_n : \mathbb{Q}] = 2^n$ . It follows that  $K_n$  is a finite, and therefore algebraic, extension of  $\mathbb{Q}$ , so  $\alpha$  is algebraic over  $\mathbb{Q}$ . Besides,  $\deg_{\mathbb{Q}}(\alpha) = [K_n : \mathbb{Q}] = 2^n$ , so it is also a power of 2. □

# Constructible numbers (5/6)

## Counter-example

Since  $\pi$  is transcendental over  $\mathbb{Q}$ , it is not constructible. This shows that squaring the circle is impossible.

## Counter-example

We have seen that  $\sqrt[3]{2}$  is algebraic of degree 3 over  $\mathbb{Q}$ . Since 3 is not a power of 2,  $\sqrt[3]{2}$  is not constructible.

# Constructible numbers (6/6)

## Remark

Beware that the converse to the corollary is false! For instance, the polynomial  $x^4 - 8x^2 + 4x + 2$  is irreducible over  $\mathbb{Q}$  since it is Eisenstein at 2, and is therefore the minimal polynomial of each of its roots over  $\mathbb{Q}$ , so that these roots are algebraic of degree 4 over  $\mathbb{Q}$ . It happens that these roots are all real, but that none of them is constructible!

The problem is that if  $\alpha$  is such a root, then we do have  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 4$ , but this does not imply the existence of an intermediate field  $K$  such that  $\mathbb{Q} \subseteq K \subseteq \mathbb{Q}(\alpha)$  where both intermediate extensions are of degree 2, i.e. the hypotheses of Wantzel's theorem are not necessarily satisfied (and in fact they are not).