

Course 374 (Cryptography)

Sample Paper 2

Dr Timothy Murphy

GMB ?? Friday, ?? 2007 ??:00-??:00

Attempt 4 questions from Part A, and 2 questions from Part B.

$\mathbf{P} art \ B$

7. Show that the number of elements in a finite field is a prime power p^e ; and show that there is exactly one field (up to isomorphism) with p^e elements.

Answer:

(a) Suppose k is a finite field of characteristic p. Then the elements 0, 1, 2, ..., p − 1 form a subfield isomorphic to F_p = Z/(p). We can consider k as a vector space over this subfield F_p. If the vector space is of dimension d with basis e₁, ..., e_d then k consists of the p^d elements

$$x = \lambda_1 e_1 + \dots + \lambda_d e_d,$$

with $\lambda_i \in \{0, 1, \dots, p-1\}.$

- (b) We have to show
 - *i.* There exists a field containing p^n elements.

- ii. Two fields containing p^n elements are isomorphic;
- *i.* Let

$$U_n(x) = x^{p^n} - x \in \mathbb{F}_p[x].$$

We can construct a splitting field K for $U_n(x)$, ie a field in which $U_n(x)$ factorises completely into linear factors, by repeatedly adjoining roots of $U_n(x)$:

$$\mathbb{F}_p = k_0 \subset k_1 \subset \cdots \subset k_r = K,$$

where

$$k_{i+1} = k_i(\theta_i).$$

More precisely, suppose $U_n(x)$ factorises over k_i into irreducible factors, as

$$U_n(x) = f_1(x) \cdots f_s(x).$$

If all the factors are linear, we are done. If not, say $f_1(x)$ is not linear, then we adjoin a root of $f_1(x)$, ie we set

$$k_{i+1} = k_i[x]/(f_1(x)).$$

This 'splits off' a new linear factor $(x - \theta_i)$, where θ_i is a root of $f_1(x)$, and so of $U_n(x)$. Since $U_n(x)$ has at most p^n such factors, the process must end after at most p^n iterations. The polynomial $U_n(x)$ splits completely in K, say

$$U_n(x) = (x - \alpha_1) \cdots (x - \alpha_{p^n}).$$

The factors must be distinct, ie $U_n(x)$ is separable, since

$$U_n'(x) = 1$$

and so

$$gcd(U_n(x), U'_n(x)) = 1.$$

We claim that the roots

$$k = \{\alpha_1, \dots, \alpha_{p^n}\}$$

form a subfield of k, containing p^n elements. For suppose $\alpha, \beta \in k$. Then

$$\alpha^{p^n} = \alpha, \ \beta^{p^n} = \alpha \implies (\alpha\beta)^{p^n} = \alpha\beta$$
$$\implies \alpha\beta \in k,$$

 $while \ also$

$$(\alpha + \beta)^{p^n} = \alpha^{p^n} + \beta^{p^n} = \alpha + \beta \implies \alpha + \beta \in k.$$

Thus we have constructed a field with p^n elements.

ii. Suppose k, k' are two field with p^n elements. We assume the following result:

Lemma 1. If k is a finite field then the multiplicative group

$$k^{\times} = k \setminus \{0\}$$

is cyclic.

Let $\pi \in k$ be a generator of k^{\times} (ie a primitive element of k). Suppose the minimal polynomial of π over \mathbb{F}_p is m(x). We also assume the following result (an easy consequence of Lagrange's Theorem):

Lemma 2. If the field k contains p^n elements, say

$$k = \{\alpha_1, \ldots, \alpha_{p^n}\}$$

then

$$U_n(x) = \prod_{\alpha \in k} (x - \alpha).$$

It follows in particular that

$$U_n(\pi) = 0.$$

Hence

$$m(x) \mid U_n(x)$$

Passing to k', since

$$U_n(x) = \prod_{\alpha' \in k'} (x - \alpha')$$

and

$$m(x) \mid U_n(x)$$

it follows that there is an element $\pi' \in k'$ satisfying

$$m(\pi') = 0.$$

Since m(x) is irreducible, it is the minimal polynomial of π' . Hence if $f(x) \in \mathbb{F}_p[x]$ then

$$f(\pi) = 0 \iff m(x) \mid f(x) \iff f(\pi') = 0.$$

In particular, π' is a primitive element of k', since

$$\pi'^{d} = 1 \implies \pi' \text{ is a root of } x^{r} - 1$$
$$\implies \pi \text{ is a root of } x^{r} - 1$$
$$\implies \pi^{d} = 1.$$

Now we define a map

$$\theta: k \to k'$$

by setting

$$\theta(\pi^r) = {\pi'}^r,$$

together with $0 \mapsto 0$. We note that θ is well-defined, since π, π' have the same order. Suppose

$$\alpha = \pi^r, \ \beta = \pi^s.$$

Then

$$\theta(\alpha\beta) = \theta(\pi^{r+s})$$
$$= \pi^{r+s}$$
$$= \theta(\alpha)\theta(\beta).$$

Also

$$\alpha + \beta = \pi^t \implies f(\pi) = 0,$$

where

$$f(x) = x^r + x^s - x^t$$

In this case

$$f(\pi') = 0 \implies \pi'^r + \pi'^s = \pi'^t$$
$$\implies \theta(\alpha) + \theta(\beta) = \theta(\alpha + \beta).$$

It is trivial to show that these results also hold if one or more of α , β , $\alpha + \beta$ is 0. Hence

$$\theta:k\to k'$$

is a ring-homomorphism. Moreover, θ is injective since

$$\theta(\pi^r) = 0 \implies {\pi'}^r = 0 \implies \pi = 0.$$

which is impossible. Hence θ is an isomorphism. 8. Show that a finite abelian group A is the direct sum of its p-primary parts A_p (consisting of the elements of order p^e for some e).

Determine whether the equation

$$y^2 + xy = x^3 + 1$$

defines an elliptic curve over each of the fields $\mathbb{F}_2, \mathbb{F}_3, \mathbb{F}_4, \mathbb{F}_5, \mathbb{F}_7, \mathbb{F}_8, \mathbb{F}_9$; and in those cases where it does, determine the group on the curve.

Answer:

(a) Suppose

$$|A| = n = p_1^{e_1} \cdots p_r^{e_r}$$

Let

$$q_i = \prod_{j \neq i} p_j^{e_j} = \frac{n}{p_i^{e^i}}.$$

Then q_1, \ldots, q_r are co-prime, and so (by the Chinese Remainder Theorem) we can find m_1, \ldots, m_r such that

$$m_1q_1 + \dots + m_rq_r = 1.$$

Thus if $a \in A$ then

$$a = m_1 q_1 a + \dots + m_r q_r a.$$

But

$$m_i q_i a \in A_{p_i}$$

since

$$p_i^{e_i}(m_i q_i a) = m_i(p_i^{e_i} q_i)a$$
$$= m_i(na)$$
$$= 0.$$

Thus

$$A = A_{p_1} + \dots + A_{p_r}.$$

It remains to show that the sum is direct. Suppose

$$a_1 + \dots + a_r = 0,$$

where $a_i \in A_{p_i}$.

By Lagrange's Theorem,

$$p_j^{e_j}a_j = 0.$$

It follows that

if $i \neq j$. Hence

 $q_i a_j = 0$

 $q_i a_i = 0$

But since

$$gcd(p_i^{e_i}, q_i) = 1$$

we can find r, s such that

$$rp_i^{e_i} + sq_i = 1.$$

Then

$$a_i = rp_i^{e_i}a_i + sq_ia_i$$
$$= 0 + 0.$$

Thus

$$a_1 = \cdots = a_r = 0,$$

and so the sum is direct.

(b) $k = \mathbb{F}_2$ The equation takes the homogeneous form

$$F(X, Y, Z) \equiv Y^2 Z + XY Z + X^3 + Z^3 = 0.$$

We have

$$\begin{split} \partial F/\partial X &= YZ + X^2,\\ \partial F/\partial Y &= XZ,\\ \partial F/\partial Z &= Y^2 + XY + Z^2. \end{split}$$

At a singular point,

$$XZ = 0 \implies X = 0 \text{ or } Z = 0.$$

But

$$X = 0 \implies YZ = 0, \ Y^2 + Z^2 = 0 \implies Y = Z = 0,$$

while

$$Z = 0 \implies X = 0 \implies Y = 0.$$

Thus there is no singular point, and we have an elliptic curve. Returning to the inhomogeneous equation,

$$x = 0 \implies y^2 = 0 \implies y = 0$$

while

$$x = 1 \implies y^2 + y = 0,$$

which is true for y = 0, 1.

Thus there are 3 affine points (0,1), (1,0), (1,1) on the curve, which together with the point at infinity gives a group of order 4.

We have to determine if the group is

$$\mathbb{Z}/(4)$$
 or $\mathbb{Z}/(2) \oplus \mathbb{Z}/(2)$.

We can distinguish between these by the number of elements of order 2; the first group has 1, the second has 3.

Suppose $P = (x_0, y_0)$, Then -P is the point where the line OP through the point at infinity O = [0, 1, 0] meets the curve again. This is the line

$$x = x_0.$$

Thus P is of order 2, ie -P = P, if and only if this line meets the curve just once.

Since there is only 1 point with x = 0, the line x = 0 meets the curve twice at A = (0, 0). Thus A is of order 2.

There are two points on the line x = 1, so neither is of order 2.

We conclude that the group is $\mathbb{Z}/(4)$.

 $k = \mathbb{F}_3$ Completing the square on the left, the equation becomes

$$(y + x/2)^2 = x^3 + x^2/4 + 1,$$

ie

$$y'^2 = x^3 + x^2 + 1,$$

on setting y' = y + x/2 = y - x and noting that $1/4 = 1 \mod 3$. The polynomial $p(x) = x^3 + x^2 + 1$ is separable, since p'(x) = 2x and so gcd(p(x), p'(x)) = 1. Hence the curve is elliptic. The quadratic residues mod3 are 0, 1.

We draw up a table for $x, x^3 + x^2 + 1$ and possible y:

x	$x^3 + x^2 + 1$	y'
0	1	±1
1	0	0
-1	1	± 1

Thus the curve has 6 points (including the point at infinity). There is only one abelian group of order 6, namely $\mathbb{Z}/(6)$, so we conclude that this is the group on the curve.

 $k = \mathbb{F}_4$ The argument in the case $k = \mathbb{F}_2$ shows that the curve is non-singular, ie an elliptic curve $\mathcal{E}(\mathbb{F}_4)$. Also

$$\mathcal{E}(\mathbb{F}_2) = \mathbb{Z}/(4)$$

is a subgroup:

$$\mathcal{E}(\mathbb{F}_2) \subset \mathcal{E}(\mathbb{F}_4).$$

In particular, $\mathcal{E}(\mathbb{F}_4)$ is of order 4m for some m. Suppose $P = (a, b) \in \mathcal{E}(\mathbb{F}_4)$. The line

$$OP: x = a$$

meets the curve where

$$y(y+a) = a^3 + 1.$$

Thus OP meets the curve again at

$$-P = (a, y + a).$$

Note that

$$-P = P \iff a = 0 \iff P = (0, 1).$$

It follows that there is just 1 point of order 2. If $x \in \mathbb{F}_4^{\times}$ then

$$x^3 = 1$$

and so the equation reduces to

$$y(y+x) = 0.$$

Thus there are 2 solutions (x, 0), (x, x) for each $x \in \mathbb{F}_4 \setminus \mathbb{F}_2$. It follows that there are 8 points on the curve. Since there is a subgroup $\mathbb{Z}/(4)$ the group is either

$$\mathbb{Z}/(8)$$
 or $\mathbb{Z}/(4) \oplus \mathbb{Z}/(2)$.

Since there is only one point of order 2, we conclude that the group is $\mathbb{Z}/(8)$.

 $k = \mathbb{F}_5$ In this case 1/4 = -1 and the curve takes the form

$$y^2 = p(x) \equiv x^3 - x^2 + 1.$$

Since

$$p'(x) = 3x^2 - 2x = 3x(x+1).$$

Since neither 0 nor 1 is a root of p(x), the polynomial is separable, and the curve is elliptic. The quadratic residues mod 5 are $\{0, \pm 1\}$. We draw up a table as before:

Thus there are 9 + 1 = 10 points on the curve. Hence the group is $\mathbb{Z}/(10)$.

 $k = \mathbb{F}_7$ Since 1/4 = 2 in this case, the equation is

$$y^2 = p(x) \equiv x^3 + 2x^2 + 1.$$

We have

$$p'(x) = 3x^2 + 4x = 3x(x-1).$$

Since neither 0 nor 1 is a root of p(x), the polynomial is separable, and the curve is elliptic.

The quadratic residues mod 7 are $\{0, 1, 2, -3\}$. We draw up a table as before:

x	$x^3 + 2x^2 + 1$	y
0	1	± 1
1	-3	± 2
2	2	± 3
3	-3	± 2
-1	2	± 3
-2	1	± 1
-3	-1	—

Thus there are 12 + 1 = 13 points on the curve. Hence the group is $\mathbb{Z}/(13)$.

 $k = \mathbb{F}_8$ The argument in the cases \mathbb{F}_2 and \mathbb{F}_4 remains valid here; the curve is non-singular and so elliptic. As before, we can write the equation as

$$y(y+x) = x^3 + 1.$$

Thus the points appear in pairs P = (x, y), -P = (x, y + x),except when x = 0, in which case there is just one point (0, 1)of order 2. Also, as in the case \mathbb{F}_4 ,

$$\mathcal{E}(\mathbb{F}_2) = \mathbb{Z}/(4) \subset \mathcal{E}(\mathbb{F}_8),$$

and in particular there are 4n points for some n. [But note that \mathbb{F}_4 is not a subfield of \mathbb{F}_8 .] The Frobenius automorphism

$$\Phi: (x,y) \mapsto (x^2,y^2)$$

has order 3; so either

$$\Phi(P) = P \iff P \in \mathcal{E}(\mathbb{F}_2)$$

or else there is a triplet of points

 $\{P, \Phi P, \Phi^2 P\}.$

It follows that the number of points in $\mathcal{E}(\mathbb{F}_8) \setminus \mathcal{E}(\mathbb{F}_2)$ is divisible by 3, as well as 4.

Since there are at most 2 values of y for each of the 8 values of x, there are at most 16 points on the curve (there is just one point for x = 0 to balance the additional point at infinity). It follows that the curve contains either 4 or 16 points.

Hasse's Theorem tells us that the number N of points on the curve satisfies

$$|N-9| \le 2\sqrt{8} < 6,$$

from which it follows that

$$4 \le N \le 14.$$

Hence N = 4, and so the group is $\mathbb{Z}/(4)$.

 $k = \mathbb{F}_9$ As in the case \mathbb{F}_3 , we can complete the square on the left and the curve takes the form

$$y^2 = p(x) \equiv x^3 + x^2 + 1$$

We know that

$$\mathcal{E}(\mathbb{F}_3) = \mathbb{Z}/(6) \subset \mathcal{E}(\mathbb{F}_9).$$

In particular the curve has 6m points for some m. Recall that the point (x, y) is of order 2 if y = 0 and p(x) = 0. We know that (1, 0) is one such point. In fact

$$p(x) = (x - 1)(x^2 - x - 1).$$

The polynomial $g(x) = x^2 - x - 1$ is irreducible over \mathbb{F}_3 (since none of $\{0, \pm 1\}$ are roots). It follows that

$$\mathbb{F}_9 = \mathbb{F}_3[x]/(g(x)).$$

Hence

$$g(x) = (x - \alpha)(x - \beta)$$

with $\alpha, \beta \in \mathbb{F}_9$.

Thus there are 3 points of order 2 on the curve. Hence the 2-primary part of the group contains at least 4 points, and so the curve contains 12n points for some n.

There are at most 2 points for each $x \in \mathbb{F}_9$. [In fact only 1 for $x = 1, \alpha, \beta$.] It follows that the curve has 12 points. Since there are 3 points of order 2, the 2-primary part is $\mathbb{Z}/(2) \oplus \mathbb{Z}/(2)$. Hence the group is

$$\mathbb{Z}/(2) \oplus \mathbb{Z}/(2) \oplus \mathbb{Z}/(3) = \mathbb{Z}/(6) \oplus \mathbb{Z}/(2).$$

9. Show that the map

 $\Phi: x \mapsto x^p$

is an automorphism of the finite field \mathbb{F}_{p^e} ; and show that every automorphism of this field is of the form Φ^r for some r.

Find an irreducible polynomial f(x) of degree 5 over \mathbb{F}_2 . Hence or otherwise determine the group on the elliptic curve

$$y^2 + y = x^3 + x$$

over \mathbb{F}_{2^5} .

Answer:

(a) We have

$$\Phi(xy) = (xy)^p$$

= $x^p y^p$
= $\Phi(x)\Phi(y)$

while

$$\Phi(x+y) = (x+y)^p$$

= $x^p + y^p$
= $\Phi(x) + \Phi(y)$,

since

$$p \mid \binom{n}{r}$$

for $r = 1, \ldots, n - 1$.

Thus Φ is a ring-homomorphism. Moreover, Φ is injective since

$$\Phi(x) = 0 \implies x^p = 0 \implies x = 0.$$

Hence Φ is bijective (since the field is finite), ie Φ is an automorphism.

(b) Suppose Θ is an automorphism of $k = \mathbb{F}_{p^e}$. By definition $\Theta(1) = 1$. Hence Θ leaves invariant the elements of the prime subfield \mathbb{F}_p . We assume the following result:

Lemma 3. Suppose f(x) is an irreducible polynomial of degree e over \mathbb{F}_p . Then f(x) factorizes completely over \mathbb{F}_{p^e} ; and if α is one root then the others are

$$\Phi(\alpha), \Phi^2(\alpha), \Phi^{e-1}(\alpha).$$

This follows from the fact that the polynomial

$$\prod_{0 \le i < e} (x - \Phi^i \alpha)$$

is fixed under Φ , and so has coefficients in \mathbb{F}_p .]

Let π be a primitive element of k. Then Θ is completely determined by $\Theta(\pi)$, since

$$\Theta(\pi^r) = \Theta(\pi)^r.$$

Suppose m(x) is the minimal polynomial of π over \mathbb{F}_p . Then Θ leaves m(x) invariant, since it leaves \mathbb{F}_p invariant. It follows that Θ permutes the roots of m(x).

But by the Lemma, these roots are $\pi, \Phi\pi, \ldots, \Phi^{e-1}\pi$. Hence

$$\Theta \pi = \Phi^r \pi$$

for some r. It follows that

$$\Theta = \Phi^r.$$

(c) If a polynomial of degree 5 is reducible then it must have a factor of degree 1 or 2.

There is just one irreducible polynomial of degred 2 over \mathbb{F}_2 , namely $m(x) = x^2 + x + 1$. Thus a polynomial $f(x) \in \mathbb{F}_2[x]$ of degree 5 is irreducible unless it is divisible by x, x + 1 or m(x).

Also

$$x^3 \equiv 1 \bmod m(x),$$

since

$$x^3 - 1 = (x - 1)m(x).$$

Let

$$f(x) = x^5 + x^2 + 1.$$

Then

$$f(0) = f(1) = 1,$$

while

$$f(x) \equiv x^2 + x^2 + 1 = 1 \mod m(x)$$

since $x^5 \equiv x^2$. Hence f(x) is irreducible.

(d) Let us first verify that the curve is non-singular. The equation takes homogeneous form

$$F(X, Y, Z) = Y^2 Z + Y Z^2 + X^3 + X Z^2 = 0.$$

We have

$$\partial F / \partial X = X^2 + Z^2, \partial F / \partial Y = Z^2, \partial F / \partial Z = Y^2.$$

Thus at a singular point,

$$Y = Z = 0 \implies X = 0.$$

Hence there are no singular points, and the curve is elliptic. Three ideas help us determine the group on the curve:

i. Consider the points on the curve defined over \mathbb{F}_2 , forming the subgroup $\mathcal{E}(\mathbb{F}_2) \subset \mathcal{E}(\mathbb{F}_{2^5})$. It is readily verified that all 4 affine points

lie on the curve. Adding the point at infinity, it follows that

$$\mathcal{E}(\mathbb{F}_2) = \mathbb{Z}/(5).$$

In particular $\mathcal{E}(\mathbb{F}_{2^5})$ contains 5m points, for some m. ii. The equation can be written

1

$$y(y+1) = x^3 + x.$$

It follows that if P = (a, b) is on the curve then so is -P = (a, b + 1). (This second point is -P because it is the point where the line

$$OP: x = a$$

meets the curve again.)

We see in particular that there are no points of order 2 on $\mathcal{E}(\mathbb{F}_{2^5})$. So the number N of points is odd: 5,15,....

iii. Hasse's Theorem tells us that

$$|N - 33| \le 2\sqrt{32} = 8\sqrt{2}.$$

Since $[8\sqrt{2}] = 11$, this yields

$$22 \le N \le 44.$$

Thus

$$N = 25 \ or \ 35.$$

This leaves 3 possible cases:

$$\mathbb{Z}/(25), \ \mathbb{Z}/(35) \ and \ \mathbb{Z}/(5) \oplus \mathbb{Z}/(5).$$

iv. Consider the action of the Frobenius automorphism

$$\Phi: (x,y) \mapsto (x^2,y^2): \mathcal{E}(\mathbb{F}_{2^5}) \to \mathcal{E}(\mathbb{F}_{2^5}).$$

The fixed points of this map are precisely the 5 points of $\mathcal{E}(\mathbb{F}_2)$. Moreover,

$$\Phi^5 = I.$$

Thus the group

$$\langle \Phi \rangle = C_5$$

acts on the group on the curve; and the fixed elements under this action form a subgroup of order 5.

This last observation allows us to distinguish between the 3 cases. An automorphism θ of the group $\mathbb{Z}/(n)$ is completely determined by

$$\theta(\bar{1}) = \bar{a}.$$

Moreover, a must be invertible modn. It follows that

$$\operatorname{Aut}(\mathbb{Z}/(n)) = (\mathbb{Z}/n)^{\times}.$$

This group has $\phi(n)$ elements; and since

$$\phi(35) = \phi(5)\phi(7) = 4 \cdot 6 = 24,$$

the automorphism group of $\mathbb{Z}/(35)$ cannot contain an element of order 5; so this case is impossible.

The group $A = \mathbb{Z}/(25)$ has just one subgroup B with 5 elements. If an automorphism

$$\theta: A \to A$$

has ker $\theta = B$ then im $\theta = B$ and so $\theta^2 = 0$, contradicting the assumption that θ is an automorphism.

We are left with only 1 possibility; the group must be $\mathbb{Z}/(5) \oplus \mathbb{Z}/(5)$.

[Although not necessary for this question, it is worth noting that we can regard the group $\mathbb{Z}/(5) \oplus \mathbb{Z}/(5)$ as a 2-dimensional vector space over the field \mathbb{F}_5 .

Thus the automorphism group of this group is $GL(2, \mathbb{F}_5)$, the group of invertible 2×2 matrices over the field \mathbb{F}_5 .

We can construct such a matrix by first choosing a non-zero vector for first column; this can be done in $5^2 - 1 = 24$ ways. Then any vector can be chosen for the second row, except for the 5 scalar products of the first row. This can be done in $5^2 - 5 = 20$ ways. It follows that the automorphism group in this case has 480 elements. By Sylow's Theorem, the subgroups of order 5 are all conjugate; a typical one is formed by the matrices

$$\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \qquad (a \in \mathbb{F}_5).$$

It is readily verified that this automorphism subgroup leaves invariant a 1-dimensional subspace containing 5 vectors.]