Research Article

Iteration of Differentiable Functions under \( m \)-Modal Maps with Aperiodic Kneading Sequences

Maria de F. Correia, Carlos C. Ramos, and Sandra Vinagre

CIMA-UE and Department of Mathematics, University of Évora, Rua Romão Ramalho 59, 7000-671 Évora, Portugal

Correspondence should be addressed to Maria de Fátima Correia, mfac@uevora.pt

Received 30 March 2012; Accepted 21 May 2012

Academic Editor: Hans Engler

Copyright © 2012 Maria de Fátima Correia et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

We consider the dynamical system \((\mathcal{A}, T)\), where \(\mathcal{A}\) is a class of differentiable functions defined on some interval and \(T : \mathcal{A} \to \mathcal{A}\) is the operator \(T\phi := f \circ \phi\), where \(f\) is a differentiable \(m\)-modal map. The class \(\mathcal{A}\) is the class of differentiable functions \(\phi\) defined on an interval \([0, 1]\) with a finite number of critical points, satisfying the boundary conditions \(\phi'(0) = \phi'(1) = 0\) and \(\text{Im}(\phi) \subset I\), for a given interval \(I\). The nature of \(\mathcal{A}\) in terms of topological, metrical, or algebraic closure, for now, is not discussed since we are mainly interested in analyzing the qualitative changes of the elements in \(\mathcal{A}\), under the iteration of \(T\), from a combinatorial point of view. In particular,
we study the changes on the number of critical points, the relative localization of the critical points and critical values. The developed techniques allow us to study, in future work, the changes, under iteration of \( T \), of attributes of the elements in \( \mathcal{A} \), such as the oscillations, the mean value, the measure, the mean derivative, the length of the graph, among others.

The dynamical system \((\mathcal{A}, T)\) has infinite dimension, although induced by a one-dimensional discrete dynamical system \((I, f)\). From the topological point of view, the dynamical system \((I, f)\) is formally contained in \((\mathcal{A}, T)\), since the constant functions, \( \phi \equiv c \), belong trivially to \( \mathcal{A} \) and \( T(c) = f(c) \). Moreover, a monotone function \( \phi \in \mathcal{A} \) (nontrivial) determine a signed interval, \( ([\phi(0), \phi(1)], +) \) if \( \phi \) is an increasing function and \( ([\phi(1), \phi(0)], -) \) if \( \phi \) is a decreasing function. Then the dynamics of intervals under iteration of an interval map \( f \) is also formally contained in \((\mathcal{A}, T)\).

We determine the itineraries of a sufficiently large number of critical values of the iterated functions using the algorithm introduced in [7]. We analyze the evolution and the distribution of these critical values whose frequencies are displayed in histograms. Moreover, we obtained a numerical result which relates the relative frequencies of critical values with the growth number of \( f \), \( s(f) \). In this paper, we analyze the case of \( f \) being an \( m \)-modal map with aperiodic kneading sequences.

## 2. Preliminaries on Symbolic Dynamics

### 2.1. Symbolic Dynamics for \( m \)-Modal Maps

In this section, we describe some preliminaries on symbolic dynamics, in particular, aspects concerning to the \( m \)-modal maps on an interval \( I \).

Let \( I \subset \mathbb{R} \) be an interval. A map \( f : I \to I \) is called \( m \)-modal if it is in \( C^1(I) \) and has \( m \) critical points. Let \( c_i \), with \( i = 1, 2, \ldots, m \), be the \( m \) critical points of the map \( f \) such that \( c_0 < c_1 < \cdots < c_m < c_{m+1} \), where \( c_0 \) and \( c_{m+1} \) represent the boundary points of the interval \( I \).

In these conditions, consider the partition of the interval \( I \) into disjoint subsets

\[
I = I_1 \cup I_{C_1} \cup I_2 \cup I_{C_2} \cup \cdots \cup I_{C_m} \cup I_{m+1},
\]

where \( I_{C_i} \) is the set \( \{c_i\} \), \( i = 1, 2, \ldots, m \), and \( I_i \), \( i = 1, 2, \ldots, m + 1 \), are the intervals

\[
I_1 = [c_0, c_1], \quad I_2 = [c_1, c_2], \ldots, \quad I_{m+1} = [c_m, c_{m+1}].
\]

A maximal interval on which \( f \) is monotone is called a lap of \( f \) and the number \( l = l(f) \) of distinct laps is called the lap number of \( f \). Thus, each interval \( I_i \), \( i = 1, 2, \ldots, m + 1 \), is a lap. According to [8], the limit

\[
s(f) = \lim_{n \to \infty} l(f^n)^{1/n}
\]

is a real number in the interval \([1, l(f)]\) and is called the growth number of \( f \).

The topological entropy of \( f \) is

\[
h_{\text{top}}(f) = \log(s(f)) = \lim_{n \to \infty} \frac{1}{n} l(f^n),
\]

see [9].
Next, to each point \( x \in I_i, \; i = 1, 2, \ldots, m + 1 \), we assign the symbol \( i, \; i = 1, 2, \ldots, m + 1 \), or \( C_i, \; i = 1, 2, \ldots, m \), if \( x = c_i, \; i = 1, 2, \ldots, m \). This assignment is called the address of \( x \), and it is denoted by \( \text{ad}(x) \). The address of the point \( x \), \( \text{ad}(x) \), is thus given by

\[
\text{ad}(x) = \begin{cases} 
  i & \text{if } x \in I_i, \; i = 1, 2, \ldots, m + 1, \\
  C_i & \text{if } x \in I_{C_i}, \; i = 1, 2, \ldots, m.
\end{cases}
\] (2.5)

As usual, we get a correspondence between orbits of points and symbolic sequences of the alphabet \( \{1, C_1, 2, \ldots, m + 1\} \), the itinerary of \( x \) under \( f \), which is defined by

\[
it(x) := \text{ad}(x) \; \text{ad}(f(x)) \; \text{ad}(f^2(x)) \cdots \in \{1, C_1, 2, \ldots, m + 1\}^\mathbb{N}.
\] (2.6)

The orbits, under \( f \), of the critical points are of special importance, in particular, their itineraries. Following [8], for each critical point the kneading sequence is \( \mathcal{K}_i := \text{it}(f(c_i)), \; i = 1, 2, \ldots, m \), and the collection of symbolic sequences \( \mathcal{K}_f := (\mathcal{K}_1, \ldots, \mathcal{K}_m) \) is called the kneading invariant of \( f \).

A symbolic sequence \( (i_k)_{k \geq 1} \) in \( \{1, C_1, 2, \ldots, m + 1\}^\mathbb{N} \) is called admissible, with respect to \( f \), if it occurs as an itinerary for some point \( x \) in \( I \). The set of all admissible sequences in \( \{1, C_1, 2, \ldots, m + 1\}^\mathbb{N} \) is denoted by \( \Sigma \).

In the sequence space \( \Sigma \), we define the usual shift map \( \sigma : \Sigma \rightarrow \Sigma \) by

\[
\sigma(i_1 i_2 i_3 \cdots) = i_2 i_3 \cdots.
\] (2.7)

Moreover, the following relation with \( f \) and the itinerary map is satisfied

\[
\sigma(\text{it}(x)) = \text{it}(f(x)).
\] (2.8)

Therefore, we obtain the symbolic system \( (\Sigma, \sigma) \) associated with the discrete dynamical system \( (I, f) \).

An admissible word is a finite sub-sequence occurring in an admissible sequence. The set of admissible words of size \( k \) occurring in some sequence from \( \Sigma \) is denoted by \( \mathcal{K}_k = \mathcal{K}_k(f) \). We define the cylinder set \( I_{i_0 i_1 \cdots i_k} \subset I, \; I_0 i_1 \cdots i_k \in \mathcal{K}_{k+1} \), by

\[
I_{i_0 i_1 \cdots i_k} = \left\{ x \in I : x \in I_{i_0}, f(x) \in I_{i_1}, \ldots, f^k(x) \in I_{i_k} \right\} = I_{i_0} \cap f^{-1}(I_{i_1}) \cap \cdots \cap f^{-k}(I_{i_k}).
\] (2.9)

In other words, \( x \in I_{i_0 i_1 \cdots i_k} \) means that \( \text{ad}(x) = i_0, \; \text{ad}(f(x)) = i_1, \ldots, \; \text{ad}(f^k(x)) = i_k \).

Consider the sign function \( \varepsilon : \bigcup_{k=1}^{\infty} \mathcal{K}_k \rightarrow \{-1, 0, 1\} \) defined by

\[
\varepsilon(i_1 \cdots i_k) = \prod_{j=1}^{k} \varepsilon(j),
\] (2.10)
The parity, with respect to the map \( f \), of a given admissible word \( i_1, \ldots, i_k \in \mathcal{K}_k \), is even if \( \varepsilon(i_1 \cdots i_k) = 1 \) and odd if \( \varepsilon(i_1 \cdots i_k) = -1 \). From the order relation \( 1 < C_1 < 2 < \cdots < m + 1 \), inherited from the order of the intervals of the partition of the interval \( I \), we introduce an order relation between symbolic sequences as follows: given any distinct sequences \((i_k)_{k \geq 1}, (j_k)_{k \geq 1} \in \{1, C_1, 2, \ldots, m + 1\}^{\mathbb{N}}\), admitting that they have a common initial subsequence, \( i_r \cdot \cdots \cdot i_1 > j_r \cdot \cdots \cdot j_1 \) if and only if \( i_{r+1} < j_{r+1} \) and \( \varepsilon(i_1 \cdots i_r) = 1 \) or \( j_{r+1} < i_{r+1} \) and \( \varepsilon(i_1 \cdots i_r) = -1 \).

### 2.2. Symbolic Dynamics for the Infinite Dynamical System \((\mathcal{A}, T)\)

Now, consider an \( m \)-modal map \( f \) in the class \( C^1(I, I) \), for a certain interval \( I \subset \mathbb{R} \), and the class of differentiable functions

\[
\mathcal{A} = \left\{ \varphi \in C^1([0,1], I) : \varphi'(0) = \varphi'(1) = 0, |cp(\varphi)| < \infty \right\},
\]

(2.11)

where \( |cp(\varphi)| \) denotes the number of critical points of \( \varphi \).

Let \( T \) be the operator

\[
T : \mathcal{A} \rightarrow \mathcal{A},
\]

\[
\varphi \mapsto f \circ \varphi.
\]

(2.12)

Note that this operator is well defined since \( (f \circ \varphi)'(0) = (f \circ \varphi)'(1) = 0 \). Moreover, if \( \varphi \in \mathcal{A} \) and \( \text{Im}(\varphi) \subset I \), then \( \text{Im}(T^k \varphi) \subset I \) for every \( k \in \mathbb{N} \). Therefore, we obtain a discrete dynamical system \((\mathcal{A}, T)\) in the sense that we have a set \( \mathcal{A} \) (eventually with additional structure, a topology or a metric, for now not specified) and a self-map \( T \), which characterizes the discrete time evolution.

In order to introduce a symbolic description for the discrete dynamical system \((\mathcal{A}, T)\), let us consider the decomposition of \( \mathcal{A} \) into the following classes (see [10–12]):

\[
\mathcal{A}_c = \{ \varphi \in \mathcal{A} : \varphi(x) \text{ is constant in } [0,1] \},
\]

\[
\mathcal{A}_0 = \{ \varphi \in \mathcal{A} : \varphi \text{ has no critical points in } ]0,1[ \},
\]

\[
\mathcal{A}_j = \{ \varphi \in \mathcal{A} : \varphi \text{ has } j \text{ critical points in } ]0,1[ \}, \quad j = 1, 2, \ldots.
\]

(2.13)

Let \( \varphi \in \mathcal{A} \) and let \( \eta(\varphi) \) be the number of nontrivial critical points of \( \varphi \) (inside \([0,1]\)). In this case, if \( \varphi \in \mathcal{A}_j, j \in \mathbb{N}_0, \) then \( \eta(\varphi) = j \) and the total number of critical points is \( \eta(\varphi) + 2 = j + 2 \). We are interested in the symbolic description of the dynamical evolution of a function \( \varphi \) under iteration of \( f \), which has essentially a topological meaning; therefore, the important aspect is to distinguish and codify the critical points and the critical values of \( \varphi \). Given \( \varphi \in \mathcal{A} \), we identify its critical points and collect the addresses and itineraries of the corresponding
critical values. The generalized symbolic space is \( \Sigma := \cup_{i \in \mathbb{N}} \Sigma^{i+1} \), where \( \Sigma^{i+1} = \Sigma \times \Sigma \times \cdots \times \Sigma \) (\( j + 1 \) times), and we define the generalized address map for the space \( \mathcal{A} \) by

\[
\text{ad} : \mathcal{A} \rightarrow \{1, C_1, 2, \ldots, m + 1\}^{\eta(\phi)+2}
\]

\[
\phi \mapsto \text{ad}(\phi) := (\text{ad}(d_0), \text{ad}(d_1), \ldots, \text{ad}(d_{\eta(\phi)}), \text{ad}(d_{\eta(\phi)+1}))
\]

and the generalized itinerary map for the space \( \mathcal{A} \) by

\[
\text{it} : \mathcal{A} \rightarrow \Sigma
\]

\[
\phi \mapsto \text{it}(\phi) = (\text{it}(d_0), \text{it}(d_1), \ldots, \text{it}(d_{\eta(\phi)}), \text{it}(d_{\eta(\phi)+1}))
\]

where \( d_j := \phi(a_j) \), \( j = 0, 1, \ldots, \eta(\phi) + 1 \), are the critical values of \( \phi \) in the interval \( I \) (with \( d_0 = \phi(0) \) and \( d_{\eta(\phi)+1} = \phi(1) \)).

Let \( i^{(j)} = i_1^{(j)} i_2^{(j)} \ldots \) be such that \( i^{(j)} = \text{it}(d_j) \), \( j = 0, 1, 2, \ldots, \eta(\phi) + 1 \). The generalized shift map is then defined by

\[
\sigma(i^{(j)}) = \begin{cases} 
\sigma(i^{(j)}), & \text{if } i_1^{(j)} = i_1^{(j+1)}, \\
\sigma(i^{(j)}), & \text{if } i_1^{(j)} \neq i_1^{(j+1)}, i_1^{(j)} < i_1^{(j+1)}, \\
\sigma(i^{(j)}), & \text{if } i_1^{(j)} \neq i_1^{(j+1)}, i_1^{(j+1)} < i_1^{(j)}, \\
\sigma(i^{(j)}), & \text{if } i_1^{(j)} \neq i_1^{(j+1)}, i_1^{(j)} < i_1^{(j+1)}, \\
\sigma(i^{(j)}), & \text{if } i_1^{(j)} \neq i_1^{(j+1)}, i_1^{(j+1)} < i_1^{(j)}.
\end{cases}
\]

where \( \mathcal{K}^{(i)}_{i_1} \) is the kneading sequence corresponding to the critical point of \( f \), localized between the critical values \( d_j \) and \( d_{j+1} \), with \( i_1^{(j)} \in \{1, \ldots, m + 1\} \), \( j = 0, 1, 2, \ldots, \eta(\phi) \). We obtain a symbolic system \( (\Sigma_\sigma) \) associated to \( (\mathcal{A}, T) \). Similarly to the finite-dimensional discrete dynamical systems, it is verified the following result.

**Theorem 2.1.** Let \( \phi, \tilde{\phi} \in \mathcal{A} \) with \( \phi \neq \tilde{\phi} \) so that \( \text{it}(\phi) = \text{it}(\tilde{\phi}) \), then

\[
\text{it}\left(T^k \phi\right) = \text{it}\left(T^k \tilde{\phi}\right), \quad k \in \mathbb{N}_0.
\]

Moreover,

\[
\sigma \circ \text{it} = \text{it} \circ T.
\]

The previous definition and results allow us, knowing only the itineraries of the critical values of an initial condition \( \phi_0 \) and the kneading invariant of \( f, \mathcal{K}_f \), to obtain explicitly the itineraries of the critical values of \( \phi_k = T^k \phi_0 \), \( k \in \mathbb{N} \), see the next example.
Example 2.2. Let us consider a 4-modal map \( f \) characterized by the kneading invariant

\[
\mathcal{K}_f = ((555C_1)\infty, (15C_2)\infty, (53C_3)\infty, (124C_4)\infty),
\]

(with the alphabet \( \{1, C_1, 2, C_2, 3, C_3, 4, C_4, 5\} \)), see Figure 1. Now, we consider the generalized itinerary of the function \( \phi_0 \in \mathcal{A} \) given by \( \text{it}(\phi_0) = ((15)\infty, (253)\infty, (413)\infty) \), with respect to the kneading invariant \( \mathcal{K}_f \). Using the Theorem 2.1, we obtain the temporal evolution by \( T \) of \( \phi_0 \):

\[
((15)\infty, (253)\infty, (413)\infty) \rightarrow ((51)\infty, \mathcal{K}_1, (532)\infty, \mathcal{K}_2, (134)\infty) \\
= ((51)\infty, (555C_1)\infty, (532)\infty, (15C_2)\infty, (53C_3)\infty, (134)\infty) \rightarrow \\
((15)\infty, (55C_1)\infty, (5C_1)\infty, (325)\infty, \mathcal{K}_4, (52C_2)\infty, (3C_1)\infty, (341)\infty) \\
= ((15)\infty, (55C_1)\infty, (325)\infty, (124C_2)\infty, (53C_3)\infty, (15C_2)\infty, (555C_1)\infty, \\
(5C_21)\infty, (3C_1)\infty, (341)\infty) \rightarrow \\
((51)\infty, \mathcal{K}_1, \mathcal{K}_2, \mathcal{K}_3, (5C_1)\infty, \mathcal{K}_4, (5C_21)\infty, \mathcal{K}_1, (5C_2)\infty, (24C_4)\infty, (5C_1)\infty, \mathcal{K}_1, \mathcal{K}_2, (5C_2)\infty, \mathcal{K}_4, \\
(3C_1)\infty, \mathcal{K}_4, \mathcal{K}_3, \mathcal{K}_2, (5C_1)\infty, \mathcal{K}_1, \mathcal{K}_2, \mathcal{K}_3, \mathcal{K}_4, (55C_1)\infty, (C_21)\infty, (5C_3)\infty, (413)\infty) \\
= ((51)\infty, (555C_1)\infty, (15C_2)\infty, (53C_3)\infty, (124C_4)\infty, (5C_1)\infty, (124C_4)\infty, (53C_3)\infty, \\
(253)\infty, (15C_2)\infty, (24C_4)\infty, (55C_1)\infty, (15C_2)\infty, (53C_3)\infty, (124C_4)\infty, (3C_1)\infty, \\
(124C_4)\infty, (53C_3)\infty, (15C_2)\infty, (555C_1)\infty, (5C_21)\infty, (555C_1)\infty, (15C_2)\infty, (53C_3)\infty, \\
(124C_4)\infty, (55C_1)\infty, (C_215)\infty, (C_35)\infty, (413)\infty) \rightarrow \cdots
\]

(2.20)

3. The Evolution and Distribution of the Aperiodic Critical Values of the Iterated Functions

In our previous work, we analyze the evolution and distribution of the periodic critical values of the iterated functions when the kneading sequences of \( f \) are periodic. Moreover, we developed the following algorithm based only on the kneading invariant of \( f \). This algorithm computes symbolically all the itineraries of a sufficiently large number of critical values of iterated functions in few minutes. Using this algorithm, we overcome many difficulties in the study related with itineraries of critical values when we use numerical methods.

Algorithm 3.1 (to compute the itineraries of all critical values of \( \phi_n \)). Let \( K_1, K_2, X_0 \), and \( n \) be the inputs and let \( L \) and \( Y \) be the outputs.

\begin{itemize}
  \item \textbf{Step 0.} Set \( L = \{\} \), \( Z = X_0 \) and \( i = 1 \).
  \item \textbf{Step 1.} If \( Y = \{\} \), compute \( |Z| \) and append the sequence \( \sigma(Z[1]) \) to the vector \( Y \). Set \( j = 1 \) and go to the Step 2.
  \item \textbf{Step 2.} If \( j \leq |Z| - 1 \), then design by \( y \) the first symbol of \( Z[j] \) and by \( z \) the first symbol of \( Z[j+1] \), and go to the Step 3. Otherwise, go to the Step 7.
  \item \textbf{Step 3.} If \( y = 1 \) (resp. \( y = C_2 \) or \( y = 2 \)) and \( z = C_2 \) or \( z = 2 \) (resp. \( z = 1 \)), then append
Figure 1: Graph of the map $f$ characterized by the kneading invariant $K_f = ((555C_1)\infty, (15C_2)\infty, (53C_3)\infty, (124C_4)\infty)$.

$K_1$ to the vector $Y$ and the $\sigma(Z[j+1])$, set $j = j + 1$ and return to the Step 2. Otherwise, go to the Step 4.

**Step 4.** If $y = 3$ (resp. $y = C_1$ or $y = 2$) and $z = C_1$, or $z = 2$ (resp. $z = 3$), then append $K_2$ to the vector $Y$ and the $\sigma(Z[j+1])$, set $j = j + 1$, and return to the Step 2. Otherwise, go to the Step 5.

**Step 5.** If $y = 1$ and $z = 3$ then, append $K_1$ and $K_2$ to the vector $Y$ and the $\sigma(Z[j+1])$, set $j = j + 1$, and return to the Step 2. Otherwise, go to the Step 6.

**Step 6.** If $y = 3$ and $z = 1$, then append $K_2$ and the $K_1$ to the vector $Y$ and the $\sigma(Z[j+1])$, set $j = j + 1$, and return to the Step 2.

**Step 7.** Append the vector $Y$ to the set $L$ and set $Z = Y$. If $i = n$, the algorithm ends; otherwise, set $i = i + 1$ and return to the Step 1.

In the following example, we illustrate an implementation of the Algorithm 3.1 in *Mathematica* 6.0 for a bimodal $f$ with periodic kneading sequences.

**Example 3.2.** Let us consider a bimodal map $f : I \to I$ characterized by the kneading invariant $K_f = ((332C_1)\infty, (112C_2)\infty)$ and the function $\phi_0 \in \mathcal{A}$ such that $it(\phi_0) = ((312)\infty, (32)\infty)$. Considering

$$K_1 = 332C_1, \quad K_2 = 112C_2, \quad X_0 = \{312, 32\}, \quad n = 14,$$

(3.1)
we obtain a large number of critical values of \( \phi_{14} = T^{14}\phi_0 \), in this case 181369 critical values for \( \phi_{14} \). Moreover, we obtain the itineraries \( \bar{\pi}(\phi_k) \), for \( k = 0, 1, 2, 3, \ldots, 7, \ldots, 14 \), given by

\[
(123, \ 23) \\
\downarrow \\
(231, \ 332C_1, \ 32) \\
\downarrow \\
(312, \ 112C_2, \ 32C_3, \ 23) \\
\downarrow \\
(123, 112C_2, 332C_1, 12C_2, 32C_1, 112C_2, 2C_1, 33, 112C_2, 32C_1, 12C_2) \\
\downarrow \\
\vdots
\]

(3.2)
To apply the algorithm when the kneading sequences of $f$ are periodic, we only consider the periodic part of each kneading sequence. However, there is no difficulty in applying the algorithm when the kneading sequences of $f$ are aperiodic. In this case, the length of the kneading sequences is not finite. Then, we make explicit at least the first $p$ symbols of each kneading sequence to compute the itineraries of critical values of $\phi_k = T^p \phi_0$, for some fixed $p \in \mathbb{N}$.

In this section, we analyze the evolution and distribution of the periodic critical values of the iterated functions when the kneading sequences of $\phi_k$ are not finite. Then, we make explicit at least the first periodic part of each kneading sequence. However, there is no difficulty in applying the algorithm when the kneading sequences of $\phi_k$ are aperiodic. As we present in the following results, the new distinct critical values obtained at each iteration depend on the kneading sequences of $f$. Then, the new critical values will also be aperiodic points which belong to some critical orbits. The number of different critical values will always grow and never stabilize. The reason is that the itinerary of each critical value will never repeat again under iteration. We also analyze the type of growth of these critical values.

The next result illustrates the set of the itineraries of all critical values of an iterated function $\phi_k$. As previously, $\eta(\phi_0)$ denotes the number of the nontrivial critical points of $\phi_0$ and $d_j$, $j = 0, 1, 2, \ldots$, $\eta(\phi_0) + 1$, denote the critical values of $\phi_0$. Let $\nu(\phi_0)$ be the number of the critical values of $\phi_0$.

Since the map $f$ restricted to a subinterval $I_i$ is monotone, $f$ is invertible if restricted to $I_i$, $i = 1, \ldots, m$. Denote by $f^{-1}_i$ the inverse map $f^{-1}_i : f(I_i) \to I_i$. Let $c_i, i = 1, \ldots, m$, be the critical points of the map $f$, and let $U_i \subset I$ be the maximal interval containing $c_i$, consisting of points whose orbits tend to the stable periodic orbit of $c_i$, see [13].

Let $\Lambda_{i_1 i_2 \ldots i_p} \subset I$ be given by $\Lambda_{i_1 i_2 \ldots i_p} := \bigcup_{i=1}^{m} f^{-1}_i \bigcirc f^{-1}_{i_2} \bigcirc \ldots \bigcirc f^{-1}_{i_p}(U_{i_1})$, $i = 1, \ldots, m$, $i_1 i_2 \ldots i_p \in \mathcal{W}_p$, $p \in \mathbb{N}$. Therefore, the basin of attraction for $f$ is given by $\Lambda = \bigcup_{p=1}^{\infty} \bigcup_{i=1}^{m} \bigcup_{i_1 i_2 \ldots i_p} \Lambda_{i_1 i_2 \ldots i_p}$.

**Proposition 3.3.** Let $f$ be an $m$-modular map, $\phi_0 \in \mathcal{A}$ and $\phi_k = T^k \phi_0$, $k \in \mathbb{N}_0$. If the kneading sequences of $f$, $\mathcal{K}_i$, are aperiodic, then the itineraries of the critical values of $\phi_k$, $k \in \mathbb{N}_0$, will belong to the set

$$\bigcup_{i=1}^{m} \{\sigma^q(\mathcal{K}_i), q = 0, 1, 2, \ldots, k-1\} \cup \bigcup_{j=0}^{\eta(\phi_0)+1} \{\sigma^j(\mathcal{I}(d_j)), l = 0, 1, 2, \ldots, k-1\}, \quad (3.3)$$

where $d_j$, $j = 0, 1, 2, \ldots$, $\eta(\phi_0) + 1$, are the critical values of $\phi_0$. Moreover, $\nu(\phi_k)$ will always grow and never stabilize.

**Proof.** Let $c_i$, $i = 1, 2, \ldots, m$, be the critical points of the map $f$. In [12], we proved that all critical points of $\phi_k = T^k \phi_0$, $k \in \mathbb{N}_0$ arise in the following two ways:

(i) a critical point of $\phi_{k-1}$ will be a critical point of $\phi_k$;

(ii) every critical point of $\phi_k$, which is not a critical point of $\phi_{k-1}$, is a point $y$ in which $\phi_{k-1}(y) = c_i$ for some $i = 1, 2, \ldots, m$.

Therefore, from (i), the critical values of $\phi_k$ correspond to the image under $f$ of the critical values of $\phi_{k-1}$.

From (ii), the new critical values of $\phi_k$ are always $f(c_i)$, $i = 1, 2, \ldots, m$. Then the critical values of $\phi_k$ are either points in the set $\{f^k(d_j), k \in \mathbb{N}\}$ or points in the set $\{\nu(\phi_0) + 1\}$. 

\[ \bigcup_{i=1}^{m} \{ \sigma^i(\mathcal{K}_i), q = 0, 1, 2, \ldots, k - 1 \} \cup \bigcup_{j=0}^{\eta(\phi)+1} \{ \sigma^j(\text{it}(d_i)), l = 0, 1, 2, \ldots, k - 1 \}. \] (3.4)

Since we are considering aperiodic points \( c_i, i = 1, 2, \ldots, m \), the set
\[ \bigcup_{i=1}^{m} \{ f^k(c_i), k \in \mathbb{N}_0 \} \] (3.5)
is infinite.

For a fixed \( k \in \mathbb{N}_0 \), we have that the number of distinct critical values of a certain \( \phi_k \) is \( v(\phi_k) \leq v(\phi_{k+1}) \). We have that \( v(\phi_k) \) grows with \( k \).

Let \( i_1i_2\cdots \) be an aperiodic sequence in \( \Sigma \) and let \( N_{i_1i_2\cdots}(\phi) \) denote the number of times which \( i_1i_2\cdots \) occurs as itinerary of a critical value of \( \phi \in \mathcal{A} \). The following result illustrates the frequencies of occurrence of the critical values whose itineraries are obtained of \( \mathcal{K}_i, i = 1, 2, \ldots, m \).

**Proposition 3.4.** Let \( f \) be an \( m \)-modal map, \( \phi_0 \in \mathcal{A} \) (with \( \phi_0 \notin \mathcal{A}_c \)), and \( \phi_k = T^k\phi_0, \ k \in \mathbb{N}_0 \). If the kneading sequences of \( f, \mathcal{K}_i \), are aperiodic then for each \( k \in \mathbb{N}_0 \), we have
\[ N_{\sigma^i(\mathcal{K}_i)}(\phi_k) \leq N_{\sigma^i(\mathcal{K}_i)}(\phi_k), \] (3.6)
for \( q > p \) with \( p, q = 0, 1, 2, \ldots, k - 1, \ i = 1, 2, \ldots, m \).

**Proof.** As defined previously, \( N_{\sigma^i(\mathcal{K}_i)}(\phi_k) \) is the number of times, which \( \sigma^i(\mathcal{K}_i) \) occurs as itinerary of a critical value of \( \phi_k \), for some fixed \( i \in \{1, 2, \ldots, m\} \) and some fixed \( q \in \{0, 1, 2, \ldots, k - 1\} \).

If the itinerary of some critical values of \( \phi_k \) is \( \sigma^i(\mathcal{K}_i) \), then the itinerary of these critical values is \( \mathcal{K}_i \) for \( \phi_{k-q} \), which correspond to the new critical points of \( \phi_{k-q} \). These new critical points are derived of the \( (k - q - 1) \)-preimages of \( c_i \), that is, \( \phi_{k-q-1}(y) = c_i \).

Similarly, if the itinerary of some critical values of \( \phi_k \) is \( \sigma^p(\mathcal{K}_i) \), then these critical values correspond to new critical points of \( \phi_{k-p} \) that are obtained of the \( (k-p-1) \)-preimages, under \( f \) of \( c_i \), that is, \( \phi_{k-p-1}(y) = c_i \).

Since \( q > p \), then \( k - q - 1 < k - p - 1 \). In this case, the number of the admissible \( k - 1 - q \)-preimages is less or equal to the number of the admissible \( k - 1 - p \)-preimages since the number of laps of \( f^{k-q} \) is less than the number of laps of \( f^{k-p} \). Therefore,
\[ N_{\sigma^i(\mathcal{K}_i)}(\phi_k) \leq N_{\sigma^i(\mathcal{K}_i)}(\phi_k), \] (3.7)
for \( q > p \) with \( p, q = 0, 1, 2, \ldots, k - 1, \ i = 1, 2, \ldots, m \).

In order to illustrate the previous results, consider the following example.
Example 3.5. Consider the bimodal map $f$ characterized by the kneading invariant

$$\mathcal{K}_f = (332311321321, 113113323323\ldots)$$

(3.8)

which analytical expression is given approximately by

$$f(x) = 3.9333x^3 - 0.00665413x^2 - 2.9333x + 0.00665413$$

(3.9)

and the function $\phi_0 \in \mathcal{A}$ such that

$$\operatorname{it}(\phi_0) = (1^\infty, 3^\infty, 1^\infty, 3^\infty).$$

(3.10)

According to the Proposition 3.3, we have that the itineraries of the critical values of $\phi_10 = T^{10}\phi_0$ belong to the set

$$\{\sigma^q(332311321321\ldots), q = 0, 1, \ldots, 9\} \cup \{\sigma^q(113113323323\ldots), q = 0, 1, \ldots, 9\}$$

$$\cup \{\sigma^l(1^\infty), l = 0, 1, \ldots, 9\} \cup \{\sigma^l(3^\infty), l = 0, 1, \ldots, 9\}$$

$$= (332311321321\ldots, 32311321321\ldots, 2311321321\ldots, 311321321\ldots, 1321321\ldots, 321321\ldots, 2321321\ldots, 3132132323\ldots, 1313323232\ldots, 3113323232\ldots, 3323\ldots, 323\ldots, 1^\infty, 3^\infty).$$

(3.11)

Regarding that $\mathcal{K}_1 = 332311321321\ldots$ and $\mathcal{K}_2 = 113113323323\ldots$, by the Proposition 3.4, we have

$$N_{\sigma^l(\mathcal{K}_1)}(\phi_10) \leq N_{\sigma^l(\mathcal{K}_1)}(\phi_10) \leq \cdots \leq N_{\sigma^l(\mathcal{K}_1)}(\phi_10) \leq N_{\sigma^l(\mathcal{K}_1)}(\phi_10),$$

$$N_{\sigma^l(\mathcal{K}_2)}(\phi_10) \leq N_{\sigma^l(\mathcal{K}_2)}(\phi_10) \leq \cdots \leq N_{\sigma^l(\mathcal{K}_2)}(\phi_10) \leq N_{\sigma^l(\mathcal{K}_2)}(\phi_10).$$

(3.12)
Indeed, we have

\[
\begin{align*}
N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 4, & N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 12, & N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 36, \\
N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 100, & N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 268, & N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 716, \\
N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 1908, & N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 5060, & N_{\sigma^i(\mathcal{K}_1)}(\phi_{10}) &= 13412, \\
N_{\mathcal{K}_1}(\phi_{10}) &= 35548, & N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 4, & N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 12, & N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 36, \\
N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 92, & N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 244, & N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 644, \\
N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 1708, & N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 4524, & N_{\sigma^i(\mathcal{K}_2)}(\phi_{10}) &= 11996, \\
N_{\mathcal{K}_2}(\phi_{10}) &= 31780.
\end{align*}
\]

Let us consider a bimodal map \(f\) with aperiodic kneading sequences and growth number \(s(f)\). Let \(\phi_0 \in \mathcal{A}\) be a function and \(\phi_k = T^k\phi_0\), \(k \in \mathbb{N}_0\). Recall that \(N_{\sigma^j(\phi)}\) is the number of times that \(i^{(j)}\), \(j = 0, 1, 2, \ldots, \nu(\phi_k)\), occurs as itinerary of the critical values of \(\phi \in \mathcal{A}\). We define the relative frequency of the critical values of \(\phi_k\) by

\[
H_{\sigma^j(\phi_k)} := \frac{N_{\sigma^j(\phi_k)}}{\eta(\phi_k) + 2}, \quad j = 0, 1, 2, \ldots, \nu(\phi_k),
\]

where \(i^{(j)}\) is the itinerary of the \(j\)-th critical value of \(\phi_k\). These values \(H_{\sigma^j(\phi_k)}\) range between 0 and 1 and verify the following equality:

\[
H_{\sigma^0(\phi_k)} + H_{\sigma^1(\phi_k)} + \cdots + H_{\sigma^{\nu(\phi_k)-1}(\phi_k)} = 1.
\]

We denote by \(H\) the set of the values \(H_{\sigma^j(\phi_k)}\), \(j = 0, 1, 2, \ldots, \nu(\phi_k)\), and we construct histograms using these values.

4. Numerical Results

Next, we present a numerical result that relates \(H_{\sigma^i(\mathcal{K}_i)}(\phi_k)\), the relative frequencies of the critical values of \(\phi_k\) given by \(\sigma^i(\mathcal{K}_i)\), \(q = 0, 1, 2, \ldots, k - 1\), for each \(i = 1, 2, \ldots, m\).

Let \(\Lambda \subset I\) be the basin of attraction for \(f\) and \(\phi_0 \in \mathcal{A}\) such that \(\text{Im}(\phi_0) \notin \Lambda\). If \(\mathcal{K}_i\) is the kneading sequence of \(f\), then

\[
\frac{H_{\sigma^i(\mathcal{K}_i)}(\phi_k)}{H_{\sigma^{i,n}(\mathcal{K}_i)}(\phi_k)} \longrightarrow s^n(f), \quad \text{since } k \longrightarrow \infty, \quad q=0, 1, 2, \ldots, k - 1, \quad n=1, 2, \ldots, k - 2, \quad i=1, 2, \ldots, m.
\]

In a simple and concise way, we can explain the numerical result in (4.1), relating it with the growth rate of the critical points of \(\phi_k\). There is a relationship between the growth...
rate of the critical points of \( \phi_k \) and the growth number of \( f, s(f) \). Since the growth rate of the critical points of \( \phi_k \) is related with the growth of the preimages under \( f \) of the critical points \( c_i, i = 1, 2, \ldots, m \), we have that the growth of the number of each distinct critical value, that results of the kneading sequence \( \mathcal{K}_i \), is related with growth of the critical points correspondent to the preimages under \( f \) of the critical points \( c_i \), for each \( i \in \{1, 2, \ldots, m\} \).

An estimation of the growth number of \( f, s(f) \), is given by

\[
s(f) \approx \frac{\eta(\phi_k) + 2}{\eta(\phi_{k-1}) + 2}, \quad \text{as } k \to \infty. \tag{4.2}
\]

Usually, a practical way to compute the growth number of \( f, s(f) \), when the kneading sequences of \( f \) are periodic is through the spectral radius of the transition matrix associated to the kneading invariant of \( f \), see [14]. Using the algorithm, we spend less time computing the growth number of \( f \) than computing the transition matrix associated to the kneading invariant of \( f \). Here, we compute numerically the total number of critical points of the iterated functions calculating the total number of the itineraries of their critical values.

In the following example, we illustrate the previous result.

**Example 4.1.** Consider the bimodal map \( f : I \to I \) characterized by the kneading invariant

\[
\mathcal{K}_f = (331233113212, \ldots, 112223213311, \ldots), \tag{4.3}
\]

whose analytical expression is given approximately by

\[
f(x) = 3.91322x^3 + 0.0232761x^2 - 2.91322x - 0.0232761. \tag{4.4}
\]

Let \( \phi_0 \in \mathcal{A} \) be a function such that

\[
\text{if}(\phi_0) = (1^\infty, 3^\infty), \tag{4.5}
\]

whose analytical expression is given approximately by \( \phi_0(x) = -\cos(\pi x) \).

An estimation of the growth number of \( f, s(f) \), is given by

\[
s(f) \approx \frac{\eta(\phi_k) + 2}{\eta(\phi_{k-1}) + 2} = \frac{170724}{65482} = 2.60719 \ldots, \quad \text{as } k \to \infty. \tag{4.6}
\]

The number \( \eta(\phi_k) \) is easily computed symbolically using the algorithm. The itineraries of the critical values correspondent to the new critical points of \( \phi_{12} = T^{12}\phi_0 \) are given by \( \sigma^q(\mathcal{K}_i), q = 0, 1, 2, \ldots, 11, i = 1, 2, \) and are presented in the following set ordered by increasing \( k \), which is suitable to present graphically

\[
\{ \mathcal{K}_2, \sigma(\mathcal{K}_2), \sigma^2(\mathcal{K}_2), \sigma^3(\mathcal{K}_2), \ldots, \sigma^{11}(\mathcal{K}_2), \mathcal{K}_1, \sigma(\mathcal{K}_1), \sigma^2(\mathcal{K}_1), \sigma^3(\mathcal{K}_1), \ldots, \sigma^{11}(\mathcal{K}_1) \}. \tag{4.7}
\]
The respective values \( N_{\sigma_i(\mathcal{K}_1)}(\phi_{12}) \), \( q = 0, 1, 2, \ldots, 11, \ i = 1, 2 \), are

\[
\{53765, 20621, 7911, 3035, 1163, 447, 171, 65, 25, 9, 3, 1, 51477, 19749, 7573, 2905, 1113, 427, 163, 63, 23, 9, 3, 1\}.
\]

The relative frequencies of the critical values of \( \phi_{12} \) whose itineraries are \( \sigma_i(\mathcal{K}_1) \),

\[
H_{\sigma_i(\mathcal{K}_1)}(\phi_{12}) = \frac{N_{\sigma_i(\mathcal{K}_1)}(\phi_{12})}{\eta(\phi_{12}) + 2},
\]

with \( \eta(\phi_{12}) = 170444, \ q = 0, 1, \ldots, 11, \ i = 1, 2 \), are given in the set

\[
H = \{0.314931, 0.120788, 0.046339, 0.0177776, 0.00681232, 0.00261832, 0.00100164, 0.00038074, 0.000052717, 0.0000175726\}.
\]

These values (dependent only on the kneading invariant of \( f \)) are independent of the initial functions and are presented in the histogram of Figure 2. Note that in this histogram, the distribution of the critical values is not symmetric and has two peaks at \( \mathcal{K}_1 \) and \( \mathcal{K}_2 \). In this example, the kneading sequences \( \mathcal{K}_1 \) and \( \mathcal{K}_2 \) are not symmetric, and we have

\[
H_{\sigma_i(\mathcal{K}_1)}(\phi_k) \leq H_{\sigma_i(\mathcal{K}_2)}(\phi_k), \quad \text{as} \quad k \to \infty, \ q = 0, 1, \ldots, 11. \quad (4.11)
\]

In general, if

\[
H_{\mathcal{K}_1}(\phi_k) \leq H_{\mathcal{K}_2}(\phi_k),
\]

then

\[
H_{\sigma_i(\mathcal{K}_1)}(\phi_k) \leq H_{\sigma_i(\mathcal{K}_2)}(\phi_k), \quad \text{as} \quad k \to \infty. \quad (4.13)
\]

In this particular case, according to the values \( H_{\sigma_i(\mathcal{K}_1)}(\phi_{12}) \), we have

\[
H_{\mathcal{K}_1} \leq H_{\mathcal{K}_2} \leq H_{\sigma(\mathcal{K}_1)} \leq \cdots \leq H_{\sigma^{11}(\mathcal{K}_1)} \leq H_{\sigma^{11}(\mathcal{K}_2)}. \quad (4.14)
\]
Moreover, we have the following:

\[
\frac{H_{\mathcal{X}_1}(\phi_k)}{H_{\sigma^1(\mathcal{X}_1)}(\phi_k)} = 2.60656 \ldots, \quad \frac{H_{\sigma(\mathcal{X}_1)}(\phi_k)}{H_{\sigma^2(\mathcal{X}_1)}(\phi_k)} = 2.60782 \ldots, \quad \frac{H_{\sigma^2(\mathcal{X}_1)}(\phi_k)}{H_{\sigma^4(\mathcal{X}_1)}(\phi_k)} = 2.60688 \ldots, \\
\frac{H_{\mathcal{X}_2}(\phi_k)}{H_{\sigma^3(\mathcal{X}_2)}(\phi_k)} = 2.61006 \ldots, \quad \frac{H_{\sigma^2(\mathcal{X}_2)}(\phi_k)}{H_{\sigma(\mathcal{X}_2)}(\phi_k)} = 2.60656 \ldots, \quad \frac{H_{\sigma(\mathcal{X}_2)}(\phi_k)}{H_{\sigma^3(\mathcal{X}_2)}(\phi_k)} = 2.61963 \ldots, \\
\frac{H_{\mathcal{X}_1}(\phi_k)}{H_{\sigma^3(\mathcal{X}_1)}(\phi_k)} = 6.7944 \ldots, \quad \frac{H_{\sigma(\mathcal{X}_1)}(\phi_k)}{H_{\sigma^2(\mathcal{X}_1)}(\phi_k)} = 6.79828 \ldots, \quad \frac{H_{\sigma^2(\mathcal{X}_1)}(\phi_k)}{H_{\sigma^4(\mathcal{X}_1)}(\phi_k)} = 6.80413 \ldots, \\
\frac{H_{\mathcal{X}_2}(\phi_k)}{H_{\sigma^3(\mathcal{X}_2)}(\phi_k)} = 6.80328 \ldots, \quad \frac{H_{\sigma^2(\mathcal{X}_2)}(\phi_k)}{H_{\sigma(\mathcal{X}_2)}(\phi_k)} = 6.82822 \ldots, \quad \frac{H_{\sigma(\mathcal{X}_2)}(\phi_k)}{H_{\sigma^3(\mathcal{X}_2)}(\phi_k)} = 6.77778 \ldots, \\
\frac{H_{\mathcal{X}_1}(\phi_k)}{H_{\sigma^3(\mathcal{X}_1)}(\phi_k)} = 17.7201 \ldots, \quad \frac{H_{\sigma(\mathcal{X}_1)}(\phi_k)}{H_{\sigma^2(\mathcal{X}_1)}(\phi_k)} = 17.7439 \ldots, \quad \frac{H_{\sigma^2(\mathcal{X}_1)}(\phi_k)}{H_{\sigma^4(\mathcal{X}_1)}(\phi_k)} = 17.7354 \ldots, \\
\frac{H_{\mathcal{X}_2}(\phi_k)}{H_{\sigma^3(\mathcal{X}_2)}(\phi_k)} = 46.2507 \ldots, \quad \frac{H_{\sigma^2(\mathcal{X}_2)}(\phi_k)}{H_{\sigma(\mathcal{X}_2)}(\phi_k)} = 46.2506 \ldots, \quad \frac{H_{\sigma(\mathcal{X}_2)}(\phi_k)}{H_{\sigma^3(\mathcal{X}_2)}(\phi_k)} = 46.4601 \ldots,
\]

Figure 2: Histogram of the relative frequencies of the distinct critical values of \( \phi_{12} = T^{12} \phi_0 \), whose
itineraries are given by \( \sigma^q(\mathcal{X}_1), \sigma^q(\mathcal{X}_2), q = 0, 1, 2, 3, \ldots \). Note that the order of these itineraries is \( \mathcal{X}_2, \sigma(\mathcal{X}_2), \sigma^2(\mathcal{X}_2), \ldots, \mathcal{X}_1, \sigma(\mathcal{X}_1), \sigma^2(\mathcal{X}_1), \ldots \).
where \( s(f) = 2.60719 \ldots \), \( (s(f))^2 = 6.79744 \ldots \), \( (s(f))^3 = 17.7222 \ldots \), and \( (s(f))^4 = 46.2052 \ldots \).

In general, we have

\[
\frac{H_{\sigma^n(\mathcal{K})}(\phi_k)}{H_{\sigma^{n+1}(\mathcal{K})}(\phi_k)} \to s^n(f), \quad \text{as } k \to \infty, \quad q = 0, 1, 2, \ldots, \quad k - n = 1, 2, \ldots, k - 2, \quad i = 1, 2.
\]

(4.16)

**Acknowledgment**

M. F. Correia was supported by Calouste Gulbenkian Foundation. This work has been partially supported by the research center CIMA-UE, FCT-Portugal funding program.

**References**


